Historical data and modern methods reveal insights in measles epidemiology: a retrospective closed cohort study

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ABSTRACT

Objectives: Measles was endemic in England during the early 1800s; however, it did not arrive in Australia until 1850 whereas other infectious diseases were known to have arrived much earlier—many with the First Fleet in 1788—leading to the question of why there was a difference.

Design: Ships surgeons' logbooks from historical archives, 1829–1882, were retrospectively reviewed for measles outbreak data. Infectious disease modelling techniques were applied to determine whether ships would reach Australia with infectious measles cases.

Setting: Historical ship surgeon logbooks of measles outbreaks occurring on journeys from Britain to Australia were examined to provide new insights into measles epidemiology.

Primary and secondary outcome measures: Serial intervals and basic reproduction numbers (R₀), immunity, outbreak generations, age-distribution, within-family transmission and outbreak lengths for measles within these closed cohorts.

Results: Five measles outbreaks were identified (163 cases). The mean serial interval (101 cases) was 12.3 days (95% CI 12.1 to 12.5). Measles R₀ (95 cases) ranged from 7.7–10.9. Immunity to measles was lowest among children ≤10 years old (range 37–42%), whereas 94–97% of adults appeared immune. Outbreaks ranged from 4–6 generations and, before 1850, were 41 and 38 days in duration. Two outbreaks after 1850 lasted longer than 70 days and one lasted 32 days.

Conclusions: Measles syndrome reporting in a ship surgeon’s logs provided remarkable detail on pre-vaccination measles epidemiology in the closed environment of ship voyages. This study found lower measles R₀ and a shorter mean clinical serial interval than is generally reported. Archival ship surgeon log books indicate it was unlikely that measles was introduced into Australia before 1850, owing to high levels of pre-existing immunity in ship passengers, low numbers of travelling children and the journey’s length from England to Australia.

INTRODUCTION

Measles was endemic in England during the early 1800s but Australian government
records suggest that measles was only introduced after 1850 despite regular shipping contact between the two countries (figure 1). The early absence of measles was remarkable as diphtheria, scarlet fever and whooping cough exacted a great toll on European settlers and Aboriginal Australians in early colonial times, following the arrival of the first fleet to Australia in 1788. It has been suggested that Australia was initially protected against measles because of its high infectivity with outbreaks possibly burning out during the voyage to Australia, which could take up to 8 months. To prevent outbreaks on voyages, passengers were subjected to a medical examination and not allowed to travel if they were deemed to be harbouring an infectious disease. However, with Britain’s Whig Government assuming power (1846–1852) with a strong mandate for Free Trade and the introduction of a Bill to Repeal the Navigation Acts, 1849, British shipping lines bought faster and larger American ships to carry passengers and cargo to Australia. These fast clippers were introduced on the England to Australia route around 1850, shortening the journey from approximately 180 days to less than 100 days and they carried as many as 1000 passengers. The discovery of the Great Circle Route in this period—a non-stop southerly route from England to Australia—further shortened the journey time, while the Australian gold rush during the early 1850s resulted in a large influx of immigrants (370 000 in 1852 alone). Previous limits on the number of children allowed to immigrate to Australia—a couple could not receive assisted passage if they had more than three children under 10 years of age and two under seven, were lifted in 1852.

In the 1800s, surgeon superintendents on sea voyages to Australia recorded symptoms, treatments and outcomes of individuals by age and sex in logbooks. The sentinel features of the measles syndrome (rubeola) were well standardized in medical texts by the 1800s allowing differentiation from other illnesses. In 1864, Dr Aitken accurately described measles: “The eruption in crops of a crimson rash, consisting of slightly elevated minute dots, disposed in irregular circular forms, or crescents; preceded by catarrhal symptoms for about four days, and accompanied with fever. It affects the system only once; and sometimes prevails as an epidemic. The eruption lasts six or seven days, and the whole duration of the disease is completed in from nine to twelve days”. Disease infectivity is expressed by its basic reproduction number ($R_0$). Measles has an $R_0$ of 12–18. Serial intervals are commonly used to estimate $R_0$ and, for measles, this ranges from 6–29 days with 14 days commonly applied. Outbreaks linked to a single index case occurring in closed cohorts, such as island settings, or entirely susceptible populations have provided informative epidemiological data. A small number of historical prevaccination outbreaks provided measles parameter estimates but ambiguity remains over incubation periods and clinical serial intervals. Surviving surgeon superintendents’ logbooks from long voyages provide a unique historical record of medical events, effectively providing data on outbreaks in a closed cohort with a known number of susceptibles and a fixed population. These data were used to estimate measles parameters including serial intervals and basic $R_0$, age distributions, immunity and length and generations of outbreaks. The likelihood of measles reaching Australia before 1850 was also investigated.

