

Computational Study Illustrating NCN-Pincer Palladium(IV) Involvement in Generating Pd⁰ Species to Facilitate Pd⁰/Pd^{II} Heck-type Catalysis with Diphenyliodine(III) Species

Allan J. Canty* and Alireza Ariafard*

ABSTRACT: Density functional theory has been applied in a mechanistic study of the role of a pincer complex Pd^{II}(NCN-*N,C,N*)(O₂CPh-*O*) ([NCN]⁻ = [2,6-(Me₂NCH₂)₂C₆H₃]⁻) (**3**) in Heck-type catalysis in the presence of diphenyliodine(III) triflate as the oxidative arylating agent for CH₂=CHAr and bicarbonate as the base to afford PhCH=CHAr (Ar = *p*-BrC₆H₄). The initially formed palladium(IV) complex PhPd(NCN-*N,C,N*)(OBz···HOCO₂-*O,O*) (**9**) (ΔG[‡] 31.6 kcal/mol), undergoes Ph···C_{ipso} reductive elimination to form Pd^{II}{NC(Ph)N-*N,C,N*}(OBz···HOCO₂-*O,O*) (**11**) (ΔG[‡] 25.6 kcal/mol), which is reduced by bicarbonate to form palladium(0) species. Reduction to Pd⁰ occurs via deprotonation of one NMe₂ group by bicarbonate to provide a "-CH₂-N(Me)-CH₂-Pd^{III}" moiety (ΔG[‡] 23.6 kcal/mol) followed by nucleophilic attack on this moiety by bicarbonate to give a Pd⁰ product with a "-CH₂-NMe(CH₂OCO₂H)" group (ΔG[‡] 14.5 kcal/mol). The Pd⁰ complex undergoes exceptionally facile oxidative addition by Ph₂I(HCO₃) (ΔG[‡] 5.1 kcal/mol). Modelling the Pd⁰ complex as [Pd(benzene)(O₂CPh)]⁻ provides a similar result (ΔG[‡] 5.6 kcal/mol), allowing entry to PhPd^{II} species able to undergo migratory insertion for CH₂=CAr (ΔG[‡] 14.4 kcal/mol) and β-hydride elimination (ΔG[‡] 16.2 kcal/mol) processes of Pd⁰/Pd^{II} Heck-type catalysis. Activation barriers for reduction of Pd^{IV} to Pd⁰, and in the Heck-type process, are lower than initial oxidation to form Pd^{IV} species, ensuring that only a small quantity of Pd^{II}(NCN)(OBz) (**3**) is consumed, in accord with its presence on completion of catalysis. Computational studies of Pd^{IV}-mediated Heck-type catalysis revealed energetically unfavorable processes, and a preference for formation of CH₂=C(Ar)Ph rather than experimentally reported PhCH=CHAr. This study reveals the role of a pincer complex as a precatalyst, oxidation of Pd^{II} to Pd^{IV} followed by reductive elimination, the role of bicarbonate in reducing Pd^{II} to Pd⁰, extremely facile oxidative addition of a diaryliodine(III) reagent to Pd⁰, and selectivity differences in migratory insertion for Pd^{II} and Pd^{IV} centers.

KEYWORDS: Heck-type catalysis, DFT mechanism, palladium(IV), pincer precatalyst, hypervalent iodine(III) oxidant, bicarbonate reductant

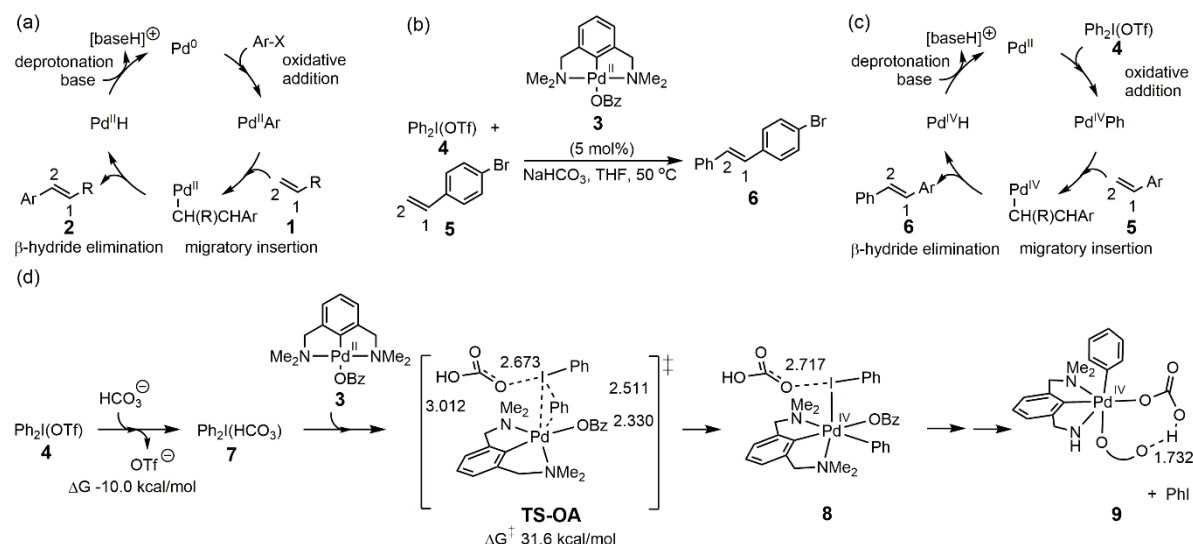
INTRODUCTION

The Heck reaction occupies a central role in modern organic synthesis.¹ Originally developed as a process for the arylation of alkenes with arylmercury compounds,² its extension^{3a-c} to include transfer from aryl iodides has transformed synthetic routes for substitution of alkenes (often termed Mizoroki-Heck reaction), including vinyl transfer.⁴ The general "textbook" catalytic cycle for application with organic halides, illustrated in Scheme 1a, is applicable for a wide range of reagents capable of facilitating the initial oxidative addition to Pd⁰, including X in ArX as chloride⁵ and triflate.⁶ Upon oxidative addition, a *syn*-insertion reaction at a Pd^{II} center is followed by rotation about the C-C bond to facilitate β-hydride elimination, and reaction with base to remove hydride with concomitant reduction of Pd^{II} to Pd⁰.

