



Electron energy probability function and L-p similarity in low pressure inductively coupled bounded plasma

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Particle-In-Cell (PIC) simulations are carried out to investigate the effect of *discharge length* (L) and *pressure* (p) on Electron Energy Probability Function (EPPF) in a low pressure radio frequency (rf) inductively coupled plasma (ICP) at 13.56 MHz. It is found that for both cases of varying L (0.1–0.5 m) and p (1–10 mTorr), the EPPF is a bi-Maxwellian with a step in the bounded direction (x) and non-Maxwellian with a hot tail in the symmetric unbounded directions (y, z). The plasma space potential decreases with increase in both L and p , the trapped electrons having energies in the range 0–20 eV. In a conventional discharge bounded in all directions, we infer that L and p are similarity parameters for low energy electrons trapped in the bulk plasma that have energies below the plasma space potential (eV_p). The simulation results are consistent with a particle balance model.

Keywords: particle-in-cell (PIC) simulations, electron energy probability function (EPPF), inductively coupled plasma (ICP), Maxwellian distribution, particle balance, L-p similarity

INTRODUCTION

The form of electron energy probability function (EPPF) in low pressure radio frequency (RF) bounded plasma has been a subject of considerable interest [1–7]. Godyak et al. [8] looked at EPPF of inductively coupled plasma (ICP) in Argon over a wide range of pressure and power combinations. Meige and Boswell [9] looked at EPPF in an ICP to investigate the origin of the break energy at the plasma space potential. Bhattacharjee et al. [10] looked at the effect of excitation frequency. In this article we investigate the effect of varying the length and pressure of the discharge. Our aim is to see the effect of these important parameters on the EPPF, which dictates the overall discharge properties such as ionization and other rate constants.

The present research is based on an earlier work by Bhattacharjee et al. [10], where the effect of the excitation frequency on EPPF was investigated. Here we investigate the effect of the axial length (L) and the pressure (p) on EPPF of the same system, and show that any change in one of these parameters while keeping the other fixed, produces quantitatively close changes in the discharge parameters—implying that these two parameters are “self-similar” for low energy electrons.

The motivation further arises from the fact that, normally in most wave assisted plasma devices, the heating frequency is maintained constant (e.g., 13.56 MHz for rf discharges and 2.45 GHz in microwave discharges [11–13]), and two important parameters that may be varied are the system length (size) and the pressure, which can determine the dynamics of the particles (electrons and ions) present in the discharge. In many actual experiments, it may be inconvenient and quite cumbersome to vary the length of the system. In particular, it is desirable to know whether instead of varying the length of the discharge, is it possible to achieve

nearly equivalent effect (such as in the nature of EPPF) by varying the pressure of the discharge? This would be quite helpful in applications such as plasma processing or plasma sources for ion beam extraction which require optimization of discharge conditions [14, 15] to achieve a certain profile of the EPPFs.

On another viewpoint, Paschen's law shows that the discharge length and pressure are critical parameters (actually the product pL) that determine the breakdown threshold of a gas in a dc discharge. Lisovskiy et al. [16] derived a similar law for RF discharges. The interplay between the parameters L and p is important to determine the breakdown threshold for RF discharges. Therefore, the effect of L and p on the discharge, particularly on the dynamics of the electrons is the subject of the research presented in this article. The RF heating frequency is kept fixed at 13.56 MHz and the length and pressure has been varied over a wide range i.e., 0.1–0.5 m and 1–10 mTorr, respectively.

The article is organized as follows. In section II, the PIC simulation scheme is presented. The simulation results from the PIC code are presented in section III. The simulation results are compared with an analytical model in section IV. Finally, the results are summarized and conclusions from the research drawn in section V.

SIMULATION SCHEME

In the following, we discuss the PIC simulation scheme. The plasma is confined between two infinite parallel plates separated by a distance L along the spatial x direction, which is the discharge length. L is varied to investigate its effect on EPPF. Initially the neutral gas pressure is maintained at 1 mTorr, this is varied later to see its effects on EPPF. Argon has been used as the test gas. The simulation is based upon a well-known PIC scheme [17–20]

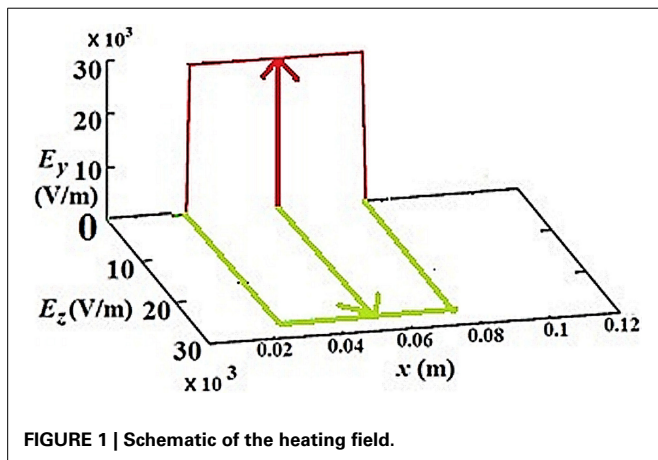
with Monte Carlo Collisions [21]. The simulation is one dimension (1D) in space (x) and three dimensional (3D) in velocities (v_x , v_y , and v_z). Accurate electron-neutral and ion-neutral collision cross sections are employed to ensure realistic simulations; data can be found in References Tachibana [22] and Pack et al. [23] for electron neutral collisions (elastic, exciting, and ionizing) and in Reference Phelps [24] for ion-neutral collisions (elastic and charge exchange). Coulomb collisions are not included in the present case as their effect would be negligible in the present low density condition.