Figure 1 A burial at sea*. November 1880, The Illustrated Australian News. Used with permission of the State Library of Victoria, Australia.
METHODS

We identified measles (rubeola) outbreaks in surgeon superintendents’ logbooks for the period 1829–1882. Generally, disease cases were summarised by week of voyage, with the number and gender of passengers and crew, length of voyage and the number of children aged either less than 10 or 14 years of age. One author (BP) searched microfiche Admiralty records held under the Australian Joint Copying Project in the National Library of Australia,24 Canberra; summaries of measles outbreaks in immigrant ships papers25 held at the Adelaide Research Centre; and surgeons’ reports26 located at the Sydney Records Centre. References to measles outbreaks in other archival records, including other logbooks, diaries or outbreak inquiries, were also examined. The reports or log books were incomplete and represent only a small number of voyages to Australia, hence only a small subset of measles epidemics for this period have been able to be examined. Only measles outbreaks where detailed data were available were included in the analysis.

Microsoft Excel 2003, Microsoft Excel 2007 and Stata 11 were used to calculate immunity, age distribution, case death rates, serial intervals (time between the onset of measles syndrome symptoms in one generation to the onset of symptoms in the next generation, assuming that cases were assessed soon after symptom onset17), generations of outbreaks, length of outbreaks, immunity levels and basic $R_0$ separately for each cohort where a measles outbreak was identified and the required data were available.

Average serial intervals and 95% confidence levels were calculated using individual serial intervals between each generation which contained case level data. Stata 11 was used to calculate CIs. We defined a serial interval as the time between the onset of measles syndrome symptoms in one generation to the onset of symptoms in the next generation,17 as recorded by the surgeon superintendents. It was assumed that cases were seen by the surgeon superintendent soon after onset of symptoms. Individual generations were identified by visually inspecting the data to identify separate ‘waves of infection’ and confirming that these were plausible by checking them to ensure that they were within the range of known measles serial intervals, which range between 6 and 29 days.17 We assumed that passengers with the same names were members of the same family group and living in close contact. Where ‘family members’ were infected within one serial interval range of an infected ‘family member’, we assumed the family member was the source of infection and used their onset date to calculate the serial interval. Cases were assigned to a ‘generation’ based on these data and assumptions.

Outbreak length was considered as the time between measles clinical symptom onset in the first and last cases. Owing to measles’ high infectivity and the confined ship environment, we assumed that all susceptible individuals became infected during an outbreak that is, the number of susceptibles at the beginning of the outbreak was equal to the total number of cases during the outbreak.27

The basic $R_0$ (average number of secondary cases generated by a primary case in a completely susceptible population)12 was estimated based on the assumption that the number of susceptibles and population were known. The effective reproduction number ($R$) is the number of new infectious hosts in a combined population of people immune or susceptible. $R$ is the product of $R_0$ times the proportion ($x$) of the contacts made with susceptibles: $R = R_0x$. If $R_0 = 18$ for measles and 85% of the population is immune and 15% are susceptible, then the effective reproduction number is $R = 18 \times 0.15 = 2.7$. A case of measles would produce on average only 2.7 cases rather than 18 in this population. Using the $Solver$ function in Excel and data from each outbreak, the basic $R_0$ was estimated by determining the values that maximised the likelihood of the entire outbreak (the product of the probabilities for each generation).