Diaryliodine(III) reagents Ar₂IX are also able to participate in Heck-type reactivity in organic solvents,^{7,8} at temperatures that are very mild for Heck reactions, including room temperature^{8a,b} and 50 °C.^{8c} In a related manner diarylodonium reagents also support aryl transfer reactions for heteroarenes.⁹ Extensive experimental evidence^{10a,11a,12a} and computational studies^{10b,11b,12b} support roles for diorganoiodine(III) reagents facilitating synthesis involving participation of oxidation states higher than +II for Pd: Pd^{II}/Pd^{III}^{10b} and Pd^{II}/Pd^{IV} cycles,¹¹ and the Pd^{IV} mediated stoichiometric synthesis of benzofurans.¹² In this context, we noted with interest the application of a Pd^{II}-pincer complex **3** (5 mol %) for the reaction illustrated in Scheme 1b,^{8c} as **3** is known to react with a related iodine(III) reagent, (Me₃SiCC)PhI(OTf), to form the alkynylpalladium(IV) complex Pd(OBz)(OTf)(CCSiMe₃)(NCN).¹³ In a comprehensive review of the application of pincer ligands in Heck catalysis, Selander and Szabó examine the feasibility of Pd^{II}/Pd^{IV} cycles, including for catalysis involving **3** and

diaryliodonium(III) reagents at 50 °C, and reduction to Pd⁰ as a characteristic feature of high temperature Heck reactions (typically >100 °C).^{7c,14}

Scheme 1. (a) Simplified Schematic of the Heck Reaction, Showing Only the Organic Ligands in Pd^{II} Intermediates, (b) a Heck-type Reaction Employing a Pincer-Pd^{II} Complex^{8c} that is Examined Herein by Computational Methods, (c) a Pd^{II}/Pd^{IV} Model Directly Analogous to the Pd⁰/Pd^{II} Cycle in (a), and (d) Computational Study of the Reaction of **3 with Ph₂I(OTf) in the Presence of Bicarbonate¹⁵**



Experimental data for the reaction in Scheme 1b, extended to include alkenes with OMe or SiMe₂Ph in place of Br, and related PCP-pincers, are consistent with catalytic Pd^{IV} involvement,^{8c} e.g. via the process illustrated in Scheme 1c as an analog of Scheme 1a but, as noted,^{7c,8c} the evidence is insufficient to definitely confirm the cycle. For reactions employing **3**^{8c,d} and the related complex Pd(PCP)(O₂CCF₃) (PCP = [2,6-(Ph₂PO)₂C₆H₃-P,C,P]),^{8c} unreacted complex is detected at the completion of reaction and a mercury drop test supports the absence of Pd⁰ in catalysis. In view of the wide utility of this synthesis of alkenes in high yields,^{8c} we have undertaken a density functional theory (DFT) examination of mechanism for one of the reactions studied experimentally (Scheme 1b), with the aim of determining the role of **3**. This investigation follows our computational study of the reaction of **3** with diphenyliodonium triflate (**4**) in the presence of bicarbonate to form PhPd^{IV}(NCN)(OBz··HCO₃) (**9**) (Scheme 1d).¹⁵

Results herein indicate that oxidation of the pincer-Pd^{II} complex **3** to form Pd^{IV} complex **9** (Scheme 1c)¹⁵ leads to Ph-pincer reductive elimination from **9** followed by reduction of the resulting Pd^{II} complex facilitated by bicarbonate to generate Pd⁰ species. Palladium(0) undergoes extremely facile oxidative addition with Ph₂I(HCO₃) to form phenylpalladium(II) species. Computation for a model complex shows that PhPd^{II} species in the reported reaction conditions can participate in reaction with an alkene, such that facile Heck-type Pd⁰/Pd^{II} catalysis is operative. This study reveals the role of a pincer-Pd^{II} complex as a precatalyst, the role of bicarbonate in reducing Pd^{II} to Pd⁰, extremely facile oxidative addition by a diaryliodonium(III) reagent to Pd⁰, and different preferences for migratory insertion at Pd^{II} and Pd^{IV} centers.