For the present investigation, the electrons are heated with a scheme intended to model “inductive” excitation similar to that described by Turner [25]. Here, the RF heating field is localized at the center of the discharge over a length 0.05 m for all situations of different L and p . The shape of the RF electric field along the x axis is a top hat and the amplitude is typically $\sim 2.8 \times 10^4$ V/m in each direction y and z , which corresponds to a heating current density of 5 A/m^2 . The RF electric field is applied in the y and z directions perpendicular to the spatial x direction where the boundaries are located. This allows us to heat the electrons both in y and z directions, momentum and energy being transferred to the other directions via electron-neutral collisions. The amplitude of the RF electric field is taken as uniform over the length of the heating region and localized within it. In the present investigation, the heating scheme is very much similar to the previous

work by Bhattacharjee et al. [10]. The schematic of the heating field has been shown in **Figure 1**.

The simulation is allowed to run for several thousands of RF cycles in order for the system to reach equilibrium. The initial temperatures of the ions and electrons are taken as 0.026 eV (room temperature) and 3 eV , respectively. It is found that the typical time required to reach equilibrium is $\sim 40 \mu\text{s}$ after the initiation of the discharge. Each simulation particle is a “macroparticle” representing 2×10^8 actual particles. The number of cells along the x axis is 250 and the time step is $\sim 1 \times 10^{-10} \text{ s}$, which satisfies the stability and accuracy criteria for PIC scheme $\Delta t \ll 0.2/\omega_p$ and $\Delta x \ll \lambda_D$ where Δt and Δx are the temporal and spatial increments used in the simulation and ω_p and λ_D are the plasma frequency and the Debye length respectively. The typical parameter values are plasma density $\sim 2.5 \times 10^{14} \text{ m}^{-3}$, electron temperature $T_e \sim 5 \text{ eV}$, $\lambda_D \sim 10^{-3} \text{ m}$, and $\omega_p \sim 8.94 \times 10^8 \text{ rad/s}$.

The EEPFs are presented for three different velocity components v_x , v_y , and v_z and obtained at the center of the discharge. The EEPFs are measured along the abscissa and ordinate is in natural log scale so that a Maxwellian distribution yields a straight line [26]. The electron energies have a resolution $2 \times 10^{-3} \text{ eV}$ and these have been acquired after the system is well deep into the equilibrium (typically $100 \mu\text{s}$) and run for additional number of $\sim 5 \times 10^5$ time steps ($\sim 50 \mu\text{s}$) and averaged. The system is finite in the x direction and infinite in y and z directions.

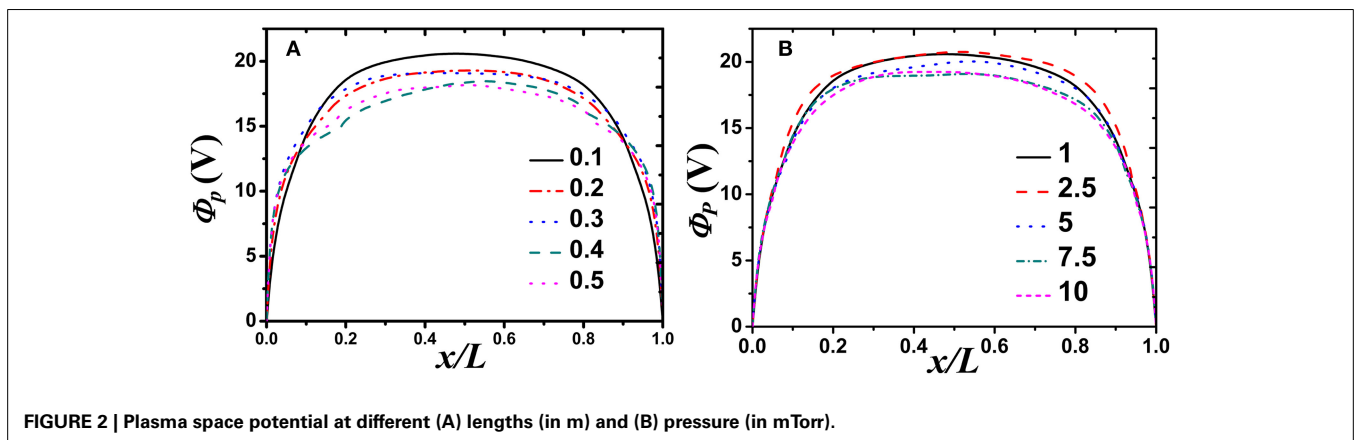


RESULTS

Keeping the heating frequency and the discharge pressure fixed at 13.56 MHz and 1 mTorr , respectively, the length of the one dimensional plasma system has been varied from 0.1 to 0.5 m . For varying pressure, the length of the system has been fixed at 0.1 m and the pressure varied in the range 1 – 10 mTorr . The plasma space potential ϕ_p has been first looked at for different L and p within the above range. **Figures 2A,B** represent ϕ_p under the above conditions.

The formation of sheath near the boundaries can be clearly seen from the profile of ϕ_p (**Figures 2A,B**). The values of ϕ_p at the center ($x/L = 0.5$) have been plotted against L and p in **Figures 3A,B**, respectively.

We note a decreasing trend of ϕ_p at the center of the discharge with increase in both L and p .



