

1 **Probing functional and optical cross-sections of PSII in leaves during state transitions**
2 **using fast repetition rate light induced fluorescence transients**

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4 Barry Osmond ^{1,2,3}, Wah Soon Chow ², Barry J Pogson ², Sharon A Robinson ¹

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6 ¹ Centre for Sustainable Ecosystem Solutions, School of Biological Sciences, University of

7 Wollongong, Northfields Ave., Wollongong, NSW 2522, Australia

8 ² Division of Plant Sciences, Research School of Biology, The Australian National

9 University, 46 Sullivan's Creek Road, Acton, ACT 2601, Australia

10 ³ Corresponding author; PO Box 3252 Weston Creek, ACT 2611, Australia

11 osmond.barry@gmail.com

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16 rates; PQ pool redox status

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20 **Abstract:** (396 words)

21 Plants adjust the relative sizes of PSII and PSI antennae in response to the spectral
22 composition of weak light favouring either photosystem by processes known as state
23 transitions (ST) attributed to a discrete antenna migration involving phosphorylation of light-
24 harvesting chlorophyll-protein complexes in PSII. Here for the first time we monitor the
25 extent and dynamics of ST in leaves from estimates of optical absorption cross-section
26 (relative PSII antenna size; a_{PSII}). These estimates are obtained from in situ measurements of
27 functional absorption cross-section (σ_{PSII}) and maximum photochemical efficiency of PSII
28 (ϕ_{PSII}); i.e., $a_{\text{PSII}} = \sigma_{\text{PSII}} / \phi_{\text{PSII}}$ (Kolber *et al.* 1998) and other parameters from a Light
29 Induced Fluorescence Transient (LIFT) device (Osmond *et al.* 2017). The Fast Repetition
30 Rate (FRR) Q_A flash protocol of this instrument monitors chlorophyll fluorescence yields
31 with reduced Q_A irrespective of the redox state of PQ, as well as during strong ~1 s white
32 light pulses that fully reduce the PQ pool. Fitting this transient with the FRR model also
33 monitors ETR kinetics from PSII \rightarrow PQ, PQ \rightarrow PSI, and the redox state of the PQ pool in the
34 “PQ pool control loop” that underpins ST, with a time resolution of a few seconds. All
35 LIFT/FRR criteria confirm the absence of state transitions in antenna mutants *chlorina-f2* of
36 barley and *asLhcb2-12* of *Arabidopsis* as well as its STN7 kinase mutants *stn7* and *stn7/8*. In
37 contrast, wild type barley and *Arabidopsis* genotypes *Col*, *npq1*, *npq4*, *OEpsbs*, *pgr5 bkg*,
38 and *pgr5*, show normal ST but the extent of ST (and by implication, the size of the
39 phosphorylated LHCI pool participating in ST) deduced from changes in a'_{PSII} and other
40 parameters with reduced Q_A range up to 35% whereas estimates from strong WL pulses in
41 the same assay are only ~10%. Despite the absence of ST, *asLhcb2-12* displays normal wild
42 type modulation of ETR and the PQ pool during assays. Distinctively, when LHCI
43 phosphorylation is impaired in *stn7* and *stn7/8* the Q_A flash and WL pulse produce similar
44 fluorescence yields, and accelerated ETR results in a persistently over-reduced PQ pool in

45 these genotypes. The larger estimates of ST from the Q_A flash and anomalous fluorescence
46 yields in kinase mutants are discussed in the context of contemporary dynamic structural
47 models of ST involving formation and participation of PSII and PSI megacomplexes in an
48 ‘energetically connected lake’ of free phosphorylated LHCII trimers (Grieco *et al.* 2015).
49

50 **Abbreviations** (see also Table 1; Osmond *et al.* 2017)

51

52 $EST_{PAM} = F'_m PAM^{470+740} / F'_m PAM^{470}$; extent of ST from spot measurements of F'_m with
 53 fully reduced PQ during the saturating WL pulse (0.8 s) of PAM in light 2 (470 nm)
 54 at steady state and shortly after removal of added light 1 (740 nm).

55 $EST_{WL} = F'_m WL^{470+740} / F'_m WL^{470}$; extent of ST from spot measurements of $F'_m WL$ with
 56 fully reduced PQ monitored by the Q_A flash of LIFT/FRR during ~1 s PAM-
 57 analogous WL pulses in light 2 at steady state and shortly after removal of added
 58 light 1

59 $EST_{Q_A} = F'_m Q_A^{470+740} / F'_m Q_A^{470}$; extent of ST from the average of ~20 measurements of
 60 $F'_m Q_A^{470}$ in light 2 at steady state and maximum $F'_m Q_A^{470+740}$ after removal of added
 61 light 1

62 $EST_{\sigma'_{PSII}} = \sigma'_{PSII}^{470+740} / \sigma'_{PSII}^{470}$; extent of ST from the average of ~20 Q_A measurements of
 63 σ'_{PSII} in light 2 and after removal of added light 1

64 $EST_{a'_{PSII}} = a'_{PSII}^{470+740} / a'_{PSII}^{470}$; extent of ST from ~20 Q_A flash averaged measurements of
 65 σ'_{PSII} and ϕ'_{PSII} (from $F'_m WL$ and $F'_m Q_A$ in strong WL pulses) in light 2 and after
 66 removal of added light 1, used to calculate $a'_{PSII} = \sigma'_{PSII} / \phi'_{PSII}$

67 E_k FRR model simulated half saturation PFD for photosynthetic electron transport

68 FRR fast repetition rate protocol and model fit to chlorophyll fluorescence transient

69 LHCII light-harvesting chlorophyll-protein complexes with PSII (or LHCI with PSI)

70 (P)LHCII phosphorylated light-harvesting chlorophyll-protein complexes with PSII

71 LIFT light induced fluorescence transient (device)

72 Light 1 740 nm LED; light preferentially absorbed by photosystem I

73 Light 2 470 nm LED; light preferentially absorbed by photosystem II

74 NIR near infra red light (e.g., 700-750 nm)

75 PAM pulse amplitude modulated (fluorometer)

76 PQ_{OX} relative oxidation status of the PQ pool

77 RCII reaction centre of PSII

78 ST state transition; ST+ = ST competent (present); ST- = ST incompetent (absent)

79 S1 state 1

80 S2 state 2

81 WL white light, 400 - 655 nm; filtered from a quartz iodide lamp

82

83 **Introduction**

84 Since Bonaventura and Myers (1969) and Murata (1969, 2009) a diverse array of
85 experiments show that photosynthetic cells adjust the relative sizes and functions of PSII and PSI
86 antennae in response to the spectral composition of light by processes known as state transitions
87 (ST). Initially based on observations of chlorophyll fluorescence emission spectra and kinetics,
88 subsequent studies of light-dependent phosphorylation of light-harvesting chlorophyll-protein
89 complexes in chloroplast membranes (Bennett *et al.* 1980, Allen *et al.* 1981; Allen 2002) led to a
90 discrete antenna migration model for ST (Fig. 1a). In the dark or in the presence of light
91 predominantly absorbed by PSI (light 1; e.g., λ 740 nm LED, or natural canopy shade enriched in
92 NIR) leaves are in state 1 (S1) in which LHCIIs are predominantly associated with PSII, yielding
93 higher levels of fluorescence. Over-excitation of the photosynthetic apparatus with light
94 predominantly absorbed by PSII (light 2; e.g., λ 470nm) causes reduction of thylakoid PQ pools
95 and initiates transition from state 1 to state 2 (S2) by activation of kinases that phosphorylate and
96 mobilise a fraction of (P)LHCIIs from PSII and become associated with PSI, leading to a lower
97 yield of chlorophyll fluorescence from PSII at room temperature. Transition back to S1 is initiated
98 when PSI is over-excited in light 1 (e.g., λ 740 nm), the PQ pool becomes more oxidised, kinases
99 are inhibited and phosphatases are activated. These de-phosphorylate (P)LHCIIs in PSI antennae
100 that then re-associate with PSII leading to a higher yield of chlorophyll fluorescence. For
101 convenience, the biochemistry underlying the antenna migration model is referred to as a “PQ
102 pool/ST control loop”.

103 Following the prescient conclusion of Wang and Myers’ (1974) that ‘the absorption
104 cross-section for System II is indeed higher in State 1 than in State 2’, cross-section terminology
105 has been applied to a range of functional and structural changes occurring during ST (Horton
106 1983, Allen and Melis 1988, Tikkanen *et al.* 2008, Ruban and Johnson 2009; Allen 2017). This
107 usage generally refers to changes in optical absorption cross-sections (apparent antenna size) of
108 PSII and PSI inferred from changes in chlorophyll fluorescence from LHCIIs at room

109 temperature, or from both photosystems measured at 77°K. It is now supported by a plethora of
110 insight into structural / functional aspects of ST from selective release of (P)LHCII complexes in
111 thylakoids of from algae and leaves by mechanical and detergent methods (Iwai *et al.* 2008; Järvi
112 *et al.* 2011; Minagawa 2011; Galka *et al.* 2012; Rantala *et al.* 2017). These are leading to major
113 re-evaluation of the functional significance of the discrete antenna migration model. For example,
114 Grieco *et al.* (2015) point out that this model ‘completely fails to explain the physiological
115 significance of steady state phosphorylation of LHCII in all thylakoid domains under any constant
116 light condition’.

117 The discrete phosphorylation of LHCII associated with PSII centres proposed in the
118 antenna migration model for ST in Fig. 1a now has to be accommodated in the context of a
119 ‘LHCII connectivity model’ (Grieco *et al.* 2015) in which up to 50% of the (P)LHCII pool (Galka
120 *et al.* 2012) is loosely associated with PSII centres. Furthermore, in one view (Galka *et al.* 2012;
121 Wientjes *et al.* 2013b), megacomplexes are formed by recruitment of these ‘extra (P)LHCII’ to
122 PSI centres, and Yokono *et al.* (2015) report ‘about half of PSII are connected to PSIs in
123 *Arabidopsis*’. Live cell imaging of ST in *Chlamydomonas reinhardtii* (Iwai *et al.* 2010) and
124 *Arabidopsis* (Kim *et al.* 2015 *et al.* 2017) suggest that PSII-LHCII-PSI megacomplexes allow ‘a
125 fluent and balanced electron transfer between PSII and PSI’ (Mekala *et al.* 2015) via from
126 multiple antennae (Bos *et al.* 2017). The core components of the “PQ pool/ST control loop” in
127 Fig. 1a represent ‘only a part of a larger megacomplex that accounts for energy distribution
128 between PSII and PSI’ (Rantala *et al.* 2017).

129 Chlorophyll fluorescence assays of the functional absorption cross-section of PSII (σ_{PSII})
130 in *Chlorella* (Ley and Mauzerall 1982) led to fast repetition rate fluorometers (FRRf) capable of
131 monitoring this parameter in phytoplankton in the field (Behrenfeld and Kolber 1999) and
132 laboratory (Suggett *et al.* 2004) and have supported holistic evaluation of ST in microalgae.
133 Especially relevant to the present report is the monitoring of σ_{PSII} in thylakoid particles

134 prepared from *Chlamydomonas reinhardtii* during ST (Iwai *et al.* (2008). When locked in S1,
 135 large particles (type I; LHCII replete $\geq 2,000$ kD) with much higher σ'_{PSII} ($366 \pm 20 \text{ \AA}^2/\text{RCII}$)
 136 predominate. When cells are locked in S2 smaller particles are obtained (Type III; LHCII depleted
 137 $\sim 300\text{-}400$ kD) and have smaller $\sigma'_{\text{PSII}} = 163 \pm 88 \text{ \AA}^2/\text{RCII}$. Kolber *et al.* (1998) show that optical
 138 absorption cross-section (a_{PSII} ; apparent antenna size) can be estimated from contiguous
 139 measurements of σ_{PSII} and maximum photochemical efficiency of PSII (ϕ_{PSII}); i.e., $a_{\text{PSII}} =$
 140 $\sigma_{\text{PSII}} / \phi_{\text{PSII}}$ (in the dark) and $a'_{\text{PSII}} = \sigma'_{\text{PSII}} / \phi'_{\text{PSII}}$ (in the light).

141 Such measurements are now accessible in leaves in situ using LIFT/FRR
 142 instruments and techniques (http://soliense.com/LIFT_Method.php; accessed 28-12-2018) at
 143 distances up to 40 m (Kolber *et al.* 2005). Compared to wild types, estimates of a_{PSII} in small
 144 antenna mutants of *Arabidopsis* (*asLhcb2-12*) and barley (*chlorina-f2*) are similar (Osmond *et al.*
 145 2017) to independent in vitro estimates of this property reported in the literature (Andersson *et al.*
 146 2003; Harrison *et al.* 1993; Bossmann *et al.* 1997). Moreover wild type *Arabidopsis*, barley and
 147 spinach show the expected decline in σ_{PSII} and a_{PSII} with increasing growth irradiance (Osmond
 148 *et al.* 2017). Differences in a_{PSII} now are detectable to within a few percent and thus seem
 149 sufficiently sensitive for quantifying apparent PSII antenna size during ST.

150 We posit that it now is time to monitor ST directly through measurements of functional
 151 and optical cross-sections. Three specific objectives of this paper are:

152 (i) to validate the LIFT/FRR approach for monitoring changes in relative PSII antenna
 153 size (a'_{PSII}) ST competent genotypes and ST mutants of barley and *Arabidopsis* assayed under
 154 artificial but well defined conditions in light 2 and light 2+1,

155 (ii) to compare the estimates of mobilizable PSII antenna LHCII_s implied from
 156 chlorophyll fluorescence monitored by LIFT/FRR with reduced Q_A to those obtained in
 157 traditional assays using a strong WL pulse with fully reduced PQ.

158 (iii) to concurrently monitor the PQ pool redox state and associated ETR parameters
159 deemed to initiate and regulate ST in situ.