EXPERIMENTAL DETAILS

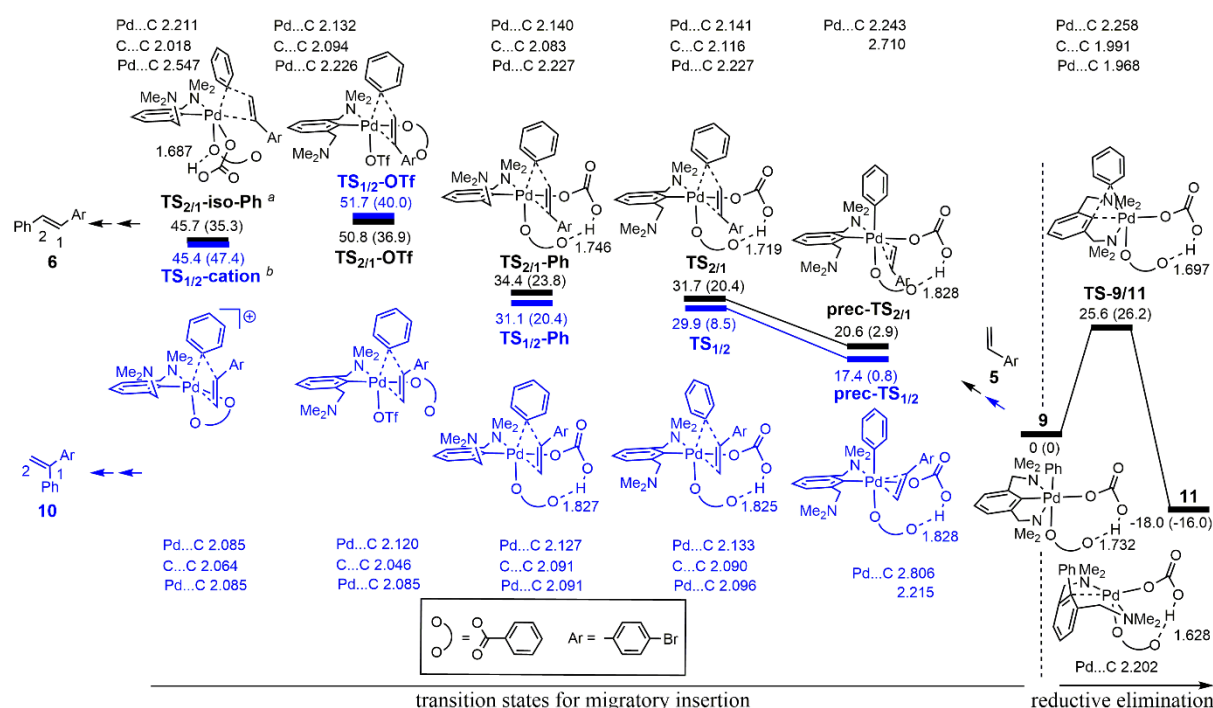
Computation was carried out in an identical manner to that used for the oxidation of **3** (Scheme 1d).¹⁵ Gaussian 16¹⁶ was used to fully optimize all structures at the M06 level of theory.¹⁷ For all calculations, solvent effects were considered using the SMD solvation model¹⁸ with tetrahydrofuran as the solvent in view of its application in catalysis (Scheme 1b).^{8c} The SDD basis set¹⁹ with effective core potential (ECP) was chosen to describe palladium and iodine. The 6-31G(d) basis set was used for other atoms. This basis set combination will be referred to as BS1. Frequency calculations were carried out at the same level of theory as those for the structural optimization. Computation included application of the ultrafine grid as the default in Gaussian 16. Transition structures were located using the Berny algorithm, contained one imaginary frequency, and exhibited atom

displacements consistent with the anticipated reaction pathway. The nature of the transition structures was confirmed from the potential energy surface scans. Precursors to and products from transition states were obtained by optimizations after small displacements in the direction of the normal mode corresponding to the imaginary frequency. To further refine the energies obtained from the SMD/M06/BS1 calculations, we carried out single-point energy calculations in tetrahydrofuran using the M06 functional method,¹⁶ incorporating Grimme's D3 computation to account more completely for dispersion,²⁰ for all of the structures with a larger basis set (BS2). BS2 utilizes the def2-TZVP basis set²¹ on all atoms with an effective core potential including scalar relativistic effect for palladium and iodine. An additional correction for the compression of 1 mol of an ideal gas from 1 atm to the 1 M solution phase standard state (1.89 kcal mol⁻¹)²² was applied.

RESULTS AND DISCUSSION

Heck-type Reaction at a Pd^{IV} Center. Reaction of the pincer-Pd^{IV} complex **9** with alkenes in a Heck-type manner requires coordination of the alkene to the palladium center followed by a key transition state for migratory insertion (Scheme 1c). This step would constitute, in this system, the minimum barrier for the overall Heck-type process commencing from **9**. Computation was explored for feasible transition states that could lead to formation of the experimentally formed *syn*-product (**6**) obtained using CH₂=CHAr (Ar = *p*-bromophenyl) as a representative alkene reagent (Scheme 2, in black),^{8c} and also explored for the alternative unobserved product, CH₂=C(Ar)Ph (**10**) (in blue). Scheme 2 illustrates eight of ten transition states identified by computation, together with precursors **prec-TS_{2/1}** and **prec-TS_{1/2}** for the lowest energy transition states (to the left of complex **9**), and the profile for reductive elimination from **9** (to the right of **9**, discussed in a later Section).²³

Scheme 2. Energy Profile for C···C Reductive Elimination from the Pincer-Pd^{IV} Complex **9, and Transition States Explored for the Migratory Insertion Step in a Heck-type Mechanism, together with the Precursor Adducts for Transition States TS_{2/1} and TS_{1/2}, and Reductive Elimination from **9**. Selected Interatomic Distances (Å) are shown, and Energies ΔG (ΔH) are in kcal/mol Relative to **9****



^a **TS_{2/1}-iso** has ΔG (ΔH) 45.8 (34.9) kcal/mol. ^b **TS_{2/1}-cation** has ΔG (ΔH) 45.9 (46.7) kcal/mol.