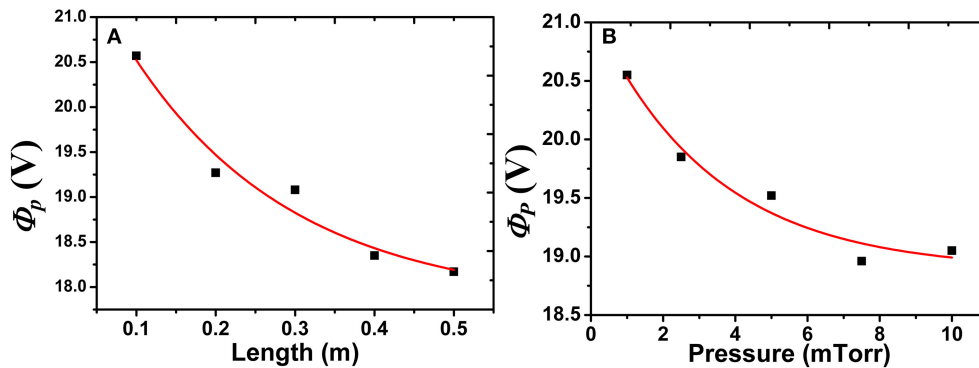


FIGURE 3 | Variation of ϕ_p at the center of the discharge with (A) length and (B) pressure.

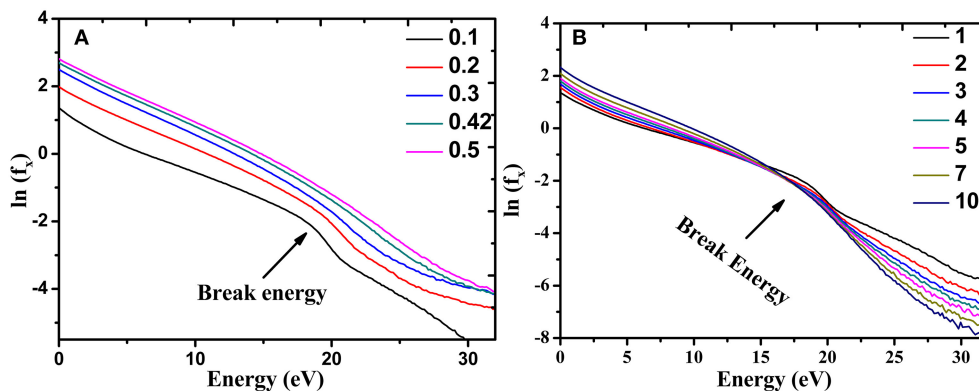


FIGURE 4 | EPPF in x direction at the center for (A) different lengths (in m) (B) different pressure (in mTorr).

Next we investigate the variation of EPPF in the x (bounded) direction at different L and p at the center of the discharge which are presented in **Figure 4**.

It is observed from **Figure 4** that the typical structure of the EPPFs in the x direction is two Maxwellian with a step and this feature is common for all L and p [10]. The break energy tracks the value of ϕ_p at the center of the plasma [9]. The EPPFs comprise of low energy trapped electrons whose energies are below the break energy (i.e., below ϕ_p) and high energy escaping electrons whose energies are above the break energy (i.e., above ϕ_p). The break energy is shown by arrows in both the figures. With increase in L , the population of low energy trapped electrons as well as high energy escaping electrons increase (cf. **Figure 4A**). With increase in p , the population of low energy trapped electrons also increases as was observed with increasing length, but the population of the high energy escaping electrons decrease. This is expected, because increase in pressure increases the collisionality of the plasma and the high energy electrons lose energy by transferring energy to the neutral atoms. From the slope of the curves, the “temperature” of the two types of electron populations have been evaluated. It has been found that the “temperature” of the low energy trapped electrons is higher than the “temperature” of the escaping high energy electrons as plotted against L and p in **Figure 5**. This typical structure of the EPPF has also been reported in experiments by Godyak et al. [8].

The variation of T_e of both trapped and escaping electrons in a magnetically expanding current free double layer (DL) plasma was also investigated by Takahashi et al. [27]. The same structure of EPPF and the same behavior of T_e has been reported in that article too (Figure 3 of reference 27).

Next we will discuss the variation of EPPF in y and z (unbounded, where there is no sheath) directions for different values of L . All the measurements have been taken at the center of the discharge.

We present a comparative study of EPPF’s in y direction only (**Figure 6**) as it has the same shape as that of the z direction [10]. From **Figure 6**, it is observed that for all L and p under consideration, the nature of the EPPF is more linear (in the energy range 0–30 eV) compared to that of the EPPF in the x direction where the EPPFs are a two Maxwellian with a step. However, a tail region populated by high energy (“hot”) electrons (with energies above 30 eV) is observed which from now on will be referred to as a “hot tail.” The break energy is not as demarcated as in x direction.

From **Figure 6A**, it can be observed that, with an increase in L , the population of both low energy bulk electrons and the high energy tail electrons increases indicating that the heating effect is greater at larger lengths. From **Figure 6B**, we observe that with increase in pressure p , the population of the low energy electrons

