

HIERARCHICAL VARIATION IN PRONOMINAL CLITIC ATTACHMENT IN THE EASTERN NGUMBIN LANGUAGES

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1. INTRODUCTION

In this paper I discuss the similarities and differences in the attachment of pronominal clitics in three languages of the Ngumbin subgroup that I have studied to some extent, Gurindji, Mudbura, and Bilinara, together with some passing references to the operation of pronominal clitics in neighbouring languages. The Ngumbin languages share with the languages of the Western Desert region (the Wati and Ngarga subgroups of the Nyungic group) the grammatical feature of attachment of a clitic complex, consisting of formatives agreeing with certain NPs in the same simple sentence, to another element in the sentence. The pronominal clitics are quite similar in form throughout the Western Desert region. The areas in which there are notable differences in their behaviour as between different languages and dialects can be divided into three:

(a) The relations of *agreement* between the free NPs and the bound clitics which represent them. The three areas to be examined here are (i) case, (ii) person, and (iii) number (there is no grammatical gender or noun classification in the Ngumbin languages). It appears generally true for the Western Desert languages that there is a different organisation of case marking in the pronominal clitic system on the one hand and free NPs on the other; in the E. Ngumbin languages the free pronouns differ in their case system from either nouns or clitics. The difference is not simply one of a neutralisation of a set of cases in one system as compared to another, but different neutralisations of semantic cases in each system, producing an 'ergative' system for one nominal type and an

'accusative' system for another. The question of person need not take up much of our time, although we shall see that some difficulties do arise where the free pronoun system in Mudbura makes fewer distinctions of number and person than the clitic system (in other Australian languages the opposite situation also arises, e.g. Yukulta (Keen 1972)). As for number, in most of the Ngumbin languages, and some other neighbouring languages neutralisation of the distinction between dual and plural takes place under some circumstances where a dual clitic combines with a non-singular clitic (DUAL NEUTRALISATION). The environments which cause this neutralisation vary widely between different languages and dialects.

(b) The *order* of the clitics in the clitic complex. This appears to be determined by a combination of case, person and number of the clitics concerned in the E. Ngumbin languages. Variation in the surface orders of clitics is to be found in these and neighbouring languages. Two aspects will be considered here: (i) why clitics are found sometimes in the order subject-oblique, sometimes oblique-subject, and even, in Mudbura, subject-oblique-subject (see further under CLITIC SWITCH and CLITIC COPYING), (ii) why number markers (particularly those of subject clitics) appear sometimes adjacent to the person marker they refer to, sometimes separated from it by other clitics (SUBJECT NUMBER SHIFT).

(c) The element to which the pronominal clitics (henceforth, for the purposes of this paper, simply 'clitics') are attached. I shall refer to this element as the *clitic base*.

In the languages under consideration here this base may be either (i) an Auxiliary (often referred to by Capell, particularly in relation to the Ngumbin languages, as the 'catalyst'), (ii) a complementiser or negation marker, (iii) the initial constituent of the clause (sometimes the initial word) or (iv) the verb. These types I shall call respectively (i) Aux-attachment, (ii) Presentence Attachment, (iii) Initial attachment, and (iv) V-attachment. Languages may exhibit exclusively one type of attachment, or, as in the case of the languages to be examined here, more than one type, either in free variation, or in which the type is determined by the context in which the clitics appear.

I argue throughout the paper and in the conclusion that the concept of *hierarchy* elaborated by Silverstein (1976) in relation to case-marking may fruitfully be extended to explain not only the operation of the rules discussed here in individual languages, but also the range of variation found in different languages and dialects.

2. CLITIC AGREEMENT

2.1. PRONOUN AND CLITIC PARADIGMS

I take the rule of CLITIC ATTACHMENT to be a transformational rule which copies bundles of features from an NP or NPs in a simple sentence into another position in the same sentence. In the languages dealt with here, the clitics which cross-reference the NPs are attached to each other and suffixed to another word in the sentence. An optional rule of PRONOUN DROP follows CLITIC ATTACHMENT, deleting those of the NPs in the sentence which are pronominal and which have been cross-referenced by clitics.

As a guide to later discussion, the paradigms of free pronouns (1) and (2) and of clitic combinations (3)-(11) (S + O only; see Section 2.3. for further possible combinations) are set out below. In what follows G. stands for Gurindji (both dialects, where not otherwise specified); WG for Western Gurindji, EG for Eastern Gurindji; B for Bilinara, and M for Mudbura. WM and EM are also used in distinguishing Mudbura dialects. Elsewhere (a), (b) etc. are used to indicate dialectal forms which do not have a clear geographical basis. In the orthography j is a laminal palato-alveolar stop and y a palatal glide.

Free Pronouns

| (1) | ABS | ERG | DAT | (2) | ABS | ERG | DAT |
|------|----------|-----|------------|-----|------------------------|-----|----------------------|
| G, B | | | | M | | | |
| 1S | ŋayu | | ŋayin | | ŋayi | | ŋayina |
| 2S | nuntu | | nunup | | nuntu | | nunupa |
| 3S | nantu | | nanup | | nani naniŋiŋi (-ŋi) | | nanupa |
| 1ID | ŋali | | ŋaliŋup | | ŋayi (kujara) | | ŋayina (kujarawu) |
| 1ED | ŋayira | | ŋayiran | | ŋayi (kujara) | | ŋayina (kujarawu) |
| 2D | nunpula | | nunpulan | | nuntu (kujara) | | nunupa (kujarawu) |
| 3D | nanpula | | nanpulan | | (Demonstratives used) | | |
| 1IT | ŋaliwula | | ŋaliwulan | | ŋayi (yukaŋu) | | ŋayina (yukaŋuwu) |
| 1IP | ŋaliwa | | ŋaliwaŋup | | ŋayi (taŋu) | | ŋayina (taŋuwu) |
| 1EP | ŋantipa | | ŋantipaŋup | | ŋayi (taŋu) | | ŋayina (taŋuwu) |
| 2P | nurulu | | nurulup | | nuntu (taŋu) | | nunupa (taŋuwu) |
| 3P | narulu | | narulup | | (Demonstratives used) | | |

Pronominal Clitics

(3) Singular Subject and Singular Oblique:

| | | | |
|---|-------------------------------------|---------------------------|--|
| | G | | O |
| | 1 | 2 | 3 |
| S | 1. $\eta\alpha\eta\text{unu}$ (RFL) | $\eta\alpha\eta\text{ku}$ | $\eta\alpha$ (DO) $\eta\alpha\text{!a}$ (IO) |
| | 2. yin | ηjunu (RFL) | n (DO) nkula (IO) |
| | 3. yi | ηku | \emptyset (DO) ηunu (RFL) !a (IO) |

B as above, except that $\eta\alpha\text{nu}$ replaces ηunu as RFL marker.

(4) Singular Subject and Singular Oblique:

| | | | |
|---|--------------------------------|----------------------------------|---|
| | M | | O |
| | 1 | 2 | 3 |
| S | 1. $\eta\alpha\text{yi}$ (RFL) | $\eta\alpha\eta\text{ku}$ | $\eta\alpha$ (DO) $\eta\alpha\text{!a}$ (IO) |
| | 2. yin | $\eta\eta\alpha\text{nun}$ (RFL) | n (DO) nku!a (IO) |
| | 3. yi | ηku | \emptyset (DO) $\eta\alpha\text{nu}$ (RFL) !a (IO) |

ηku is often realised phonetically as ηu or η^w .

(5) Singular Subject and Non-Singular Oblique:

| | | | | | | | | | |
|---|------------------------------------|--|---|--------------------------|---|------------------------------------|---|-----------------------------|-------------------------|
| G | 1ID | 1ED | 2D | 3D | 1IT | 1IP | 1EP | 2P | 3P |
| 1 | $\eta\alpha\eta\text{ali}$ (10) | $\eta\alpha\eta\text{ayira-}$ ηunu (10) | $\eta\alpha\eta\text{ku-}$ wula | $\eta\alpha\text{wulin}$ | $\eta\alpha\eta\text{ali-}$ wula (10) | $\eta\alpha\eta\text{ala}$ (10) | $\eta\alpha\eta\text{antipa-}$ ηunu (10) | $\eta\alpha\eta\text{jura}$ | $\eta\alpha\text{yina}$ |
| 2 | $\eta\alpha\text{li}$ (10) | $\eta\alpha\text{yira}$ | — | ηpulip | $\eta\alpha\text{li-}$ wula (10) | $\eta\alpha\text{ala}$ | $\eta\alpha\eta\text{antipa}$ (10) | — | ηjina |
| 3 | $\eta\alpha\text{li}$ | $\eta\alpha\text{yira}$ | ηkuwula | wulin | $\eta\alpha\text{li-}$ wula | $\eta\alpha\text{ala}$ | $\eta\alpha\eta\text{antipa}$ | ηjura | yina |

B as above, except that $\eta\alpha\text{nu}$ replaces ηunu , $\eta\alpha\text{law}$ sometimes replaces $\eta\alpha\text{ala}$.

WG as above, except: $\eta\alpha\text{lin}$ replaces $\eta\alpha\text{li}$; ηura replaces ηjura ; $\eta\text{jini/}$
 yini replace $\eta\text{jina/yina}$; $\eta\alpha\text{liwa}$ sometimes replaces $\eta\alpha\text{ala}$.

(6)

| | | | | | | | | | |
|---|---|--------------------------------------|---|--|---|-------------------------------------|-------------------------------------|-----------------------------|--|
| M | 1ID | 1ED | 2D | 3D | 1IT | 1IP | 1EP | 2P | 3P |
| 1 | $\eta\alpha\eta\text{ali-}$ (ηjura) (10) | $\eta\alpha\eta\text{aliya}$ (10) | $\eta\alpha\eta\text{ku-}$ wula | $\eta\alpha\text{wuli}$ | $\eta\alpha\eta\text{ali-}$ wula (10) | $\eta\alpha\eta\text{alaa}$ (10) | $\eta\alpha\eta\text{anta}$ (10) | $\eta\alpha\eta\text{jura}$ | $\eta\alpha\text{yina}$ |
| 2 | $\eta\alpha\text{lin}$ (10) | $\eta\alpha\text{liyan}$ | — | ηpulip (WM) wulin (EM) | $\eta\alpha\text{li-}$ wula | $\eta\alpha\text{lan}$ (10) | $\eta\alpha\eta\text{antan}$ | — | ηjinan (WM) yinan (EM) |
| 3 | $\eta\alpha\text{li-}$ (ηjura) | $\eta\alpha\text{liya}$ | ηkuwuna | wuli | $\eta\alpha\text{li-}$ wula | $\eta\alpha\text{alaa}$ | $\eta\alpha\eta\text{anta}$ | ηjura | yina |

(7) Non-singular Subject and Singular Oblique:

| G | | O | |
|---|-----|---------|-------------------------------------|
| | 1 | 2 | 3 |
| S | 1ID | — | — !i (DO) !i!a (IO) |
| | 1ED | — | jaŋku ja (DO) ja!a (IO) |
| | 2D | yinpula | — npula npula!a |
| | 3D | yiwula | ŋkuwula wula (DO) wula!a (IO) |
| | 1IT | — | — !iwula (DO) !iwula!a (IO) |
| | 1IP | — | — !a(a) (DO) !aa!a (IO) |
| | 1EP | — | ŋaŋkula ŋalu (DO) ŋalu!a (IO) |
| | 2P | yinta | — nta (DO) nta!a (IO) |
| | 3P | yilu | ŋkulu lu (DO) lu!a (IO) |

(8)

| M | | | |
|---|-----|---------|-------------------------------------|
| S | 1ID | — | — !i (DO) !i!a (IO) |
| | 1ED | — | jaŋku ja ja!a |
| | 2D | yinpula | — npula (DO) npula!a (IO) |
| | 3D | yiwula | ŋkuwula wula (DO) wula!a (IO) |
| | 1IT | — | — !iwula (DO) !iwula!a (IO) |
| | 1IP | — | — !aa~!awa (DO) !aa!a (IO) |
| | 1EP | — | ŋaŋkula ŋali (DO) ŋali!a (IO) |
| | 2P | yinta | — nta (DO) nta!a (IO) |
| | 3P | yili | ŋkulu li (DO) li!a (IO) |

B as G except that lawa sometimes replaces !a(a).