The model transition states utilized one uncoordinated pincer arm to permit interaction of the alkene with palladium. Conformations were examined with this arm adjacent to the phenyl group, e.g. **TS_{2/1}-Ph**, or on the opposite side of the pincer arene plane, e.g. **TS_{2/1}**. The immediate precursor Pd^{IV}(η²-alkene) species, illustrated for **prec-TS_{2/1}** and **prec-TS_{1/2}**, and product Pd^{IV}-alkyl species were confirmed by intrinsic reaction coordinate (IRC) computation. A transition state with the bicarbonate group adjacent to the uncoordinated arm (**TS_{2/1}-iso-Ph**) is significantly higher in energy (ΔΔG[‡] > 11.0 kcal/mol) than analogs with the alkene group adjacent to the uncoordinated arm (**TS_{2/1}-Ph**, **TS_{2/1}**, **TS_{1/2}-Ph**, **TS_{1/2}**). High barriers are also found for triflate as the ancillary

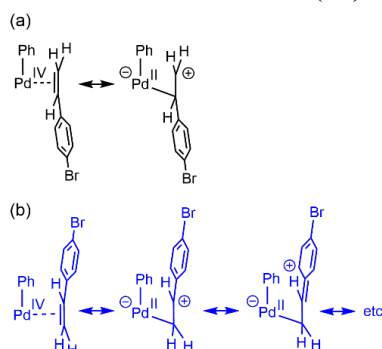
ligand (TS_{1/2}-OTf, TS_{2/1}-OTf), and on removal of bicarbonate to form cationic transition states (TS_{1/2}-cation-Ph illustrated, TS_{2/1}-cation in footnote b).

Transition states in which the pendant NMe₂ group is adjacent to the phenyl group (TS_{2/1}-Ph, TS_{1/2}-Ph) compute as higher energy than those with the pendant group well removed from the site of migratory insertion (TS_{2/1}, TS_{1/2}).

For the four lower energy transition states, we find that those leading to the observed product PhCH=CHAr (**6**) are disfavored compared with the unobserved product CH₂=C(Ar)Ph (**10**). This result is in marked contrast to migratory insertion at a Pd^{II} center,¹ for which the observed product is computed as favored by 3.5 kcal/mol in the present system (see later Section). We attribute the preference for formation of the unobserved product at a Pd^{IV} center to an electronic effect related to the ability of the arene group to delocalize positive charge in the formal Pd^{II} resonance representations for the precursor alkene adduct (Scheme 3b, in blue). The high formal oxidation state Pd^{IV} enhances the role of Pd^{II} resonance forms which can be more readily accommodated by delocalization compared with the alternative form 'Pd⁽⁻⁾-CH(Ar)-CH₂⁽⁺⁾' illustrated in Scheme 3a.

These observations, taken together with the preference for reductive elimination, $\Delta\Delta G^\ddagger$ -6.1 kcal/mol for TS-9/11 relative to TS_{2/1} (Scheme 2), indicate against a mechanism involving migratory insertion at a Pd^{IV} center.

Scheme 3. Representative Resonance Structures for the Precursor Adducts leading to (a) PhCH=CHAr (6**), and (b) the Unobserved Product CH₂=C(Ar)Ph (**10**), in blue**



Exploration of the Reactivity of Pincer-Pd^{II} Complex Pd(NCN)(OBz) (3**) with Alkene Followed by Oxidation.** In searching for other mechanisms that may involve Pd^{IV} species with **3** as a catalyst, we considered the possibility of processes that are the reverse of the above approach, i.e. activation of the alkene by the pincer-Pd^{II} complex **3** followed by oxidative arylation leading to C···C reductive elimination at a Pd^{IV} center. We explored two mechanisms, and both were found to require very high energy transition states: (i) formation of *trans*-Pd^{II}(NCN)(CH=CHAr) (*trans*-disposed PdC₂) via CH-activation employing the benzoate group ($\Delta G^\ddagger$ 38.3 kcal/mol) followed by oxidative addition of Ph₂I(HCO₃) ($\Delta G^\ddagger$ 53.8 kcal/mol), and (ii) bicarbonate assisted deprotonation of a coordinated alkene to form *cis*-Pd^{II}(NCN)(CH=CHAr)(OBz)]⁻ ($\Delta G^\ddagger$ 34.7 kcal/mol) followed by oxidation ($\Delta G^\ddagger$ 48.0 kcal/mol) (Schemes S1 and S2 in the Supporting Information).

Thus, we are led to the conclusion that the *catalytic cycle* in this system does not involve Pd^{IV} in Heck-type migratory insertion, or related processes involving alkene activation followed by oxidation of a Pd^{II} center to Pd^{IV}.

Reductive Elimination from the Pd^{IV} Complex PhPd(NCN-*N,C,N*)(OBz···HOCO₂-*O,O*) (9**) and Reduction of Pd^{II} to Pd⁰.** As discussed above, reductive elimination via TS-9/11 to give **11** ($\Delta G^\ddagger$ 25.6 kcal/mol) occurs with a lower barrier than that for migratory insertion at a Pd^{IV} center (Scheme 2).