160 In general, these objectives are pursued in terms of the antenna migration model but also
161 are discussed in the context of contemporary evidence that it is just one component of processes
162 balancing the excitation load between PSII and PSI in situ (Rantala *et al.* 2017).

163

164 **Materials and methods**

165 *Plant material*

166 Wild type barley (*Hordeum vulgare* L.), and its Chl *b*-less mutant *chlorina-f2* (Highkin 1950),
167 were grown in full sunlight, side by side, in a south-facing temperature-controlled (25 °C day/15
168 °C night) greenhouse. The mid-section of attached leaves, or leaf segments cut under, and
169 kept in, a small trough of water were arranged vertically in one or two layers to maximize
170 chlorophyll fluorescence yield from the LIFT excitation spot during the PAM-analogous
171 assays described below.

172 *Arabidopsis* (*Arabidopsis thaliana* L. Heynh.) genotypes were cultivated in controlled
173 environment growth chambers (20 °C; at ~120 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$). Six ST competent (ST+)
174 genotypes were studied; including two wild types *Col* and *pgr5 bkg* and PsbS protein over
175 expressor *OEpsbs* (Li *et al.* 2002), as well as three NPQ mutants *npq1* (Niyogi *et al.* 1998), *npq4*
176 (ΔpH sensing PsbS protein; Li *et al.* 2000) and *pgr5* (cyclic electron transport deficient;
177 Munekage *et al.* 2002). They were compared with three ST incompetent genotypes (ST-)
178 including smaller antenna mutant *asLhcb2-12*, almost completely devoid of Lhcb1 and Lhcb2
179 (Andersson *et al.* 2003; identified as *antST-*) and STN7 kinase mutants (identified as *kinST-*)
180 *stn7* (Bellafiore *et al.* 2005) and *stn7/8* (Bonardi *et al.* 2005). All genotypes were grown at the
181 same time under the same conditions and selected randomly for a total of 21 assays over a period
182 of three days.

183 *The LIFT apparatus and FRR protocols*

184 All data in this report are derived from the prototype bench-top, limited-range version of LIFT
 185 equipped with an eye-safe blue LED (λ 470 nm), and are based on the Q_A flash protocol
 186 described previously (Osmond *et al.* 2017). Additional technical information is available at
 187 http://soliense.com/LIFT_Terrestrial.php (accessed 13-07-18). Kolber *et al.* (1998) conclude that
 188 the lower limit of excitation power to reliably calculate photosynthetic parameters under
 189 conditions of negligible Q_A re-oxidation is about 1.6 quanta per RCII. The Q_A flash of
 190 LIFT/FRR elicits a transient increase in chlorophyll fluorescence from a 0.36 ms long saturation
 191 sequence (SQ_A) of 180 brief flashlets (1 μ s; each $\sim 13,000 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$) that delivers an
 192 excitation power of $2.3 \mu\text{mol 470 nm photons m}^{-2}$. In an average leaf with $\sim 1 \mu\text{mol RCII m}^{-2}$
 193 (WS Chow unpublished) more than half of these photons in each flashlet are absorbed by a RCII
 194 and achieve $> 90\%$ reduction of Q_A . [At a distance of \$\sim 60\$ cm the \$Q_A\$ flash protocol at 2 to 3 s](#)
 195 [intervals delivers a monitoring beam of \$\sim 1.2 \mu\text{mol photons m}^{-2} \text{ s}^{-1}\$ of light 2 \(470 nm\),](#)
 196 [comparable to that applied on-the-leaf at a distance of 1 mm by the monitoring beam of a](#)
 197 [JUNIOR-PAM at 100 Hz \(Pfündel 2007\).](#)

198 Previous studies (Osmond *et al.* 2017) show that $F_m Q_A$ from the Q_A flash on dark
 199 adapted spinach leaves is $63 \pm 1\%$ of $F_m PQ$ compared to $F_J / F_P = 55 \pm 5\%$ in Kautsky
 200 chlorophyll fluorescence induction curves on the same leaves in $3,000 \mu\text{mol photons m}^{-2} \text{ s}^{-1}$ red
 201 light. The ratio $F_m Q_A / F_m PQ$ was 0.59 ± 0.01 in the nine *Arabidopsis* genotypes assayed here,
 202 suggesting excitation power of the Q_A flash is adequate to elicit the fluorescence yield typical of
 203 the O-J component of the O-J-I-P fluorescence induction transient. The biophysical/biochemical
 204 background to the relationships between $F_m Q_A$ and $F_m PQ$ determined by LIFT/FRR now have
 205 been explored in detail with a commercially available instrument developed from this prototype,
 206 using different FRR protocols and parameter nomenclature (Keller *et al.* 2018).

207 The saturation phase of Q_A flash is followed by a relaxation sequence (RQ_A) of 90
 208 flashlets of half intensity at exponentially increasing intervals over ~ 30 ms that monitors Q_A re-

209 oxidation as electrons are transferred to PSI. The entire chlorophyll fluorescence transient is fitted
 210 with the FRR model to estimate functional and optical absorption cross-sections of PSII and to
 211 characterise the properties of electron transport between PSII and PSI. The methodology,
 212 assumptions and fitting procedures in this model are described in Kolber *et al.* (1998); see
 213 also http://www.soliense.com/LIFT_Method.php (accessed 13-07-18).

214 The number of flashlets, their energy, and frequency are controlled by the LIFT software,
 215 and FRR fitting and analysis of the fluorescence transient can be adjusted to optimize derivation
 216 of the above parameters in the plant system under observation. For example, under some
 217 experimental conditions a transient feature is observed ~15 ms into the RQ_A phase of the
 218 chlorophyll fluorescence transient. It is indicative of PQ pool over reduction prior to the Q_A flash
 219 (Osmond *et al.* 2017) and usually disappears with time after a strong WL pulse, but persists in
 220 the STN7 kinase mutants of *Arabidopsis* examined here. In order to produce comparative
 221 estimates of ETR and PQ redox properties between genotypes we confine the FRR fit to the first
 222 10 ms of the 30 ms RQ_A phase.

223 *Notation for LIFT/FRR and estimating a'_{PSII} from σ'_{PSII} and ϕ'_{PSII}*

224 The notation applied to data collected by LIFT and processed by the FRR model is explicitly
 225 devised to minimize ambiguity between LIFT/FRR and traditional PAM assays (Table 1 in
 226 Osmond *et al.* 2017). For example, the lower maximum chlorophyll fluorescence yield attained
 227 during continuous monitoring with the Q_A flash in the dark is distinguished as $F_m Q_A$ (or $F'_m Q_A$
 228 in the light). The higher fluorescence yield obtained in spot measurements with full reduction of
 229 both Q_A and the PQ pools in a PAM-analogous strong WL pulse is identified by the postfix *WL*
 230 (e.g., $F_m WL$ and $F'_m WL$).

231 The maximum photochemical efficiency of PSII continuously monitored by the Q_A flash
 232 with reduced Q_A:

$$233 \quad \phi_{\text{PSII } Q_A} = (F_m Q_A - F_o Q_A) / F_m Q_A \quad \text{and} \quad \phi'_{\text{PSII } Q_A} = (F'_m Q_A - F' Q_A) / F'_m Q_A \quad (1)$$

234 in the dark and light, respectively and are superscripted in light 2 as $\phi'_{\text{PSII}} Q_A^{470}$ and ϕ'_{PSII}
 235 $Q_A^{470+740}$ in light 2+1.

236 These measurements are distinguished from data monitored in the Q_A flash during
 237 PAM-analogous strong WL pulses with a fully reduced PQ pool as:

$$238 \quad \phi_{\text{PSII}} \text{WL} = (F_m \text{WL} - F_o Q_A) / F_m \text{WL} \text{ and } \phi'_{\text{PSII}} \text{WL} = (F'_m \text{WL} - F' Q_A) / F'_m \text{WL} \quad (2)$$

239 in the dark and light, respectively and are superscripted in light 2 as $\phi'_{\text{PSII}} \text{WL}^{470}$ and ϕ'_{PSII}
 240 $\text{WL}^{470+740}$ in light 2+1.

241 Values of σ_{PSII} and σ'_{PSII} (functional absorption cross-section of PSII in the dark and in
 242 the light, respectively; in units of $\text{\AA}^2/\text{RCII}$) are determined, to a first degree, by the initial slope of
 243 the Q_A flash transient but are calculated by fitting the entire fluorescence transient into the
 244 FRR model. The optical absorption cross-section (a_{PSII} , also in units of $\text{\AA}^2/\text{RCII}$) quantifies
 245 the PSII-specific rates of light absorption. According to Falkowski and Kolber (1995; *Eq. 8*)
 246 and Kolber *et al.* (1998; *Eq. 12*, derived from *Eqs. 7 – 9*) these parameters are related by
 247 $\sigma_{\text{PSII}} = a_{\text{PSII}} \times \phi_{\text{PSII}}$ so estimates of the respective optical absorption cross-sections (apparent
 248 antenna sizes) in the dark are calculated from:

$$249 \quad \sigma_{\text{PSII}} = \sigma_{\text{PSII}} / \phi_{\text{PSII}} \text{WL} \quad (3)$$

250 Because the ST assay used here provides strong WL pulses to obtain spot measurements
 251 of $F'_m \text{WL}$ at steady state in each light treatment, we also have access to values of $\phi'_{\text{PSII}} \text{WL}$ after 10
 252 min. in light 2 and shortly after removal of added light 1. In the absence of further theoretical
 253 and empirical evidence as to values of the photochemical efficiency of PSII in these light
 254 treatments, we estimate a'_{PSII} pragmatically as:

$$255 \quad a'_{\text{PSII}} = \sigma'_{\text{PSII}} / \phi'_{\text{PSII}} \text{WL} \quad (4)$$

256 in the dark and light respectively, and values are superscripted in light 2 as a'_{PSII}^{470} and a'_{PSII}
 257 $^{470+740}$ in light 2+1.

258 The R_{QA} phase of the Q_A flash allows estimation of several time constants for electron
259 transport and here the double-stage fitting procedure and underlying assumptions described in
260 http://www.soliense.com/LIFT_Method.php (accessed 13-07-18) is used to obtain τ_1 , the
261 average time constants for Q_A → PQ electron transport, and τ_2 , the time constant for PQ pool re-
262 oxidation. It also provides estimates of the oxidized portion of the PQ pool (PQ_{OX}) and the ratio
263 $\tau_2 / \text{PQ}_{\text{OX}}$ measures downstream electron transport rates from the PQ pool to PSI, all of which
264 effectively monitor the “PQ pool/ST control loop”. In total, data from ST assays used here are
265 based on >20,000 Q_A flashes with a mean signal/noise ratio of 272 and an average Chi-squared
266 fit of 2.85.

267 *Light sources for laboratory ST assays*

268 Weak light 2 (470 nm ~5 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$) is supplied from the LIFT LED excitation source
269 operating in DC mode to predominantly activate PSII (but see Laisk *et al.* 2014). The source of
270 light 1 (740 nm ~50 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$; with only 0.46% emission below 700 nm) is from an
271 externally operated LED (LED735-66-60; 741 ±13 nm; Roithner LaserTecknic, Vienna,
272 Austria). By chance, the intensity of light 1 compared to light 2 is similar to that of 645 nm light
273 2 and 710 nm light 1 used by Bonaventura and Meyers (1969) with *Chlorella*. A quartz iodide
274 lamp in an externally controlled Rollei P355 slide projector fitted with a 400 - 655 nm cut-out
275 filter (<1% transmission 700 - 890 nm; Ditrac Optics #15-31690) is the source of strong, PAM-
276 analogous WL pulses. White light and light 2 are measured on the leaf with calibrated LS-C
277 mini-quantum sensors (400-700 nm) using a universal light meter ULM-500 (H Walz GmbH,
278 Effeltrich, Germany). Light 1 is measured with a SKP 216-ER sensor; 550-750 nm (Skye
279 Instruments, Llandrindod Wells, Powys LD1 6DF UK) and its spectral response checked with a
280 LI-COR 1800 spectral radiometer (LI-COR Inc. Lincoln, NE 68504-0425 USA).

281 *State transition assays*

282 State transitions assays are initiated and quantified by adapting the artificial PAM procedure
 283 previously used in our laboratory following that used by Haldrup *et al.* (2001). It differs from
 284 that used by Ruban and Johnson (2009) in that the assays begin with dark to S2 rather than to S1.
 285 **Figure 1b** illustrates such a MINI-PAM assay with a spinach leaf (dark adapted ~30 min.). After
 286 a saturating pulse of WL (SP) to obtain F_mPAM in the dark it is followed ~1 min. later by
 287 exposure to weak light 2 for ~10 min. to induce S2, after which another SP is applied to estimate
 288 F'_mPAM^{470} . When the measuring beam signal returns to pre-pulse levels light 1 is added for a
 289 further 10 min. to induce S2→S1. Some 30 s later another SP is applied to obtain $F'_m^{470+740}PAM$.
 290 The extent of state transition is estimated as $EST_{PAM} = F'_mPAM^{470+740}/F'_mPAM^{470}$. Preliminary
 291 assays of *Arabidopsis* genotypes with MINI-PAM established *Col* is ST+ ($EST_{PAM} = 1.12$)
 292 whereas *asLhcb2-12* (*antST-*) as well as *stn7* and *stn7/8* (*kinST-*) have $EST_{PAM} = 0.99$ (means
 293 of duplicates) and are clearly ST-. When adapted for assays of ST with LIFT/FRR the above
 294 sequence proves to be logistically convenient for simultaneously switching the 470 nm LED
 295 delivering the Q_A flash to DC mode as a source of actinic light 2. **Note that in both PAM and**
 296 **LIFT/FRR assays, weak light 2 is present throughout.**

297

298 **Results**

299 *Introducing the holistic LIFT/FRR state transition assay*

300 Tikkanen and Aro (2014) highlight the potential pitfalls associated with chlorophyll
 301 fluorescence instruments that use monitoring beams with light predominantly exciting PSII,
 302 and LIFT/FRR is no exception in this respect. The balance of PSII and PSI excitation achieved
 303 in the 270 strong, but brief, flashlets (1 μ s) of 470 nm (light 2) in the monitoring Q_A flashes of
 304 LIFT/ FRR delivers remarkably stable values of photosynthetic parameters in prolonged dark,
 305 but this steady state is extremely sensitive to low levels of near infra red light (e.g., from
 306 fluorescent laboratory lighting; Fig. 6a in Osmond *et al.* 2017). Consequently, care is needed

307 when interpreting fluorescence responses to addition of 740 nm light 1 to light 2 during the
 308 artificial ST assay.

