

1 **Engineering herpes simplex viruses by infection-transfection methods including**
2 **recombination site targeting by CRISPR/Cas9 nucleases**

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4 **Tiffany A. Russell^a, Tijana Stefanovic^a and David C. Tschärke^{a, #}**

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6 ^aResearch School of Biology, Bldg #134 Linnaeus Way, The Australian National University,
7 Canberra, ACT, 0200, Australia (tiffany.russell@anu.edu.au; tijana.stefanovic@anu.edu.au;
8 david.tschärke@anu.edu.au).

9

10 #Address for correspondence: David Tschärke, Research School of Biology, Bldg #134
11 Linnaeus Way, The Australian National University, Canberra ACT
12 0200, David.Tschärke@anu.edu.au, T: +61 2 6125 3020, F: +61 2 6125 0313

13 **Summary**

14 Herpes simplex viruses (HSV) are frequent human pathogens and the ability to engineer
15 these viruses underpins much research into their biology and pathogenesis. Often the
16 ultimate aim is to produce a virus that has the desired phenotypic change and no additional
17 alterations in characteristics. This requires methods that minimally disrupt the genome and,
18 for insertions of foreign DNA, sites must be found that can be engineered without disrupting
19 HSV gene function or expression. This study advances both of these requirements. Firstly,
20 the use of homologous recombination between the virus genome and plasmids in
21 mammalian cells is a reliable way to engineer HSV such that minimal genome changes are
22 made. This has most frequently been achieved by cotransfection of plasmid and isolated
23 viral genomic DNA, but an alternative is to supply the virus genome by infection in a
24 transfection-infection method. Such approaches can also incorporate CRISPR/Cas9 genome
25 engineering methods. Current descriptions of infection-transfection methods, either with or
26 without the addition of CRISPR/Cas9 targeting, are limited in detail and the extent of
27 optimisation. In this study it was found that transfection efficiency and the length of
28 homologous sequences improve the efficiency of recombination in these methods, but the
29 targeting of the locus to be engineered by CRISPR/Cas9 nucleases has an overriding
30 positive impact. Secondly, the intergenic space between U_L26 and U_L27 was reexamined as
31 a site for the addition of foreign DNA and a position identified that allows insertions without
32 compromising HSV growth *in vitro* or *in vivo*.

33 **Keywords**

34 Herpes simplex virus, genome engineering, recombinant virus, CRISPR, Cas9

35 **1. Introduction**

36 Herpes simplex virus (HSV) types 1 and 2 are highly prevalent human pathogens, with HSV-
37 1 infecting approximately 60% of people worldwide (Cunningham et al., 2006; Bradley et al.,
38 2014). HSV is also extensively studied as the prototypical alphaherpesvirus due to the
39 relative ease with which it can be grown and the wide variety of *in vitro* and *in vivo* models
40 available (Simmons Nash, 1984; Sawtell Thompson, 1992; Shimeld et al., 1996; Leland
41 Ginocchio, 2007; Hogk et al., 2013). Recombinant HSV expressing foreign genes have
42 proven invaluable for studying viral pathogenesis and growth, as well as for screening for
43 potential antiviral agents (Tanaka et al., 2004; Balliet et al., 2007; Ramachandran et al.,
44 2008; Ding et al., 2012). Further, HSV has shown some promise as a recombinant vaccine
45 vector, especially against cancer (Markert et al., 2000; Rampling et al., 2000; Goins et al.,
46 2008). Ideally methods for making recombinant HSV should a) leave minimal changes other
47 than those desired in the genome and b) where foreign genes are added, these should be
48 inserted at sites that do not impact the growth and pathogenesis of the virus.

49 The original method for making such viruses relies upon homologous recombination
50 between a transfer plasmid that has copies of the viral sequences flanking the desired
51 insertion site and the virus genome in cultured mammalian cells. The relatively low rate at
52 which this occurs means that efficient methods are required to select or screen the few
53 recombinant viruses that are produced (Tanaka et al., 2004; Ramachandran et al., 2008).
54 More recently, recombineering of HSV genomes propagated as Bacterial Artificial
55 Chromosomes, or BACs, has been used. However, viruses recovered from these usually
56 contain residual BAC sequences and/or are attenuated *in vivo* due to other unwanted
57 changes (Horsburgh et al., 1999; Tanaka et al., 2003; Gierasch et al., 2006). Therefore, the
58 original methods remain essential tools that continue to be used.

59 In non-BAC homologous recombination-based methods, cotransfection of viral and transfer
60 plasmid DNA is the most common way of generating recombinant HSV. While detailed

61 reports in the literature are sparse, anecdotally this relies heavily on obtaining very high
62 quality HSV genomic DNA. A simpler alternative is to provide the HSV genome by infection
63 of cells transfected with a transfer plasmid (transfection/infection) and at least one report of
64 the use of such as method can be found, but few details were included (Orr et al., 2005).
65 Transfection/infection is also a common way to engineer poxviruses, which have large
66 dsDNA genomes that unlike HSV are non-infectious (Mackett et al., 1984; Wong et al.,
67 2011). In addition, such methods can be combined with CRISPR/Cas9 genome editing tools
68 (Bi et al., 2014; Suenaga et al., 2014). However, thus far the improvement in recombination
69 frequency associated with the application of CRISPR/Cas9 targeting has not been made
70 against optimised transfection/infection methods.