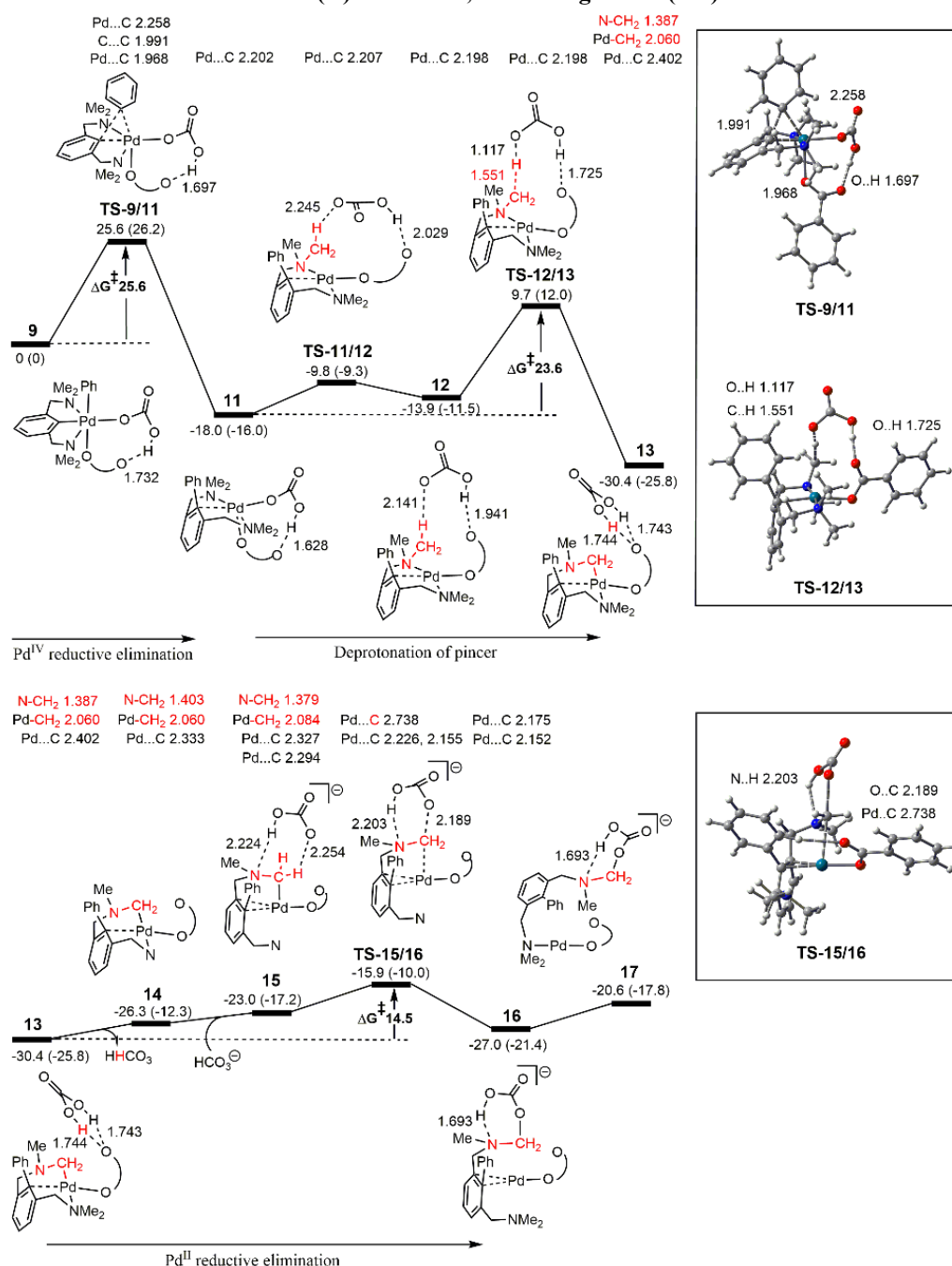
Reduction of Pd^{IV} to Pd^{II} encouraged us to examine further reduction to Pd⁰. Amines are known to reduce Pd^{II} complexes,²⁴ including from detailed spectroscopic studies of reduction of SCS- and PCP-pincer complexes.^{14c} The bicarbonate base for Heck reactions has also been mentioned as a potential reductant.²⁴ We found that NMe deprotonation of a pincer arm by bicarbonate provides an initial step (**12** → **13** in Scheme 4) toward reduction.²⁴ During examination of other options we found that the pincer in **11** would not facilitate deprotonation of the -CH₂- moiety, but does allow bicarbonate to form adduct **12** via interaction of an NMe group. A transition state for deprotonation (TS-12/13) gives **13**, and H₂CO₃ departs to give **14**. Reaction of **14** with a second bicarbonate, by nucleophilic attack at carbon of the PdCH₂ group (TS-15/16), gives the Pd⁰

complex **16**. Structure **16** exhibits a linear " $(\eta^2\text{-arene})\text{Pd}^0(\text{OBz})$ " moiety. Ligand exchange to form an analogous " $(\text{N-donor})\text{Pd}(\text{OBz})$ " moiety in **17** is endergonic.

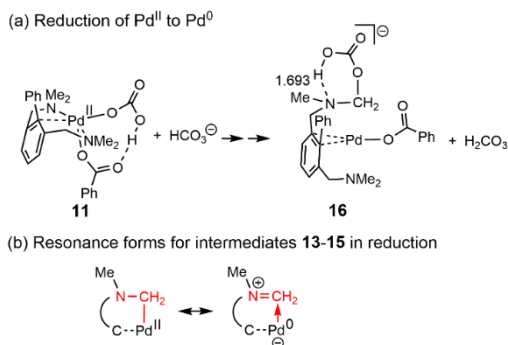
Of particular note, the barriers for deprotonation ($\Delta G^\ddagger$ 23.6 kcal/mol) and reduction ($\Delta G^\ddagger$ 14.5 kcal/mol) are lower than that for the initial reductive elimination at Pd^{IV} in **9** ($\Delta G^\ddagger$ 25.6 kcal/mol), and the process to form the Pd^0 product **16** is exergonic.