Also reported for G: an obsolete 1ET subject form jawula. Oblique and independent forms of this could not be elicited.

(9) Non-singular Subject and Non-singular Oblique:

| EG | O | | | |
|----|--|--|--|---|
| | 1I | 1E | 2 | 3 |
| 1I | RFL/RCP: !ipunu (D) !iwulapunu (T) !apunu (P) | — | — | (a) !ayina (b) !ayinaŋkula |
| 1E | — | RFL/RCP: !apunu (D) !alupunu (P) | ŋaŋjurakula | ŋayinaŋkula |
| 2 | — | ŋantipaŋkula | RFL/RCP: npulanunu (D) ntanunu (P) | ŋjinaŋkula |
| 3 | ŋalaŋkulu | ŋantipaŋkulu | ŋjurakulu | yinaŋkulu RFL/RCP: wulapunu (D) lupunu (P) |

!aa alternates with !a as 1IP marker.

In addition to the replacements mentioned earlier, B replaces G ŋkula with ŋulu, and has !awayinaŋulu instead of !ayina/!ayinaŋkulu.

(10)

| M | O | | | |
|----|---|--------------------------------|----------------------------------|--|
| | 1I | 1E | 2 | 3 |
| 1I | RCP: !iŋa (D) !iwulana (T) !aaŋa (P) | — | — | !aaŋulu |
| 1E | — | RCP: !aŋa (D) !aliŋa (P) | ŋaŋjuraŋulu | ŋayinaŋulu |
| 2 | — | ŋantaŋulu | RCP: npuŋan (D) ntaŋan (P) | yinaŋulu |
| 3 | ŋalaŋulu | ŋantaŋulu | ŋjuraŋulu | yinaŋulu RCP: wuna (D) lina (P) |

(11) Non-singular Subject and Non-Singular Oblique:

| WG | O | | | | | | | | |
|-----|-----------------|------------------|-------------------------|-------------------------|-------------------------|----------------|------------------|------------------|------------------|
| | 1ID | 1ED | 2D | 3D | 1IT | 1IP | 1EP | 2P | 3P |
| 1D | !ipunu (R/R) | — | — | !iwulip | — | — | — | — | !iyina |
| 1ED | — | !apunu (R/R) | ŋapura- kulu | !ayina- kulu | — | — | — | ŋapura- ŋkulu | ŋayina- ŋkulu |
| 2D | — | ŋayira- ŋkulu | npula- punu (R/R) | npula- yina | — | — | ŋantipa- kulu | — | ŋjina- ŋkulu |
| 3D | ŋali- ŋkulu | ŋayira- ŋkulu | ŋura- ŋkulu | wula- yina- ŋkulu | ŋali- wula- ŋkulu | ŋala- ŋkulu | ŋantipa- kulu | ŋura- ŋkulu | yina- ŋkulu |

| | | | | | | | | | |
|-----|--|--|----------------|--|--------------------------|-----------------|--------------------------------|-----------------------|-----------------------------------|
| 1IT | — | — | — | !iwula- yina | !iwula- nunu (R/R) | — | — | — | !ayina |
| 1IP | — | — | — | !ayina | — | !apunu (R/R) | — | — | !ayina |
| 2P | — | (a) nqantipa- kulu (b) nqayira- nkulu | — | njina- nkulu | — | — | (a) nqantipa- kulu (R/R) | nta- nunu (R/R) | njina- nkulu |
| 3P | (a) nala- nkulu (b) nali- nkulu | (a) nantipa- kulu (b) nayira- nkulu | nura- nkulu | (a) nala- nkulu (b) naliwula- nkulu | — | nala- nkulu | nantipa- kulu | nura- nkulu | yina- nkulu lupunu (R/R) |

2.2. NUCLEAR CASES

In dealing with case I shall take the view that each NP in a sentence has a 'semantic case' associated with it at an underlying level, which is made up of a number of semantic features drawn from a universal set. Such deep cases are realised differently in different languages, by word order, verb-marking, prepositions, or, as in the Ngumbin languages, by case suffixes on nouns and adjectives. In their passage to surface structure, underlying cases undergo various naturalisations and distortions which eventually produce a *surface case system*. Here I shall not be concerned with the case-system at the deepest semantic level, about which a number of proposals have been made (Fillmore 1968, Chafe 1970). I shall refer here to an *underlying case system*, consisting of a larger number of cases than the surface system, which remains the same for the languages under discussion while the surface system varies slightly for different languages and for different types of nominal.

One such variation is that between nouns (in which I include non-pronominal NPs and adjectives), free pronouns, and pronominal clitics.

The surface case-system of nouns in the E. Ngumbin languages is typically 'ergative'. The agent or subject of a transitive verb (A) has an ergative case-suffix, whereas the patient or direct object of a transitive verb (O) and the subject of an intransitive verb (S) are in the absolutive case and have no suffix, e.g. (12).

(12)

G (a) numpit + tu nqarin pa + n + ana

B (b) numpin + tu nqarin pa + r + a

M (c) narka + !i nqarina pa + n + ini

man ERG meat ABS hit CM PRES

'A man (Aborigine) is killing some game'.

The instrumental case, although it may have the same form as the ergative, is never cross-referenced by a clitic, e.g. (13), in which the plurality

of the instrument is usually left unexpressed. This indicates that surface case alone does not present sufficient information for the correct application of CLITIC ATTACHMENT. The (b) forms are alternatives in which instrumental case is expressed by PROPRIETIVE + ERGATIVE in G and B, and by a distinct INSTRUMENTAL case in M cognate with the PROPRIETIVE in the other languages.

(13)

| | | | | |
|---|-----|---------|--|---------------------------------------|
| G | (A) | kaṇa | (a) +ṅku (b) +yawuṅ+ku!u | (*ṅu + { 1u yina}) |
| | | spear | (a) ERG (b) PROP & ERG | (*AUX { 3PS 3PO}) |
| | | | pa + n + ana ṅaṛin hit CM PRES meat | |
| | (B) | miḷaraṅ | (a) +ku!u (b) +yawuṅ+ku!u | (* { +1u +yina}) |
| | | spear | (a) ERG (b) PROP & ERG | (* { 3PS 3PO}) |
| | | | pa + ra ṅaṛin hit PRES meat | |
| M | | miḷaraṅ | (a) ti (b) jaṛu | (* pa + { li yina}) pa+n+ini) |
| | | spear | (a) ERG (b) INST | (* AUX { 3PS 3PO}) hit CM PRES) |
| | | | ṅaṛina meat | |

'He is killing game with spears.'

In the independent pronoun system, the situation is different. There is no distinction between absolutive and ergative cases in pronouns in any of the languages, with the exception of the M third singular pronoun in which the ergative suffix can be added to an apparently extended form of the pronoun to form an ergative. Otherwise all three functions, S, A and O, are realised by the same unmarked form of the pronoun without suffix. This is illustrated in examples (14), (15) and (16).

(14)

| | | |
|---|-----|--|
| G | (a) | ṅayu ṅu + ṅa ya + ni yala + ṅkura |
| | | to PAST that ALL |
| M | (b) | ṅayi pa + ṅa ya + n + ana yalu + ṅkura |
| | | 1S AUX 1SS go CM PERF that ALL |
| | | 'I went there.' |

(15)

G (a) *ŋayu ŋu + ŋa pa + ni ŋaŋin*
hit PAST

M (b) *ŋayi pa + ŋa pa + n + ana ŋaŋina*
1S AUX 1SS *hit* CM PERF *meat*
'I killed game.'

(16)

G (a) *ŋayu ŋu + yi pa + ni yalu + ŋku + ma*
hit PAST

M (b) *ŋayi pa + yi pa + n + ana yalu + !u + ma*
1S AUX 1SO *hit* CM PERF *that* ERG #
'That one hit me.'

In the clitic system, case is not expressed by suffixes. In most cases the person and case (and sometimes number) markers are fused into one formative. As far as the 'nuclear' S, A and O functions are concerned, in all cases except for 3rd singular, for each pronominal category there are two clitics, one cross-referencing NPs with the S and A function ('subject' or S), and another, usually quite dissimilar in form, cross-referencing NPs with the O function ('oblique' or O). In other words, whereas the noun surface case system was 'ergative', the clitic case system is 'accusative'.

In the 3rd singular, neither S, A nor O have any overt clitic marking. Like the free pronouns, their system is neither 'ergative' nor 'accusative'. This bears out Silverstein's (1976) prediction that where there is a split-case system, ergative marking on agents will occur at the lower end of the hierarchy (e.g. here non-pronominal NPs), and accusative marking on patients at the upper end of the hierarchy (e.g. here oblique marking in pronominal clitics, with the exception of third singular).

In the middle of the hierarchy there may either be an 'overlap' between the two systems, in which case all three major functions are formally differentiated, or, as in this case, there is a 'gap' between the two systems, in which case all three major functions are neutralised into one form. Put more formally, if the rule which assigns marked case to agents is dependent on the agent being $[-F^i]$, whereas the rule which assigns marked case to patients is dependent on the patient being $[+F^j]$, the class of elements with the features $[\begin{smallmatrix} +F^i \\ -F^j \end{smallmatrix}]$ fails to satisfy the conditions for either rule, and these elements remain unmarked.

Clearly the case-marking hierarchy for the Ngumbin languages is (17).

(17) Case Marking Hierarchy:

1. 1 and 2 clitics and non-singular clitics

- ii. 3S clitics
- iii. 1 and 2 free pronouns and non-singular free pronouns
- iv. 3S free pronouns
- v. non-pronominal NPs

It is desirable then to generate this hierarchy from the inherent features of the elements involved. The placing of 1st, 2nd, and non-singular, above -rd singular is easily effected since the former all have at least one plus value for one of the features [\pm I], [\pm II], or [\pm NS], whereas the latter have none. Similarly, pronouns and pronominal clitics are distinguished from other nouns by having the feature [+pro]. But how are clitics to be assigned a higher place on the hierarchy than independent pronouns? One might make use of a feature [\pm aff], which would indicate whether an element is a suffix (+aff) or an independent word (-aff). [-aff] elements would be preceded by a word boundary.

In any case we are not here dealing with a universal aspect of nominal-case hierarchies. Pronoun case-systems may tend universally to be more 'accusative' than nouns, but it is not true to say that pronominal clitic case-systems are universally more 'accusative' than those of free pronouns, although this may be true of most Australian languages. In Ubyx for instance, (Dumézil 1931), a Causasian language that has a 'split-ergative' case system, the pronominal clitics are 'ergative' in their order and partially in their form even in the third person, whereas the free pronouns are 'accusative' in their order and neither 'ergative' nor 'accusative' in their form, except in the third person, which has ergative marking. The particular historical development of the clitic system in conjunction with the direction of shifts in the balance between 'ergative' and 'accusative' marking of pronouns like those described by Dixon (1976) could well have a bearing on the present day marking of clitics in individual languages or language families.