71 A variety of different locations have been identified in the HSV-1 genome which allow the
72 insertion of foreign DNA with minimal disruption of genes. These include intergenic regions
73 between U_L3 and U_L4, U_L50 and U_L51 and U_S1 and U_S2, but only the first of these has
74 been well characterized (Tanaka et al., 2004; Morimoto et al., 2009). In each case, the
75 genes either side of the insertion site are convergently transcribed and each has its own
76 polyA signal between which there is enough sequence for an insertion to be made without
77 disrupting either transcription unit. Most other common sites of insertion, such as the
78 U_S5/U_S6 location and U_L23 (thymidine kinase) lead to disruption of some ORFs, generally
79 leading to attenuation *in vivo* (Rinaldi et al., 1999; Proenca et al., 2008). The space between
80 U_L26 (glycoprotein B, gB) and U_L27 genes has the ideal structure described above, but
81 previous attempts to use this insertion site have led to some loss of virulence (Halford et al.,
82 2004; Orr et al., 2005). It remains possible that this site can accept insertions without
83 compromising virulence if these are targeted to ensure there is no disruption of the
84 transcription units, including polyA sites.

85 The aims of this study were to explore transfection-infections approaches for generating
86 recombinant HSV, including CRISPR/Cas9 targeting and to identify a precise position
87 between U_L26 and U_L27 where foreign genes can be inserted without loss of virulence.

89 **2. Materials and Methods**

90 **2.1. Viruses and cell lines**

91 The unmodified HSV-1 strain KOS was provided by Francis Carbone (University of
92 Melbourne, Australia). HSV-1 pCmC contains the fluorescent reporter mCherry under the
93 control of the cytomegalovirus immediate early (CMV IE) promoter located in the intergenic
94 region between U_L3 and U_L4 of HSV-1 KOS (HSV-1 KOS 11649). This virus was
95 constructed by standard homologous recombination based methods following four rounds of
96 plaque purification.

97 All viruses were grown and titrated on Vero cells (ATCC CCL-81). The immortalized Vero
98 cell line was maintained in Minimal Essential Medium (MEM; Gibco/Life Technologies,
99 Carlsbad, USA) supplemented with 2 or 10% heat-inactivated fetal calf serum, 5 mM 4-(2-
100 hydroxyethyl)-1-piperazineethanesulfonic acid, 4 mM L-glutamine and 50 mM 2-
101 mercaptoethanol. All transfections were carried out on 293A cells with Lipofectamine 2000
102 (Life Technologies, Carlsbad, USA).

103 **2.2. Plasmid construction**

104 All sequence references below are to the HSV-1 genome, accession JQ673480. To
105 construct the generic transfer vector pT U_L3/4, the U_L3/U_L4 region (HSV-1 10534-12682)
106 was cloned into pTracer CMV/bsd (Life Technologies, Carlsbad, USA) by In-Fusion cloning
107 (Clontech Laboratories, Mountain View, USA). These HSV-1 sequences were generated in
108 two polymerase chain reactions (PCR) to enable the addition of *EcoRV*, *PstI* and *SpeI* sites
109 between the polyA signals of U_L3 and U_L4 (HSV-1 11649) by the use of extended primers to
110 make pT U_L3/4.

111 The cytomegalovirus immediate early (CMV IE) promoter and bovine growth hormone (BGH)
112 poly A termination sequence were amplified from pTracer CMV/bsd and the eGFP Cre

113 cassette was amplified from pIGCN21 (Lee et al., 2001). These fragments were then cloned
114 into the *SpeI* site of pT U_L3/4 by In-Fusion cloning to construct pT pC_eGC (Fig 1A).

115 To construct plasmids with different lengths of homology sequence, sequences flanking the
116 intergenic U_L3/U_L4 region were amplified and cloned into the pCR bluntII vector (Life
117 Technologies, Carlsbad, USA). Four plasmids were made in this way, namely pU3.0.5kbF
118 (HSV-1 11200-12179), pU3.1kbF (HSV-1 10700-12722), pU3.2kbF (HSV-1 9803-13698)
119 and pU3.3kbF (HSV-1 8689-14663), such that a MCS containing *KpnI* and *NotI* sites are
120 inserted in the middle of a fragment of the U_L3/U_L4 intergenic region (HSV-1 11649). The
121 following three synthetically constructed elements were inserted into the MCS of each of
122 these plasmids (Genscript, Piscataway, USA): A) The ICP47 promoter lacking the origin of
123 replication (OriS) sequence (Summers Leib, 2002). The sequence encoding the OriS was
124 removed as it has been shown that this plays no role in regulating the transcription of ICP47
125 (Summers Leib, 2002). B) A Venus reporter gene containing a SV40 nuclear localization
126 sequence. C) A BGH polyA terminator sequence. The resulting plasmids were named
127 pU3.0.5kbF-Venus, pU3.1kbF-Venus, pU3.2kbF-Venus and pU3.3kbF-Venus (Fig 2A).

128 To construct pU26/7, the U_L26/U_L27 region (HSV-1 51431-54154) with *EcoRV*, *NotI* and
129 *SpeI* sites added between the two polyA signals (at HSV-1 52809) was inserted into pUC19
130 (Clontech Laboratories, Mountain View, USA) to make pU26/7. Into the *NotI* site of this
131 generic vector was inserted the ICP47 promoter (described above) upstream of a Tdtomato
132 gene with a BGH polyA termination sequence (from pCIGH3) to make pU26/7
133 pICP47/TdTom (Fig 3C).

134 The plasmid pX330 (Addgene plasmid 42230) has been previously (Cong et al., 2013). The
135 plasmid pX330-mC was constructed by annealing two complimentary oligodeoxynucleotides
136 (CACCGGATAACATGGCCATCATCA and AAAGTATGATGGCCATGTTATCC) and
137 ligating the resulting dsDNA fragment into the *BbsI* site of pX330.

138 **2.3. Generation of recombinant HSV-1 by transfection/infection**

139 Recombinant HSV-1 were produced by transfection of 293A cells with the required amount
140 of plasmid DNA. After 5 hours incubation (37°C, 5% CO₂), cells were infected with HSV-1
141 KOS at an appropriate MOI. All cell-associated and supernatant virus was harvested from
142 the transfection with the aid of a cell lifter. This was then subjected to three cycles of
143 freezing and thawing to lyse the cells and release the virus. The virus was then serially
144 diluted and used to infect fresh cultures of Vero cells overlaid with phenol red-free semisolid
145 MEM-2 with 0.4% (w/v) carboxy-methyl cellulose (M2-CMC). This allowed the development
146 of individual plaques after 48 hours which were then able to be identified and selected by
147 fluorescence microscopy. Multiple rounds of plaque purification were carried out as
148 appropriate. PCR screening and sequencing was used to confirm the correct modification
149 occurred and to identify plaque isolates free of parental virus where appropriate. Two
150 independent recombinant viruses were isolated from parallel transfection/infection
151 experiments.