The overall reaction of deprotonation followed by reduction is summarized in Scheme 5a. The $\text{N}\cdots\text{C}$ and $\text{Pd}\cdots\text{C}$ interactions for **13-15** are shown in red in Schemes 4 and 5. Resonance structures for intermediates **13-15** (Scheme 5b) illustrate partial formal reduction on deprotonation. In accord with the resonance analysis proposing partial multiple bond character for the imine group, the N-CH_2 distances (1.379-1.403 Å) are shorter than the single bond N-Me distances for NMe_2 groups in these structures (1.460 Å).

Scheme 4. Computed Energy Profile for Reductive Elimination by Pd^{IV} Complex **9, Followed by Reduction by Bicarbonate to give Pd^0 Complex **16** and Depiction of Structures for TS-9/11, TS-12/13 and TS-15/16. Selected Interatomic Distances (Å) are shown, and Energies ΔG (ΔH) are in kcal/mol relative to **9**.**

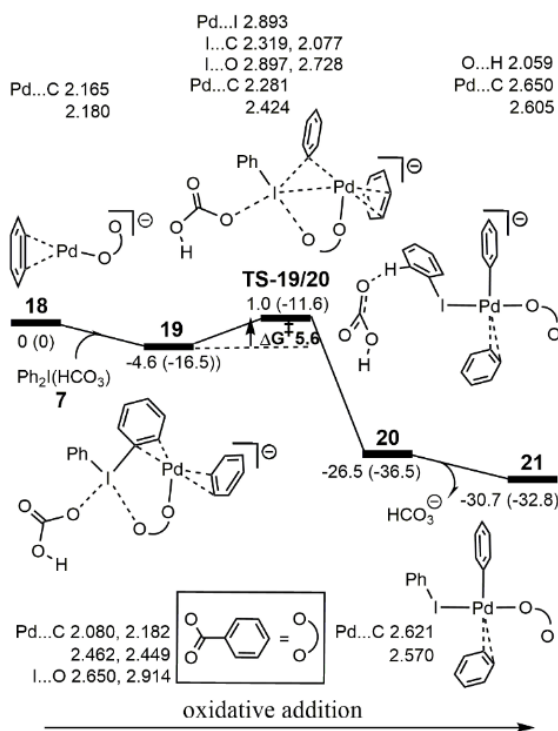


Scheme 5. Schematics for Bonding in Key Moieties for (a) Overall Reaction in Reduction of Pd^{II} to Pd⁰, and (b) Resonance for Intermediates in the Reduction Process



Heck-type Reaction for Pd⁰ Utilizing Ph₂I(HCO₃) as the Arylating Agent. We are unaware of any computational studies of oxidative transfer to Pd⁰ by diorganoiodine(III) reagents, and thus we have explored this reaction using [Pd(benzene)(O₂CPh)]⁻ (**18**) as a model for Pd⁰ species such as **16** in Scheme 4. We found that Ph₂I(HCO₃) forms adduct **19**, leading to **TS-19/20** and immediate product **20** (Scheme 6). Departure of bicarbonate from **20** provides **21**. A similar process has been computed for the reaction of Ph₂I(HCO₃) with the product **16**, shown in Scheme S3 in the Supporting Information.

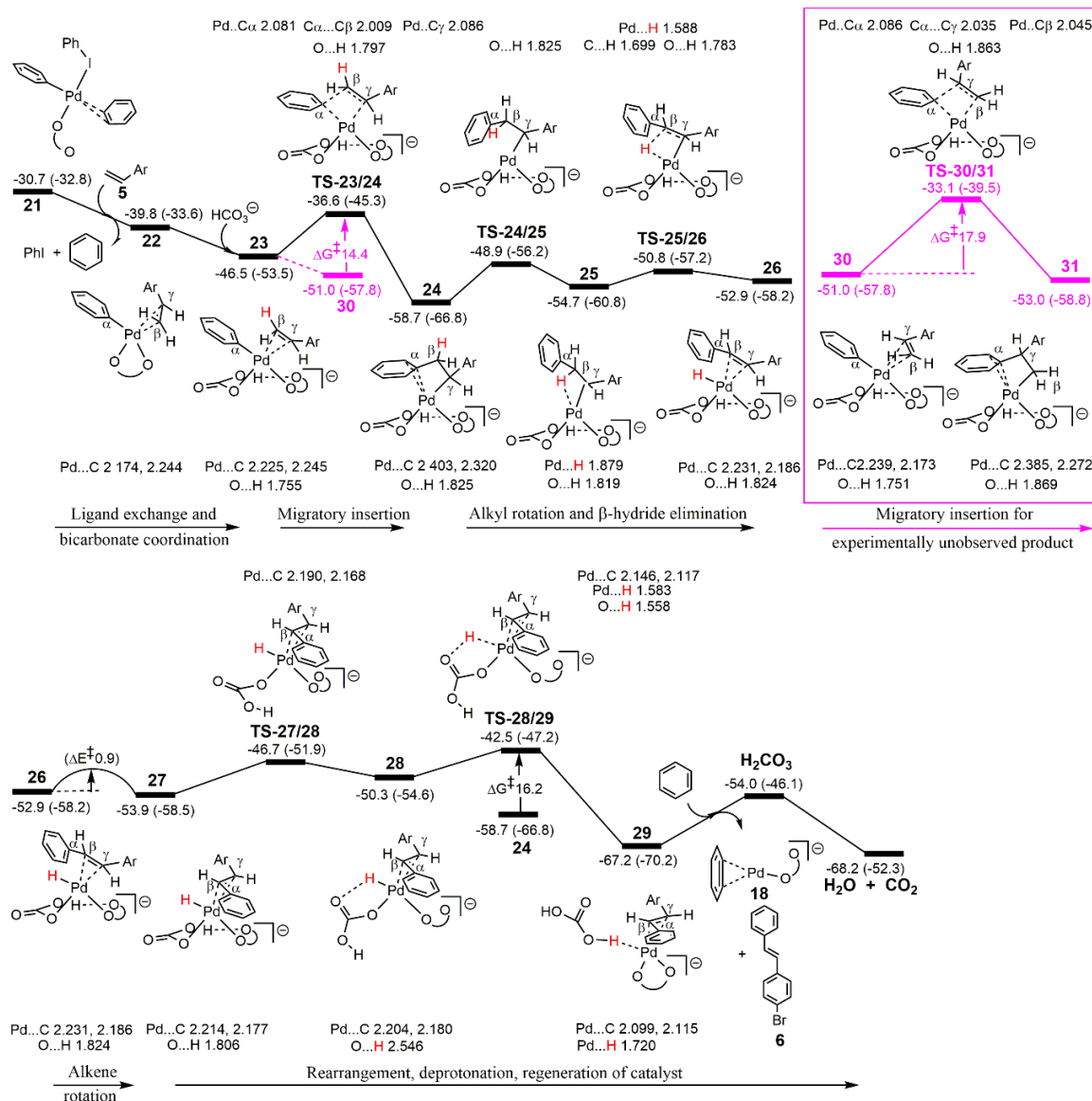
Scheme 6. Computed Energy Profile for the Reaction of Ph₂I(HCO₃) (7**) with [Pd(benzene)(O₂CPh)]⁻ (**18**) as a Model for Pd⁰ Intermediates. Selected Interatomic Distances (Å) are shown, and Energies ΔG (ΔH) are in kcal/mol Relative to **18****