Using the outbreak data, and assuming random population mixing the probability of infection of susceptibles in each generation was estimated using the formula:

$$p(\text{infection}) = 1 - (1 - (R_0/P))^{ci}$$

where $R_0 =$ reproduction number, $P=$ ship population, and $ci =$ cases in each generation (Gay NJ. Personal Communication. London: UK Health Protection Agency, 2008). The probability of infection was the probability that a susceptible individual would become infected in each generation. The risk that a susceptible individual would become infectious between time $t$ and $t+1$ was given by the Reed-Frost stochastic model formula15:

$$\lambda_t = 1 - (1 - p)^h$$

where $\lambda_t =$ the risk that a susceptible individual becomes infected in the next time interval, $p =$ the probability that two specific individuals come into effective contact and $h =$ the number of infectious individuals at timet. We assumed homogenous mixing of the ship population.

A Reed-Frost stochastic model, using the CRITBINOM and random number generation function in Excel, was used to establish the likelihood that infectious measles cases would be on a ship when it reached Australia. This model predicted the number of secondary cases in each generation and determined the likely length of each outbreak. The CRITBINOM function simulated a binomially distributed random number and introduced chance into the model.15 Parameters used in this model were the number of index cases; number of susceptible individuals; expected basic $R_0$ (calculated in the previous step) and ship population. One thousand simulations of each outbreak were then run to determine the most likely number of generations of measles cases for these parameters. The simulation results were graphed as the probable distribution of outbreak generations relative to the voyage duration.
RESULTS
Twenty-nine surgeons’ logbooks of emigrant voyages to Australia (1837–1847), 323 immigrant ship papers (1848–1885) and six reports by ships surgeons during immigrant voyages were identified and reviewed. In addition, 160 logbooks of convict ships from 1816–1849 were briefly examined, but as most passenger lists did not include children, they would not sustain outbreaks. Five ships with detailed measles syndrome outbreak data were identified during the period 1829–1885, with a total of 163 cases (table 1). None of these ships had ports of call after leaving the British Isles and before arriving in Australia. Four of these outbreaks occurred on emigrant ships: the ‘Garrow’, the ‘Roslin Castle’, the ‘Trevelyan’ and the ‘Duntrune’, and one on the convict ship ‘America’. The number of measles cases on each ship ranged from 6–52. Passenger and crew numbers ranged from 175–487, with voyages after 1850 having larger passenger numbers. The proportion of children on voyages ranged from 20–25%.

Infections by age group and sex
Surgeons’ logbooks for three ships, ‘America’, ‘Garrow’ and ‘Roslin Castle’, were comprehensive enough to allow detailed outbreak description, with the median age of cases being 6 years (range <1–31). Epidemic curves of the outbreaks on the ‘America’ (figure 2), ‘Garrow’ (figure 3) and ‘Roslin Castle’ (figure 4) display distinctive clusters of measles cases. Three cases on the ‘America’ were children, but no information was available on the total number of children on board. Case fatality rates could only be estimated for the ‘Garrow’ outbreak, where 9% (4/43) of cases died.

Serial intervals and generations
Serial intervals on the ‘America’, ‘Garrow’ and ‘Roslin Castle’ ranged from 4–20 days, with a mean of 12.3 days (95%CI 12.1 to 12.5) (table 2). All outbreaks started with 2–3 coprimary cases rather than a single index case and lasted for 3–4 generations, with only three on the ‘Roslin Castle’, which had the most cases recorded (n=52). Based on the estimated outbreak length (70 +days) on the ‘Trevelyan’ and ‘Duntrune’ and estimated mean serial interval of 12.3 days, it is likely that there were five to six generations of measles infections during these outbreaks.