152 **2.4. Replication *in vitro***

153 Confluent Vero cell monolayers in six well plates were infected with 1×10^4 PFU (MOI 0.01)
154 virus in 1 mL M0. After 1 h at 37°C, virus inocula were removed, the cell monolayer was
155 washed once and 2 mL fresh M2 added. The first samples (zero hour) were harvested
156 immediately after the addition of fresh media and virus from further wells was collected at the
157 times indicated. To harvest virus, cells were scraped into the media so that both were
158 collected in a single sample. These were subjected to three freeze/thaw cycles and virus
159 titres in each determined by plaque assay on Vero cells.

160 **2.5. Measurement of Plaque Size**

161 Confluent Vero cell monolayers in six well plates were infected with 50 PFU virus. After
162 incubation for 90 min at 37°C, 5% CO₂, the inoculum was replaced with M2-CMC. 48 hours
163 later, cells were crystal violet stained and 30 representative photographs per virus were

164 taken at 100x magnification using an Olympus CKX41 microscope and DP20 camera.
165 Plaque area was calculated using ImageJ (Rasband, 1997-2012).

166 **2.6. Mice and infections**

167 This study was carried out in accordance with the Australian NHMRC guidelines contained
168 within the Australian Code of Practice for the Care and Use of Animals for Scientific
169 Purposes. Female specific pathogen free C57Bl/6 mice greater than 8 weeks of age were
170 obtained from the APF (Canberra, Australia). Mice were housed and experiments carried
171 out according to ethical requirements and under approval of the Animal Ethics Committee of
172 the Australian National University (Protocol Number: A2011.001).

173 To assess the virulence of HSV, a mouse flank infection model was used where virus was
174 introduced onto the flanks of shaved mice using a tattoo machine (Figure S1). This is a
175 variation of the flank scarification or abrasion technique sometimes referred to as the
176 zosteriform model (Blyth et al., 1984; Van Lint et al., 2004). The advantage of tattooing over
177 scarification is that the skin remains unbroken by the inoculation, so on the first day after
178 infection there is no sign of damage to the skin allowing the development of the primary
179 lesion to be clearly observed from two days later (Fig S1A). After five days, secondary (or
180 zosteriform) spread is seen, usually peaking on day seven and typically all lesions resolve by
181 14 days after infection (Fig. S1B).

182 Female C57Bl/6 mice eight weeks of age or greater were used. Mice were anaesthetized by
183 i.p. injection of avertin (1,1,1 Tribromoethanol in 2-methyl-2-butanol) given at 250 mg/kg and
184 kept warm when not being handled using an infrared lamp. The left flank of each mouse was
185 clipped and depilated with Veet cream (Reckitt Benckiser; Sydney, Australia). For tattooing,
186 a 10 round shader needle (a cluster containing 10 needles in a round pattern) was mounted
187 on a Swiss rotary tattoo machine (Pullman Tools; Widnau, Switzerland) and charged with
188 virus by dipping for 10 seconds in a suspension containing 1×10^8 PFU/mL HSV. The site
189 for infection was determined by identifying the tip of the spleen (seen through the skin) and a

190 5 × 5 mm area was tattooed for 10 seconds with gentle pressure and even coverage of the
191 area. Mice were monitored daily following infection for lesion development. Where mice have
192 been weighed they generally lose around 5% of body weight in the days after the infection
193 procedure and then recover; there is no evidence of generalized illness as a result of lesion
194 formation.

195 **2.7. Titration of virus from skin and dorsal root ganglia (DRG)**

196 A 1 cm² portion of skin located over the inoculation site and the 10 DRG on the ipsilateral
197 side corresponding to spinal levels L1 – T5 were collected from each mouse 5 days after
198 infection. Samples were homogenized in M2, subjected to three cycles of freeze/thawing and
199 infectious virus quantified by plaque assay on Vero cells.

200 **2.8. Statistical analysis**

201 Statistical comparisons were performed using an unpaired t-test with Welch's correction with
202 the aid of Prism software (version 5.01; GraphPad, La Jolla, USA).

203

204 **3. Results**

205 **3.1. Transfection/infection methods for generating recombinant HSV-1**

206 To establish the transfection/infection method a recombinant HSV was designed that would
207 express a fusion protein of enhanced green fluorescent protein and Cre recombinase
208 (eGFP/Cre) using the cytomegalovirus immediate early (CMV IE) promoter from the
209 intergenic space between HSV U_L3 and U_L4 genes. A fluorescent reporter was chosen to
210 enable the easy identification of recombinant viruses and the U_L3/U_L4 intergenic region was
211 selected because insertions at this site do not compromise growth or virulence (Tanaka et
212 al., 2004; Morimoto et al., 2009). The point of insertion was between the two native polyA
213 sequences which are necessary for proper termination of U_L3, U_L4 and U_L5 transcription

214 (Morimoto et al., 2009). The plasmid used (pT pC_eGC) and the eGFP/Cre expression
215 cassette are shown in Figure 1A.

216 Three parameters associated with infection/transfection were tested to determine which
217 were important determinants of the frequency of recombinant virus generation: 1) the
218 amount of virus, or multiplicity of infection (MOI); 2) the efficiency of transfection; 3) the
219 length of flanking region sequence.