309 **Figure 2** shows kinetic profiles of parameters obtained in the course of a ST assay in
 310 *Arabidopsis* NPQ mutant *npq1*. This genotype is selected to illustrate the LIFT/FRR approach
 311 because there is minimal likelihood that non-photochemical quenching of chlorophyll
 312 fluorescence will complicate interpretation of fluorescence yields during the low intensity light
 313 treatments. Full annotation is provided as a prelude to subsequent representative kinetic profiles
 314 from wild types and ST mutants and illustrates the holistic array of parameters derived from FRR
 315 model fit to >900 individual Q_A flash transients applied throughout each ST assay. In addition
 316 kinetic profiles are colour-coded to facilitate interpretation of responses throughout the assay.

317 *(i) Responses to light 1 in the dark*

318 The LIFT/FRR assays of ST with dark adapted leaves (>30 min.) commence with brief
 319 exposure (~1 min.) to 740 nm light 1 to differentiates the above mentioned responses of the
 320 photosynthetic apparatus to NIR light from the slower, $S_2 \rightarrow S_1$ responses following addition of
 321 light 1 in presence of light 2. Light 1 produces an immediate decline in $F_m Q_A$ (within the first
 322 few flashes) without change in $F_o Q_A$ (Fig. 2a), so there is a relatively small decline in $\phi'_{PSII} Q_A$
 323 (Fig. 2b), as confirmed in the solid black and red traces in the SQ_A phase of the Q_A flash
 324 fluorescence transient illustrate (Fig. 2f). The RQ_A phase of these transients shows slight
 325 acceleration in τ_1 and some slowing of τ_2 (Figs. 2b, c, f). The fast increase in relative
 326 oxidation state of the PQ pool on addition of light 1 (Fig. 2c) returns to the dark reduced state
 327 noticeably more slowly on removal of light 1. This evidently monitors the rapid re-poising of
 328 ETR following activation of an electron sink in PSI as confirmed by the ratio τ_2 / PQ_{OX} pool (a
 329 measure of electron transport rates from PQ pool to PSI, Fig. 2d) which rapidly accelerates on
 330 addition of light 1 and slows again on its removal. Exposure to light 1 in the dark also produces
 331 an immediate, and reversible ~20% increase in σ'_{PSII} (Fig. 2e).

332 These rapid responses, noted by Bonaventura and Meyers (1969) in their pioneering
 333 studies of ST, are attributed by them to ‘the consequences of shifts in the concentration of
 334 open reaction centers of PSII induced by the addition and removal of the oxidizing effects of
 335 Photoreaction 1’. Dark adapted leaves of all species monitored by LIFT/FRR including
 336 barley *chlorina-f2* and *Arabidopsis* genotypes *asLhcb2-12*, *stn7* and *stn7/8* that lack ST,
 337 exhibit these fast transients on exposure to light 1, so they cannot be attributed to ST per se.

338 *(ii) Evaluation the PAM-analogous strong WL pulse*

339 The maximum photochemical efficiency of photosystem II (ϕ_{PSII}) in dark adapted leaves,
 340 calculated from F_oQ_A prior to the strong WL pulse and F_mWL during the pulse monitored by the
 341 Q_A flash of LIFT/FRR averages $\phi_{\text{PSII}WL} = 0.842 \pm 0.001$, ~5% larger than that obtained in the
 342 same dark adapted leaves from a saturating WL pulse of MINI-PAM ($\phi_{\text{PSII}PAM} = 0.798 \pm 0.002$;
 343 $n = 22$). This PAM-analogous strong WL pulse abolishes variable fluorescence and gives an
 344 average $\phi_{\text{PSII}WL} = 0.017 \pm 0.003$ ($n = 21$) implying that the pulse achieves >98% reduction of
 345 the PQ pool. Individual Q_A flash profiles after the WL pulse reveal a dramatic immediate
 346 disturbance of ETR during RQ_A phase of the transient (Fig. 2f). Tau 1 (ETR $Q_A \rightarrow$ PQ) slows
 347 immediately and takes ~15 s to return to dark values (Figs. 2b, and f). In contrast, the PQ pool
 348 becomes more reduced as τ_2 (ETR PQ \rightarrow PSI) accelerates in response to the electron sink
 349 provided by the presence of light 1 in the WL pulse (Figs. 2c,d, f). Apparent PSII antenna size in
 350 the dark is shown in the box (Fig. 2e). Most parameters return to dark levels within 30 s following
 351 the WL pulse.

352 *(iii) Dark to state 2 on addition of light 2*

353 The transition from dark \rightarrow S2 is initiated with weak actinic light 2 from the (470 nm; 5 μmol
 354 photons $\text{m}^{-2} \text{s}^{-1}$, ~4 times stronger than the cumulative PFD in a Q_A flash). This weak light
 355 principally excites PSII and immediately produces a large, sharp initial transient increase in

356 $F'_m Q_A^{470}$ characteristic of photosynthetic induction, followed by a slow decline to dark levels
 357 over the next 10 min (Fig. 2a). Concurrently, $F'Q_A^{470}$ increases some 30% above dark levels,
 358 producing ~10% decline in $\phi'_{PSII} Q_A^{470}$ (Fig. 2b). Values of functional absorption cross-section
 359 (σ'_{PSII}) follow the kinetics of $F'_m Q_A$ and $F'Q_A$ (c.f., Figs. 2a and e) and are clearly evident in
 360 the decrease of initial slopes of SQ_A phase of the Q_A flash (Fig. 2g).

361 Transfer to weak light 2 produces a RQ_A feature in the Q_A flash (Fig. 2g) similar to, but
 362 less extreme than observed in the WL pulse (Fig. 2f) that is symptomatic of PQ pool over
 363 reduction in the flash. Indeed the PQ pool immediately becomes more reduced (Fig. 2c) and the
 364 RQ_A feature disappears over the next 10 min (Fig. 2g). Although much slower than τ_1 , τ_2
 365 transiently accelerates >3-fold on exposure to light 2 and contributes to the transient acceleration
 366 and decline in ETR $PQ \rightarrow PSI$ (Fig. 2d). The “PQ pool/ST control loop” is displayed in real time
 367 and despite partial re-oxidation, the PQ pool evidently remains sufficiently reduced (Fig. 2c) to
 368 favour phosphorylation of a LHCII fraction in PSII that associates with PSI. Faster electron
 369 transport to PSI (Fig. 2d) is indicative of the increasing electron sink in PSI following the ST and
 370 increase in the light-harvesting capacity of PSI. Successive strong WL pulses in steady state
 371 towards the end of the light 2 treatment abolish variable fluorescence (Fig. 2g) and deliver well
 372 replicated values of $F'_m WL^{470}$ and ϕ'_{PSII}^{470} (Figs. 2a, b) which are used to calculate a'_{PSII}^{470} in
 373 S2 (Fig. 2e; box).

374 *(iv) State 2 to state 1 on addition of light 1, and to state 2 on its removal*

375 The transition S2 to S1 is initiated by addition of light 1 (740 nm; 50 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$) to
 376 light 2 which remains present throughout the assay. The higher intensity of light 2 is applied to
 377 accommodate the much lower leaf absorbance at this wavelength (Laisk *et al.* 2014). Light 1
 378 immediately activates PSI as a strong electron sink and depresses $F'_m Q_A^{470+740}$ to an extent
 379 comparable to that found when light 1 is applied in the dark (Fig. 2a) as can be seen in the Q_A

380 flash profiles (Figs. 2f, h). It also produces a small (~10%) decline in $F'Q_A^{470+740}$, so has
 381 relatively little effect on $\phi'_{\text{PSII}}^{470+740}$ (Fig. 2b).

382 Tau 1 accelerates by ~10% (Fig. 2b) but the PQ pool becomes more oxidised (Fig. 2c)
 383 and the rate of electron transfer to PSI accelerates two-fold, becoming stable after addition of
 384 light 1 (Fig. 2d). Values of σ'_{PSII} increase immediately and remain unchanged during the 10
 385 min. exposure (Fig. 2e). The transition S2→S1 is clearly evident from the steady increase in
 386 $F'_{\text{m}}Q_A^{470+740}$ (highlighted by the double asterisk in Fig. 2a). Comparisons of the initial slopes of
 387 the decline in $F'_{\text{m}}Q_A^{470}$ during initial exposure to light 2, and again after removal of light 1, with
 388 the steady increase in $F'_{\text{m}}Q_A^{470+740}$ indicate that in *Arabidopsis npq1* under these conditions,
 389 S1→S2 in light 2 is initially ~7 times faster than S2→S1 on addition of light 1.

390 On removal of light 1, but in the continued presence of light 2, $F'_{\text{m}}Q_A$ increases
 391 immediately to levels of $F'_{\text{m}}Q_A^{470+740}$ well above those $F'_{\text{m}}Q_A^{470}$ at steady state in light 2
 392 alone (Fig. 2a). The kinetic of subsequent decline in $F'_{\text{m}}Q_A^{470}$ and $F'Q_A^{470}$ replicates those
 393 observed initially but without the sharp induction transient. A strong WL pulse ~60 s after
 394 removal of light 1 (highlighted by an asterisk in Fig. 2a) produces a value for $F'_{\text{m}}WL^{470+740}$ that is
 395 clearly larger than $F'_{\text{m}}WL^{470}$ (shown by the green broken line in Fig. 2a). Removal of light 1 also
 396 shows that the values of $\sigma'_{\text{PSII}}^{470+740}$ have increased well above those in light 2, and these
 397 again decline during the replication of S1→S2 (Fig. 2e). Steady state values of a'_{PSII} are
 398 shown in the boxes of (Fig. 2e) with largest values being found in S1.

399 Profound changes are observed in both phases of individual Q_A flashes when light 1 is
 400 removed to monitor a second S2→S1 (Figs. 2h). For example, in the SQ_A phase both $F'_{\text{m}}Q_A$ and
 401 $F'Q_A$ increase within 3 s, as does σ'_{PSII} (indicated by the increased initial slope). Tau 1
 402 accelerates slightly (Fig. 2b), the PQ pool immediately returns to the more reduced state
 403 previously prevailing in light 2 and tau 2 accelerates before resuming a transient response

404 identical to that in the first exposure to light 2 (Fig. 2c). Electron transfer to PSI slows to the rate
 405 attained at the end of the first exposure to light 2 (Fig. 2d). The kinetics of $F'_m Q_A^{470}$ and σ'_{PSII}^{470}
 406 during the return from S1→S2 after removal of light 1 closely resemble those in the initial dark
 407 to S2 transition.

408 *Probing “PQ pool/ST control loop” and other responses in ST assays of representative*
 409 *barley and Arabidopsis wild types and ST mutants*

410 *(i) Barley wild type and small antenna mutant chlorina-f2*

411 Comparisons of pulse modulated chlorophyll fluorescence from barley wild type and its smaller
 412 antenna mutant *chlorina-f2* is one of the earliest demonstrations of a genotype without ST (Chow
 413 *et al.* 1981) and so provide preliminary tests for the efficacy of LIFT/FRR analysis of ST.

414 Compared to wild type, dark adapted sun-grown barley *chlorina-f2* monitored by LIFT/FRR in a
 415 strong WL pulse show $\phi_{PSII} = 0.77 \pm 0.01$ and 0.82 ± 0.01 (n = 5), respectively. Relative to wild

416 type, σ'_{PSII} and a'_{PSII} in *chlorina-f2* are only 35% of wild type), consistent with a multiple

417 deficiencies of LHCIIs (Harrison *et al.* 1993; Bossmann *et al.* 2007). All four ST criteria from

418 LIFT/FRR assays confirm the absence of ST in antenna mutant *chlorina-f2* (Table 1). In

419 contrast, the extents of ST in wild type estimated from change in $F'_m WL$ with fully reduced PQ,

420 or in $F'_m Q_A$ and functional (ϕ'_{PSII}) and optical absorption cross-sections (a'_{PSII} ; apparent

421 antenna size) with reduced Q_A , all confirm the presence of ST (Table 1). The latter three criteria

422 are larger than those measured in a strong PAM-analogous WL pulse with fully reduced PQ

423 pool. Comparisons of the relative oxidation state of the PQ pool (a key “PQ pool/ST control

424 loop” parameter) show is more reduced (1.87 ± 0.12 , n = 3) in wild type in light 2 and becomes

425 more oxidized on addition of light 1 (4.48 ± 0.07 , n = 4;). In contrast, there is little change in

426 relative PQ pool oxidation state between light 2 (2.78 ± 0.10 , n = 5) and light 2+1 (3.15 ± 0.26 , n

427 = 4) in *chlorina-f2*.