Immunity to infection by age group
Measles immunity estimates ranged from 81% to 97% among all passengers (table 1). On the ‘Garrow’ and the ‘Roslin Castle’, immunity was highest among adults with a range of 94–97%. In children, immunity estimates ranged from 37% (19/52) of children under 10 years of age on the ‘Garrow’ to 42% (31/74) of children under 14 years on the ‘Roslin Castle’. Both the ‘Trevelyan’ and ‘Duntrune’ had measles cases peaking in the last week of the voyage and the ‘Trevelyan’ had measles cases on...
arrival in Australia suggesting that susceptibles were not exhausted during the voyage.

**Basic reproduction number**

\(R_0\) was calculated for the ‘Garrow’ and ‘Roslin Castle’ (table 2), being 8.7 and 10.9, respectively. It was not possible to estimate \(R_0\) on the America because it is unknown whether the guard’s children, accounting for half the cases, would have mixed with convicts hence not meeting our assumption of homogeneous mixing. Further, the \(R_0\) on the ‘Trevelyan’ and ‘Duntrune’ could not be estimated.

**Outbreak duration**

Outbreaks before 1850 lasted for 38 and 41 days, whereas in the late 1800s one outbreak lasted 32 days, and two (‘Trevelyan’ and ‘Duntrune’) exceeded 70 days (with one likely continuing during quarantine in Australia). Voyages to Australia ranged from 84–180 days, with shorter journey times after 1850.

**Stochastic measles outbreak modelling**

Figures 5–7 shows the modelled, possible number of outbreak generations, following the initial introduction of measles cases, as occurred during the actual voyages, and compares these to the actual length of voyage (shaded section of figures 5–7). Figure 5 shows that following the introduction of three infectious cases into the susceptible population on the ‘America’ in 1829, 100% \((n=1000)\) of probable outbreaks would be less than the journey length of 180 days. Figure 6 shows that following the introduction of three infectious cases into the susceptible population on the ‘Garrow’ in 1838, 92% \((n=920)\) of probable outbreaks would be less than the journey length of 116 days. Following the introduction of two infectious cases into the susceptible population on the ‘Roslin Castle’ in 1882, 51.5% \((n=515)\) of probable outbreaks would be less than the journey length of 91 days (figure 7).

**DISCUSSION**

Despite efforts to restrict individuals and families with infectious diseases travelling on ships from Britain to Australia in the 19th century, outbreaks of infectious
diseases, including measles, occurred on some voyages. The introduction of fast clippers able to carry up to 1000 passengers coincides with the first recorded case of measles in Australia in 1850. Cumpston notes extracts from the Quarantine Station in Sydney which record the increasing numbers of ships after this time who reached port with either measles cases or who had measles deaths during the quarantine period. It is likely that the briefer journey period and the greater number of passengers on board ship allowed the transmission of measles to continue throughout the journey, with some passengers continuing to be infectious on arrival in Australia. The increased numbers of child passengers following the removal of the restrictions on the number of children and the large influx of immigrants to the gold rush would also have contributed to the spread of measles.

Probability of measles reaching Australia before 1850
Cumpston makes a compelling case that although measles was frequently recorded on ships before 1850, no infectious measles cases reached Australia. The possibility of an earlier epidemic in Sydney in 1834 and in Hobart in 1835 has been suggested by Donovan, although there was dissension among medical practitioners at the time as to whether the disease in question was measles, scarlet fever or some other infection. Cumpston notes that, from available references, there are many reports from officials documenting the lack of measles cases in the various Australian colonies. Once measles did reach Australia in 1850, the outbreaks were obvious and no longer disputed among the medical fraternity. Following its probable, initial introduction into Victoria, Australia in 1850, measles spread across the country and was recorded in New South Wales in 1853.