220 To determine if the amount of virus used to infect the cells influenced the frequency of
221 recombination, 293A cells were transfected with linearized pT pC_eGC DNA five hours prior
222 to infection with HSV-1 strain KOS at MOIs of 0.01, 0.001 or 0.0001. Virus was harvested
223 after three days and serial dilutions used to infect new cultures. This allowed quantification of
224 eGFP⁺ and eGFP⁻ progeny. As expected, as MOI increased, total virus yields were
225 correspondingly higher but proportions of eGFP⁺ and eGFP⁻ plaques remained similar (Fig
226 1B).

227 Next, to examine transfection efficiency, varied amounts of linearized or circular plasmids
228 were transfected into 293A cells to achieve differing transfection efficiencies as measured by
229 flow cytometry. These cells were then infected with HSV-1 KOS at an MOI of 0.01 and after
230 three days, virus was harvested. Serial dilutions of this virus were used to infect new cultures
231 and the proportion of total plaques that were eGFP⁺ was determined (Fig 1C, D). Higher
232 transfection efficiency improved the proportion of eGFP⁺ plaques in a roughly linear manner
233 and notably, efficiencies below 20% did not reliably produce any recombinants.

234 The third parameter tested was the length of viral sequences flanking the insertion site used
235 in the transfer plasmid. Plasmids were generated that contained left and right flanks either
236 side of the U_L3/U_L4 intergenic region of approximately 0.5, 1, 2, or 3 kb (Fig 2A). Venus was
237 chosen as a marker so that we could continue to use fluorescence to identify recombinant
238 viruses while widening the range of foreign genes shown to be inserted using the

239 transfection/infection method. In two independent experiments, these Venus transfer
240 plasmids were transfected into 293A cells with conditions that ensured transfection efficiency
241 was similar (~70 - 80% by flow cytometry, not shown) and then infected with HSV-1 at an
242 MOI of 0.01. As in previous experiments, virus was harvested after 3 days. The proportion of
243 Venus⁺ plaques of total virus was determined by fluorescence microscopy of cell monolayers
244 infected with serial dilutions of the progeny from these transfection/infections (Fig 2B). In
245 both experiments the frequency of Venus⁺ plaques was directly proportional to the length of
246 the flanking sequence in the transfer plasmids with the range of efficiency across the
247 plasmids being in the order of 10-fold.

248 **3.2. CRISPR/Cas9 targeting of the recombination site has an overriding influence on** 249 **recombination frequency of transfection-infection methods**

250 The methods detailed above gave recombination frequencies high enough to allow visual
251 selection of viruses engineered to express a fluorescent marker, but even with the
252 optimizations made thus far it would remain challenging to identify recombinants without this
253 visual aid. The recently developed use of CRISPR/Cas9 genome engineering approaches
254 offers an avenue to improve the efficiency of homologous recombination in a variety of
255 settings (Cong et al., 2013). These methods use an RNA guided nuclease (Cas9) to cleave
256 dsDNA at a desired position and these double-stranded breaks can be repaired either by
257 non-homologous end joining or, if a suitable template is available, homologous
258 recombination (Cong et al., 2013). There have been two reported applications that used
259 CRISPR/Cas9 to aid the generation of recombinant HSV-1, but little optimisation was
260 reported (Bi et al., 2014; Suenaga et al., 2014).

261 First, a preliminary experiment was done that found co-transfection of the transfer plasmid
262 with a CRISPR/Cas9 construct designed to cleave the HSV genome at the site of
263 recombination greatly improved the frequency of recombinant HSV that can be obtained by
264 transfection-infection (not shown). Next, the impact of two parameters associated with the

265 incorporation of CRISPR/Cas9 plasmids into the strategy were examined 1) the length of the
266 flanking region sequence in the transfer plasmid and 2) the ratio of the CRISPR-Cas9
267 targeting plasmid to the repair plasmid used.

268 To test the first of these, Venus transfer plasmids (as described in Fig. 2A) were transfected
269 into 293A cells such that transfection efficiency was similar along with either pX330-mC (that
270 will cleave mCherry coding sequence) or pX330 (a control with no targeting sequence) in a
271 1:1 ratio. Five hours later, these cells were infected with HSV-1 pCmC at an MOI of 0.01.
272 Virus was harvested after 3 days and used to infect new cultures and the numbers of
273 Venus⁺, mCherry⁺ and non-fluorescent plaques were determined by microscopy (Fig 3A).
274 The use of the mCherry-targeting pX330-mC had a dramatic effect, improving the frequency
275 of Venus⁺ plaques by >100-fold and up to almost a third of all plaques in one case. In the
276 presence of the mCherry targeting plasmid, increasing the length of flanking region
277 sequence made only a marginal difference in two independent experiments.

278 In the previous experiment a substantial proportion of plaques were non-fluorescent,
279 indicating that the genome had been cleaved by CRISPR-Cas9, but was repaired without
280 recombination with the repair plasmid. Therefore, it was reasoned that altering the ratio of
281 the repair plasmid DNA to pX330-mC may increase the frequency of the desired
282 recombinant virus. To test this 293A cells were transfected with 2 µg of the repair plasmid
283 pU3.1kbF-Venus and various amounts of pX330 or pX330-mC to generate molar ratios of
284 4:1, 2:1, 1:1 or 1:2, and then infected with HSV-1 pCmC at an MOI of 0.01. Virus was
285 harvested after 3 days and the proportion of Venus⁺, mCherry⁺ and fluorescence negative
286 plaques determined by microscopy of cell monolayers infected with serial dilutions of the
287 progeny from these transfection/infections (Fig. 3B). This experiment further confirmed the
288 large improvement in efficiency associated with CRISPR/Cas9 targeting. Altering the ratio of
289 the CRISPR-Cas9 plasmid to the repair plasmid only had a modest impact on the frequency
290 of fluorescent virus generated and this was repeated in a second experiment.