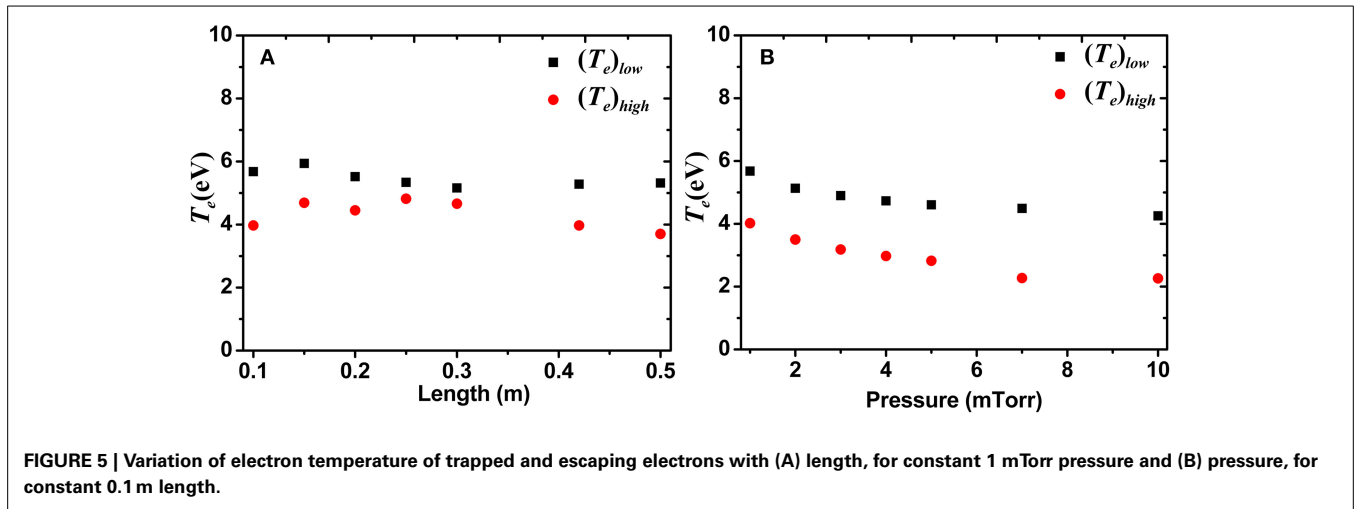


FIGURE 5 | Variation of electron temperature of trapped and escaping electrons with (A) length, for constant 1 mTorr pressure and (B) pressure, for constant 0.1 m length.

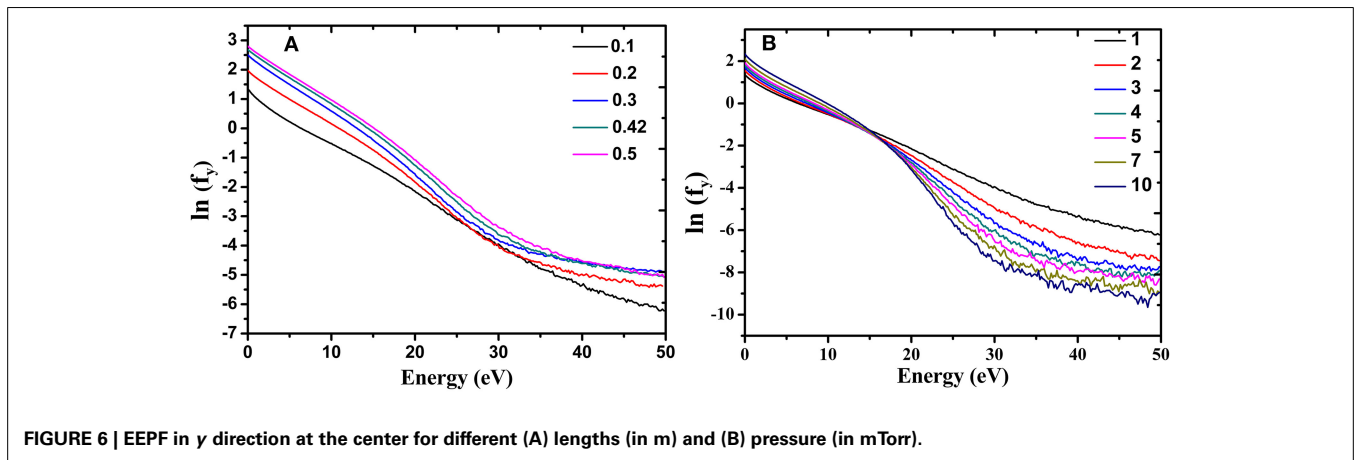


FIGURE 6 | EPPF in y direction at the center for different (A) lengths (in m) and (B) pressure (in mTorr).

increase in a similar manner with varying length, however, the hot tail diminishes with increase in pressure as was seen earlier for the case of EPPF in the x direction. The electron temperature in the two parts of the distribution (low energy electrons and the hot tail) has been found from the slope of the curve and is plotted in Figure 7.

We observe that with increase in L and p , the temperature of the high energy electrons in the tail region [labeled as $(T_e)_{high}$ in Figure 7] increases quite rapidly. But the temperature of the low energy electrons [labeled as $(T_e)_{low}$ in Figure 7] shows a slight decrease with increase in both L and p . $(T_e)_{high}$ tends toward $(T_e)_{low}$ at smaller lengths and pressure, indicating that the distribution becomes more and more Maxwellian with diminished hot tail at smaller L and p .

L-P SIMILARITY: COMPARISON WITH AN ANALYTIC MODEL

The discharge parameters behave in equivalent manner with changes in L and p . ϕ_p is less for both higher length and pressure (Figures 1, 2). This is evident because with increase in both L and p , the temperature of high and low energy electrons decreases. The observed effects on the EPPFs for both varying L and p have been verified by writing the particle balance equation for

cylindrical plasmas [28] for our one dimensional (1-D) plasma system. As there is no radial loss, we neglect the radial terms.