Table 2 Serial intervals, probability of infection, R0 and R for measles infections on the ships ‘America’, ‘Garrow’ and ‘Roslin Castle’ travelling from England to Australia, 1838–1882

<table>
<thead>
<tr>
<th>Ship</th>
<th>Generation</th>
<th>Days into voyage</th>
<th>Measles cases</th>
<th>Mean (95% CI)</th>
<th>Probability of infection</th>
<th>Basic reproductive number (R0) (outbreak)</th>
<th>Effective reproductive number (R) (outbreak)</th>
</tr>
</thead>
<tbody>
<tr>
<td>America*</td>
<td>1</td>
<td>24–27</td>
<td>3</td>
<td></td>
<td>0.34</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>43</td>
<td>1</td>
<td>17 (12.7 to 21.3)</td>
<td>0.13</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td></td>
<td>3</td>
<td>54</td>
<td>1</td>
<td>11</td>
<td>0.13</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td></td>
<td>4</td>
<td>65</td>
<td>1</td>
<td>11</td>
<td>0.13</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Garrow</td>
<td>1</td>
<td>11–14</td>
<td>3</td>
<td>–</td>
<td>0.22</td>
<td>7.1</td>
<td>1.3</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>22–28</td>
<td>8</td>
<td>12 (11.2 to 13.1)</td>
<td>0.22</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td></td>
<td>3</td>
<td>35–41</td>
<td>25</td>
<td>13 (12.7 to 13.5)</td>
<td>0.54</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Roslin Castle</td>
<td>1</td>
<td>4–9</td>
<td>2</td>
<td>–</td>
<td>0.05</td>
<td>10.9</td>
<td>1.6</td>
</tr>
<tr>
<td></td>
<td>2</td>
<td>14–24</td>
<td>21</td>
<td>11 (10.3–12.5)</td>
<td>0.47</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td></td>
<td>3</td>
<td>28–36</td>
<td>29</td>
<td>13 (13.0–13.6)</td>
<td>0.59</td>
<td>–</td>
<td>–</td>
</tr>
<tr>
<td>Combined voyages</td>
<td>3–4</td>
<td>–</td>
<td>101</td>
<td>12.3 (12.1–12.5)</td>
<td>–</td>
<td>–</td>
<td>–</td>
</tr>
</tbody>
</table>

*The convict ship ‘America’ continued to take prisoners on board until day 27 of the voyage. The first three measles cases were put ashore at Woolwich, England on day 27.
Tasmania in 1854, Queensland in 1857, South Australia in 1859 and Western Australia in 1860. From 1860–1899, measles epidemics occurred simultaneously across Australia at periodic intervals which is the nature of measles epidemiology globally, once introduced into a susceptible population. These epidemics caused high death rates, particularly in the under-5-year age group. Death rates of 200–300/100 000 were common.

The documented outbreaks of measles clinical syndrome on five ships bound for Australia between 1829 and 1882 support the hypothesis that it is unlikely that measles reached Australia before 1850. Two ships arriving post-1850 had documented cases in the final week of the voyage or on arrival, whereas none of the pre-1850 outbreaks lasted long enough to reach Australia. Stochastic modelling reveals that voyages before 1850 were highly unlikely to have reached Australia with infectious cases of measles on board, whereas the 1882 ‘Roslin Castle’ outbreak would have had almost a 50% chance of arriving in Australia with infectious cases. On this ship, the hospital became full during the second generation of measles infections and further cases were treated in their own bunks. This may have resulted in a shortened outbreak with susceptibles being exposed to the infection in the living quarters. Although pre-1850 voyages occasionally docked at the Cape of Good Hope, an examination of the length of six voyages in the 1830s (not reported in this study), between England, South Africa and Australia indicated that the length of each individual leg makes it unlikely that measles outbreaks would have lasted long enough on the second part of the journey to reach Australia. Earlier ships had smaller numbers of passengers and crew, including fewer children, than later voyages. The characteristics of measles, particularly risk groups, transmission mechanism, reproductive number, length of incubation and period of communicability resulted in measles only being introduced into Australia almost a century after European settlement.

Immunity

Measles virus is highly infectious, and before the availability of a measles vaccine, virtually all children were infected by the time they reached 10 years of age. This study confirms that the burden of measles in the 19th-century outbreaks fell on children, with the average age of infection being 6 years. The oldest case was 31 years old, indicating high immunity levels among adults. On the ‘Garrow’ and ‘Roslin Castle’, adult measles cases were rare (3–6%), and all three adult cases (>14 years of age) on the ‘Garrow’ occurred in families with child cases, making within-family transmission likely.