428 (ii) *Arabidopsis wild type Col*

429 Comparisons of the kinetic profiles of photosynthetic parameters in ST+ wild type *Col* with
 430 *antST- asLhcb2-12* and *kinST- stn7/8* in Fig. 3 are colour-coded as in Fig. 2. At the outset, and as
 431 emphasized previously, exposure to light 1 in the dark is qualitatively similar in these genotypes
 432 (Figs. 3*a, f* and *k*), confirming that the rapid, reversible responses are not associated with the
 433 presence or absence of ST. Although sharp initial transients in $F'_m Q_A^{470}$ in the first ~1 to 2 min.
 434 of exposure to light 2 differ somewhat between genotypes (c.f., Figs. 3*a, f* and *k*) it is notable that
 435 they do not reappear in the replicate assay of S1→S2 after removal of light 1. In general,
 436 components of the “PQ pool/ST control loop” expose important and distinctive consequences
 437 leading to the absence ST following antenna LHCII depletion in *asLhcb2-12* and the absence of
 438 STN kinase in *stn7/8* (note that the strong WL pulse in the dark is applied at the end of the *stn7/8*
 439 assay; Fig. 3*k*).

440 The kinetics of photosynthetic parameters monitored in wild type *Col* (Figs. 3*a - e*) are
 441 rather similar to those observed in *npq1* (Figs. 2*a - e*), but are also representative of *npq4*,
 442 *OEpsbs*, *pgr5 bkg* and *pgr5* (n = 14 experiments). As with the response to light 1 in the dark,
 443 the complex initial actinic response to added light 2 reports the induction of photosynthetic ETR.
 444 Strong WL pulses after 10 min. in light 2 produce well replicated values of $F'_m WL^{470}$ (green
 445 broken line in Fig. 3*a*). Addition of light 1 produces an immediate drop in $F'_m Q_A^{470+740}$
 446 comparable to that observed on addition of light 1 in the dark, but is followed by a steady
 447 increase throughout the 10 min. treatment tracing S2→S1(double asterisk). The ratio of the
 448 initial slope of $F'_m Q_A$ in light 2 to that in light 2+1 indicates that the S2→S1 transition is ~4
 449 faster than S1→S2 transition. The initial transient response of σ'_{PSII} to addition of light 2 follows
 450 the kinetic of fluorescence yields (c.f., Figs. 3*a* and *e*). As in *npq1*, estimates of optical

451 absorption cross section after 10 min. with added light 1 also give the largest values for a'_{PSII} in
 452 *Col* (Fig. 3e; box).

453 Turning to ETR kinetic profiles, addition of light 1 slightly accelerates τ_1 (Fig. 3b) but
 454 slows τ_2 as the PQ pool becomes more oxidized (Fig. 3c; double asterisk). The rate of electron
 455 transfer to PSI, however, is accelerated by light 1 (c.f., Figs. 2d and 3d). Changes in ETR
 456 parameters and PQ pool oxidation status in steady state are highly conserved in both wild types
 457 and their NPQ mutants (Table 2), implying that “PQ pool/ST control loop” operation is little
 458 influenced by factors sensitive to NPQ in these low PAR assays. Furthermore, there seem to be
 459 few differences that might be attributable to changes in photosynthetic carbon metabolism in
 460 these assays.

461 (iii) *LHCII depleted antenna mutant asLhcb2-12*

462 The traces of photosynthetic parameters from the *antST-* mutant *asLhcb2-12* ($n = 3$)
 463 resemble those of *npq1* and *Col* (c.f., Figs. 3a - e and f - j). However, all LIFT/FRR criteria that
 464 support the presence of ST in *Col* and *npq1* confirm its absence in *asLhcb2-12* (Figs. 3f - i).
 465 Although the initial addition of light 2 to dark adapted *asLhcb2-12* produces discernable
 466 transients in $F'_m Q_A^{470}$ and $F' Q_A^{470}$ similar to those in ST+ genotypes (c.f., Figs. 3a and i), the
 467 slopes and amplitudes of these transients are much smaller. Again, replicate strong WL pulses at
 468 the end of the first exposure to light 2 yield reproducible values for $F'_m WL^{470}$. There is no
 469 increase in this parameter when the WL pulse is applied after 10 min. exposure to light 1 (red
 470 broken line in Fig. 3f) so $EST_{WL} = 0.98$ in this assay. There is no change in from $F_m Q_A^{470}$ to
 471 $F_m Q_A^{470+740}$ in light 2+1 (\otimes symbol in Fig. 3f) confirming the absence of S2→S1. Moreover,
 472 There is no detectable increase in σ'_{PSII} during the subsequent exposure to light 2+1 (\otimes symbol
 473 in Fig. 3j) and indeed a'_{PSII} declines. As previously reported (Osmond *et al.* 2017) values of

474 σ_{PSII} and a_{PSII} in this antenna mutant are ~20% smaller than those in *Col* (c.f., Figs. 3e; box
475 and 3j; box).

476 Otherwise, kinetic responses of ϕ'_{PSII} , ETR parameters (Figs. 3g, h) and the rate of
477 electron transfer to PSI represented by $\tau_2 / \text{PQ}_{\text{OX}}$ (Fig. 3i) are quite comparable to those in *Col* and
478 all ST+ genotypes (Table 2), although acceleration of τ_1 on addition of light 1 is somewhat
479 greater. Importantly, in *asLhcb2-12* the PQ pool becomes more oxidized on addition of light 1,
480 just as it does in *npq1* and *Col* (c.f., Fig. 2c and double asterisk in Figs. 3c and h). Elements of the
481 “PQ pool/ST control loop” are clearly functional in *asLhcb2-12* but to little effect. The substantial
482 lack of mobilizable Lhcb2 substrates in the antenna of PSII in this mutant accounts for the
483 absence of ST, but compensatory adjustments in other LHCII complexes evidently confer near
484 normal ETR functions (Ruban *et al.* 2003, 2006), as discussed below.

485 (iii) STN kinase mutant *stn7/8*

486 In contrast, the traces from *stn7/8* (Figs. 3k - o) and *stn7* (data not shown) lacking *STN7*
487 kinases in LHCII-replete backgrounds show markedly different kinetic properties from those of
488 *npq1*, *Col* and *asLhcb2-12*. After the rapid, reversible response to light 1 in the dark, transfer to
489 light 2 leads to a large, persistent increase in $F'_{\text{m}}Q_{\text{A}}^{470}$ (Fig. 3k), almost identical to the level of
490 $F'_{\text{m}}\text{WL}$ measured during the strong WL pulse in the dark (applied at the end of this assay). There
491 is scarcely any transient decline in $F'_{\text{m}}Q_{\text{A}}^{470}$ in light 2 so the fluorescence yield monitored in
492 steady state is essentially the same as when measured in a strong WL pulse (i. e., $F'_{\text{m}}Q_{\text{A}} = 96 \pm$
493 2% $F'_{\text{m}}\text{WL}$; $n = 9$). Clearly this suggests that the PQ pool is strongly and constitutively reduced in
494 light 2 in these mutants, as confirmed by FRR model estimates of PQ_{OX} that are much less
495 responsive to addition of light 1 (Fig. 3m). In addition, there is a huge sustained increase in $F'_{\text{m}}Q_{\text{A}}$
496 that produces a substantially larger decline in $\phi'_{\text{PSII}}^{470}$ most of which is mitigated by addition of
497 light 1 (Fig. 3l). As in *asLhcb2-12*, there is no change in $F'_{\text{m}}Q_{\text{A}}^{470+740}$ following exposure to light

498 1 (\otimes symbol in Fig. 3k), consistent with the absence of S2→S1. In contrast to *npq1*, *Col*, and
 499 *asLhcb2-12* but as in *asLhcb2-12*, $F_m Q_A^{470}$, $\sigma'_{PSII}{}^{470}$ and $a'_{PSII}{}^{470}$ remain unchanged before and
 500 after 10 min. with added light 1 (Fig. 3o).

501 However, ETR parameters in the ST- genotypes also respond to ST assay light treatments
 502 in markedly different ways to *npq1*, *Col* and *asLhcb2-12*. In light 2 the time constants of τ_1 and τ_2
 503 in *stn7* and *stn7/8* are twice as fast as in other genotypes (Table 2) while, as noted above, the PQ
 504 pool is substantially more reduced (asterisks in Fig. 3l and \otimes symbol Fig. 3m). In fact, the PQ
 505 pool is reduced to about the same level as transiently achieved in strong WL pulses! Although
 506 addition of light 1 slows τ_1 to rates equivalent to those in other genotypes (\otimes symbol in Fig. 3l;
 507 Table 2) the PQ pool response to light 1 is much weaker than in *Col* and *asLhcb2-12* (cf. Figs. 3m
 508 with 3c, h). The steady-state ratio of τ_2 / τ_1 in ST+ genotypes in light 2+1 and *asLhcb2-12* is 7; in
 509 *stn7* and *stn7/8* it is only 3.7 and the relative PQ pool oxidation level in light 2+1 is only half of
 510 that observed in the other genotypes (Table 2). Yet the response of the rate of electron transfer to
 511 PSI (represented by the ratio τ_2 / PQ_{OX}) is slowed by light 1 just as in the other genotypes (Fig.
 512 3n). The absence of STN7 kinases in LHCII-replete *stn7/8* (and *stn7*) clearly renders these
 513 genotypes ST- despite the high level of PQ pool reduction, indicating complex, but currently ill-
 514 defined alterations of photosynthetic electron transport properties between PSII and PSI.

515 *Changes in functional and optical absorption cross-sections during ST*

516 Functional absorption cross-sections measured in the dark ($335 \pm 13 \text{ \AA}^2 / \text{RCII}$) and in S1 ($340 \pm$
 517 $8 \text{ \AA}^2 / \text{RCII}$) are well conserved overall in the six ST+ genotypes (*Col*, *npq1*, *OEpsbs*, *npq4*,
 518 *pgr5* and *pg5bkg*), as are values of σ'_{PSII} in S2 ($292 \pm 10 \text{ \AA}^2 / \text{RCII}$), reflecting the commonly
 519 held view that leaves are in S1 in the dark (Fig. 4a). Although σ'_{PSII} in two paired sets of
 520 NPQ+ and NPQ- genotypes (*Col* vs. *npq1* and *OEpsbs* vs. *npq4*) and NPQ- *pgr5* show similar
 521 response patterns, *pgr5 bkg* is an exception, inviting further evaluation. The low intensities of

522 470 nm and 740nm light used in ST have differential effects on steady state ϕ'_{PSII} and since
 523 $a'_{\text{PSII}} = \sigma'_{\text{PSII}} / \phi'_{\text{PSII}}$, the small changes in photochemical efficiency of PSII are responsible
 524 for the leveling of a'_{PSII} in dark and S2 (Fig. 4b). Unexpectedly, average optical cross-sections
 525 in the dark and S2 now are similar ($a_{\text{PSII}} = 398 \pm 16$ and $a'_{\text{PSII}}^{470+740} = 404 \pm 10 \text{ \AA}^2/\text{PSII RC}$,
 526 respectively) and larger than in S1 ($a'_{\text{PSII}}^{470} = 348 \pm 12 \text{ \AA}^2/\text{RCII}$). The same two pairs of
 527 NPQ+ and NPQ- genotypes *Col* and *npq1*, *OEpsbs* and *npq4*, and *pgr5* again show similar
 528 responses patterns but *pgr5bkg* remains an exception.

529 As expected (Andersson *et al.* 2003; Osmond *et al.* 2017), *antST- asLhcb2-12* has a
 530 smaller optical absorption cross-section in the dark (~35% less than the mean of ST+ genotypes)
 531 and there is no change from dark to S2 and to S1 (Fig 4b). On the other hand, *kinST- stn7* and
 532 *stn7/8*, with dark a_{PSII} similar to the mean of ST+ genotypes, show a doubling of apparent
 533 antenna size in both light 2, and light 2+1 due to the much larger depression of $\phi'_{\text{PSII}}^{470}$ and
 534 $\phi'_{\text{PSII}}^{470+740}$ under the weak illumination conditions, but again, no evidence for a ST from σ'_{PSII} or
 535 a'_{PSII} (Figs. 4a, b).

536 *Estimates of LHCII mobilization in ST monitored by $F'_m Q_A$ and a'_{PSII} are three-times*
 537 *larger than from PAM-analogous estimates of $F'_m W_L$*

538 Yields of chlorophyll fluorescence in vivo at room temperature are commonly accepted to
 539 be proportional to the amount of antenna LHCII trimers associated with RCII. Thus all four
 540 fluorescence criteria in LIFT/FRR assays confirm there is no evidence for changes in LHCII
 541 trimer association with PSII in *asLhcb2-12*, *stn7* and *stn7/8* ST- genotypes of *Arabidopsis*; all are
 542 ST incompetent (Fig. 5). Six ST competent genotypes monitored with PAM-analogous WL
 543 pulses applied after steady states are attained in light 2 and light 2+1 give $EST_{WL} = 1.10 \pm 0.02$
 544 (Fig. 5), implying ~10% decline in the LHCII pool associated with PSII during ST, similar to
 545 commonly cited values in the literature from PAM assays. Whereas these assays measure

546 chlorophyll fluorescence yield with fully reduced PQ, three other criteria, unique to LIFT/FRR
 547 assays, that are based wholly or in part on chlorophyll fluorescence yields measured with
 548 reduced Q_A , produce much larger estimates of the extent of ST. Those based on σ'_{PSII} alone are
 549 near twice as large but values based on a'_{PSII} and on $F'_m Q_A$ are more than three times larger
 550 than traditional PAM-based estimates (Fig. 5).

551 The PAM-analogous assays are based on one or two values of $F'_m WL^{470+740}$ and two to
 552 four values of $F'_m WL^{470}$ from WL pulses in each experiment so potentially may be less sound
 553 statistically than *EST* σ'_{PSII} and *EST* Q_A , based on the average of ~20 FRR fitted Q_A flashes at
 554 steady state in each light treatment. Likewise, *EST* a'_{PSII} is calculated from values of σ'_{PSII} , but
 555 also depends on values of ϕ'_{PSII}^{470} and $\phi'_{PSII}^{470+740}$ from WL pulses. These and other reasons for
 556 the differences in the estimates in Fig. 5, and their implications, are explored in the discussion
 557 below.