For our 1-D system, we equate the total surface particle loss to total volume ionization as follows:

$$2u_B h_L = K_{iz} n_g L, \quad (1)$$

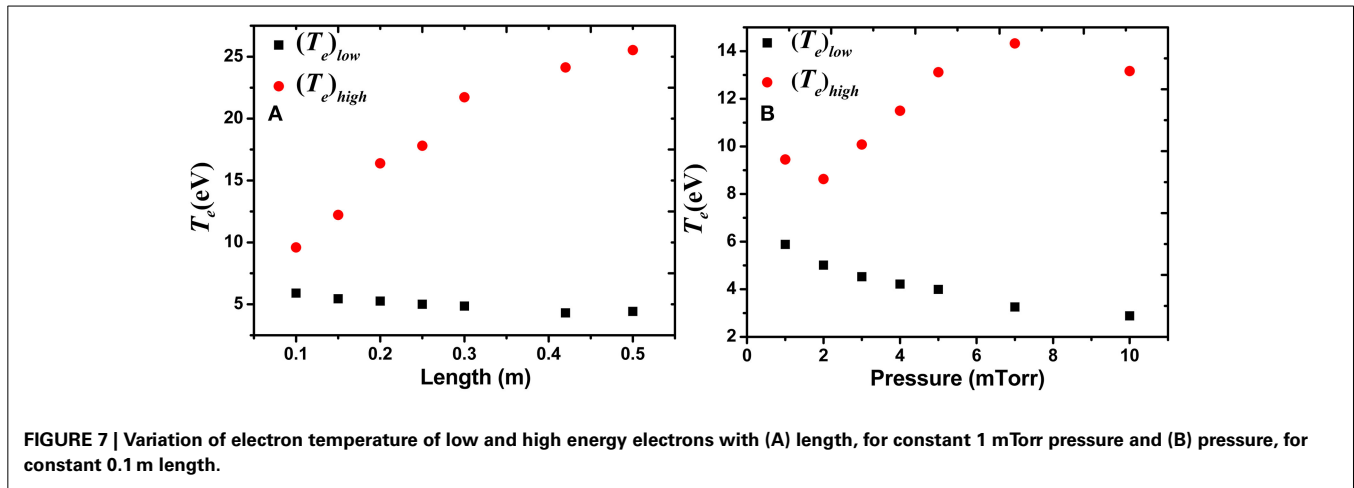
where K_{iz} , n_g , L , and u_B are ionization rate constant, gas density, plasma length and Bohm velocity respectively. h_L is the axial edge to center density ratio [28] with

$$h_L = 0.86(3 + L/2\lambda_i)^{-1/2}, \quad (2)$$

where, λ_i = ion mean free path given by $\lambda_i = \frac{1}{n_g \sigma_i}$, σ_i is the ion-atom scattering cross section $\sim 10^{-14}$ cm² (for low energy ions, 0.026 eV). The ideal gas equation is:

$$p = n_g K_B T, \quad (3)$$

where p is the neutral gas pressure, n_g is the neutral gas density, T is the temperature of the gas taken to be 25°C or 298 K in the simulations.



Using this, $\lambda_i = \frac{1}{330p}$ cm, where p is in Torr.

From Equation (1) we obtain,

$$\frac{K_{iz}}{u_B} = \frac{2h_L}{Ln_g} \quad (4)$$

The Bohm velocity

$$u_B = \sqrt{\frac{eT_e}{M}} \quad (5)$$

T_e is the electron temperature, M is the ionic mass: 39.948 a.u. for Argon. Equation (4) can be rewritten as,

$$\frac{K_{iz}(T_e)}{u_B(T_e)} = \frac{1}{n_g d_{eff}}, \quad (6)$$

where $d_{eff} = \frac{L}{2h_L}$ is the effective plasma size.

For ionization, $K_{iz}(T_e) = \langle \sigma_{iz}(v) v \rangle_{>v}$, where σ_{iz} is the ionization cross section. The average is done over the distribution found from the PIC simulations. Hence,

$$K_{iz}(T_e) = \int_0^\infty \sigma_{iz}(v) v f(v) d^3v \quad (7)$$

where $f(v)$ is normalized as,

$$\int_0^\infty f(v) d^3v = 1 \quad (8)$$

For ionization, we expand the Thomson cross section $[\sigma_{iz}(v)]$ around the ionization threshold energy E_{iz} to obtain,

$$\sigma_{iz}(E) = \sigma_0 \frac{E - E_{iz}}{E_{iz}} \text{ for } E > E_{iz} \quad (9a)$$

$$= 0 \text{ for } E < E_{iz} \quad (9b)$$

where $\sigma_0 = (e/4\pi\epsilon_0 E_{iz})^2$ and $E = \frac{1}{2} \frac{mv^2}{e}$. With e and m being the electron charge and mass respectively.

Using the form of ionization cross section of Equation (9), K_{iz} has been evaluated from Equation (7) in terms of energy. For calculating u_B from Equation (5), we have used the weighted average of the electron temperature T_e of the two population (trapped and escaping) of electrons, found from the PIC EEPFs. After calculating K_{iz} and u_B , the quantity $n_g d_{eff}$ has been evaluated from Equation (6).

For analytical calculations, the variation with pressure of the neutral gas density n_g has been found from the ideal gas equation (Equation 3). We can obtain d_{eff} from the equation, $d_{eff} = \frac{L}{2h_L}$ where h_L can be found from Equation (2). For both varying L and p , we have calculated the product $n_g d_{eff} = \frac{pL}{2K_B T_{HL}}$. The analytical value of $n_g d_{eff}$ is plotted with the values obtained from the simulations (Equation 6) (calculated from K_{iz} and u_B) against L and p in **Figures 8A,B**, respectively for varying L and p .

It is therefore seen that the simulated and the analytical results are nearly the same for both varying L and p .

Figure 8 shows an agreement of the simulated results with a global analytical model. Equation (4), obtained from the particle balance Equation (1) may be further explored for combining data obtained at different L and p . We rewrite Equation (4) as follows:

$$\frac{K_{iz}}{u_B} = \frac{2h_L}{Ln_g} = \frac{2 \times 0.86kT}{Lp\sqrt{3 + \frac{L}{2\lambda_i}}} = \frac{2 \times 0.86kT}{pL\sqrt{3 + \frac{330pL}{2 \times 10^{-2}}}}, \quad (10)$$

where h_L been taken from Equation (2) and n_g from the ideal gas equation (Equation 3) and $\lambda_i = \frac{1}{330p}$ cm = $\frac{10^{-2}}{330p}$ m.