The fact that the case-system of the clitics is 'accusative', that of the nouns 'ergative' and that of the pronouns neither, indicates that, as we noted before, the different forms of the clitics cannot be derived directly from the surface forms of the nouns they cross-reference. It seems rather that the features transferred by the rule CLITIC ATTACHMENT must include the three-way distinction S/A/O present at underlying level, perhaps in the following form:

| | | | |
|------|---|-------|---------|
| (18) | | agent | patient |
| | S | - | - |
| | A | + | - |
| | O | - | + |

This rule applies, [-pat] transferring the agreement features first of

- M (c) $\eta a \eta i n a$ $\left\{ \begin{array}{l} \emptyset \\ p a + y i n a \end{array} \right\} \eta u + \eta + a n a \eta a l i n a$
meat $\left\{ \begin{array}{l} \emptyset \\ A U X \text{ 3PO} \end{array} \right\}$ *give* CM PERF *son* ABS
 'He has given meat to the $\left\{ \begin{array}{l} s o n \\ s o n s \end{array} \right\}$.'
- M (d) $\eta a \eta i n a$ $p a$ $\left\{ \begin{array}{l} +! a \\ y i n a \end{array} \right\} w a \eta \eta a + \eta a \eta a l i n a + w u$
meat AUX $\left\{ \begin{array}{l} 3SIO \\ 3PO \end{array} \right\}$ *get* PERF *son* DAT
 'He has got meat for the $\left\{ \begin{array}{l} s o n \\ s o n s \end{array} \right\}$.'

In G, at least, there is a third set of markers that consist of the normal O marker followed by !a as in (21). In the case of 3rd singular, the marker in this set generally consists of simply !a, as in (21)(a), but some Western speakers appear to use a distinct marker !a + panta, as in (21)(b). In the same dialect, !a + panta is also used to cross reference two dative NPs, e.g. an underlying dative and an underlying benefactive.

(21)

- G (a) $w a \eta \eta i n \eta u + \eta k u + ! a w a n i + p a m i l a + \eta k a$
fly AUX 2SO IO *fall* PAST *eye* LOC
 'a fly has settled on your eye'
- (b) $w a \eta \eta i n \eta u + \left(\begin{array}{l} (a) ! a \\ (b) ! a + p a n t a \end{array} \right) w a n i + p a m i l a + \eta k a$
fly AUX $\left\{ \begin{array}{l} 3SIO \\ 3SIO \end{array} \right\} ?$ *fall* PAST *eye* LOC
 'A fly has settled on his eye.'

This set of markers cross-references COMITATIVE case ('together with'), LOCATIVE with animate, especially human, nouns, with a comitative or locative meaning, DATIVE with a locative sense and ALLATIVE and ELATIVE with animate, especially human, nouns. Normally LOCATIVE, ALLATIVE and ELATIVE (with inanimate nouns) are not cross-referenced by clitics at all, like instrumentals. Sometimes even human NPs in locative cases are not cross-referenced. It is difficult to tell whether this distinct set of clitic markers arises from the fact that the locative cases here are distinct from the locatives used with inanimates in being possibly $\left[\begin{array}{l} +PAT \\ +ANIM \\ +LOC \end{array} \right]$ or that they are simply determined by the feature combination $\left[\begin{array}{l} +PAT \\ +ANIM \\ +LOC \end{array} \right]$. M appears to use the 'dative' clitic set for the above functions.

If the locative cases that are cross-referenced are in fact $\left[+PAT \right]$, this is further evidence that underlying case distinctions, which are not manifested in surface structure, are relevant both to whether CLITIC ATTACHMENT applies or not, and to the form of the clitics

generated. A further point is that the clitic complex in the E. Ngumbin languages is limited to the maximal string: S-O-|a. Clearly if there are a number of NPs (other than the subject) that could be cross-referenced, a choice has to be made as to which one or two are in fact to be cross-referenced. In many circumstances, the choice is limited to one by various restrictions on the form of the clitic complex. Such restrictions probably make it necessary to introduce surface constraints in addition to rules: this is left an open question for the moment. Work has been done on related questions for Walmadjari by Hudson and Richards (to appear) and for Walbiri by Hale (1973).

2.4. CASE SYSTEMS AND THE FORM OF CLITIC ATTACHMENT

The relations of the case systems of nouns, pronouns and clitics are shown schematically in the charts below:

(22)

SURFACE CASE

G, B

Underlying Case

| | Nouns | Pronouns | Clitics | |
|---------------|------------|------------|---------|--------|
| | | | 3s | Others |
| Inst. | PROP + ERG | | | S |
| A | ERG | | | |
| S | | ∅ | | |
| O | | | | O |
| Dat. | | | I | O + I |
| Gen. | DAT | | | |
| Ben. | | | | |
| Purp. | | DAT + DAT | | |
| Comit. | DAT + LOC | | | |
| Loc. | LOC | | | |
| | ALL | DAT + ALL | | |
| W. animates | ELAT | DAL + ELAT | | |
| Loc. | LOC | DAT + LOC | | |
| | ALL | DAT + ALL | | |
| W. inanimates | ELAT | DAT + ELAT | | ∅ |

(23)

SURFACE CASE

M

Underlying Case

Nouns

Pronouns

Clitics

| | 3S | | Others | 3S | Others |
|------------|-------------------------------------|-------------|--------|----|--------|
| Inst. | INST | | | | |
| A | ERG | (?) + ERG | | | S |
| S | | | | | |
| O | | | ∅ | | |
| Dat. | | | | | |
| Gen. | DAT + NP Clitics (see Section 4.2.) | | | | |
| Ben. | DAT | | | I | O |
| Purp. | | | | | |
| Comit. | LOC | DAT | | | |
| Loc w. | | | | | |
| animates | ELAT | DAT + ELAT | | | |
| LOC w. | LOC | | | | ∅ |
| inanimates | ALL | ? | | | |
| | ELAT | | | | |

We may now tentatively formulate the rule of CLITIC ATTACHMENT as (24) (α , α' and α'' are used to mean distinct unconnected variables with the domain \pm). The rule as shown does not indicate any hierarchy of precedence among peripheral NPs, which hierarchy probably does exist. BASE indicates the *base* to which the clitics are attached: its nature in different languages and the details of attachment are discussed in Section 4. Further rules are needed to spell out the output of this rule and to eliminate impossible sequences generated by it: some of these are given here very roughly as (25)(a)-(d).

If we compare tables (13) and (14), we see that M does not incorporate number into its free pronoun system. While it is possible to add the dual numeral suffix + kujara or the plural independent numeral taʃu to the pronouns, as to any other NP, as in (29) and (30), this is not obligatory, and in many cases it is only the appearance of the clitics elsewhere that marks the number of the pronoun, as in (29b) and (30b).

(29)

| | | |
|---|--|--|
| M | $\left\{ \begin{array}{l} \text{(a) } \eta\text{ayi} + \text{kujara} + \text{li} + \text{ma} \\ \quad 1 \quad \text{two} \quad \text{ERG} \quad \# \\ \text{(b) } \eta\text{ayi} + \text{ma} \\ \quad 1 \quad \# \end{array} \right\}$ | $\left\{ \begin{array}{l} \text{pa} + \text{la} \quad \text{pa} + \text{n} + \text{ini} \\ \text{AUX} \text{ IEDS} \text{ hit} \quad \text{CM} \quad \text{PRES} \end{array} \right\}$ |
| | <i>'We two (excl.) are hitting it.'</i> | |

(30)

| | | |
|---|---|---|
| M | $\left\{ \begin{array}{l} \text{(a) } \eta\text{ayi} \text{ ta}\text{ʃu} + \text{lu} + \text{ma} \\ \quad 1 \quad \quad \quad \text{ERG} \quad \# \\ \text{(b) } \eta\text{ayi} + \text{ma} \\ \quad 1 \quad \# \end{array} \right\}$ | $\left\{ \begin{array}{l} \text{pa} + \text{na} + \text{li} \quad \text{pa} + \text{n} + \text{ini} \\ \text{AUX} \text{ IES} \quad \text{PS} \quad \quad \text{CM} \quad \text{PRES} \end{array} \right\}$ |
| | <i>'We lot (excl.) are hitting it.'</i> | |

Note too that where the dual or plural numeral is added, the ergative suffix can also be added as in (31)(a) and (35)(a). Thus these combinations depart from the normal rule in the E. Ngumbin languages that the absolutive/ergative distinction is neutralised in the independent pronouns. This is a further indication that the numerals do not form a true part of the pronominal system.

A further deficiency of the M pronominal system as compared with the other languages is its lack of an inclusive/exclusive distinction in the first person. As well as (29) and (30), the same pronominal forms may be used as in (31) and (32) with a different clitic to give an inclusive meaning.

(31)

| | | |
|---|--|--|
| M | $\left\{ \begin{array}{l} \text{(a) } \eta\text{ayi} + \text{kujara} + \text{li} + \text{ma} \\ \quad 1 \quad \text{two} \quad \text{ERG} \quad \# \\ \text{(b) } \eta\text{ayi} + \text{ma} \\ \quad 1 \quad \# \end{array} \right\}$ | $\left\{ \begin{array}{l} \text{pa} + \text{li} \quad \text{pa} + \text{n} + \text{ini} \\ \text{AUX} \text{ IIDS} \text{ hit} \quad \text{CM} \quad \text{PRES} \end{array} \right\}$ |
| | <i>'We two (incl.) are hitting it.'</i> | |

(32)

| | | |
|---|---|---|
| M | $\left\{ \begin{array}{l} \text{(a) } \eta\text{ayi} \text{ ta}\text{ʃu} + \text{lu} + \text{ma} \\ \quad 1 \quad \text{many} \quad \text{ERG} \quad \# \\ \text{(b) } \eta\text{ayi} + \text{ma} \\ \quad 1 \quad \# \end{array} \right\}$ | $\left\{ \begin{array}{l} \text{pa} + \text{laa} \quad \text{pa} + \text{n} + \text{ini} \\ \text{AUX} \text{ IIPS} \quad \quad \quad \text{CM} \quad \text{PRES} \end{array} \right\}$ |
| | <i>'We lot (incl.) are hitting it.'</i> | |

If inclusive and exclusive are to be distinguished as isolated forms, speakers may append a suitable AUX element to the independent pronouns as in (a) *ɲayi pa + ʔi* 'you and me' and (b) *ɲayi pa + ʔa* 'him and me'.

So while in G and B the pronouns reflect a full set of combinations of four features, Mudbura pronouns only have two inherent features [$\pm I$] and [$\pm II$], of which two of the possible combinations [$-\overset{+I}{-II}$] and [$+\overset{+I}{+II}$] are both realised by the pronoun *ɲayi*.

In order to retain the CLITIC ATTACHMENT rule for M in the form (24), it is necessary to say that the Mudbura pronouns are fully marked for all four features at the time that the rule applies, but lose some of their features later. In the case of the number features one could say that the numerals *kujara* 'two', *yukaʔu* 'three' and *taʔu* 'many' are within the NP of which the pronoun is head in underlying structure and that they are optionally deleted after CLITIC ATTACHMENT. From a functional viewpoint, CLITIC ATTACHMENT clearly makes a rule like NUMERAL DELETION more likely to occur, but there need not be any explicit syntactic connection between them. NUMERAL DELETION would probably also cover the case of non-pronominal NPs that are often not overtly marked for number in all the E. Ngumbin languages, but have their number clarified by associated clitics as in (33)(a), which is far more common than (33) (b) with overt number marking on the noun.