558 *Distinctive features of the RQ_A phase of the Q_A flash in stn7 and stn7/8*

559 Attention to individual Q_A flash profiles corresponding to key data points in the kinetic
 560 traces from the above experiments assists interpretation of the kinetic profiles of ETR parameters
 561 in ST assays. For example, the smaller value of σ_{PSII} in the *antST*- mutant *asLhcb2-12* (Fig. 3j)
 562 is indicated by the shallower initial slope of the rise to $F'_m Q_A$ (c.f., Figs. 6a, c and b, d) that
 563 persists after 10 min. in light 2 and 10 min. after addition of light 1. As Fig. 6 reports features
 564 emerging in the RQ_A phase beyond 10 ms it is convenient to use flashlet number, rather than
 565 \log_{10} ms for the x -axis, and data interpretation is aided by inclusion of values for τ_1 , τ_2 and level
 566 of PQ oxidation pertinent to each fluorescence transient profile.

567 All genotypes show a RQ_A phase feature during photosynthetic induction transient in the
 568 first seconds of exposure to light 2 (Figs. 2g, and 6a, c, e) resembling that which relaxes within
 569 14 s following the strong WL pulse in the dark (Fig. 2f). Previously ascribed to transient

570 over-reduction of PQ pool prior to the flash (Osmond *et al.* 2017), the numerical data (Figs.
 571 6a, c, e) confirm the PQ pool is immediately more reduced 3 s after transfer to light 2.
 572 Addition of light 1 provides an extra sink for electron flow to PSI, immediately removing the
 573 RQ_A feature for the duration of the exposure to light 1 in *Col* and *asLhcb2-12*, but has little
 574 effect in *stn7/8* (Figs. 6e, f). It returns rapidly after removal of light 1; in *Col* the level of PQ
 575 pool reduction 9 s after removal of light 1 is the same as that 3 s after transfer from dark to
 576 light 2 (c.f., numerical data in Figs. 6b, a).

577 The Q_A flash profiles in *asLhcb2-12* are similar to those of *Col* (Figs. 6e, f). The
 578 fluorescence yield in light 2 is smaller (consistent with its smaller antenna size) and the RQ_A
 579 feature also disappears after 10 min. in light 2 (c.f., Figs. 6a, c). Compared to *Col*,
 580 fluorescence yield in *asLhcb2-12* after 10 min. in light 2+1 declines much more rapidly on
 581 removal of light 1 (Fig. 6d). Although τ_1 does not change the PQ pool is more oxidised in
 582 *asLhcb2-12* (c.f. numerical values in Fig. 6d vs. 6b), the processes balancing ETR and more
 583 rapid mitigation of PQ over-reduction in this genotype need further examination. As discussed
 584 below, they may reflect the ‘concerted compensatory response of the light harvesting system’ in
 585 this genotype (Ruban *et al.* 2006).

586 By contrast, in *stn7/8* (and *stn7*) the RQ_A feature symptomatic of PQ pool over
 587 reduction persists throughout exposure to light 2 (Fig. 6e; curve L2 10 min.). It is not
 588 immediately abolished on addition of light 1 (Fig. 6f; curve L2+L1+3s). However, balanced
 589 ETR evidently does return after 10 min. in light 2+1 (Fig. 6f; curve L2+L1 10 min.) despite
 590 the absence of ST. It reappears immediately on removal of light 1 (Figs. 6f; curve L2-L1 9 s).
 591 These slower responses in *stn7/8* are especially evident in normalised Q_A flash transients
 592 during relaxation from strong WL pulses applied to measure F'_mWL in light 2 and light 2+1
 593 (data not shown). The persistent over-reduction of PQ pools in *stn7/8* and *stn7* under low levels
 594 of light 2 revealed here is generally in line with the conclusion of Tikkanen *et al.* (2010) that
 595 *stn7/8* and *stn7* mutants experience ‘relative over excitation of PSII under low light’.

596

597 **Discussion**598 *Validation of optical absorption cross-section changes during ST in situ*

599 The recent headline that ‘State transitions are changes in optical absorption cross-section’

600 (Allen 2017), and the frequent loose use of this term since Wang and Myers (1974) suggests

601 this effort to measure a'_{PSII} (i. e., apparent antenna size) as parameter in ST is timely.

602 Starting with dark adapted leaves (presumably in S1) we transition to S2 by exposure to low

603 intensities of light 2 (470 nm) before addition of light S1 (740nm) to transition to S1, and

604 return to S2 on its removal; light 2 is present throughout. Chlorophyll fluorescence yield

605 with reduced Q_A ($F'_m Q_A$) and the functional absorption cross-section PSII (σ'_{PSII}) and other606 parameters are measured in ~30 ms transient from 270 single turnover $1\mu\text{s}$ flashlets from the607 Q_A flash protocol of LIFT/ FRR at 2 to 3s intervals (Osmond *et al.* 2017). The maximum608 photochemical efficiency of PSII (ϕ'_{PSII}) during steady states in light 2 and light 2+1 is609 monitored with the Q_A flash protocol during PAM-analogous strong WL pulses (~1 s). We610 calculate $a'_{\text{PSII}} = \sigma'_{\text{PSII}} / \phi'_{\text{PSII}}$ (Kolber *et al.* 1998).

611 Four different criteria from these assays confirm the presence of, and reveal the

612 kinetics of, ST in wild type barley and *Arabidopsis* (as well its NPQ mutants) and the absence of613 ST in barley *chlorina-f2* and in *asLhcb2-12*, *stn7* and *stn7/8* mutants of *Arabidopsis*. These

614 LIFT/FRR assays also furnish time constants for photosynthetic ETR components and

615 estimates of PQ pool redox state in each Q_A flash that provide insights into regulation of ST

616 kinetics in situ. Fitting the entire FRR model to chlorophyll fluorescence transient elicited by

617 the Q_A flash confirms rapid reduction of the PQ pool in light 2 and the acceleration of ETR

618 from PQ to PSII on addition of light 1; validating key components of the PQ pool/ST control

619 loop in situ.

620 *Changes in optical absorption cross-section measured in leaves imply larger pools*
 621 *of LHCII may participate in ST than previously estimated*

622 In light 2 the discrete antenna migration model for ST, based on 40 years of biochemical,
 623 biophysical and physiological data, specifies the reversible phosphorylation and mobilization of
 624 (P)LHCII pools PSII in stacked thylakoid membranes move to serve as antennae for PSI in
 625 unstacked stromal thylakoids (Fig. 1a). Literature estimates suggest from ~six to 20% of leaf
 626 LHCII pools may be involved (Haldrup *et al.* 2001; Rochaix 2014; c.f. Fig. 1a). Direct
 627 fluorescence spectromicroscopy of PSII and PSI in granal and stromal regions of chloroplasts in
 628 protoplasts from wild type *Arabidopsis* confirm $11 \pm 2\%$ of LHCII are mobilised during ST
 629 (Kim *et al.* 2015). Our spot measurements in PAM-analogous strong WL pulses monitored by the
 630 Q_A flash of LIFT/FRR are consistent with these estimates of LHCII mobilisation $8 \pm 1\%$ in barley
 631 wild type and $10 \pm 2\%$ in two wild types and four NPQ mutant genotypes of *Arabidopsis*.

632 In contrast, three criteria available from LIFT/FRR give much larger estimates of the
 633 extent of ST in the same assays. First, the functional absorption cross-section of PSII clearly
 634 distinguishes ST+ and ST- genotypes of *Arabidopsis* ($EST \sigma'_{PSII} = 1.17 \pm 0.02$ vs. 1.00 ± 0.02 ; 17
 635 $\pm 2\%$ vs. $0 \pm 2\%$, respectively). This signal is defined as ‘the product of the light-harvesting
 636 capability of the light absorbing pigments and the efficiency of excitation transfer to the reaction
 637 center’ (Kolber and Falkowski 1993) and is taken to indicate ST in phytoplankton at sea
 638 (Behrenfeld and Kolber 1999). Second, when σ'_{PSII} and ϕ'_{PSII} from a WL pulse in steady state
 639 light 1 and 2+1 are used to estimate optical absorption cross-section (a'_{PSII} ; apparent antenna size
 640 of PSII; the “holy grail” of the present LIFT/FRR experiments) the implied extent of mobilisable
 641 LHCII in ST+ genotypes of *Arabidopsis* is even larger ($EST a'_{PSII} = 1.33 \pm 0.03$; $33 \pm 3\%$). Third,
 642 three-fold larger estimates of LHCII mobilization are also obtained in ST+ genotypes simply by
 643 using fluorescence yield ($F'_m Q_A$) in light 2 and light 2+1 as a criterion ($EST Q_A = 1.35 \pm 0.02$;

644 $35 \pm 2\%$). All criteria also confirm the absence of ST in *chlorina-f2* of barley and *asLhcb2-12* of
 645 *Arabidopsis*, as well as the *Arabidopsis* STN7 kinase mutants *stn7* and *stn7/8*.

646 Advances in fractionation of pigment-protein complexes in thylakoid membranes (e.g.,
 647 Järvi *et al.* 2011) require the antenna migration model to be considered in the context of light-
 648 dependent phosphorylation of most LHCII in chloroplasts under most illumination situations
 649 (Tikkanen *et al.* 2011; Greico *et al.* 2015). These studies suggest that although the antenna
 650 migration model and its “PQ pool/ST control loop” represents an acceptable central mechanism
 651 for ST, much larger pools of (P) LHCII seem to participate in most aspects of the process. We
 652 speculate that the larger values of implied LHCII participation in ST revealed by the Q_A flash of
 653 LIFT/FRR may reflect chlorophyll fluorescence arising from the dynamics of a large pool of “free
 654 (P)LHCII” loosely associated with RCII and perhaps portray their associations with a PSI-
 655 LHCI-LHCII-PSII megacomplex in which rapid energy migration between the photosystems
 656 occurs at room temperature (Yokono *et al.* 2015).

657 *Explanations for larger estimates of mobilizable LHCII pool from $F'_m Q_A$ and a'_{PSII} from*
 658 *LIFT/FRR*

659 Discrepancies between estimates of the extent of ST using different methods are not new. The
 660 much recited report of 80% mobile LHCII during ST in *Chlamydomonas reinhardtii* based on
 661 photoacoustic data overlooks an estimate of 10-20% mobile LHCII in the same experiments
 662 based on then available immunochemical methods (Delosme *et al.* 1996). Although time
 663 resolved fluorescence spectroscopy confirms ~10% of LHCII is mobilised during ST in this alga
 664 (Ünlü *et al.* 2014), [other multi-faceted analyses conclude that antenna size of PSII declines by](#)
 665 [42% as that of PSI increases by 35% in wild type *C. reinhardtii* during transition from S1 to S2](#)
 666 [\(Nawrocki *et al.* 2016\)](#). Reconciliation of such differences requires integration of phenomena
 667 monitored at biophysical, biochemical, structural, and/or physiological levels, each of which
 668 depends on qualitatively different observations and thus can be expected to produce quantitatively
 669 different estimates of the extents of ST.

670 The distinctive fluorescence parameters from the LIFT/FRR method used here give
 671 estimates of the extent of LHCII participation in ST that differ three fold in the same assay. In
 672 explaining these differences we re-emphasise that ‘the FRR method is, in fact, a fluorescence
 673 induction curve’ (Falkowski and Kolber 1995) and that the fluorescence level attained in the Q_A
 674 flash (F'_{mQ_A}) corresponds to F_J attained during the initial photochemical phase (O-J; 0.36 ms) of
 675 a Kautsky induction curve. When PAM analogous strong WL pulses are applied the fluorescence
 676 yield corresponds to that attained during the subsequent J-I-P thermal phase (J-I-P; >300 ms) of
 677 the curve (Stirbet and Govindjee 2012; Osmond *et al.* 2017; Keller *et al.* 2018). Arithmetically
 678 the larger estimates of the extent of ST (and by implication the larger LHCII pools involved)
 679 arise because they are calculated as % change in the lower fluorescence yields measured in the
 680 photochemical phase Q_A flash of LIFT. The smaller estimates obtained in ~1 s strong WL pulses
 681 that fully reduced the PQ pool arise because of the additional yield in the thermal phase of the O-
 682 J-I-P transient. This lowers the estimates of ST by a factor determined by the amplitude of
 683 fluorescence yield in the thermal phase relative to that in the photochemical phase.

684 This arithmetic explanation aside, the different estimates invite speculation as to whether
 685 different measures of chlorophyll fluorescence yield in situ, in the same assay provide insights
 686 into the functional and structural relationships among dynamic populations of pigment-protein
 687 complexes and PSII and PSI reaction centres during ST. In one such example, de Marchin *et al.*
 688 (2014) show 32% of the slower PSII β centres (2-3 times smaller antenna size) are converted to
 689 larger, faster PSII α centres (PSII mega- and super complexes with a high degree of connectivity)
 690 in wild type *Chlamydomonas reinhardtii* during S2→S1. This conversion does not occur in the
 691 *stt7* kinase mutant.