We look at the behavior of the left hand side of Equation (10) with the product pL for different p 's and L 's under consideration. **Figure 9** presents the behavior. In **Figure 9**, $\frac{K_{iz}}{u_B kT}$ in Equation (10) has been plotted against $\frac{pL}{pL_{max}}$ where pL_{max} is the maximum value of pL for the either work conditions. The product pL is thus normalized to match the range of pL values for varying p and L .

From **Figure 9**, we note that the behavior of $\frac{K_{iz}}{u_B kT}$ in Equation (10) follows the same trend with varying L and p . The data sets for varying p and varying L while keeping the other parameter fixed, have been indicated by red circles and black squares respectively in **Figure 9**. Thus, even if we have used the same sets of values

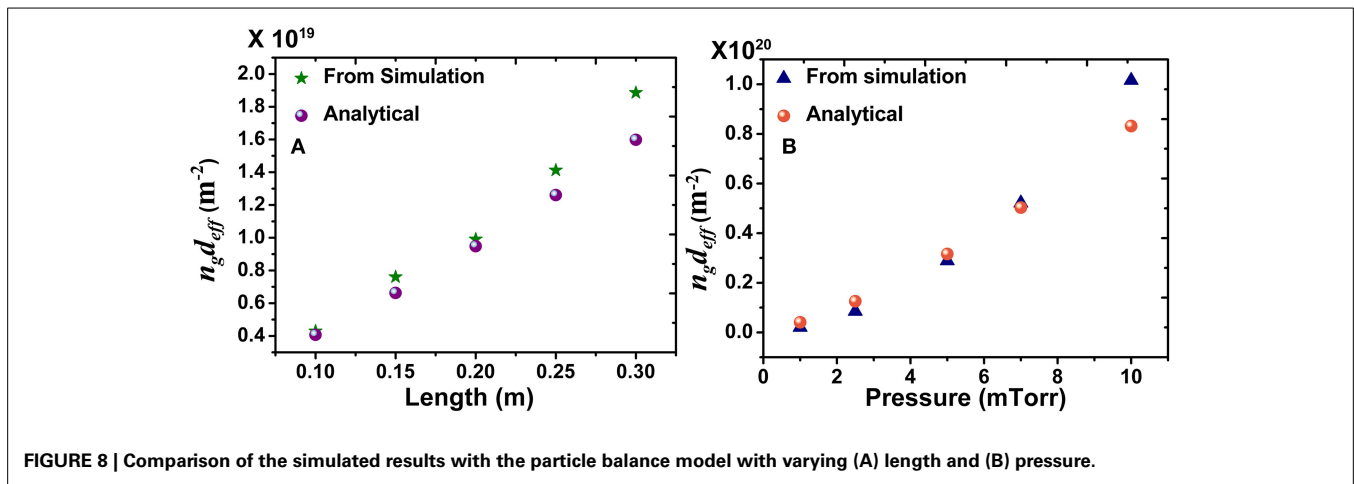


FIGURE 8 | Comparison of the simulated results with the particle balance model with varying (A) length and (B) pressure.

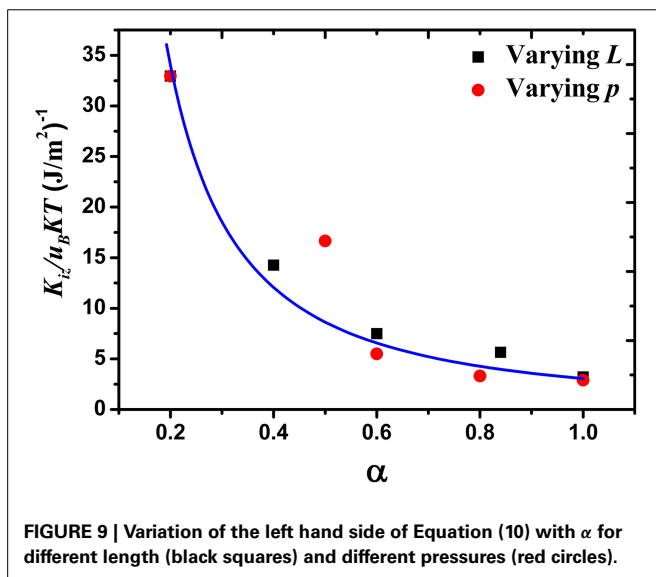


FIGURE 9 | Variation of the left hand side of Equation (10) with α for different length (black squares) and different pressures (red circles).

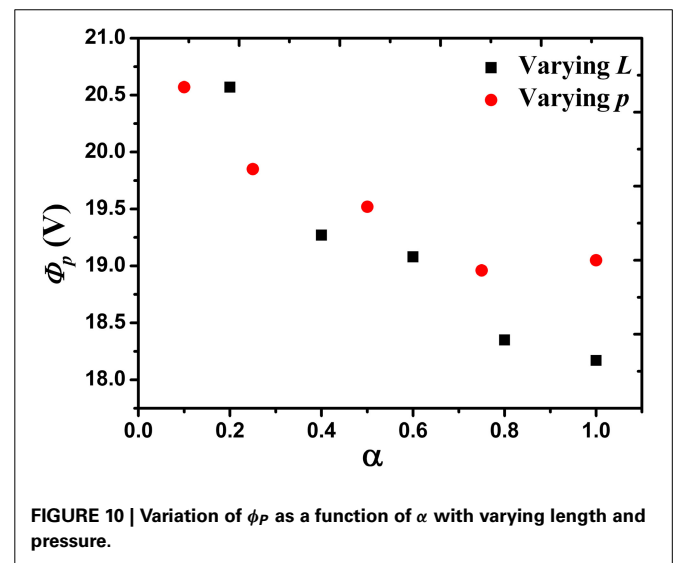


FIGURE 10 | Variation of ϕ_p as a function of α with varying length and pressure.

of the product pL while varying L and p keeping other fixed, the absolute value of the quantity $\frac{K_{iz}}{u_p kT}$ is different for the two data sets because it has been obtained from the simulated EEPFs that differs for the two data sets. But both the variations can be fitted with a single universal curve (shown by blue curve in Figure 9) obtained from the right hand side of Equation 10. Thus, it behaves in a qualitatively similar manner with the product pL .