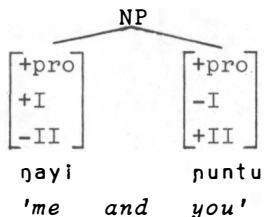
(33)

| | | | |
|-------------------------|---|-----------------------------|---------------------|
| (a) <i>ɲarka</i> | } | <i>pa + li ya + n + ana</i> | <i>ɲura + ɲkura</i> |
| <i>man</i> | | AUX 3PS <i>go</i> CM PERF | <i>camp</i> ALL |
| (b) <i>ɲarka + tara</i> | } | | |
| <i>man</i> P | | | |

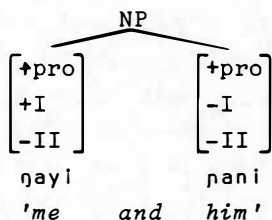
'The men went to the camp.'

Even if the above accounts for the presence of number in M clitics, how is the presence of the inclusive/exclusive distinction in M clitics to be accounted for? Presumably, 1st person non-singular pronouns derive from conjoined NPs, e.g. (34) for dual inclusive and (35) for dual exclusive.

(34)



(35)



At this level, features are added to the conjoined NP as a whole by adding together the number of singular NPs (which comes to two, i.e. dual in (34) and (35)), and assembling together the plus-valued person-features (yielding $[\begin{smallmatrix} +\text{I} \\ +\text{II} \end{smallmatrix}]$ for (34) and $[\begin{smallmatrix} +\text{I} \\ -\text{II} \end{smallmatrix}]$ for (35)). It is on the basis of this combination of features that CLITIC ATTACHMENT operates. In G and B, the pronouns are also generated directly by spelling out these combinations of features. In M, however, the pronouns are formed by taking only the feature [+I] into account, if it is present, and [+II] if [+I] is not present, and ignoring the right hand part of the conjunct, except for the purpose of counting the total number of NPs. This summed number then provides the specification for the segmentalised numeral within the NP.

One peculiarity of all the E. Ngumbin languages is the existence of a first person trial clitic (and pronoun in G and B). These forms are used nowadays mainly by older speakers, and in M, the plural seems to be an optional substitute for the trial. The obsolete exclusive trial form noted in G will not be considered here, as it is somewhat doubtful.

The form of the inclusive trial is that of the inclusive dual, with a further dual morpheme *-wula* suffixed to it. The fact that the trial is indicated by a dual suffix may be taken as evidence that the inclusive trial is somehow parallel to the duals of other persons.

If one takes the position that inclusive has a redundancy relationship with non-singular of the kind which applies without exception, i.e. that inclusive automatically means non-singular, then trial number cannot be distinguished from other numbers by using only the four features available, which are [+I], [+II], [+NS], [+D]. A new feature [+trial] must then be added. This new feature provides no explanation of why the trial form is limited to inclusive persons. But there is some evidence that inclusive duals do not behave like non-singulars [+NS] in some circumstances. In E. Ngumbin languages, all combinations of dual and dual, and dual and plural subject and oblique clitics undergo some change whereby either one or both of the dual elements becomes plural. This DUAL NEUTRALISATION rule is discussed fully in the following section. In WG, however, as seen in (II), 1st inclusive dual

pronoun subjects do not behave in this way: where they combine with third dual oblique, both subject and oblique clitic retain their dual form: $!i+wu!ip$. 1st incl. du. S. here behaves like a singular clitic in that it does not alter the form of the following oblique clitic. 1st inclusive trials, however, follow the pattern of duals of other persons in triggering the application of DUAL NEUTRALISATION. Further, inclusive dual is often used as the unmarked form of the inclusive in a plural sense as in (36).

- (36) $n!i\ a\ \eta!i\ +\ \eta\uparrow\ \eta\downarrow\ +\ \eta!i\ \eta\uparrow\ +\ ma$
that 1ID DAT AUX 1IDO *country #*
'That is our (plural) country.'

This parallels the fact that the dual inclusive is the basic form upon which the trial and plural forms are built by suffixation.

Trial number is found in inclusives only elsewhere in Australia and is most often associated with the situation in which the inclusive 'dual' behaves in ways more like a singular than a non-singular. McKay (1975) has suggested for Rembarnga, which has such a system, that the feature system for number should involve the terms [+augmented] and [\pm unit augmented].

As far as I can tell, the difference between this system and the non-singular/dual system as used here is a purely terminological one that should be resolved by simply adopting either one or the other labelling. In this paper I retain the more traditional terms non-singular (NS) and dual (D). The real difference between pronominal systems like that of Rembarnga and the E. Ngumbin languages, on the one hand, and those in which there is no inclusive trial (and the inclusive dual is a full grammatical dual) is that in the former languages the counting procedure that yields number as a feature on conjoined NPs mentioned above (and more fully described in Hale 1973) treats the conjunction of a 1st singular and 2nd singular pronoun as if it were a singular unit, by counting only [+I] and [$\begin{smallmatrix} -I \\ -II \end{smallmatrix}$] units if [+I] is present and by counting all units if [+I] is not present.

The latter languages are less marked in that they count all units without discrimination, but as a result they produce redundant [+NS] marking on all [$\begin{smallmatrix} +I \\ +II \end{smallmatrix}$] pronouns.

The problem in the E. Ngumbin languages is that the occasions in which the inclusive dual behaves like a singular discussed above are outweighed by those in which it behaves like a non-singular:

- (1) In WG, the 1IDO causes neutralisation of a dual subject ($\eta!i\ +\ \eta\downarrow$ in (11)).
- (2) In WG (b) the 1IDO behaves like the 1EDO in not being neutralised

by a plural subject.

(3) In EG, B and M, both lIDS and lIDO undergo dual neutralisation like other duals, although they still have trial inclusive forms.

(4) NG(K)U-INSERTION applies to !u following lIDO ɲa!i: this normally only applies where the O clitic is non-singular.

(5) Inclusive duals may be accompanied by the numeral kujara 'two' in agreement with it, e.g.:

(37)

G ɲu+!i ya+n+ku kujara
 AUX lIDS go CM FUT two
 '*Both of us will go.*'

Similarly trials may be accompanied by murkuɲ 'three'.

(38)

G ɲu + !i + wula ya + n + ku murkuɲ
 '*The three of us will go.*'

(6) In M, inclusive dual S clitic may be followed by the RCP suffix ɲa, which is not normally possible for singular S clitics.

(7) The $[-\overset{-D}{NS}]$ element left after SUBJECT NUMBER SHIFT has applied to plural inclusions is realised as plural inclusive !a(a), not dual !i (see Section 3.1.).

We must conclude that in these cases the more common type of counting procedure *has* applied to $[\overset{+I}{+II}]$ dual pronouns to mark them $[\overset{+NS}{+D}]$. We therefore propose that in the E. Ngumbin languages there are two sets of features accounting for number in inclusives:

(39)

| | | | | | |
|-----|----|-----------------------|--------------|----------|--|
| | | $[\overset{+I}{+II}]$ | | | |
| | | 'dual' | 'trial' | 'plural' | |
| (a) | NS | - | + | + | |
| | D | - | + | - | |
| | | | └──────────┘ | | |
| (b) | NS | + | + | | |
| | D | + | - | | |

The (a) system is used to determine the form of the pronouns and clitics and, anomalously, the grammatical behaviour of the S clitic with regard to DUAL NEUTRALISATION; the (b) system governs all other grammatical behaviour of inclusives that I am aware of. System (a) seems to be losing ground to system (b), presumably under the influence of the paradigms of other persons, so that the trial forms are losing currency.

It is possible that one could arrive at a generalisation about the use of the two systems by saying that (a) determines more superficial

processes (the morphophonological realisation of elements) and (b) deeper syntactic processes. It is shown in the following section that DUAL NEUTRALISATION in WG, is made up of two rules, one for S clitics and one for O clitics. If it could be shown that in addition the two rules are ordered S-D-N followed by O-D-N, then it might be possible to explain why O-D-N alone among syntactic rules uses the (a) number system, because of its more superficial nature. How all this might relate to the counting procedures that produce number remains unclear, however.

2.6. DUAL NEUTRALISATION

The term 'dual neutralisation' refers to the process that leads to the appearance of clitics which are semantically dual as surface-structure plural clitics because of their combination with other non-singular clitics. A similar phenomenon has been described for Walbiri and reported for Warramungu (Hale 1973). In the dialects of M, B and EG that I know, the DUAL NEUTRALISATION rule is quite simple. Wherever a subject clitic is combined with an oblique clitic, and both are non-singular, any clitic that is dual becomes plural. This rule can be formulated as (40) and is of the mirror-image type in order to avoid stating two rules, one for neutralisation of subject clitics and one for oblique clitics. In what follows it is assumed that clitics have the order S-O at the time that DUAL NEUTRALISATION applies. Changes to this order are discussed in Section 3.

| | |
|----------------------|---|
| (40) | DUAL NEUTRALISATION |
| EG, B, M, E. Walbiri | $+ \left[\begin{array}{l} + \text{ pro} \\ + \text{ NS} \end{array} \right]$ |
| | $+ \left[\begin{array}{l} + \text{ pro} \\ + \text{ D} \end{array} \right]$ |
| | SD: 1 2 |
| | SC: 1 2 |
| | [-D] |
| | C: mirror-image |

The general easterly location of the languages using this simple rule accords well with Hale's (1973) observation that it is Eastern Walbiri and Warramungu that have a simple rule, whereas in Western Walbiri the rule is more complex. In the Western dialect of Walbiri dual clitics may retain their dual form on all occasions when they are combined with plurals. Where there are two duals combined, a hierarchy of persons (1st precedes 2nd precedes 3rd) and (occasionally) prominence in discourse determines which of the clitics remains dual and which becomes plural, the higher being the one that remains dual.

The dialect of Gurindji referred to here as WG (sometimes called Malngin, although it is somewhat more like EG than far northern and

western Malngin) also diverges from the simple rule but in a different way that gives the neutralisation rule a wider scope of application. Instead of allowing duals to remain intact where they are combined with plurals, as in Western Walbiri, WG changes all duals to plurals in such combinations (with the exception of 1st incl dual subjects, which have already been discussed in Section 2.5., and for some speakers 1st incl. and excl. dual obliques (dialect (b) forms)). It is only where *two duals* combine that one may remain dual, again the higher in a person hierarchy which is further discussed below, and which is similar but not identical to the Walbiri hierarchy.

So W. Walbiri adds [+D] to item 1 of the simple rule (40), a hierarchical condition, and a further condition dealing with topicality, labelled X here: (41). WG, on the other hand, adds [-D] to item 1 of (40) to produce (42) for the (a) dialect and (43) for the (b) dialect, and further rules that take care of what happens if item 1 is [+D].

(41)

W. Walbiri SD, SC as (40)
C: (a) mirror-image
 (b) 1 is +D
 EITHER (c) 2 1 1. I
 ii. II
 OR (d) X

(42)

WG (a) SD, SC as (40)
C: (a) mirror-image
 (b) 1 is [-D]

(43)

WG (b) SD, SC as (40) (but not mirror-image)
C: (a) 1 is [-D]
 (b) 2 is [-I]

If we now consider the combinations of dual and trial clitics of WG in (11), we find the rather puzzling pattern set out in (44). If we ignore the addition of right-hand plural subject markers discussed in Section 3.1., it is clear that the pattern is based on a hierarchy similar to the familiar 1 > 2 > 3 hierarchy, but slightly different from that usually found. The explanation appears to be that instead of one unified ranking system, there are two slightly different systems, in which obliques are somewhat more prone to neutralisation than subjects. (This may be a syntactic reflection of the discursive hierarchy of Walbiri, since subjects are more frequently topics than obliques). The point of intersection of the two system is C, in which both the

subject and oblique clitics become dual.