291 **3.3. Foreign genes can be inserted between U_L26 and U_L27 of HSV-1 without loss of**
292 **virulence**

293 To develop the U_L26-U_L27 intergenic region as a site that can accept foreign genes
294 available annotations of this region with predicted transcription termination sites were
295 inspected. An insertion position between base pairs 52809 and 52810 (based on the KOS
296 sequence, accession JQ673480) was chosen being roughly equidistant between the full
297 polyA sites for these transcription units (Fig 3A, B). This information was used to design
298 transfer plasmid pUC26/7 into which a cassette containing the ICP47 promoter, TdTomato
299 coding sequence and a BGH polyA signal was inserted (Fig 4C). The transfection/infection
300 method detailed above, without the aid of CRISPR/Cas9 was used to generate recombinant
301 virus. Two TdTomato⁺ plaques were selected from the progeny of two independent
302 transfection/infections and pure stocks of both were obtained after three rounds of plaque
303 purification. One of these (named HSV-1 pICP47/TdTom) was chosen for further
304 examination and restriction digests of the genome and PCR and DNA sequencing done to
305 confirm its integrity (not shown). This virus was found to have identical replication kinetics
306 compared with the parent KOS in Vero cells (Fig 3D). In addition, HSV-1 pICP47/TdTom
307 also exhibited a normal plaque phenotype (by microscopy) and size (Fig 3E&7; ImageJ,
308 Rasband, 1997-2012). Finally this virus was compared with its parent HSV-1 KOS in a flank
309 model of infection in which virus is introduced by tattoo (Supplemental Fig. S1). The
310 virulence of the HSV-1 pICP47/TdTom was similar to KOS based on observation of lesions
311 (not shown) and virus loads in DRG and skin (Fig 3G).

312

313 **4. Discussion**

314 This study shows that transfection/infection methods are sufficiently efficient to reliably
315 generate recombinant HSVs where a strong marker for screening, for example a fluorescent
316 protein, is available. In total this method has been used to generate ten viruses using either

317 the U_L3-U_L4 or the U_L26-U_L27 sites and expressing a range of fluorescent proteins under
318 the control of several promoters, some of which are published elsewhere (Mackay et al.,
319 2013; Macleod et al., 2014). For this approach, transfection efficiency is of key importance,
320 with efficiencies of >20% being required and higher efficiencies being preferable. In addition,
321 increasing flank sequence lengths in transfer plasmids improved the frequency of
322 recombination in a roughly linear manner. However, these improvements need to be
323 weighed against the lower transfection efficiencies typically achieved with larger plasmids.
324 Despite influencing efficiency by up to 10-fold, none of these optimizations improved
325 efficiency to the point that recombinant viruses could be identified by PCR screening in the
326 absence of an additional selectable marker to enrich the desired viruses. By contrast the use
327 of CRISPR/Cas9 targeting dramatically improved the frequency of initial recombination. The
328 data above show in some cases a third of all progeny are recombinant using this method.
329 Several more viruses have been generated using this method and frequencies have been as
330 high as 70% and viruses with small deletions and no markers have been made (not shown).
331 Further, the importance of using transfer plasmids with long homology sequences flanking
332 the insertion site is greatly reduced when CRISPR/Cas9 is used. The availability of
333 CRISPR/Cas9 plasmids in repositories and the relative insensitivity of the methods to
334 changes in protocol such as ratio of plasmid suggest that adoption of this technology will
335 greatly expand the accessibility of recombinant virus generation for HSV-1.

336

337 In terms of developing insertion sites, a position between the polyA signals associated with
338 the U_L26 and U_L27 transcription units was chosen and a plasmid designed so that no HSV
339 sequence was deleted. It remains unclear why previous attempts to use this region to add
340 genes as led to attenuation (Halford et al., 2004; Orr et al., 2005). However, in the best
341 described case, the insertion disrupted the native polyA signal of U_L26, which was then
342 replaced with one from SV40 (Orr et al., 2005). This suggests that all elements associated
343 with transcription in this region cannot be easily replaced or predicted. The design detailed in
344 Figure 4 avoids these problems as shown by the generation of HSV-1 pICP47/TdTom, which

345 had wild type virulence. This establishes a new site that can be used for future recombinant
346 viruses.

347

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458

459 **Figure Legends**

460 **Figure 1. Role of virus multiplicity and transfection efficiency on recombinant HSV**
461 **generation by transfection/infection.** (A) Map of pT pC_eGC indicating the base pair
462 positions of the two flanking regions (in grey), using numbers from HSV-1 KOS (accession
463 JQ673480), other features are as marked. (B) Effect of MOI on virus output of
464 transfection/infections. 293A monolayers were transfected with pT pC_eGC and infected at
465 the MOIs shown 5 hours later. Progeny of these transfection/infections at 72 hrs were used
466 to infect monolayers of Vero cells, and the number of total (open bars) and eGFP⁺ (black
467 bars) plaques counted. Results are representative of two experiments. (C and D) The effect
468 of transfection efficiency was tested for linearized (C) and intact (D) plasmids. 293A were
469 transfected with pT pC_eGC to achieve a range of efficiencies and infected at an MOI of
470 0.01 5 hours later. Progeny of these transfection/infections were collected at 72 hours to
471 determine the rate of recombinant virus generation. The proportion of eGFP⁺ plaques is
472 plotted against the transfection efficiency as determined by flow cytometry.

473 **Figure 2. Influence of flank sequence length on recombinant HSV generation by**
474 **transfection/infection.** (A) Representative map of plasmids with different lengths of
475 U_L3/U_L4 flanking sequences. Four different lengths were used as depicted by the concentric

476 grey boxes to generate plasmids pU3.0.5kbF (HSV-1 KOS 11200-12179), pU3.1kbF (HSV-1
477 KOS 10700-12722), pU3.2kbF (HSV-1 KOS 9803-13698) and pU3.3kbF (HSV-1 KOS 8689-
478 14663). Other features are as marked. (B) 293A monolayers were transfected with the each
479 of the plasmids shown in (A) and infected at an MOI of 0.01 5 hours later. Progeny of these
480 transfection/infections was harvested at 72 hours and used to infect monolayers of Vero
481 cells. The percentage of Venus⁺ plaques of all HSV plaques is shown. Two independent
482 experiments are indicated with markers in grey and black.