In the light of the above, we now look at the variation of the plasma parameters i.e., the space potential, and the electron temperature as a function of α (normalized pL).

Figure 10 shows such variation of ϕ_p .

We observe a qualitative agreement of the two variations as predicted by Equation 10 through Figure 9. Now we look at the variation of T_e for both low energy electrons found from EEPF in x and y direction.

From Figure 11 we note that the temperatures of low energy electrons (found both from EEPF in the x and y direction) behave in a qualitatively similar manner with varying L and p . However, we recall our observations from Figures 4, 6 that with increasing

L and p , the high energy part of the EEPFs (population of high energy escaping electrons in EEPF x and the hot tail in EEPF y) do not behave in a similar manner. So by observation, we can say that the parameters p and L are approximately similarity parameters for the low energy electrons trapped in between the sheaths.

Finally, we have looked at the plasma electron density obtained by integrating the EEPFs over all velocities or energies. Figure 12 shows the results.

Both the results follow the same trend and is consistent with the behavior of electron temperature.

The similarity in the variation of T_e with both L and p essentially arises from the collisionality of the electrons with the neutral particles.

The changes in the electron temperature with L and p , determine the modification of the electron energy distributions with L and p as presented in the manuscript. It is seen directly from Figures 5, 11, and indirectly from Figures 9, 10 that the electron temperature increases as the system gets shortened or the pressure is decreased. This can be explained by the ratio of mean free path

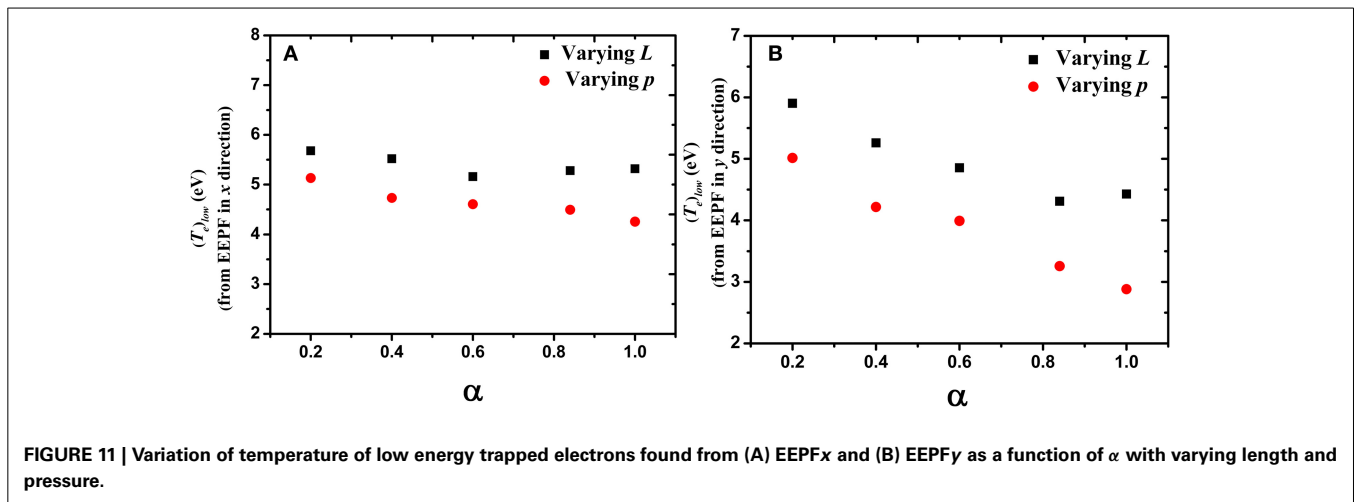


FIGURE 11 | Variation of temperature of low energy trapped electrons found from (A) EEPFx and (B) EEPFy as a function of α with varying length and pressure.