Despite their apparent oddity, the whole set of neutralisations in (44) can be represented by two DUAL NEUTRALISATION rules with hierarchical conditions, one dealing with obliques, (45), and one dealing with subjects, (46).

(44) DUAL/DUAL COMBINATIONS

| M | Group | Change | S | O | S | O |
|---|-------|------------------|--|--|--|---|
| | A | S remains D, T | 1 excl - | $\begin{Bmatrix} 2 \\ 3 \end{Bmatrix}$ | $\begin{bmatrix} +I \\ +II \end{bmatrix}$ | $[-I]$ |
| | | O becomes P | $\begin{Bmatrix} 1 \text{ incl T} \\ 2 \\ 3 \end{Bmatrix}$ | - 3 | $\begin{Bmatrix} [+II] \\ [-I] \\ [-II] \end{Bmatrix}$ | $\begin{bmatrix} -I \\ -II \end{bmatrix}$ |
| | B | S becomes P | $\begin{Bmatrix} 3 \\ 2 \end{Bmatrix}$ | - 1 | $[-I]$ | $[+I]$ |
| | | O remains D, T | | | | |
| | C | S and O become P | 3 | - 2 | $\begin{bmatrix} -I \\ -II \end{bmatrix}$ | $[+II]$ |

(45) OBLIQUE DUAL NEUTRALISATION

| | | | | | |
|---|-----|-----|--|---|--|
| M | | + | $\begin{bmatrix} +pro \\ +D \end{bmatrix}$ | + | $\begin{bmatrix} +pro \\ +D \end{bmatrix}$ |
| | SD: | | 1 | | 2 |
| | SC: | | 1 | | 2 |
| | | | | | $[-D]$ |
| | C: | (a) | 1 is +P | | |
| | | (b) | 1 > 2 [I] | | |

(46) SUBJECT DUAL NEUTRALISATION

| | | |
|---|-----|--|
| M | SD: | as (45) |
| | SC: | 1 2 |
| | | $[-D]$ |
| | C: | 2 > 1 $\begin{Bmatrix} [I] \\ [I] \\ [II] \end{Bmatrix}$ |

The condition on (45) means 'where the subject clitic has more or the same number of plus values with respect to the feature I than the oblique clitic'. This rule accounts for the neutralisation of dual oblique clitics in groups A and C of (44). There is clearly a relationship between this 'global' rule and the 'local' rule (43) of WG (b) (for 'global' and 'local', see Silverstein (1976)). The condition on (46) means 'where the oblique clitic has more plus values with respect to either the feature I or the features I and II taken together, than the subject clitic'. This rule accounts for the neutralisation of dual subject clitics in groups B and C of (44).

It is interesting to compare the operation of the DUAL NEUTRALISATION rule of WG with that of Ngarinman, its north-easterly neighbour within the same group. Here I draw upon the notes made by Capell in 1939, as I have done no more than record some short texts in this language myself. Although incomplete, (47)-(50) show clearly that DUAL NEUTRALISATION operates in Ngarinman in a more limited way than in any of the other languages discussed. This confirms our earlier observation that DUAL NEUTRALISATION is at its strongest in the southeast, and the range of environments in which it applies decreases as we move farther northwest.

Ngarinman (=Ng) Non-Singular Clitic Combinations (after Capell; orthography changed).

| | | | | | |
|------|----|------------------|----------------------|--------------------|---------------------|
| (47) | | DO | | | |
| | | 1I | 1E | 2 | 3 |
| | DS | 1I _____ | 1E _____ | 2 _____ | 3 !lwiin |
| | | 1E _____ | 1E _____ | 2 jakula | 3 jawiin |
| | | 2 _____ | npula- ḡayiraḡ | 2 _____ | 3 npula- wiin |
| | | 3 _____ | (a) wula- ḡayiraḡ | (ḡ)kula | 3 wiin |
| | | | (b) ḡayira- ḡkulu | | |
| (48) | | PO | | | |
| | | 1I | 1E | 2 | 3 |
| | DS | 1I _____ | 1E _____ | 2 _____ | 3 liyiin |
| | | 1E _____ | 1E _____ | 2 japuraḡ | 3 jayiin |
| | | 2 _____ | npula- ḡantipa | 2 _____ | 3 npulayiniḡ |
| | | 3 _____ | ḡantipa- ḡkulu | (a) wula- nuraḡ | 3 wulayiniḡ |
| | | | | (b) nura- ḡkulu | |
| (49) | | PS | | | |
| | | 1I | 1E | 2 | 3 |
| | PS | 1I _____ | 1E _____ | 2 _____ | 3 _____ |
| | | 1E _____ | 1E _____ | 2 _____ | 3 _____ |
| | | 2 _____ | (n)taḡayiraḡ | 2 _____ | 3 (n)tawiiin |
| | | 3 _____ | ḡayiraḡkulu | (ḡ)kula | 3 wiin |
| (50) | | PS | | | |
| | | 1I | 1E | 2 | 3 |
| | PS | 1I _____ | 1E _____ | 2 _____ | 3 _____ |
| | | 1E _____ | 1E _____ | 2 ḡalupura | 3 _____ |
| | | 2 _____ | ?ḡayinta | 2 _____ | 3 (n)tayiniḡ |
| | | 3 ḡallwaḡkulu | ḡantipa- ḡkulu | nura- ḡkulu | 3 luyiniḡ |

In Ngarinman as recorded by Capell, only subjects may be neutralised; both the mirror-image nature of the original rule and the rule OBLIQUE DUAL NEUTRALISATION are absent. The hierarchy 1 > 2 > 3 recurs in weakened form; neutralisation takes place at most only where the oblique is [+I] or [+II] and the subject is $\begin{bmatrix} -I \\ -II \end{bmatrix}$. Assuming that the (a) and (b) forms of (47) and (48) represent different dialects, we have in dialect (a) the rule (51), by which the operation of DUAL NEUTRALISATION is confined to *only one* clitic combination. In dialect (b) there is the rule (52) in which the condition is hierarchical and applies to four combinations of clitics. Of the four, however, it does not appear to act in one case, that of 3 du. S-2 du. O. This combination is realised by (ŋ)kula (cognate with G. ŋkuwula), in which the subject dual is not marked. This also occurs with wilin, and it reminds me of the occasional omission of non-singular subjects that I have noted in G. It may represent a distinct type of neutralisation rule, but the available data support no further discussion of it at this point.

(51) DUAL NEUTRALISATION

Ng (a) SD as (45) except [+NS] instead of [+D] in 2; SC as (46)

C: 1 is $\begin{bmatrix} -I \\ -II \end{bmatrix}$ (a) 2 is $\begin{bmatrix} -D \\ +I \end{bmatrix}$

(52)

Ng (b) SD, SC as for 'A'

C: 2 > 1 $\begin{Bmatrix} I \\ II \end{Bmatrix}$

3. THE ORDER OF CLITICS

3.1. SUBJECT NUMBER SHIFT

Up to now it has been assumed that clitics are first generated in the order S-O, with number features associated with the person features. The following sections examine deviations from this order and how they arise. Where an oblique clitic follows a plural subject clitic, the subject person morpheme is placed to the left of the oblique clitic and the subject number morpheme to its right, as in (53). Where the object is non-singular, an element ŋu in B and M and ŋku in G, is added between the oblique clitic and the subject number morpheme as in (54). ŋu takes secondary stress, but ŋku follows the syllable which takes secondary stress. As in (54)(a), ŋkulu becomes kulu by a general phonological rule when it follows a nasal cluster. The element ŋku originally arose from the fact that clitics had final ŋ: the link ku was then inserted. Reanalysis has now taken place in Gurindji. See also Section 4.8.

(53)

- G (a) ɲaja + ɲa + ɲku + lu pa + n + ana
hit CM PRES
- M (b) pi + ɲa + ɲku + lu pa + n + a + ra
ADMON. AUX 1S 2SO PS hit CM GEN
'We might hit you (sing.).'

(54)

- EG (a) ɲája + ɲa + ɲjurá + kulu
- WG (b) ɲája + ɲa + ɲurá + ɲkulu panana
- M (c) pʃ + ɲa + ɲjura + ɲulu panara
ADMON. AUX 1S 2PO PS hit
'We might hit you (plur.).'

One might reasonably suppose that the feature bundles referring to each cross-referenced NP are transferred as one unit by CLITIC ATTACHMENT. The subject number element is subsequently moved to the right by another rule, which we shall call SUBJECT NUMBER SHIFT.

One piece of evidence for this is the sporadic occurrence in texts by WG and sometimes EG speakers of forms like (55) instead of (54)(b) in which the subject number morpheme is not moved to the right.

(55)

- G (occasionally) and Ng ɲaja + ɲa + lu + ɲura panana
ADMON. AUX 1S PS 2PO hit

If such forms are pointed out to speakers, they will usually correct them to forms like (54)(b), but they do appear to be genuine alternatives. In G's northern neighbour Ngarinman, SUBJECT NUMBER SHIFT operates in far fewer cases, and the order of clitics in (55) is the regular one (see 47-50).

In the case of dual subjects combined with objects, the dual marker may appear to the right of the oblique when the dual is third person and the object non-third person, as in (26)(b) and (27)(b). On this evidence alone, the order might be interpreted as resulting from SUBJECT NUMBER SHIFT. But when the dual subject is 1st and the oblique 2nd, as in (55), or the dual subject is non-third person and the oblique 3rd person, or both subject and oblique are 3rd person, as we see in (11), the dual marker remains to the left.

(56)

- G (a) ɲaja + ja + ɲku panana
- M (b) pi + ja + ɲku panara
ADMON. AUX 1DS 2SO hit
'We two might hit you (sing.).'

The differential application of this movement is determined by a nominal hierarchy of the same kind as that involved in the rule CLITIC SWITCH, discussed in the following section. We may conclude from this that the movement of dual markers results from CLITIC SWITCH, not from SUBJECT NUMBER SHIFT.

Where SUBJECT NUMBER SHIFT operates on plural subjects the element remaining to the left is the singular person morpheme. In most languages, DUAL NEUTRALISATION prevents dual subjects co-occurring with non-singular objects. However, in WG, SUBJECT NUMBER SHIFT operates in some cases when dual subjects are retained. In such cases (+ja+yina+ŋkulu, +ja+nura+ŋkulu and +wula+yina+ŋkulu in (11)), the dual subject form is retained and a plural marker added to the right of the oblique clitic.

One way of handling this case together with the other cases of SUBJECT NUMBER SHIFT would be to say that where the subject clitic is [+NS], the [+NS] feature (i.e. the non-singular feature) is transferred away from the subject person marker to the right of the oblique clitic, and is realised as an otherwise unspecified [+NS] (i.e. plural) suffix. The subject marker, if originally plural, retains only the feature [-D] and is realised as singular, but if it was originally dual (or trial), it retains the feature [+D] and is realised as a dual or trial morpheme.

In the case of the inclusive forms in EG, B and M, the DUAL NEUTRALISATION rule has already reduced all subjects to [-D]. When the [+NS] feature is moved away to form the plural suffix, [-D] remains the only number specification on the subject clitic. Since the only [-D] inclusive form is the plural in the (b) number system discussed in Section 2.5., it is the plural form that is placed in subject position.