A significant feature of the reaction illustrated in Scheme 6, and for the reaction of **16**, is the very low barrier for oxidative addition (ΔG[‡] 5.6 kcal/mol for **18**, 5.1 kcal/mol for **16**). This step has the lowest barrier for the entire system, including for the completion of the Pd^{0/II} Heck-type reaction (see below). These observations illustrate that, if any Pd⁰ is formed from **3** (added at 5 mol %) in the presence of stoichiometric Ph₂I(HCO₃) (Scheme 1b), it would be immediately oxidized to Pd^{II}.

Computation for completion of the reaction is shown in Scheme 7, using the general Heck manifold²⁷ for guidance. Ligand exchange for **21** gives an alkene complex **22**, which reacts exergonically with bicarbonate to form **23** exhibiting a coordinated [benzoate $\cdots$ bicarbonate] ligand analogous to that noted above for Pd^{IV} species. Complex **23** undergoes migratory insertion via **TS-23/24** to give **24**. Facile rotation about Pd-C γ in **24** provides **25** with a geometry suitable for β -hydride elimination via **TS-25/26** to form the Pd^{II}(hydride)(alkene) structure **26**.

Scheme 7. Completion of the Heck-type Reaction Following Oxidative Arylation of the Pd⁰ Model (Scheme 6). Stationary Points Leading to the Experimentally Unobserved Product are shown in color. Selected Interatomic Distances (Å) are shown, and Energies ΔG (ΔH) are in kcal/mol Relative to the Pd⁰ Complex [Pd(benzene)(O₂CPh)]⁻ (18**) used as a Model for Pd⁰/Pd^{II} Catalysis.**



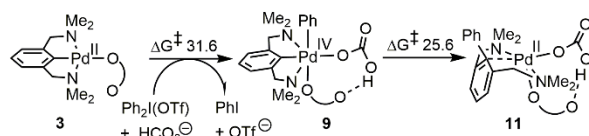
A low barrier for alkene rotation in **26** allows entry to the process of deprotonation enabled by coordinated bicarbonate via transition states **TS-27/28** and **TS-28/29** to give the product alkene coordinated to Pd⁰ in **29**. Ligand exchange and dissociation of carbonic acid provides the experimentally observed alkene product (**6**). Computation for the unobserved isomer, CH₂=C(Ar)Ph (**10**), via the rate-limiting migratory insertion step **TS-30/31** (in color, Scheme 7), reveals that this process is uncompetitive against **TS-23/24** ($\Delta\Delta G^\ddagger$ 3.5 kcal/mol).

CONCLUSIONS

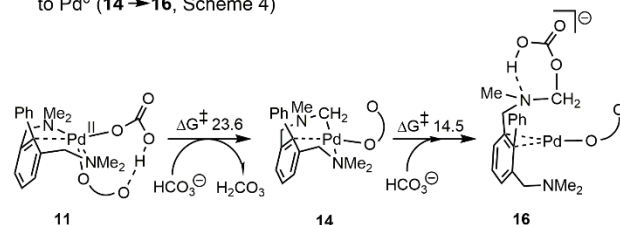
The DFT results lead us to conclude that the initial oxidative addition to form a Pd^{IV} complex is followed by reductive elimination to Pd^{II} , and then further reduction to Pd^0 followed by $\text{Pd}^0/\text{Pd}^{\text{II}}$ catalysis (Scheme 8).