Serial intervals

Although there is much published literature on measles, there is relatively little information available on serial intervals which are a key measure used in determining $R_0$ and secondary attack rates for measles outbreaks. This study provides details of clinical onset serial intervals of a number of measles outbreaks in closed cohorts. Fine notes that the parameter definitions for serial intervals in measles transmission differ between texts and that none of the references provide citable data on

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which the estimates are based. Estimates generally range between 6–29 days\textsuperscript{17} with 14 days in common usage. In this study, the mean serial interval was 12.3 days, less than the generally applied 14 days. Ideally, serial intervals are determined where there is a single index case, which was not the situation on these voyages. On the ‘America’, where the second and third generations infected only one further case, the serial interval was 11 days. These findings support the view that serial intervals are shorter where individuals are in close proximity and transmission may occur earlier.\textsuperscript{17}

Basic reproductive number ($R_0$)

Measles has an estimated basic $R_0$ of 12–18(refs\textsuperscript{13} 15) this compares with pertussis 10–18, polio 5–7, HIV 2–12 and chicken pox 7–11,\textsuperscript{12} making it one of the most infectious diseases known. The $R_0$ estimated on the ‘Garrow’ was 7.1 and 10.9 on the ‘Roslin Castle’. Lower $R_0$ have previously been reported by Anderson and May; $R_0$ of 5–6 for a Kansas outbreak,\textsuperscript{35} attributed to lower population density.\textsuperscript{36} In congested vessels, close proximity would likely facilitate measles transmission by aerosolised droplets, but pre-existing high levels of immunity and non-homogeneous mixing patterns could have resulted in lower $R_0$. Isolating infectious measles cases would reduce the contact rate between infectious individuals and susceptibles, resulting in a reduced reproduction number and making longer chains of transmission possible. Surgeon superintendents were obviously aware of measles infectivity, recording that measles cases were isolated from the rest of the ship population. This is noteworthy, given that germ theory was not accepted by the medical community until the mid-to-late 19th century.

Limitations

Using historical data for epidemiological analysis presents a number of challenges. Firstly historical records are held in a number of collections that may not be well catalogued, meaning that a comprehensive search is not possible. References to measles outbreaks were sought in a variety of archives. Reports and log books were incomplete and represent only a small number of voyages to Australia, making it difficult to generalise the results to other voyages in this time period. Some logbooks contained only summary accounts of measles outbreaks. There is no way to validate information recorded by individual surgeon superintendents. Although measles case definitions were available at the time, it is not possible to confirm consistent application by surgeons. Some measles cases may have been mild and not reported to the surgeon, or families may have deliberately hidden infectious cases. Some records were difficult to read making errors in the data possible. The precision of the $R_0$ calculation is affected by the accuracy of the assumed number of susceptibles on board.

CONCLUSIONS

Measles syndrome reporting in ship surgeon’s logs provided remarkable detail on prevaccination measles epidemiology in the closed environment of ship voyages and indicate that it was unlikely that measles was introduced into Australia before 1850, due to the relatively high level of preexisting immunity in ship passengers and crew, low numbers of children travelling and particularly the journey’s length from England to Australia. Following major outbreaks of disease, including measles, on the large clippers in the mid-19th century, commissions into the health of emigrants resulted in smaller passenger numbers, hygiene improvements, less-confined living areas, and improved ventilation on the ships.\textsuperscript{37} These improvements would have reduced the measles $R_0$, resulting in longer outbreaks. This is an early example of disease syndrome surveillance leading to improved public health measures.

In historical analysis, this is a good illustration of social and technological changes resulting in the emergence of an infectious disease. Regular and rapid air travel has had a similar impact in the modern era, resulting in the swift transmission of infectious diseases, including measles, around the world.\textsuperscript{38}

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Competing interests None.

Ethics approval As this is an historical analysis, ethics approval was not required for this project.

Access to the data All authors, external and internal, had full access to all of the data (including statistical reports and tables) in the study and can take responsibility for the integrity of the data and the accuracy of the data analysis.

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