The rules SUBJECT NUMBER SHIFT and NG(K)U INSERTION may therefore be formulated as (57) and (58), respectively. (These rules are assumed to apply before 3rd sing. S is deleted).

(57) SUBJECT NUMBER SHIFT

| | | | | |
|---------|-------|-------------|-----|-------------|
| G, B, M | + | +pro +NS | + | [+pro] |
| SD: | 1 | | 2 | |
| SC: | 1 | | 2 + | +pro +NS |
| | [-NS] | | | |

(58) NG(K)U INSERTION

| | | | | | | |
|-----|---|--------|---|----------------------|---|-------------|
| | + | [+pro] | + | +pro +NS | + | +pro +NS |
| SD: | 1 | | 2 | | 3 | |
| SC: | 1 | | 2 | (M, B) ŋu (G) ŋku | 3 | |

Since we have proposed that all plural suffixes to the right of the oblique clitic are placed there by a general rule of SUBJECT NUMBER SHIFT, we must also explain why the plural suffix is *not* present in some cases when it might be expected.

In fact, there is dialectal variation in this area of the grammar. Hale made some notes of a dialect of Gurindji spoken by Smiler Major (SMG) in Alice Springs in 1959, in which, as in WG, DUAL NEUTRALISATION does not apply in every case. As in WG, one of the cases in which it does not apply is when there is a second dual subject and a third dual oblique, in which only the latter is neutralised to plural. In WG, there is no plural subject suffix as in (59), while in SMG there is a plural subject suffix but no oblique suffix, as in (60).

In (60), the presence of *ŋku* indicates that the oblique clitic, which is missing in surface structure, was [+NS], and, given the dual subject clitic, could only have been an underlying dual. We seem to be dealing here with two rules, one of which deletes the right-hand subject number marker (SUBJECT PLURAL DELETION) and, another (OBLIQUE PLURAL DELETION), which occurs in SMG, which deletes plural oblique clitics after NG(K)U INSERTION has applied, rendering the object clitic redundant.

OBLIQUE PLURAL DELETION also applies where the subject is 1st inclusive and the object dual or plural in SMG (61) (cf. EG 62) and M (63). The rule may therefore be formulated as (64).

Returning to SUBJECT PLURAL DELETION, it occurs where the underlying subject is dual and the oblique singular, in all languages as in (56). In WG, it also applies precisely in those circumstances in which OBLIQUE PLURAL DELETION occurs in SMG, where the subject is 1st incl. or 2nd person, and so can be formulated as (65). In WG, and for some speakers of EG, as in (62)(a), SUBJECT PLURAL DELETION also applies when the subject is 1I, but in B and for other speakers of EG, it does not, producing (62)(b).

(59)

WG *ŋu + n + pula + yina ma + ŋi*
AUX 2S DS 3PO(D) *say* PAST
'You two told them two.'

(60)

SMG *ŋu + n + pula + ŋku+lu ma + ŋi*
AUX 2S DS PS *say* PAST (=59)
'You two told them two.'

(61)

SMG pa + ru + |aa + ŋku + lu
 hit FUT 1IPS LING PS
 'let's hit them.'

(62)

EG (a) pa + ru + |aa + yina
 (b) pa + ru + laa + yina + ŋku + lu
 hit FUT 1IPS 3PO LINK PS
 'let's hit them'

(63)

M pa + |aa + ŋu + lu + nu + ŋ + ku
 AUX 1PS LINK PS give CM FUT
 'we (incl.) will give it to them.'

(64) OBLIQUE PLURAL DELETION

| | | | |
|-----|--|---|---|
| | + [+pro] | + $\left[\begin{array}{c} +pro \\ +NS \end{array} \right]$ | + $\left[\begin{array}{c} +pro \\ +NS \end{array} \right]$ |
| SD: | 1 | 2 | 3 |
| SC: | 1 | ∅ | 3 |
| C: | (SMG) 1 is [+II] | | |
| | (M) 1 is $\left[\begin{array}{c} +I \\ +II \end{array} \right]$ | | |

(65) SUBJECT PLURAL DELETION

| | | | |
|-----|---|----------|---|
| | + [+pro] | + [+pro] | + $\left[\begin{array}{c} +pro \\ +NS \end{array} \right]$ |
| SD: | 1 | 2 | 3 |
| SC: | 1 | 2 | ∅ |
| C: | (M, B) 1 is [+D] and 2 is [-NS] | | |
| | (G) either 1 is [+D] and 2 is [-NS] | | |
| | or 1 is $\left\{ \begin{array}{c} [+II] \\ [+D] \\ [+I] \\ [+II] \end{array} \right\}$ and 2 is [+NS] | | |

SUBJECT NUMBER SHIFT, as well as DUAL NEUTRALISATION, suffers a diminution of its scope of application in Ngarinman, as remarked earlier in relation to the apparent sporadic optionality of the rule in G. In Ngarinman, the rule applies only when the subject NP is $\left[\begin{array}{c} -I \\ -II \end{array} \right]$ and the oblique is [+I] or [+II]. The hierarchical nature of this rule leads us to speculate about whether CLITIC SWITCH and SUBJECT NUMBER SHIFT do not both arise from an original tendency to move $\left[\begin{array}{c} -I \\ -II \end{array} \right]$ elements (either 3rd person or segmentalised number markers) to the right of

1st and 2nd person elements. In Ngarinman, it might be possible to collapse CLITIC SWITCH and SUBJECT NUMBER SHIFT, although the rule would have to follow SUBJECT NUMBER SEGMENTALISATION and refer to number markers only in some cases to account for the clitic complex (65), in which the person and number elements are split. We shall see in the next section that CLITIC SWITCH and SUBJECT NUMBER SHIFT are distinct rules in M. Assuming that the Ngarinman SUBJECT NUMBER SHIFT rule is also distinct from CLITIC SHIFT, it has the condition (66).

(65)

Ng +*ŋa* + *ŋku* + *lu*
1S 2SO PS

(66) SUBJECT NUMBER SHIFT

Ng, marginal in G SD, SC as (57)
C: (a) 1 is [-D]
and either (b) 2 is [-NS]
or (c) 2 > 1 $\begin{cases} \text{I} \\ \text{II} \end{cases}$

3.2. CLITIC SWITCH

Apart from the reordering resulting from SUBJECT NUMBER SHIFT, there are a number of cases in which the order of subject and oblique clitics as units is reversed to yield a surface order oblique-subject. A hierarchy of persons is involved in all the E. Ngumbin languages, but it works differently in G and B on the one hand, and M on the other.

Let us first examine the simpler case, that of G and B. Here, as with M and many other neighbouring languages, the first person singular clitic must precede any second person clitic, so that where the first person is oblique, the surface order is oblique - subject as in (67). In G and B (if the 1st person marker is non-singular), however, as in (68), the normal subject-oblique order is maintained.

(67)

G *kuya ŋu + yi + n ma + ŋi*
thus AUX 1SO 2SS say PAST
'You (sing.) told me that.'

(68)

G *kuya ŋu + n + ŋantipa ma + ŋi*
thus AUX 2SS 1EPO say PAST

As for combinations involving third persons, order is difficult to determine since the third singular forms are generally \emptyset . The 'indirect-

object' form !a is always final in the clitic complex, but this may result from its particular function rather than its person features. As we mentioned in 3.1., the movement of dual subject markers to the right of the oblique clitic does not result from SUBJECT NUMBER SHIFT. The cases in which the dual marker is on the left are where the subject is first person and the object second, as in (56), or third person, when the subject is second person and the object third person, as in (59), and when both are third person. The cases in which the dual marker follows the oblique clitic are those in which the subject is third person and the object first or second person, as in (26)(b). This accords well with the precedence of first over second person already noted, and with the person hierarchy $1 > 2 > 3$ recognised for numerous Australian languages (Capell, Wurm). It is highly likely therefore that the position of dual markers is determined by the rule that reverses the order of clitics as a whole, which I shall call CLITIC SWITCH.

It is hard to tell in most cases whether third plural subjects are effected by CLITIC SWITCH or solely by SUBJECT NUMBER SHIFT. In Mudbura, both SUBJECT NUMBER SHIFT and CLITIC SWITCH may apply to the clitic complex under certain conditions (see below).

A hierarchy (69) can now be established that determines the operation of CLITIC SWITCH in G and B. When an oblique clitic is higher on the hierarchy than the subject clitic, the order is reversed so that the oblique precedes the subject.

(69) Clitic Switch Hierarchy:

- G, B 1. 1 sing. [^{+I}_{-NS}]
 ii. 2 sing. [^{+II}_{-NS}]
 iii. 1 and 2 non-sing. [^{+I}_{+NS}] [^{+II}_{+NS}]
 iv.(?) 3 [^{-I}_{-II}]

In an earlier paper on Yukulta (McConvell 1976), I attempted to show that nominal hierarchies are based on the number of plus-values of the features of the nominals concerned. This may be true for case-marking, but in the light of (69), in which the unmarked category singular takes precedence over dual and plural, this now seems incorrect insofar as the operation of nominal hierarchies in the order of clitic sequences is concerned.

The CLITIC SWITCH rule is in fact formulated as (70) without the hierarchical conditions of the type suggested in my earlier paper. It might be possible to eliminate some of the values of the features referred to in the rule (e.g. to replace +I by I), if one could arrive

at the equivalent of a set of markedness conventions for clitic ordering on the basis of comparative work.

(70) CLITIC SWITCH

| | | | | |
|-----------|----------|------|---|------|
| | + | +pro | + | +pro |
| | | +pat | | +pat |
| SD: | 1 | | 2 | |
| SC: | 2 | | 1 | |
| C: (G, B) | i. 2 is | +I | | -NS |
| | ii. 2 is | +II | | -NS |

The conditions on the rule are marked i and ii. This indicates that the rule (70) is an abbreviation of two ordered rules which are identical except that the first has condition i and the second as condition ii. After rule i moves all 1st sing. objects to the left, rule ii. moves all 2nd sing. objects to the left. Rule ii. cannot reapply to structures to which i. has already applied, since the order of the [+pat] and [-pat] features has been reversed, so that the structural description of the rule ii. is no longer met.

One might argue that to introduce ordered movement rules into the grammar at the level of clitic placement unnecessarily complicates matters. It might be suggested that perhaps surface structure constraints could be used instead to provide a 'template' on to which clitic sequences would either fit or be discarded (Perlmutter 1972). Despite the merit this proposal might have for clitics in other languages, there is strong evidence in M that CLITIC SWITCH is a movement transformation not a surface constraint.

In M, as in G and B, a 1st sing. oblique clitic precedes a 2nd or 3rd person subject clitic, and a 2nd sing. oblique clitic precedes a 3rd person subject clitic. Arguments parallel to those adduced for G can be brought to show this in cases where there may be doubt. M clitic sequences differ in four respects from those of G and B:

(a) Not only do 1st sing. obliques precede second and third person subjects, but so do 1st du. and plur. obliques. Where such orders are found, *nu* still intervenes between the second person subject to the right of the oblique, and the right-hand subject number marker, as in (71).

(71)

M pa + ŋanta + n + ŋulu wa ŋu + ŋ + ku?
 AUX 1EPO 2S PS Q give CM FUT
 'Will you (plur.) give it to us (plur.)?'

(b) Where S is third singular and O is second dual or plural or first inclusive, the 'reciprocal' suffix *na* is normally added to the right of O, as in (27)(a) (repeated here for convenience) and (72).