483 **Figure 3. Targeting the site of insertion using CRISPR-Cas9 has an overriding effect**
484 **on recombination frequency.** (A) 293A monolayers were cotransfected with 2 µg of one of
485 the plasmids shown in Fig. 2A and either pX330 or pX330-mC in a 1:1 ratio, and infected
486 with HSV-1 pCmC at an MOI of 0.01 5 hours later. Progeny of these transfection/infections
487 was harvested at 72 hours and used to infect monolayers of Vero cells. Pie charts show the
488 percentage of Venus⁺, mCherry⁺ and non-fluorescent plaques where mCherry was targeted
489 (with pX330-mC) and boxes below are the approximate percent of Venus⁺ plaques found
490 when the control (pX330) plasmid was used. (B) 293A monolayers were cotransfected with
491 2 µg pU3.1kbF-Venus and the appropriate mass of either pX330 or pX330-mC so the ratio of
492 these plasmids was 4:1, 2:1, 1:1 or 1:2, and infected with HSV-1 pCmC at an MOI of 0.01 5
493 hours later. Progeny of these transfection/infections was harvested at 72 hours and used to
494 infect monolayers of Vero cells. The pie charts and boxes show data as for panel A, nd = not
495 determined. Experiments in A and B were repeated with similar results.

496 **Figure 4. Use of U_L26-U_L27 intergenic region for insertion of foreign DNA into HSV.** (A)
497 Schematic representation of the HSV-1 genome with the location of U_L26 and U_L27
498 indicated. (B) Detail of the insertion of the TdTomato expression cassette in the intergenic
499 space between U_L26 and U_L27. (C) Map of pU26/7 pICP47/TdTom indicating the base pair
500 positions of the U_L26/U_L27 flanking regions (in grey), using numbers from HSV-1 KOS
501 (accession JQ673480), other features are as marked. (D) Multiple step growth analysis (MOI

502 0.01) in Vero cells comparing parent HSV-1 KOS (shown in black) and HSV-1
503 pICP47/TdTom (shown in grey). Data are mean±SEM of three replicates. (E and F) Plaques
504 of HSV-1 KOS and pICP47/TdTom on Vero cells under semi-solid media were similar.
505 Morphology (E) is shown by phase contrast microscopy at 100× magnification (scale bar =
506 150µm) and size (F) was measured for 30 plaques of each virus (mean size indicated by the
507 black bar). (G) Amounts of infectious virus in the skin and innervating DRG of C57Bl/6 mice
508 5 days after flank infection with HSV-1 KOS (black) and HSV-1 pICP47/TdTom (grey).
509 Circles show results for each mouse (n=4) and bars represent mean±SEM. (ns = not
510 significant).

511 **Figure S1. Pathogenesis of HSV in mice following flank infection by tattoo.** C57Bl/6
512 mice were infected with 1×10^8 PFU/mL WT HSV-1 KOS by tattoo. (A) Photographs of a
513 representative mouse were taken at 1, 4, and 7 days after infection. (B) Estimation of total
514 lesion size over time. Circles and bars represent mean±SEM (n=3).

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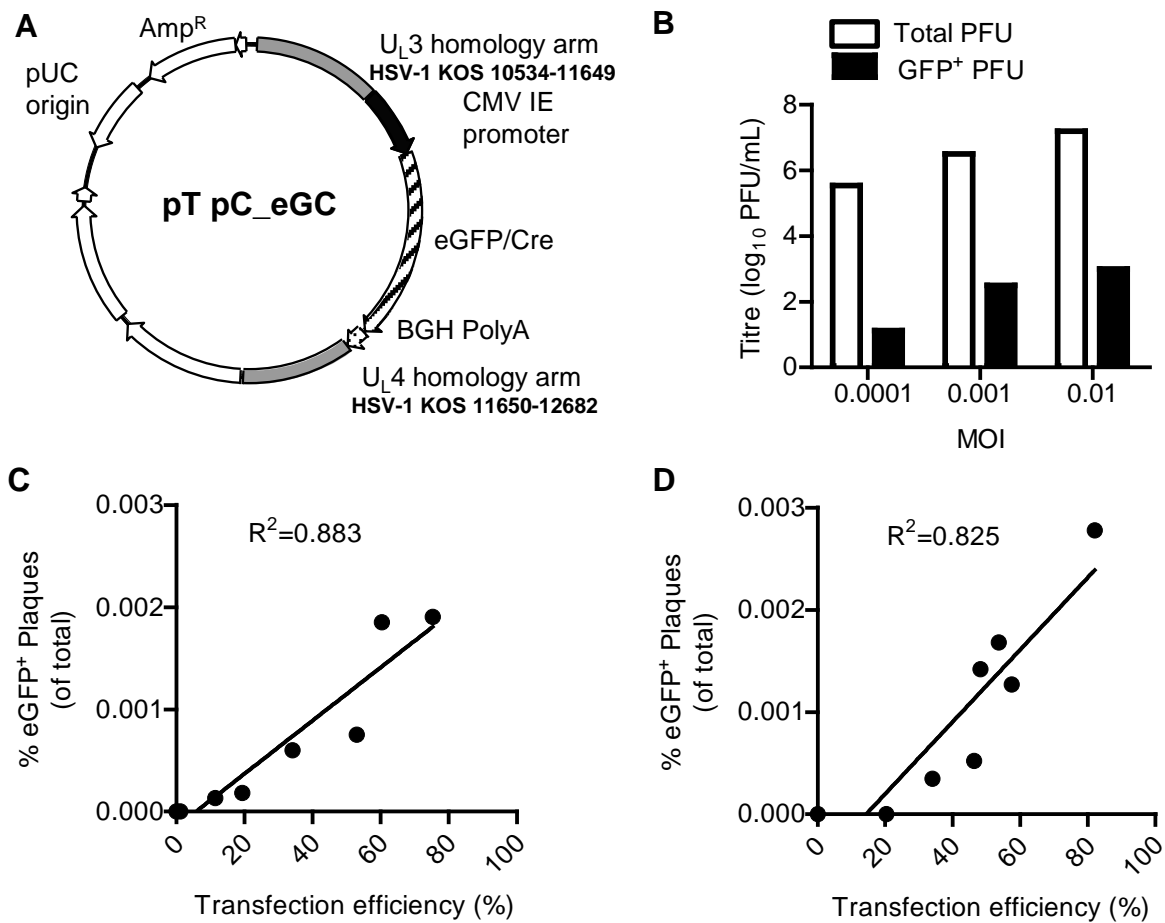