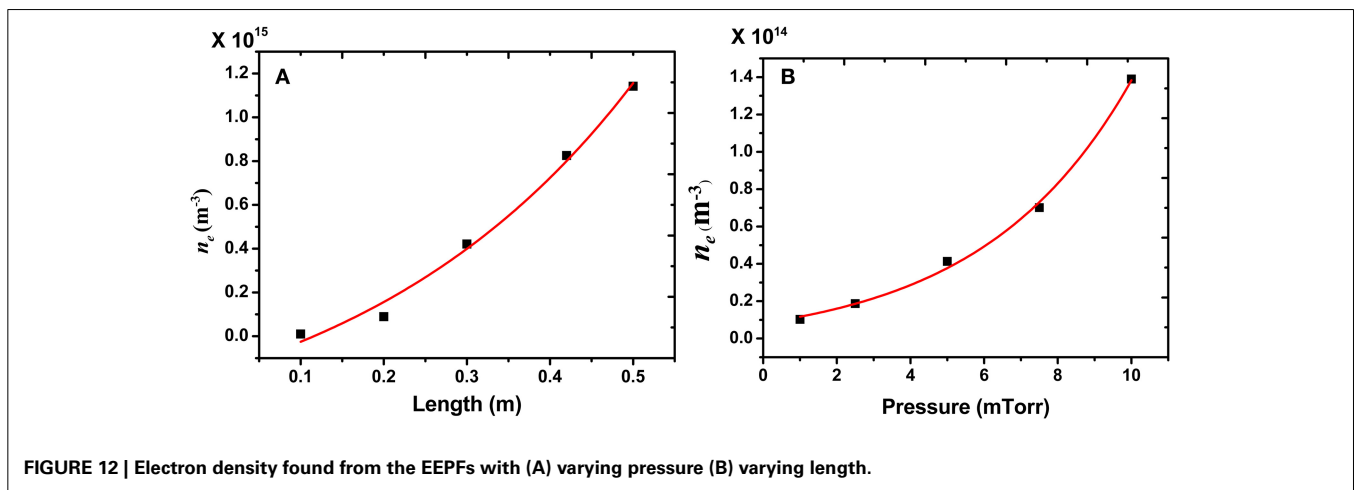


FIGURE 12 | Electron density found from the EEPFs with (A) varying pressure (B) varying length.

to the system length (λ/L : λ being the mean free path and L be the system length). λ is inversely proportional to n_g , the neutral gas density. From the ideal gas equation (Equation 3), we see that for a system with lower pressure, n_g is lower and this implies a larger λ . So with decrease in pressure, λ approaches the system length L and the ratio λ/L approaches one. This decreases the probability of collisions, and the electrons suffer less number of collisions and less energy loss happens. Therefore, the electron temperature is higher at a lower pressure. In a similar manner, if the pressure is kept fixed and the system is shortened, λ approaches L , and similarly, the electrons suffer fewer collisions leading to lesser loss of energy. A consequence of this is rise in electron temperature. This further confirms the role of similarity of the two parameters L and p . In summary in both cases, the ratio λ/L changes in a similar manner and thus changes the electron temperature which causes modification in the EEPFs.

The shape of the distributions in the two directions [bounded: x , and unbounded: y (or z)] may be understood as follows. The results indicate that for different L and p under consideration, the EEPFs in the x direction has a shape of a bi-Maxwellian with a step and that in the y (or z) direction it is a non-Maxwellian with a hot tail. The sheaths are formed only in the x direction. So, along

the x direction, the EEPFs comprise primarily of two parts: the first part corresponds to electrons having energies less than the plasma potential which remains trapped in the bulk plasma. And the second part consists of electrons having energies higher than ϕ_p , most of which escapes. As the EEPFs have been obtained at the center of the discharge, the population of the electrons corresponding to the second part are quite small compared to the trapped ones of the first part. In the y and z directions, the system is unbounded. No sheaths are formed and therefore ϕ_p has no role in electron trapping and no break energy is observed. Therefore, the nature of the distribution changes.

SUMMARY AND CONCLUSIONS

We summarize the results obtained from the PIC simulations as follows.

WITH VARYING LENGTH

The EEPFs in the x direction is a bi-Maxwellian with a step for all lengths and the EEPFs in y direction are more linear with a hot tail, which becomes more prominent with increasing length. The shape of the EEPFs in the unbounded y and z directions are the same. For EEPF in the y direction the temperature of the high

energy tail electrons increases rapidly with length whereas the temperature of the cold electrons decreases slightly. The behavior of the low energy trapped electrons in the x direction and the low energy electrons in the y direction have been analyzed with a particle balance model and the overall trend seems to match rather well.

WITH VARYING PRESSURE

The EEPFs in the x direction is a bi-Maxwellian with a step. The EEPFs in the y direction are more linear with a hot tail. However, the hot tail diminishes as the pressure is increased. The temperature of the low energy trapped electrons decreases slightly with increase in pressure. This has been analyzed with the same particle balance model and an agreement is seen.

The electron temperature of the low energy trapped electrons behaves in a qualitatively similar manner with varying L and p , however, this agreement is not so pronounced for high energy escaping electrons.

The results indicate that the low energy electrons that are trapped in between the sheaths, behave in the same manner with varying discharge length and pressure. Both effects obey the same particle balance model of a 1-dimensional plasma. Since a normal discharge will be bounded in all sides we can safely conclude that L and p are similarity parameters for all the low energy electrons trapped in between the sheaths. In the analytical model, we represent the discharge L and p in terms of effective length (d_{eff}) and neutral density (n_g) and we have shown that the product $n_g d_{eff} = \frac{uB}{K_{iz}}$ is an important parameter in determining the dynamics of the discharge. In other words, the behavior of the EEPFs of different systems will be the same as long as the product $n_g d_{eff}$ remains intact.

The similarity between the discharge length (L) and pressure (p) is of fundamental importance. It will be helpful for research and applications in plasma physics as mentioned in the introduction section.

ACKNOWLEDGMENTS

SC is grateful to Debapasad Sahu and Shail Pandey, senior research scholars, waves and beams laboratory, IIT Kanpur, for valuable discussions. We thank the staff of Computer Centre, IIT Kanpur for their kind help which could make this work possible. In this work, we have used a modified version of the PHOENIX 1D code developed by T. Lafleur. We acknowledge fruitful discussions with T. Lafleur and A. Meige.

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Conflict of Interest Statement: The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

Received: 16 October 2014; accepted: 06 February 2015; published online: 24 February 2015.

Citation: Chatterjee S, Bhattacharjee S, Charles C and Boswell R (2015) Electron energy probability function and L-p similarity in low pressure inductively coupled bounded plasma. *Front. Phys.* 3:7. doi: 10.3389/fphy.2015.00007

This article was submitted to Plasma Physics, a section of the journal Frontiers in Physics.

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