(27)(a) pa + ŋku + wu + na na + ŋ + ana
 AUX 2O DO RCP see CM PERF
 'He has seen you two.'

(72)

pi + ŋala + na pi + ŋ + a + ra
 AUX 1IPO RCP bite CM GEN
 'It might bite us.'

(c) In the dialect of M, referred to as WM in 6 (I call it Western as I did not encounter it in the eastern part of Mudbura country), when a second person singular subject appears with a third non-singular oblique clitic, it occurs *both to the right and to the left of the oblique*, as in (73)(a) and (74)(a). In the same dialect W, when the subject is non-singular, the subject clitic appears only once, to the right of the object, as in (75)(a).

There is dialectal variation in this area: the dialect I have called E in 6 has second person subjects occurring only once to the right of the obliques, in all numbers as in (73)(b) and (74)(b), whereas a dialect recorded by Capell (CM), has the second person subject occurring twice even in the plural, as in (75)(b).

(73)

| | | | | |
|----|-----|--------------------|---|-------------|
| WM | (a) | pa + n + pulli + n | } | ju + n + tu |
| | | AUX 2SS 3DO 2SS | | } |
| EM | (b) | pa + wull + n | | |
| | | AUX 3DO 2SS | | |

'you (sing.) will scold two'

(74)

| | | | | |
|--------|-----|--------------------|---|-------|
| WM, CM | (a) | pa + n + jina + n | } | juntu |
| | | AUX 2SS 3PO 2SS | | |
| EM | (b) | pa + yina + n | | |
| | | AUX 3PO 2SS | | |

'you (sing) will scold them'

(75)

| | | | | |
|--------|-----|---------------------------|---|-------|
| WM, EM | (a) | pa + yina + n + ŋulu | } | juntu |
| | | AUX 3PO 2SS PS | | |
| CM | (b) | pa + n + jina + n ŋulu | | |
| | | AUX 2SS 3PO 2SS PS | | |

'you (dual or plur.) will scold them (dual or plur.)'

(d) In G and B, reflexives and reciprocals are both represented by the same clitic, G *ɲunu*, B *ɲanu*, which follows the subject clitic in all cases. Following the 2nd person subject *n*, *ɲunu* becomes *junu*.

In M, the reflexive is *ɲanu* (except for 1st sing. when the normal oblique *+yi* is used), but there is also a distinct reciprocal suffix *+ɲa*. For the purposes of this paper I consider both forms to be derived from combinations of subject and obliques that have the same reference, (or perhaps one should say include the same referent and have the same person features, to account also for *+na+ɲantipa+ɲunu* 1SS-1EPO-RFL, etc. in G and B). Naturally, *ɲa* described in (b) has a somewhat different derivation.

In 2nd sing. reflexive and 2nd dual and plural reciprocal, the subject marker again occurs *both to the left and right of the reflexive/ reciprocal marker that replaces the oblique clitic*, as in (76) and (77).

(76)

M *nampa + ka pa + n + ɲanu + n tut waŋta + j + waŋta + ɲ + ini?*
what LOC AUX 2SS RFL 2SS hold get REDUP CM PRES
'What's wrong that you are holding yourself?'

(77)

M *nampa + ka pa + n + pu + ɲa + n punpa ɲa + ɲan + ini?*
what LOC AUX 2S DS RCP 2S fight see CM PRES
'What's wrong that you two are looking for a fight with each other?'

So we have the situation that in a widespread dialect of M under specific regular conditions, a subject clitic appears twice in the clitic complex. The rule CLITIC ATTACHMENT, as shown in (24), or modified in any reasonable way that I can conceive of, could not generate two occurrences of a subject clitic, just in case the oblique clitic is of a particular type. In order that a surface constraint might account for it, all subject clitics would have to be generated in two positions by CLITIC ATTACHMENT and the filter would have to throw out huge numbers of ungrammatical clitic complexes.

It seems to me more likely that the double appearance of the subject clitic results from a rule that *copies* the S clitic to the right of the O clitic, after the clitics have been attached. If we consider this proposed rule of CLITIC COPYING in relation to CLITIC SWITCH in M, the copying rule occupies the middle ground between the O-S order, which appears where the O clitic is higher on a nominal hierarchy than S, and the S-O order which appears where the S clitic is higher on the hierarchy than O. Since CLITIC COPYING and CLITIC SWITCH appear to be related in this way, if CLITIC COPYING must be a movement rule, it is likely that CLITIC SWITCH is a rule of the same type, the difference

between them being that the former is a copying rule and the latter is a chopping rule (Ross 1967).

The relation between the two rules is not however a straightforward one. There is a Clitic Switch hierarchy in M (78) which is similar to that of G except that 1 replaces 1 sing.

(78) Clitic Switch Hierarchy

| | | | |
|---|------|------------|--|
| | i. | 1 | [+I] |
| m | ii. | 2 sing | $\begin{bmatrix} +II \\ -NS \end{bmatrix}$ |
| | iii. | 2 non-sing | $\begin{bmatrix} -I \\ +II \\ +NS \end{bmatrix}$ |
| | iv. | 3 | $\begin{bmatrix} -I \\ -II \end{bmatrix}$ |

Where the O clitic is higher on the hierarchy than the S clitic, the order of the clitics is switched to O-S. This change can be expressed by the rule (79).

(79) CLITIC SWITCH

SD, SC as (70) (conditions represent ordered sub-rules as in (70))

| | | | |
|----|-----|------|---|
| C: | i. | 2 is | [I] |
| | ii. | 2 is | $\begin{bmatrix} +I \\ II \\ -NS \end{bmatrix}$ |

For CLITIC COPYING in WM there is a slight change in the hierarchy:

(80) WM Clitic Copying Hierarchy

| | | | | |
|--|------|--------------------|--|--|
| | i. | 2 sing, 3 non-sing | $\begin{bmatrix} +II \\ -NS \end{bmatrix}$ | $\begin{bmatrix} -I \\ -II \\ +NS \end{bmatrix}$ |
| | ii. | 2 non-sing | $\begin{bmatrix} -I \\ +II \\ +NS \end{bmatrix}$ | |
| | iii. | 3 sing | $\begin{bmatrix} -I \\ -II \\ -NS \end{bmatrix}$ | |

All [+I] elements are disregarded, and 3rd non-sing. rises from the lowest level to the same level as 2nd sing. CLITIC COPYING causes all second person S clitics that are *on the same level* as the following O clitic to be copied to the right of O. Reflexives and reciprocals have O clitics on the same level as S, as the S clitics are identical to the O clitics in underlying structure.

The CLITIC COPYING rule can be written as (81).

(81)

SD as (70)

SC: 1 2 1
C: either (a) 1 and 2 are $\begin{bmatrix} -I \\ +II \\ \alpha NS \end{bmatrix}$
or (b) 1 is $\begin{bmatrix} +II \\ -NS \end{bmatrix}$
and 2 is $\begin{bmatrix} -II \\ +P \end{bmatrix}$

The differences in dialects for this rule can be seen as reflecting slight variations in the hierarchy and conditions on the rule. In EM, where only reflexives and reciprocals trigger CLITIC COPYING, condition (b) of the above rule would be absent and the hierarchy would be more like that of CLITIC SWITCH (82) with 3rd non-sing. once again on the same level as 3rd sing. For CM, in which non-singular second person S clitics are also copied across third person non-singular clitics, the hierarchy would be (83), and [-NS] would be absent in the first feature combination of condition (b) of (81).

(82)

EM 1. 2
ii. 3

(83)

CM 1. 2, 3 non-sing
ii. 3 sing.

The rule of SPURIOUS RECIPROCAL INSERTION (ηa), which occurs only in M, not in G or B, is a puzzling rule, for whose existence I can offer no grammatical, functional or historical explanation at my present stage of knowledge. However, it does appear to have some connection with the hierarchies under discussion. It could be said to have its own hierarchy (84), which is similar to the bottom two lines of both the CLITIC SWITCH hierarchy (78), and the CLITIC COPYING hierarchy (80).

(84)

1. 2 non-sing, 1 incl $\begin{bmatrix} +II \\ +P \end{bmatrix}$
ii. 3 sing. $\begin{bmatrix} -I \\ -II \end{bmatrix}$

The rule would have the form (85).

(85) SPURIOUS RECIPROCAL INSERTION

SD as (70)

SC: 1 2 RCP
(ηa)
C: 1 is $\begin{bmatrix} -I \\ -II \end{bmatrix}$
2 is $\begin{bmatrix} +II \\ +NP \end{bmatrix}$

One might suggest collapsing this rule with true RECIPROCAL INSERTION, but this possibility will not be discussed here.

There is a further argument that can be adduced to show that CLITIC SWITCH and CLITIC COPYING in M exist as movement transformations, not as reflections of surface constraints. We have seen that when the 2PS clitic occurs with number markers separated it has the form $n... \eta u + lu$ in M and B, $n... \eta ku + lu$ in G, but when the two markers are adjacent it has the form nta in all the languages. This is true also when the 2PS clitic has been moved to the right of a singular O clitic by CLITIC SWITCH.

(86)

M $pa + yI + nta$
 AUX 1SO 2PS

However, when the 2PS clitic has been moved to the right of a *non-singular* O clitic by CLITIC SWITCH (87) or CLITIC COPYING (88), the rule that produces nta cannot apply (89).

(87)

M $pa + \eta anta + n + \eta ulu$
 AUX 1EPO 2S PS

(88)

CM $pa + n + jIna + n + \eta ulu$
 AUX 2S 3PO 2S PS

(89)

M * $pa + \eta anta + nta$
 AUX 1EPO 2PS

The rule of NGU-INSERTION normally only operates when a right-hand plural marker lu immediately follows a non-singular clitic. If we order CLITIC SWITCH (and CLITIC COPYING) after SUBJECT NUMBER SHIFT and NGU-INSERTION, we can retain this form of the rule and generate (86) by the rules (90) and (87) by the rules (91).

(90)

SUBJECT NUMBER SHIFT $n + lu + yI$
 2S PS 1SO
 CLITIC SWITCH $n + yI + lu$
 NTA-FORMATION $yI + n + lu$
 $yI + nta$

(NGU-INSERTION does not apply
 as the O clitic is singular)

(91)

SUBJECT NUMBER SHIFT n + lu + ŋanta
 2S PS 1EPO
 n + ŋanta + lu

NGU INSERTION n + ŋanta + ŋu + lu

CLITIC SWITCH ŋanta + n + ŋu + lu

(NTA FORMATION does not apply as n and lu are not adjacent)

CLITIC SWITCH must therefore apply not at surface structure, but before NTA-FORMATION. Furthermore, if n were to be generated to the right of ŋanta in the underlying structure of the clitic complex, this would incorrectly prevent NGU-INSERTION from applying since this rule has as its environment an immediately preceding [+NS] O clitic. One could not argue that underlying n + lu normally becomes n + ŋu + lu, since it in fact normally becomes nta. n must therefore have been moved to its surface position to the right of O in the course of the derivation.

4. THE CLITIC BASE

4.1. TYPES OF BASE

The following section should be considered as preliminary. The question of which base is chosen in the several E. Ngumbin languages in different circumstances is a very complex one. In some cases the data are not sufficient to make a definitive statement; in others the nature of the data seems to require an extension of linguistic theory in areas in which there is still little of theoretical significance that is generally accepted by linguists. The latter comment applies particularly to the effects of *discursive* factors such as *topic*, *focus*, *contrast*, etc. on clitic attachment. What is offered here therefore is a brief statement of some of the more salient facts about the clitic base, with some additional fairly speculative comments by way of explanation. I hope to produce a fuller account of clitic attachment and clitic bases in one of the languages discussed (Gurindji) in the near future.