692 *Possible functional and structural implications of differing estimates of the extent of ST*

693 Functionally, there is agreement that fluorescence yield at F_J reports ‘a temporarily stabilized Q_A
 694 reduction state, while electron donation by photochemistry and departure by $Q_A \rightarrow Q_B$ transition
 695 remain equal’ (Laisk and Oja (2017)). In contrast, consensus with respect to factors responsible for

696 the additional fluorescence arising in the thermal phase J-I-P during a strong WL pulse remains
697 elusive. Stirbert and Govindjee (2012) suggest modulation ‘in parallel with the reduction of Q_A ,
698 through changes at the PSII antenna and/or reaction centre, or, possibly through control of the
699 oxidation-reduction of the PQ pool’ may produce ‘changes at the PSII antenna and/or reaction
700 centre’. Schansker *et al.* (2014) and Keller *et al.* (2018) also consider that ‘light-induced
701 conformational changes in PSII centres’ may be involved. Strong evidence that this might be so
702 comes from our observation that ST incompetent *Arabidopsis* STN7 kinase mutants *stn7/8* (and
703 *stn7*) show little difference between the fluorescence yields of $F'_m Q_A$ and $F'_m WL$. Suorsa *et al.*
704 (2015) report, not surprisingly, that lacking the capacity to phosphorylate LHCs, *stn7* thylakoids
705 have low levels of ‘extra’ (P)LHCII, low levels of the major (P)LHCII-PSII complex (mc1) and
706 lack the ST specific complex mc8 (PSI-LHCI-LHCII; Pesaresi *et al.* 2009). Such large structural
707 changes and the persistent over-reduction of PQ pool in these mutants evidently prevent the Q_A
708 flash from picking up F_I ; in the absence of LHCII phosphorylation the transient mimics DCMU
709 treatment.

710 Structurally, evidence now shows the original the discrete antenna migration model of ST
711 comprises but a small part of the (P)LHCII pool in the light (Rantala *et al.* 2017). The ‘LHCII
712 connectivity model’ for ST in *Arabidopsis* (Grieco *et al.* 2015) has up to 50% of LHCII trimers
713 loosely associated with PSII super complexes (Galka *et al.* 2012) in an ‘energetically connected
714 LHCII lake’ (Rantala and Tikkanen 2018). Known as ‘extra (L)LHCII’, Galka *et al.* (2012) and
715 Wientjes *et al.* (2013a) propose this large pool is the source of (P)LHCII that attach to PSI
716 centres to form the ST complex PSI-LHCI-LHCII-PSII (Pesaresi *et al.* 2009) in which excitation
717 is transferred to PSI. Using delayed fluorescence spectroscopy at -196 °C, Yokono *et al.* (2015)
718 report that ~50% of PSII centres in leaves of *Arabidopsis* wild types, (and *stn7* and *stn7/8*) are
719 physically connected to PSI in a PSI-LHCI-LHCII-PSII megastructure in which rapid energy
720 migration between the photosystems occurs at room temperature.

721 Even though excitation transfer from the loosely associated ‘extra (P)LHCII’s to PSII
 722 centres is slower than from (P)LHCII’s to PSII centres connected to PSI in the ST complex
 723 (Wientjes *et al.* 2013b), it seems plausible that all members of the (P)LHCII population
 724 contribute to the yield of chlorophyll fluorescence monitored in the Q_A flash transient. Could the
 725 different extents of ST monitored by LIFT/FRR report fluorescence from differently connected
 726 members in this ‘energetically connected LHCII lake’ (Rantala and Tikkanen 2018) from which
 727 loosely associated (P)LHCII’s are recruited to PSII supercomplexes from which PSI-LHCI-
 728 LHCII-PSII megacomplexes are formed? In this context the largest values $EST Q_A = 35 \pm 0.02\%$
 729 and $EST a'_{PSII} = 33 \pm 0.03\%$ (measured with reduced Q_A) might report fluorescence from the
 730 ‘lake’ as a whole. Because $\sigma'_{PSII} = a'_{PSII} \times \phi'_{PSII}$ could $EST \sigma'_{PSII} = 17 \pm 0.02\%$ report a smaller
 731 population of PSII supercomplexes with more facile excitation transfer (P)LHCII-PSII? Does the
 732 smallest estimate, $EST WL = 10 \pm 0.02\%$ (only obtained with fully reduced PQ) report the
 733 functionally effective ST configuration of the PSII-LHCII-LHCI-PSI megacomplex itself?
 734 Testing the plausibility of such speculative explanations for different estimates of (P)LHCII
 735 participation in ST clearly needs much closer expert examination. Clearly, the mechanistic details
 736 of (P)LHCII dynamics, from its association with PSII centres, its participation in PSII
 737 megacomplexes and the origins and extents of its mobilisation for association with PSI remain
 738 enigmatic. Nevertheless, we endorse the suggestion of Prášil *et al.* (2018) that ‘the use of a
 739 combination of ST and MT flash protocols (*i.e.*, notation of Kolber *et al.* 1998 for the Q_A flash
 740 and WL pulse) can provide a significant amount of information on PSII that would not otherwise
 741 be accessible from either protocol alone’.

742 *Monitoring the “PQ pool / ST control loop” in ST+ and ST- genotypes*

743 All of the above functional /structural speculation refers to steady states attained in light 2
 744 and light 2+1 but the wealth of kinetic detail from Q_A flash transients should not be
 745 overlooked. For example, the kinetic of F'_{mQ_A} shows $S1 \rightarrow S2$ is four to seven times faster than

746 S₂→S₁ but the latter is 2 orders of magnitude slower than the response of F'_{mQ_A} light 1 in the
 747 dark. Dietzel *et al.* (2011) report of $S_1 \rightarrow S_2 / S_2 \rightarrow S_1 = 3.9$ in PAM assays of low light grown
 748 *Col*. The kinetics of ETR in the RQ_A phase of the transient, and PQ_{OX} status from FRR model fit
 749 to the whole transient, adequately monitor the “PQ pool/ST control loop” in ST+ genotypes. On
 750 the other hand, although it is known that the deficiency of Lhcb1 and Lhcb2 in antennae of
 751 *asLhcb2-12* (Andersson *et al.* 2003) is compensated by Lhcb5 homotrimers and heterotrimers
 752 Lhcb3 and 5 coupled to PSII (Ruban *et al.* 2003, 2006), these complexes are not phosphorylated
 753 and do not to serve as “substrates” for transfer to (or “attraction” for) PSI, thus rendering this
 754 genotype incapable of ST.

755 Nevertheless ETR and PQ_{OX} kinetics in *asLhcb2-12* are remarkably similar to those of
 756 *Col* and other ST+ genotypes. Moreover, our holistic assays reveal that ~20% acceleration of
 757 ETR from PSII→PQ may help maintain PQ_{OX} status in the face of up regulation of the LHCI
 758 content of PSI (Ruban *et al.* 2006) and show electron transport PQ → PSI is similar to ST+
 759 genotypes. Interestingly, plants lacking Lhcb1 and 2 possess less spectrally distinct antennae
 760 (Ruban and Johnson 2009), an indication of compensatory adjustments helping to cope with the
 761 inability to perform ST'. More heterogeneous LHCI deficiencies in barley antenna mutant
 762 *chlorina-f2* (Harrison *et al.* 1993) also render this mutant genotype ST-. Evidence for wild type
 763 levels of Lhcb5, and a somewhat blue shifted 77° K emission spectrum (Bossmann *et al.* 1997),
 764 suggest a ‘compensatory response in the light harvesting antenna’ (Ruban *et al.* 2006) similar to
 765 that in *asLhcb2-12*, may be involved. Additional experiments are needed to explore our
 766 preliminary observations that *chlorina-f2* also may be less able to modulate PQ_{OX} status.

767 Lacking the ability to phosphorylate Lhcb1 and 2, *stn7* also compensates for the absence
 768 of ST by up-regulation of PSI complexes under constant growth light (Grieco *et al.* 2012). [This](#)
 769 [may explain why](#) ETR parameters monitored by LIFT/FRR in *stn7/8* (and *stn7*) in the dark do
 770 not differ from other genotypes, but in light 2 and on addition of light 1 both τ_1 and τ_2 are ~50%

771 faster than in *asLhcb2-12* and ST+ genotypes, and why the level of PQ pool reduction in the
772 presence of light 2 remains close to that attained in strong WL pulses. Indeed, Q_A flash profiles of
773 the *kinST*- mutants reveal much slower relaxation of the RQ_A phase feature in light 2 and during
774 strong WL pulses, consistent with over-reduction of the PQ pool (Tikkanen *et al.* ??). Yet kinetic
775 profiles of the ratio of τ_2 to relative PQ oxidation (representing the rate of downstream electron
776 transport from PQH₂) differ little from those in other genotypes. Although there are no changes in
777 the apparent relative antenna size in *stn7* and *stn7/8* between light 2 and light 2+1, the large
778 decline of ϕ'_{PSII} in these mutants at the low light intensities in the ST assays is unexpected. The
779 consequent doubling in a'_{PSII} implies that excitation absorbed by closed, nonfunctional PSII
780 centres is inevitably channeled to remaining open centers, thereby increasing their apparent
781 absorption cross section (Falkowski and Kolber 1995; Matsubara and Chow 2004).

782 In their seminal analysis of the dynamics of photosystem cross-sections during ST in
783 higher plants Ruban and Johnson (2009) conclude that ‘invention of new experimental
784 approaches is likely to ignite a new wave of discoveries in this field’. The parameterization of
785 functional and optical cross-sections of PSII afforded by LIFT/FRR potentially offers one such
786 approach. Although the artificial light sources used here (and previously) to examine ST in
787 the laboratory in general do not match natural shade environments, new sources
788 (Hogewoning *et al.* 2012; Hirth *et al.* 2013) do effectively mimic natural canopy light
789 environments. Now that LIFT/FRR instruments fitted with a spectral radiometer sharing the
790 same optical path as the Q_A flash are available to monitor changes in spectral reflectance
791 with high time resolution (Wyber *et al.* 2017, 2018), it seems that an enriched understanding
792 of the roles of ST and sun flecks in photosynthesis in the shaded canopy is within reach.

793

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808

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- 1008

1 Table 1: All four criteria from LIFT/FRR assays confirm the absence of ST in the small antenna mutant
 2 *chlorina-f2* of barley. In contrast, the estimated extents of ST in wild type from change in
 3 functional (σ'_{PSII}) or optical absorption cross-sections (a'_{PSII} ; apparent antenna size) with
 4 reduced Q_A are three-fold larger than those measured from $F'_m\text{WL}$ in a strong PAM-analogous
 5 WL pulse with fully reduced PQ pool (means \pm SE; n = 5).
 6

Genotype and light treatment	$F'_m\text{WL}$	F'_mQ_A	σ'_{PSII}	a'_{PSII}
			$\text{\AA}^2/\text{PSII RC}$	
Barley <i>chlorina-f2</i> 10 min. light 2	1306 \pm 62	561 \pm 29	109 \pm 1	143 \pm 2
10 min. light 2+1	1328 \pm 65	566 \pm 30	110 \pm 1	146 \pm 3
Extent of ST	1.02 \pm 0.02	1.01 \pm 0.01	1.01 \pm 0.01	1.02 \pm 0.01
Barley wild type 10 min. light 2	2274 \pm 147	1762 \pm 136	295 \pm 8	408 \pm 12
10 min. light 2+1	2379 \pm 157	1931 \pm 159	339 \pm 10	475 \pm 14
Extent of ST	1.05 \pm 0.01	1.09 \pm 0.02	1.15 \pm 0.00	1.16 \pm 0.01

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13 Table 2: Steady state values of electron transfer parameters in *Arabidopsis* genotypes in response to light
 14 treatments during ST assays, as estimated from the first 10 ms in the RQ_A phase of Q_A flash transients.

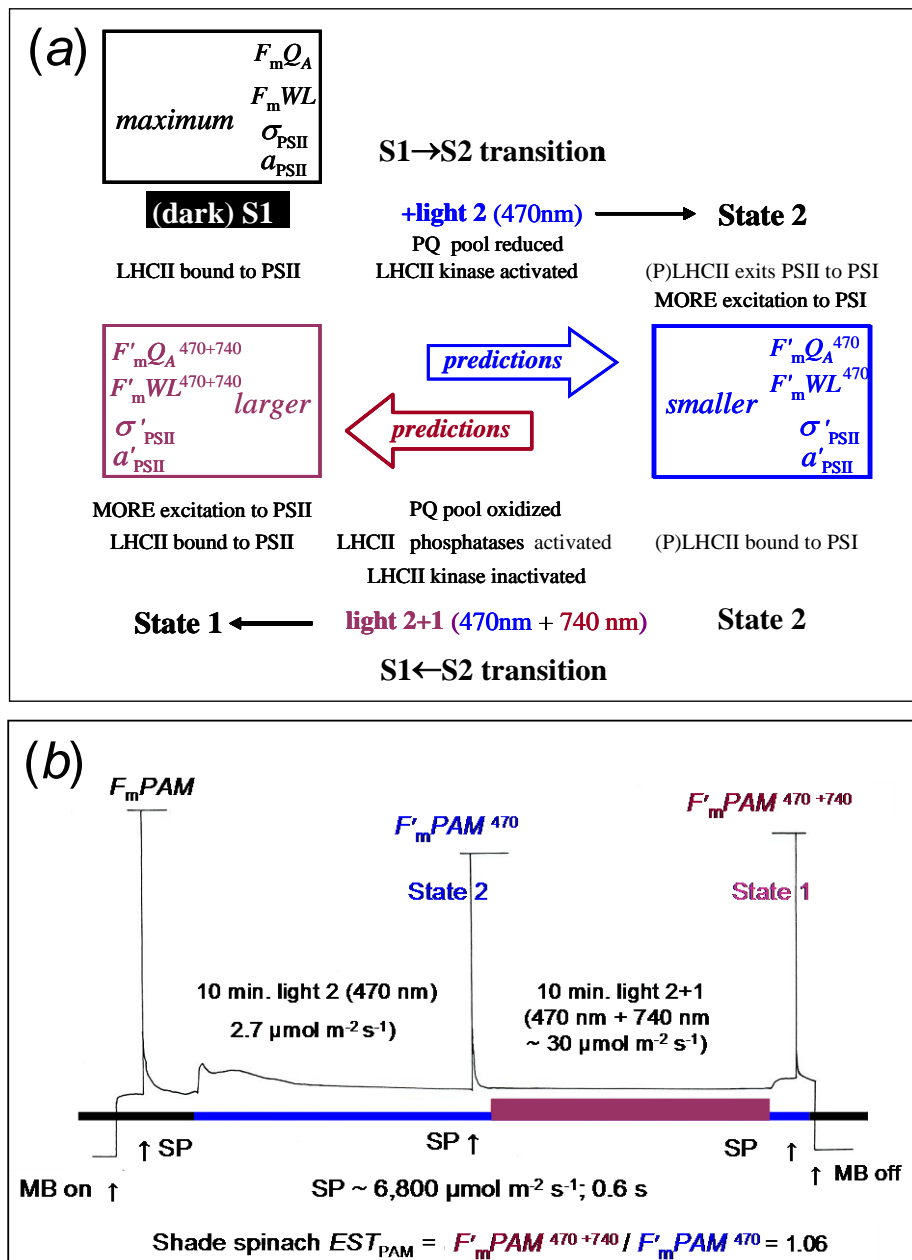
15 Values in bold italic among ST- mutants identify parameters that differ markedly from those of ST+
 16 genotypes (3 to 6 biological replicates; mean ± SE of ~20 Q_A flashes).