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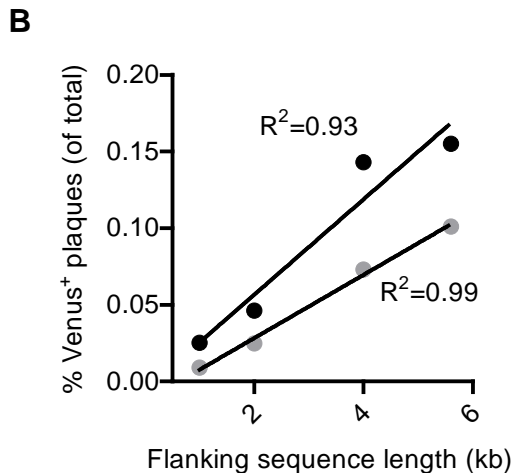
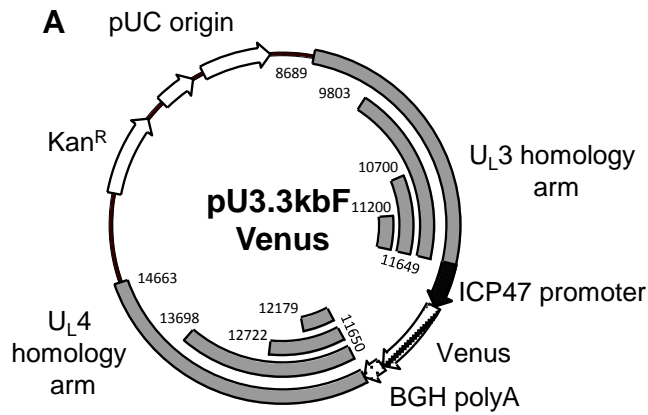


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■ Non fluorescent ■ mCherry⁺ □ Venus⁺