Scheme 8. Mechanism Illustrating (a) Oxidative Arylation of the Precatalyst **3** Followed by Reductive Elimination, (b) Reaction with Bicarbonate to Generate Pd^0 Species, and (c) Model for Heck-type $\text{Pd}^0/\text{Pd}^{\text{II}}$ Catalysis

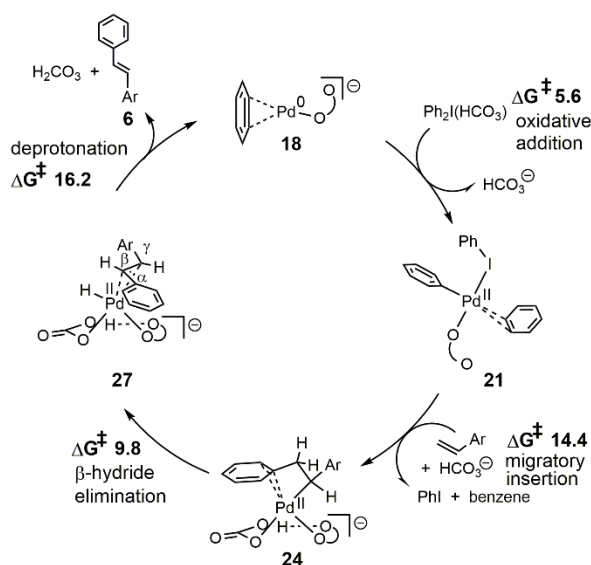
(a) Oxidative arylation of precatalyst (**3** $\rightarrow$ **9**, Scheme 1d) and reduction to Pd^{II} (**9** $\rightarrow$ **11**, Scheme 4)



(b) Deprotonation of pincer complex (**11** $\rightarrow$ **14**) and reduction to Pd^0 (**14** $\rightarrow$ **16**, Scheme 4)



(c) Heck-type catalysis: oxidative arylation of Pd^0 (**18** $\rightarrow$ **21**, Scheme 6), followed by conventional insertion, β -hydride elimination, and deprotonation (**21** $\rightarrow$ **6**, Scheme 7), using **18** as a model for the catalyst



It is notable that the initial oxidative addition of $\text{Ph}_2\text{I}(\text{HCO}_3)$ to $\text{Pd}^{\text{II}}(\text{NCN})(\text{OBz})$ (**3**) exhibits the highest barrier in the entire system ($\Delta G^\ddagger 31.6$ kcal/mol) (Scheme 8a).¹⁴ Subsequent reductive elimination to give Pd^{II} (**11**) ($\Delta G^\ddagger 25.6$ kcal/mol, Scheme 8a), and Pd^0 (**16**) ($\Delta G^\ddagger 23.6$ kcal/mol, Scheme 8b) allows entry to Heck-type catalysis. For the model $\text{Pd}^0/\text{Pd}^{\text{II}}$ system examined (Scheme 8c), the catalysis has even lower barriers than all prior processes: oxidative addition ($\Delta G^\ddagger 5.6$ kcal/mol), migratory insertion ($\Delta G^\ddagger 14.4$ kcal/mol), β -hydride elimination ($\Delta G^\ddagger 9.8$ kcal/mol), and deprotonation of a hydridopalladium(II) intermediate to regenerate Pd^0 ($\Delta G^\ddagger 16.2$ kcal/mol).

Thus, after a small amount of the pincer precatalyst **3** is reduced to Pd^0 , extremely facile oxidative addition to Pd^0 ensuring absence of formation of Pd black,^{8c} is followed by facile reaction to give the alkene product and

regenerate Pd⁰. This allows experimental identification of the pincer precatalyst **3** at the end of catalysis,^{8c} as only a small amount of **3** is consumed.

How does this outcome fit with previous computational work on diorganoiodine(III)/palladium mediated or catalytic systems? We are aware of only three computational reports indicating roles for oxidation states >II for palladium in catalytic cycles or in a stoichiometric reaction involving diorganoiodine(III) reagents: binuclear Pd^{II}/Pd^{III} catalysis utilizing Ph₂I(BF₄) with oxidation of Pd^{II} as the rate-limiting step,^{10b} mononuclear Pd^{II}/Pd^{IV} catalysis utilizing Mes(CF₃CH₂)I(OTf) in alkyl⁺ transfer,^{11b} and a Pd^{II}/Pd^{IV} stoichiometric synthesis of benzofurans utilizing Ph(MeCH=CH)I(BF₄) in alkenyl⁺ transfer.^{12b} The current study, limited to the NCN-pincer complex Pd^{II}(NCN-*N,C,N*)(O₂CPh-*O*) ([NCN]⁻ = [2,6-(Me₂NCH₂)₂C₆H₃]⁻) (**3**), illustrates the role of a NCN-pincer-Pd^{II} complex as a *precatalyst that forms the active Pd⁰ catalyst after formation of a Pd^{IV} intermediate*. Computation herein reveals new mechanisms for (a) an NMe deprotonation reaction of a coordinated pincer ligand in a Pd^{II} complex by bicarbonate, (b) reduction of Pd^{II} to Pd⁰ involving (a) followed by bicarbonate attack on an organic ligand, and (c) extremely facile oxidative addition of Ph₂I(HCO₃) in Heck-type catalysis for arylation of alkenes. We note also several significant roles for bicarbonate, in oxidation and reduction processes, and as a ligand linked with a carboxylate group in Heck-type catalysis.

The examination of reactivity in the NCN-pincer-Pd^{II/IV} system herein indicates that, in particular for d⁶, d⁸ and pincer systems, consideration of mechanism in catalysis potentially involving oxidative processes at metal centers should include the possibility that pincer complexes can act as precatalysts via preliminary oxidation and subsequent reduction and the operation of lower oxidation state catalysis.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://.....>

Energy data, energy profiles, and Cartesian coordinates for calculated structures (PDF)

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