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Genotype	Light treatment	ETR Q _A → PQ τ ₁ (ms)	PQ pool oxidation status (relative)	ETR PQ → PSI τ ₂ (ms)
State transitions present (ST+)				
<i>Col, pgr5 bkg</i>	dark	0.80 ± 0.01	3.64 ± 0.14	6.51 ± 0.30
	L2	0.84 ± 0.01	2.39 ± 0.15	3.64 ± 0.08
	L2 +L1	0.72 ± 0.02	7.05 ± 0.35	4.68 ± 0.30
	L2	0.79 ± 0.02	2.10 ± 0.25	3.12 ± 0.28
<i>OEpsbs, npq1, npq4</i>	dark	0.76 ± 0.02	4.14 ± 0.18	7.44 ± 0.27
	L2	0.81 ± 0.01	2.70 ± 0.16	3.83 ± 0.10
	L2 +L1	0.72 ± 0.01	6.50 ± 0.21	5.31 ± 0.13
	L2	0.74 ± 0.02	1.90 ± 0.27	2.91 ± 0.27
<i>pgr5</i>	dark	0.82 ± 0.01	4.10 ± 0.14	7.27 ± 0.28
	L2	0.84 ± 0.05	2.69 ± 0.05	3.71 ± 0.14
	L2 +L1	0.75 ± 0.01	6.77 ± 0.13	4.77 ± 0.10
	L2	0.83 ± 0.01	2.49 ± 0.35	3.52 ± 0.30
State transitions absent (ST-)				
<i>asLhcb2-12</i>	dark	0.72 ± 0.01	3.98 ± 0.16	6.92 ± 0.37
	L2	0.78 ± 0.01	2.79 ± 0.17	3.90 ± 0.07
	L2 +L1	0.63 ± 0.01	6.27 ± 0.10	4.57 ± 0.20
	L2	0.76 ± 0.01	2.75 ± 0.08	3.70 ± 0.06
<i>stn7, stn7/8</i>	dark	0.79 ± 0.02	4.06 ± 0.15	6.18 ± 0.15
	L2	0.41 ± 0.02	1.09 ± 0.01	1.80 ± 0.03
	L2 +L1	0.72 ± 0.01	3.27 ± 0.31	2.72 ± 0.20
	L2	0.39 ± 0.02	1.08 ± 0.01	1.71 ± 0.03

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1 Figure 1: (a) A schematic summary of the antenna migration model for state transitions structured to correspond
 2 with the assay protocol used here. Photosynthetic parameters from PAM and LIFT/FRR in the boxes are colour-
 3 coded corresponding to blue light 2 (λ 470 nm) and to magenta after addition of near far red (λ 740 nm) light 1
 4 from LED sources to bring about state transitions to S1 \rightarrow S2 and S2 \rightarrow S1. This colour coding is applied to kinetic
 5 traces of all parameters to delineate responses to these light treatments in subsequent Figures.
 6 (b) Colour-coded state transition assay of a dark adapted spinach leaf monitored with the weak
 7 modulated red light measuring beam (MB) of MINI-PAM with saturating white light pulses (SP). After a pulse to
 8 measure $F_m PAM$ in the dark, transition to S2 is initiated with weak light 2 (470 nm). After ~10 min. steady state
 9 chlorophyll fluorescence yield in S2 is attained and another strong WL pulse is given to measure $F'_m PAM^{470}$.
 10 Light 2 is retained throughout the assay when light 1 (740 nm) is added to initiate transition to S1. Light 1 is
 11 removed after ~10 min. and shortly thereafter another WL pulse is given to measure $F'_m PAM^{470+740}$. The extent
 12 of the state transition (EST_{PAM}) is calculated from $F'_m PAM^{470+740} / F'_m PAM^{470}$. LIFT/FRR assays follow a
 13 similar sequence, but additionally, another WL pulse is applied ~10 min. after removal of light 1 to replicate
 14 $F'_m WL^{470}$.
 15



18 Figure 2: Colour-coded kinetic profiles in *Arabidopsis* NPQ mutant *npq1* (violaxanthin de-epoxidase depleted)
 19 assayed with the Q_A flash of LIFT/FRR (*a - e*) annotated with the nomenclature of Osmond *et al.* (2017). Each
 20 data point is derived from FRR model fit to a Q_A flash transient performed at intervals of 2 to 3 s, representative
 21 examples of which are illustrated (*f - h*).

22 (a) Steady state chlorophyll fluorescence in the dark adapted leaf declines during ~1 min. in light 1
 23 ($F_m Q_A$; red trace) whereas intrinsic yield does not ($F_o Q_A$; open red symbols). Maximum fluorescence yield
 24 ($F_m WL$) and photochemical efficiency of PSII (ϕ'_{PSII}) are obtained in a subsequent strong WL pulse. The transition
 25 from dark to S2 is initiated by ~10 min. exposure to light 2 and monitored by the kinetic of $F'_m Q_A^{470}$ (blue trace),
 26 after which a strong WL pulse is repeated twice to monitor maximum yield in S2 ($F'_m WL^{470}$). Addition of light 1
 27 for ~10 min (in the presence of light 2) brings about S2→S1, the kinetic of which is reflected in the slope of
 28 $F'_m Q_A^{470+740}$ (double asterisk, magenta trace) which also is monitored in a strong WL pulse shortly after removal
 29 of light 1 (asterisk). A second estimate of S2 is obtained with another pulse in light 2 ~10 min. after removal of
 30 light 1.

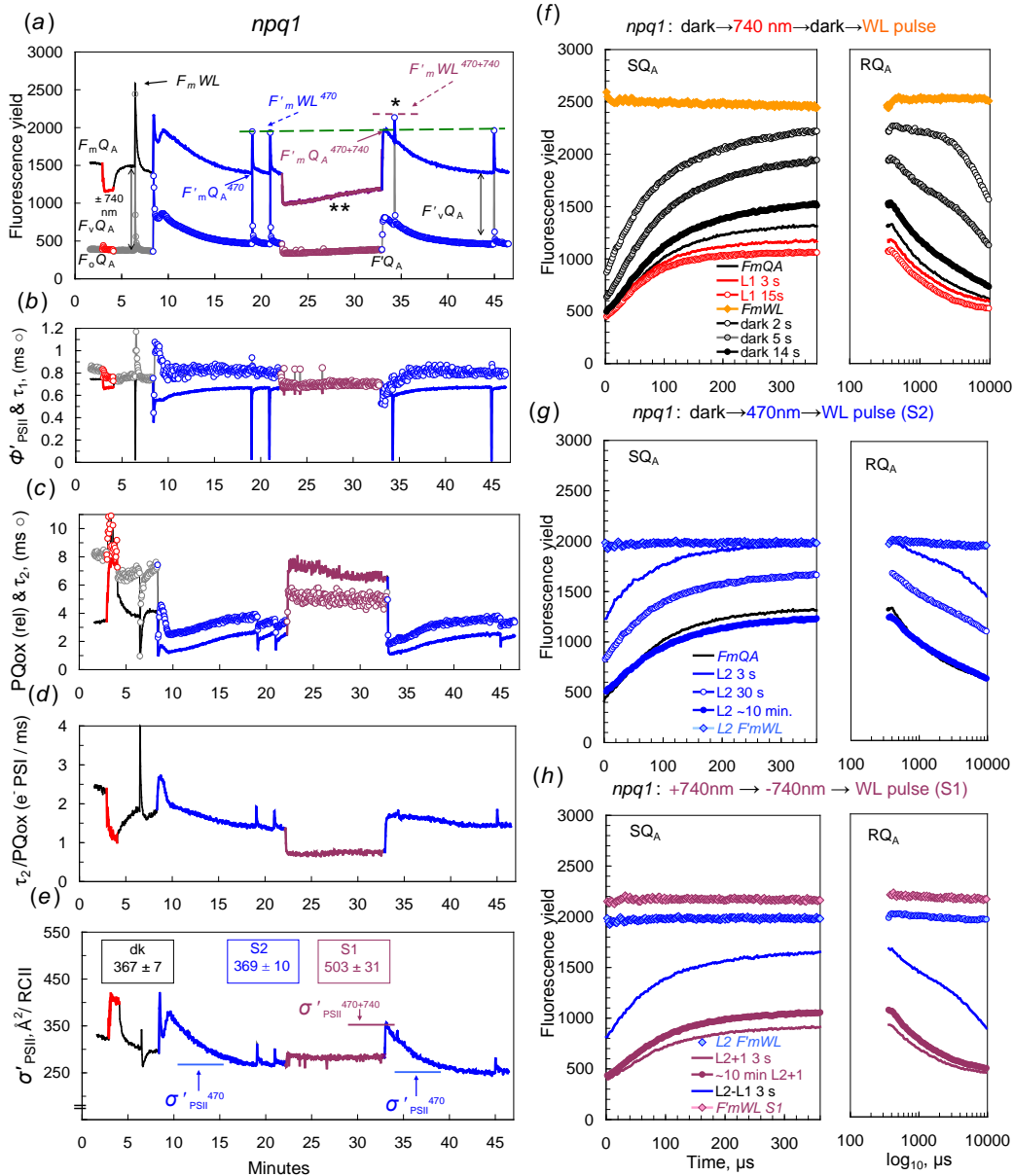
31 (b) Colour-coded $\phi'_{PSII} Q_A$ (line) and τ_1 (kinetics of ETR $Q_A \rightarrow PQ$; open symbols) and

32 (c) Relative oxidation status of the PQ pool (line) and τ_2 (kinetic of PQ pool re-oxidation; open symbols).

33 (d) Time constant of electron transport from PQ to PSI calculated by scaling the time constant of PQ pool
 34 re-oxidation with the size of the oxidized portion of the PQ pool.

35 (e) Functional (σ'_{PSII}) absorption cross-section of PSII. Colour-coded boxes show steady state values of
 36 optical absorption cross-section (a'_{PSII} ; Å²/RCII) in the dark, in S2 and S1.

37 (*f - h*) Representative Q_A flash profiles at key time points. The time base is switched to $\log_{10} \mu s$ in the first
 38 10 ms of the RQ_A transient to clarify changes in ETR parameters.



41 Figure 3: Representative LIFT/FRR assays with notation and colour-coding as in Fig. 2 showing (a - e) ST+
 42 *Arabidopsis* wild type *Col*, (f - j) *ant*ST- LHCII mutant *asLhcb2-12*, and (k - o) *kin*ST- STN7 kinase mutant *stn7/8*
 43 (N.B. no WL pulse in the dark).

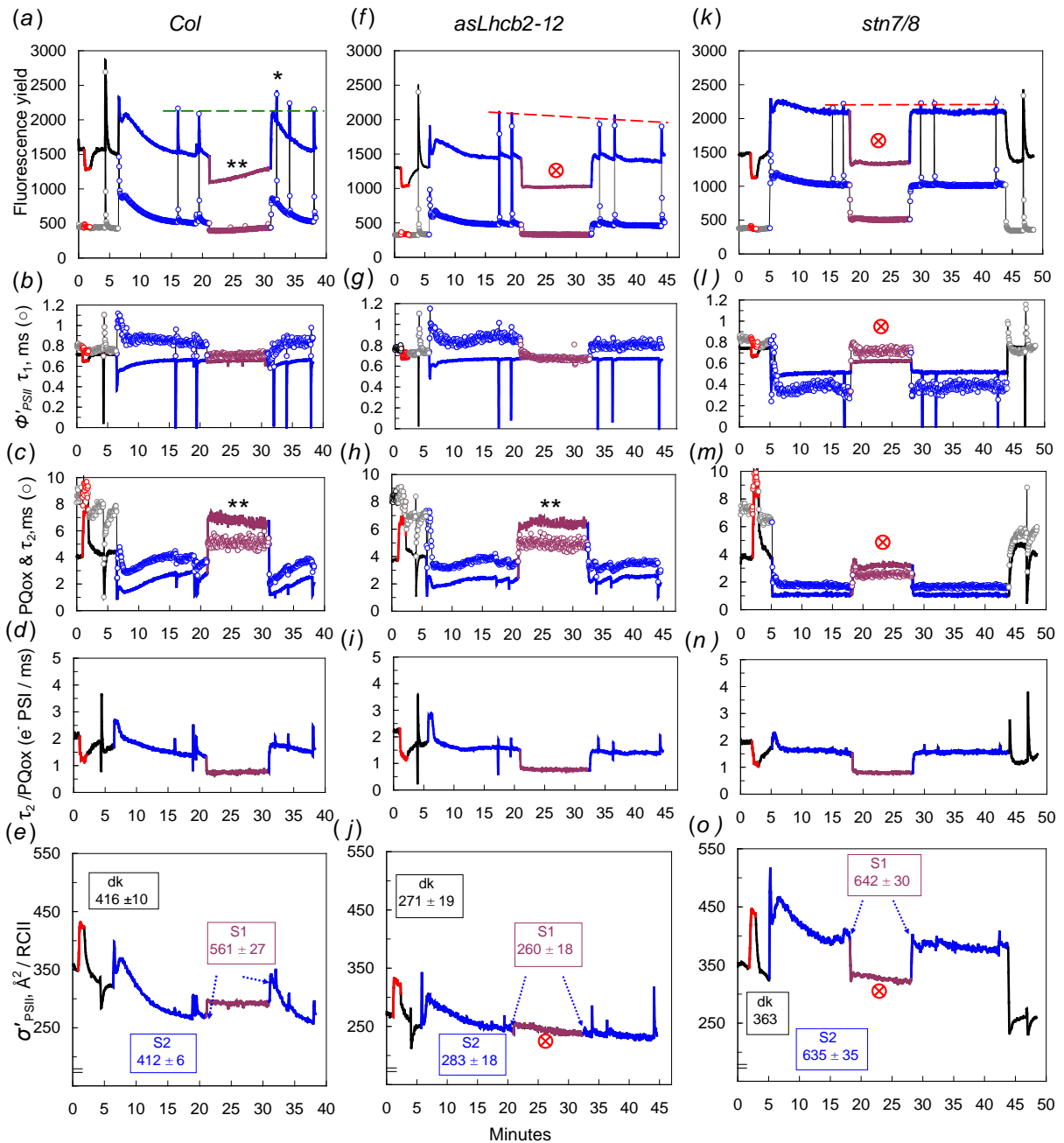
44 The presence of ST in *Col* is shown by an increase in $F'_m WL^{470+740}$ (asterisk in a) compared to $F'_m WL^{470}$,
 45 (highlighted by green broken line). On this criterion (red broken lines) ST are absent in *asLhcb2-12* (f), and *stn7/8*
 46 (k). These distinctions are further confirmed by three other criteria:

47 (i) $F'_m Q_A$ kinetic in light 2+1 highlighted by the double asterisk in (a) and red \otimes symbols showing no
 48 change in (f) and (k),

49 (ii) increase in σ'_{PSII} (broken lines) in (e) that is absent in (j) and (o), and

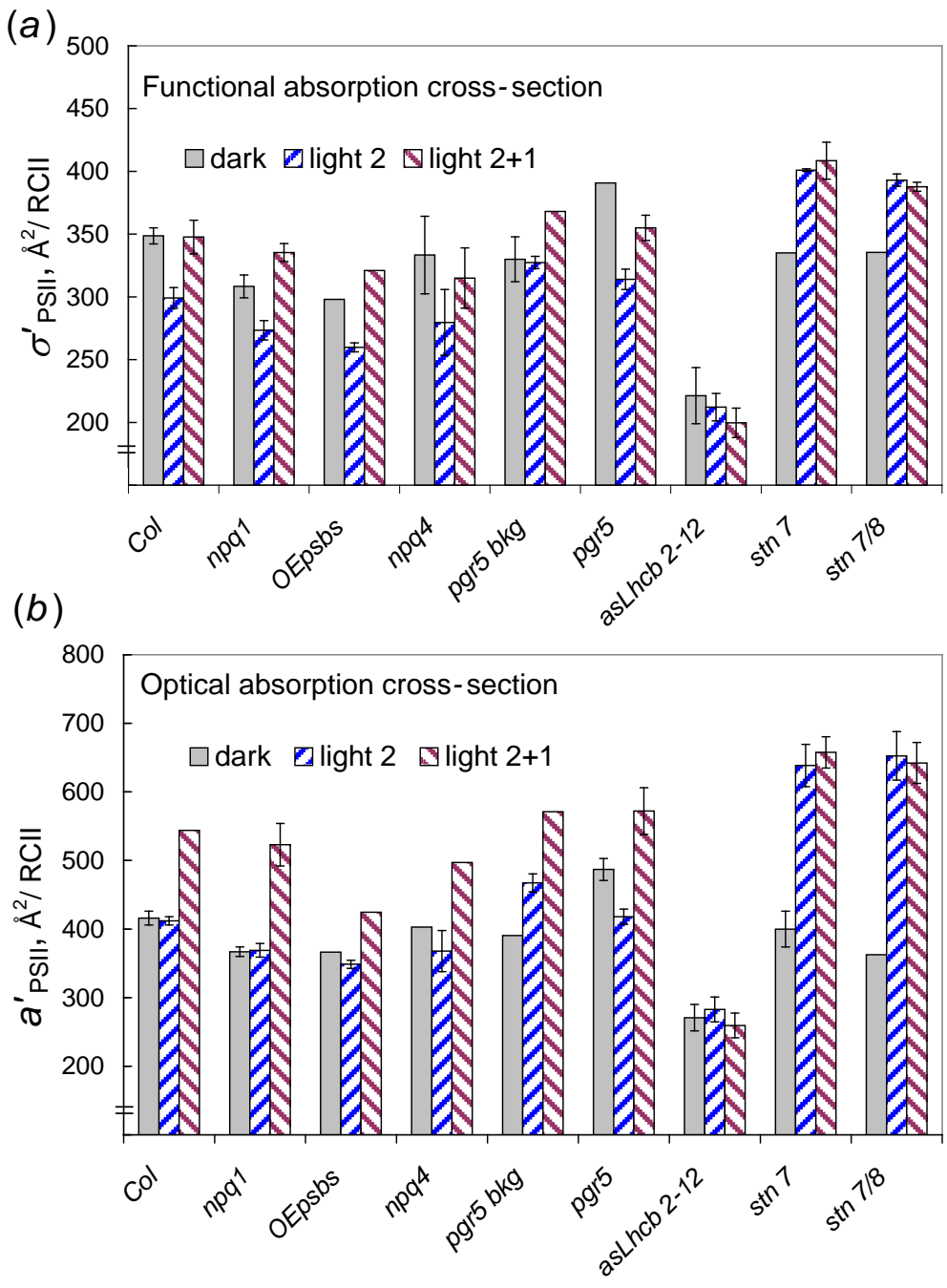
50 (iii) increase in steady state values of a'_{PSII} associated with ST in *Col* (e) that is not evident in *asLhcb2-12*
 51 or *stn7/8* (red \otimes symbols, j and o).

52 The contrasting dynamic responses of τ_1 , τ_2 and relative oxidation state of the PQ pool to light 2 and light
 53 2+1 in *Col* (b, c) and *asLhcb2-12* (g, h) compared with those in *stn7/8* (l, m), as well as uniformity of electron
 54 flow PQ to PSI (d, i, n) are discussed in the text.

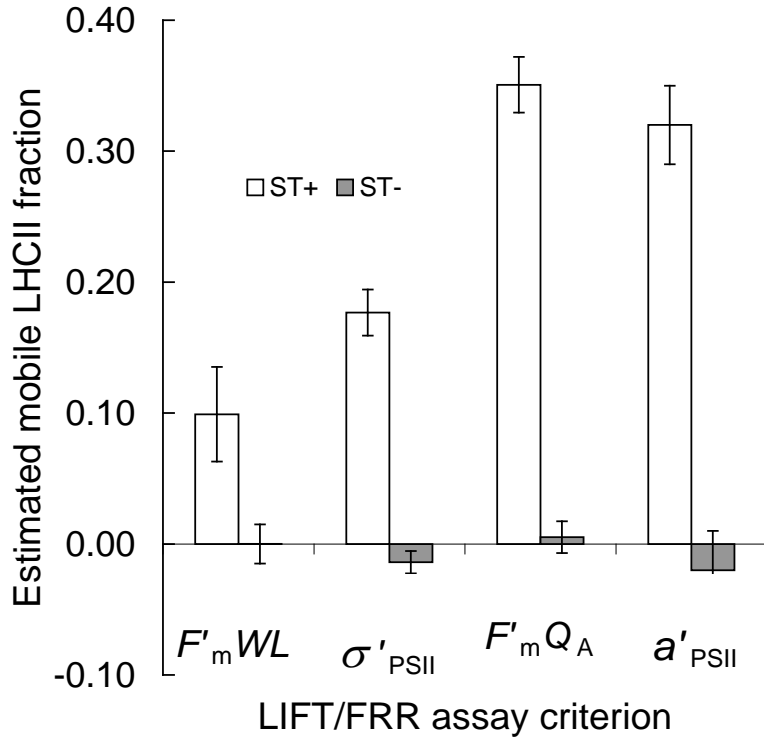


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59 Figure 4: Functional absorption cross-section (a) and (b) optical absorption cross-section (apparent antenna size)
 60 in *Arabidopsis* genotypes at steady state in the dark, after ~10 min. in light 2 (S2) and soon after 10 min. in light
 61 2+1 (S1). Values of a'_{PSII} are calculated from $\sigma'_{\text{PSII}} / \phi'_{\text{PSII}}$ (means \pm SE; n = 2 to 8; no error bars indicates
 62 duplicate measurements).
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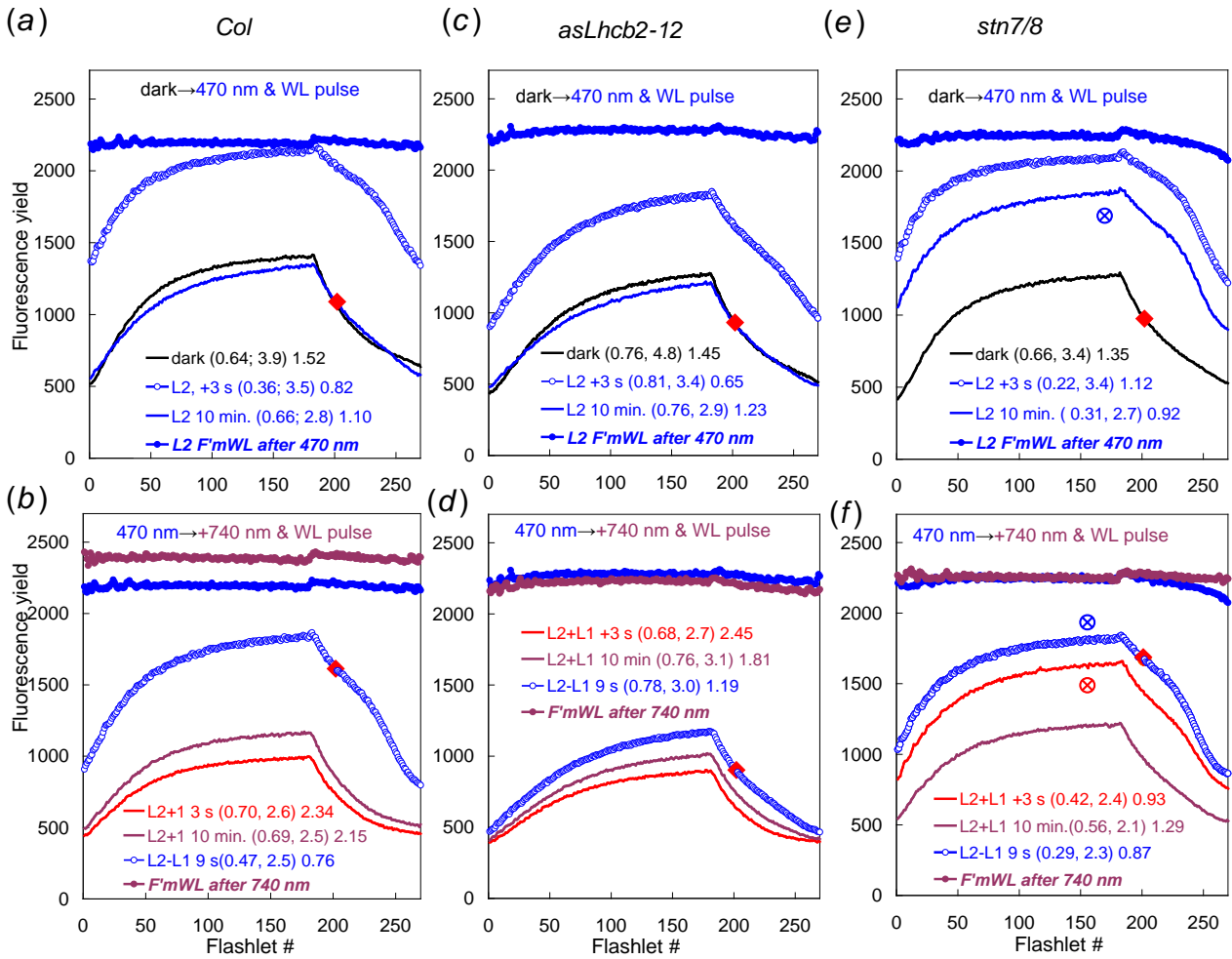


65 Figure 5: Estimates of the mobile fraction of LHCII pools implied from the extents of ST from four chlorophyll
 66 fluorescence criteria from the Q_A flash of LIFT/FRR. The criterion $F'_m WL$ is obtained from strong WL pulses
 67 (fully reduced PQ pool) and the other three criteria are obtained with reduced Q_A . Data are averages (\pm SE) from a
 68 total of 22 assays of six ST competent *Arabidopsis* genotypes (ST+) and a total of seven assays of three ST
 69 incompetent genotypes (ST-).
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76 Figure 6: Entire Q_A flash transients (on the basis of flashlet number) at important time points during the ST assays
 77 shown in Fig. 3. Numbers in parenthesis refer to τ_1 and τ_2 (ms) and the relative oxidation state of the PQ pool,
 78 respectively, obtained from FRR model fit to the first 10 ms of the RQ_A phase (indicated red data point) in each
 79 transient. The persistently slower relaxation of the RQ_A feature in *stn7/8* after 10 min in light 2 (e), and on
 80 addition and removal of light 1(f) are highlighted with \otimes symbols.
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