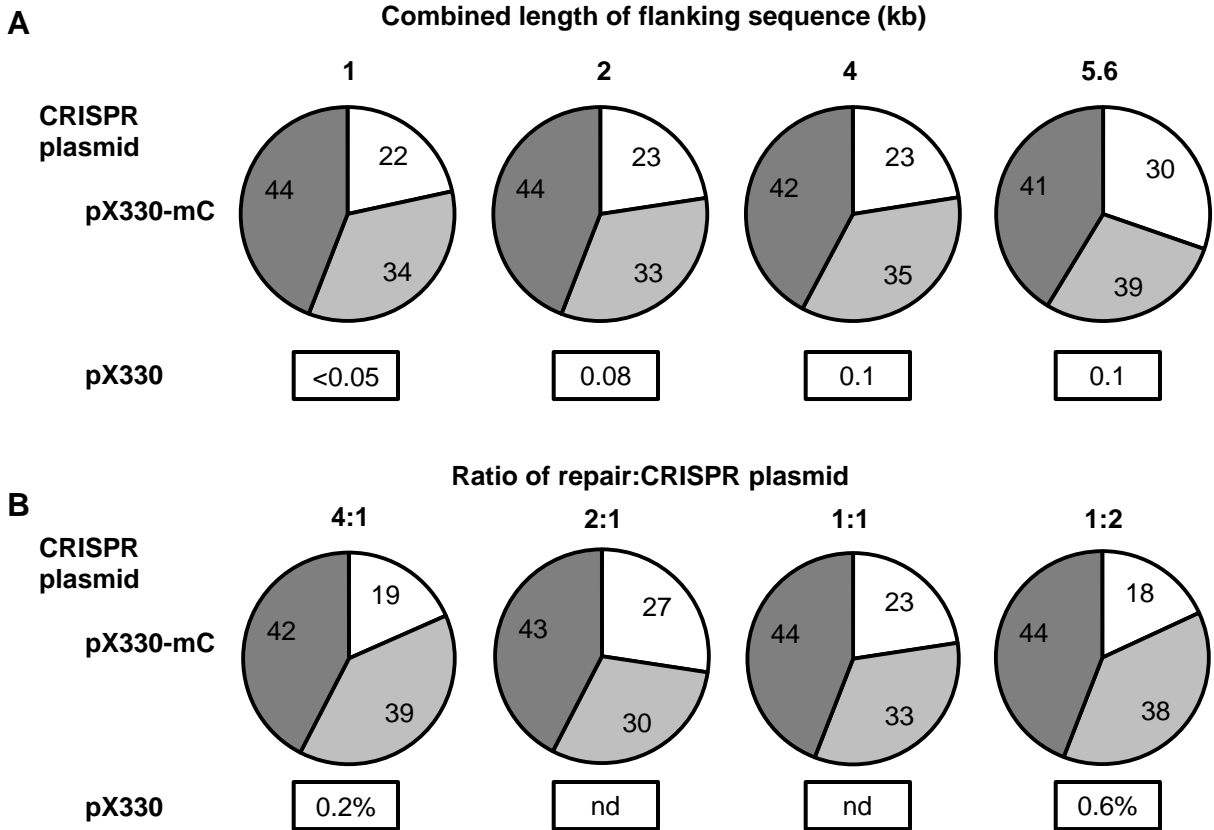


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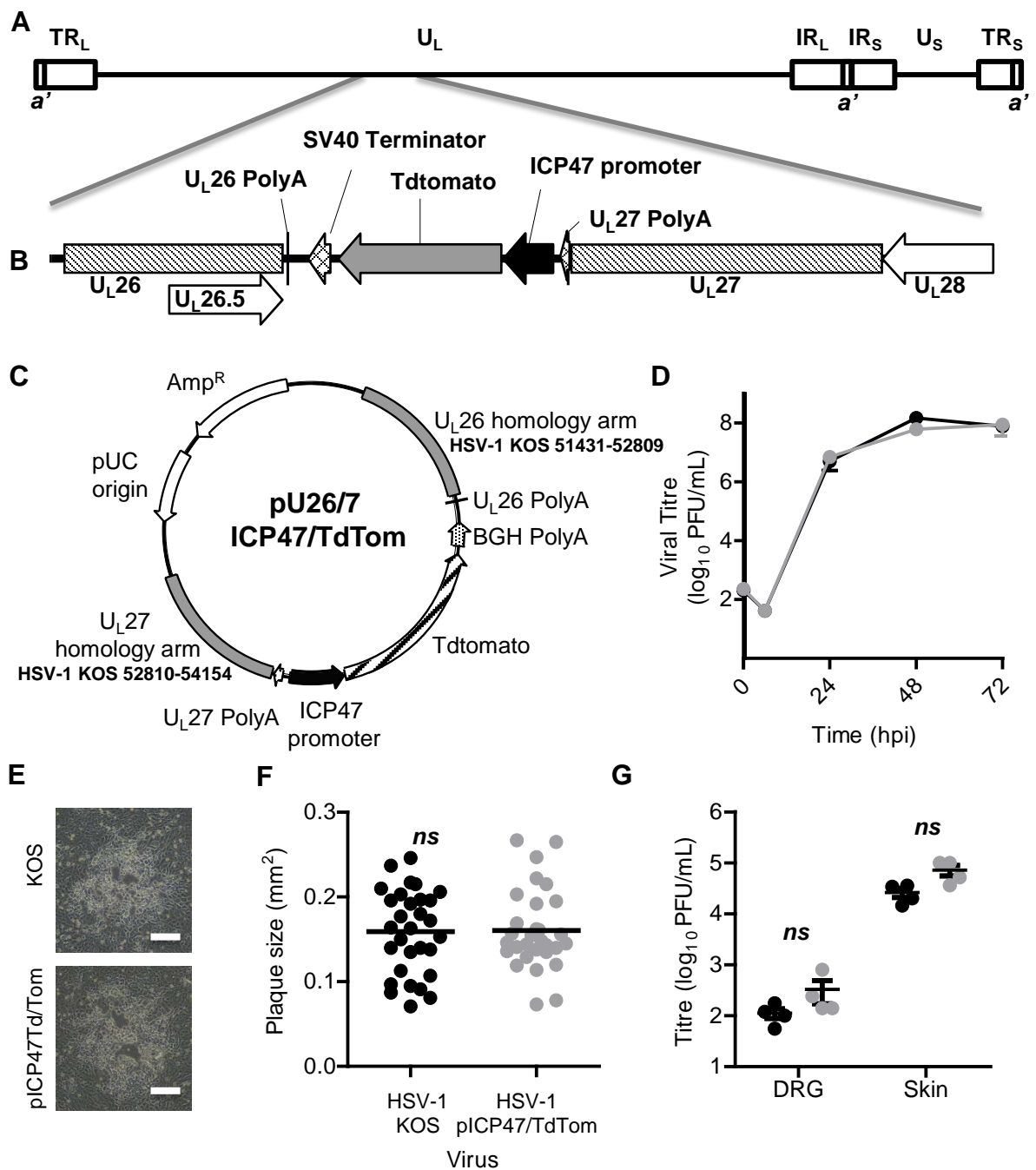


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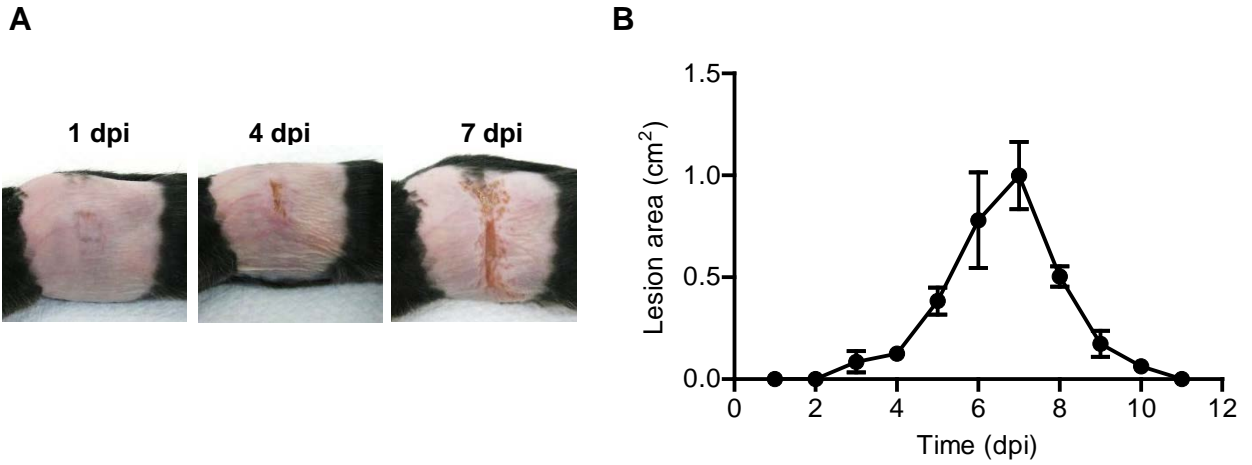


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