I have provisionally divided the elements that may act as clitic bases into seven categories.

- (92)
- i. Auxiliaries (Aux)
 - ii. Complementisers (Comp)
 - iii. The Negation marker (Neg)
 - iv. Special Question Words (Q)
 - v. Initial demonstratives (ID)
 - vi. Other initial constituents (IC)
 - vii. Verbs (V)

For easy reference I have classed CLITIC ATTACHMENT to i. as AUX Attachment; to ii-iv as Presentence Attachment; to v-vi as Initial-attachment, and to vii as V-attachment. Although attachment to Q-words might be thought to be a form of Initial Attachment, we shall see below that it has a number of characteristics quite different from those of Initial Attachment, and more akin to those of Pre-sentence-Attachment. Under iv and vi is subsumed both attachment to Q or initial constituents as a whole (where these consist of more than one word) and attachment to the initial word, where this is also the initial word of a larger constituent. The difference between these two probably results from the breaking-up of constituents by SCRAMBLING (or minor topic-movement) rules which precede CLITIC ATTACHMENT. This question is not discussed in detail here: it is assumed that clitics are attached to *constituents*, whether these consist of one or more than one word at the time of the application of CLITIC ATTACHMENT. By 'Verbs' (vii) I mean members of the small set of items (under 40 members for the languages discussed) which include such roots as *ya* + 'go' *ka* + 'take' which fall into conjugations and are inflected with tense suffixes. These may occur either alone with nominal arguments, or together with qualifying elements similar to adverbs which I call 'pre-verbs'. Unlike similar prefixes in other Western Desert languages, the E. Ngumbin pre-verbs are free, not bound, may be moved around in the sentence with some degree of independence from the accompanying verb, and may sometimes appear without the verb.

4.2. AUX AND PRESENTENCE ATTACHMENT

In this section I shall deal with attachment to AUX, COMP and NEG. Q-word attachment is dealt with in the following section.

The neutral unmarked auxiliaries are G *nu* and M *pa*. These occur in all cases in which there are overt clitics, and which are not provided for by the other forms of attachment to be described below: i.e. in neutral positive declarative non-contrastive sentences as in (93)(a) and (b). In G *nu* may also be used where there are no overt clitics attached to it, as in (94)(b), but I have found no examples of this in M e.g. (95), but cf. M (95c) *paa* may be an allomorph of *pa* here, but this *pa* appears distinct from AUX *pa*; see further below.

(93)

- G (a) *kaylra nu + wula ya + ni*
north AUX 3DS go PAST
- M (b) *klrawara pa + wula ya + ni + ra*
north AUX 3DS go PAST DIST
'they two went north'

(94)

- G { (a) kayira yani
 (b) kayira ŋu ya + ni }
- M { (a) kirawara yani
 (b) *kirawara pa ya + ni + ra }
 'he went north'
 (c) kirawara paa ya + ni + ra...
 'after he had gone north...'

The neutral AUX most frequently immediately follows the S-initial constituent or word as above, but may also itself occupy the initial position:

(96)

- (a) ŋu + wula kayira ya + ni
(b) pa + wula kirawara ya + ni + ra
 'the two went north'

The difference in meaning between (93) and (96) is slight: (93) does appear to imply however that the fact that they two went is to some extent presupposed (*topical*) or at least predictable; the direction 'north' is relatively new information. In (96) both the going and the direction are new information (non-topical).

Occasionally in G and more rarely in M Aux occurs to the right of V, or of a V-centred constituent like VP, as in (97).

(97)

- wa!u yuwa + ni wa!i!ik ŋu + nunu + ŋku!a
fire put PAST *round* REDUP AUX RFL 10
'he put firewood around himself'

Aux frequently intervenes between pre-verbs and verbs even where these are close-knit, otherwise inseparable elements as in (98).

(98)

- (a) paraj ŋu + iu pu + ŋ + ku
 find AUX 3PS *pierce* CM FUT
(b) k!ŋaŋ pa + !i ku + ya
 find AUX 3PS *throw* FUT
 'they will find it'

In G clitics are attached directly to COMP, NEG and Q-words; if S contains such items in M however, clitics are still attached to the AUX pa, which immediately follows the COMP, NEG or Q-word as in (99), (100), and (101).

(99)

M apala pa + li kiŋaŋ ku + ŋa + na jlyā + ma...
 REL AUX 3PS find throw PERF kangaroo #...
 'the kangaroo which they found...'

(100)

M kula pa + li kiŋaŋ ku + ŋa + na
 NEG AUX 3PS find throw PERF
 'they have not found it'

(101)

M nampa pa + li kiŋaŋ ku + ŋa + na?
 what AUX 3PS find throw PERF
 'what have they found?'

The M temporal-conditional complementiser paa referred to above does not follow this pattern. It follows the AUX + clitic complex if it is present, as in (102); and otherwise it follows the initial constituent of the clause as in (95)(c).

(102)

pa + li paa la + ŋa + ni mi|araŋ + ti paŋi + ma ŋuku + ŋkura pa + li
 AUX 3PS TEMP spear CM past Spear ERG 3 # water ALL AUX 3PS
 waŋ ku + ŋi
 throw throw PAST
 'when they had speared him they threw him into the water'

In M there are two more Auxiliaries apart from pa: pi (plya where no clitics are attached) 'possibility with adverse results', pa 'possibility (with no adverse connotation)'. Syntactically these behave in the same way as pa, except that they may not be deleted where no clitics are attached to them. They may occur in main clauses (103) or introducing subordinate clauses (104). When combined with a following paa, they form the hypothetical conditional complementisers pi...paa (if S with adverse results, as in (105) and pa...paa 'if', as in (106)).

(103)

M kaŋu pi + yina + ŋulu pi + ŋa + ra kaya + |i + ma
 child LEST 3PO 3PS bite GEN ghost ERG #
 'ghosts might bite the children'

(104)

M kaŋu + ma + yina + ŋulu wara pa + ŋ + ka pi + yina + ŋulu
 child # 3PO PS care see CM IMP ADM AUX 3PO PS
 pi + ŋa + ra kaya + |i + ma
 bite GEN ghost ERG
 'take care of the children lest ghosts bit them'

(105)

M kawaraj pi + n paa waŋta + ta na + n tuŋ mara + ŋta
lose LEST 2SS TEMP get FUT.IRR AUX 2SS hold say FUT.IRR
wumaŋku + ma
dreaming #
*'if you were in danger of forgetting your totemic designs, you
would have to hold on to them'*

(106)

G na + n paa ya + n + ta + !a + ŋi yali + ma + ŋa + ŋku
DOUBT AUX 2SS TEMP go CM IMP IRR ALL that # 1SS 2SO
kataj pa + ra + !a
cut hit IMP IRR
'if you had come I would have cut you'

There are a number of elements in G which could be classed either as auxiliaries or complementisers, to which clitics are attached. Since they also occur and attract clitics in B which does not have a neutral AUX, it is convenient to call them all complementisers.

Of these, G *pamu* is most clearly a complementiser in the usual sense, as it normally occurs in subordinate clauses and only rarely in main clauses (probably as a result of ellipsis). It is a type of complementiser familiar in Australian languages, by means of which either relative clauses, or temporal clauses, or conditional clauses can be formed as in (107), (108) and (109) respectively. In both G and B the DOUBT suffix +*ŋa* is usually suffixed to the clitic complex where the clause is conditional.

(107)

G *pamu* + lu luwa + ŋi miŋawut + ma, nila + wa!a ŋu + lu kampa + ŋi
REL 3PS spear PAST kangaroo # that FOCUS AUX 3PS cook PAST
'they cooked the kangaroo which they speared'

(108)

pamu + lu luwa + ŋi miŋawut + ma, kuya + ŋka + wa!a ŋu + lu
REL 3PS spear PAST kangaroo # thus LOC FOCUS AUX 3PS
wa!u pirka ma + ni
fire make get PAST
'when they speared a kangaroo they made a fire'

(109)

namu + lu + na miṅawut + ma luwa, wayi + lu + na
 REL 3PS DOUBT kangaroo # spear IRR INDEF AUX 3PS DOUBT
 wa!u pirka ma + n + ta
 fire make get CM IRR
 'if they had speared a kangaroo they would have made a fire'

In namu clauses in G clitics are occasionally attached to a nu AUX, rather than to namu, especially by younger speakers.

G, B naja means the same as M pi: 'possibility of S occurring with adverse results'. As with pi it may occur wither in main or subordinate clauses, as in (110) and (111) respectively, the translations of (103) and (104).

(110)

G kaṛu + ma naja + yina + ṅkulu paya + n + ana kaya + ṅku + ma
 child # LEST 3PO 3PS bite CM PRES ghost ERG #
 'the ghosts might bite the children'

(111)

G kaṛu + ma wara ka + ṅ + ka + yina + ṅkulu naja + yina + ṅkulu
 child # care take CM IMP 3PO 3PS LEST 3PO 3PS
 paya + n + ana kaya + ṅku + ma
 bite CM PRES ghost ERG #
 'take care of the children lest the ghosts bit them'

wayi is used as an interrogative particle in G and B as in (112)(a). It is also used as an interrogative AUX in G, as in (112)(b). When it follows a Q-word it means 'the speaker does not know the value of the variable indicated by the Q word'. In this construction clitics may either be attached to wayi or to a further nu AUX, as in (113).

(112)

G (a) nu + lu kataj pa + ni wayi?
 AUX 3PS PAST Q
 (b) wayi + lu kaṭaj pani?
 Q AUX 3PS cut PAST
 'did they cut it?'

(113)

wanji + ka $\left\{ \begin{array}{l} \text{(a) wayi + lu} \\ \text{INDEF 3PS} \\ \text{(b) wayi nu + lu} \\ \text{INDEF AUX 3PS} \end{array} \right\}$ tirip kari + na
 which LOC camp be PAST
 'they camped somewhere; I don't know where they camped'

A further use of wayl in EG is as the AUX used with the imperative/irrealis form of the verb to form a past irrealis tense as in (109). Usually +na is also suffixed to the clitic complex in this tense. In WG in the past irrealis, the complex clitic + na is attached either to the initial constituent or nu.

There are a number of other lesser-used AUX or Comp elements in G and B to which clitics are added: in the case of kaṣa ... na 'I thought (incorrectly) that...' walima 'Q...any?' obligatorily; and in the case of e.g. nanta 'assertion modified by doubt', kayi 'assertion and surprise' and kuṣi 'soon, shortly after', optionally.

The complementiser in M which is closest to G, B namu is apala. It seems to be confined to relative clauses as in (99), comparatives, and simultaneous temporal clauses; conditionals and sequential temporal clauses are handled by combinations of various Aux and paa, as already discussed. apala does not attract clitics, and is followed by the Aux pa. Apart from pa, pi and na there are no other auxiliaries or complementisers in M which attract clitics.

In B there is no AUX, and the unmarked form of attachment is to the initial constituent. Clitics may be attached to COMP in second position as in G, however.

The negation marker is the free form kula in G, B, and M, and usually either follows the initial constituent or itself takes initial position. In G and B clitics are normally attached to kula, even where there is a complementiser or Q-word in initial position, as in (114), where namu is initial, however, the clitics are usually suffixed to namu and kula without clitics follows it as in 114(b). Where kula has a scope less than S., e.g. an NP, clitics are attached to Aux instead:

(114)

- G (a) nampa + wu kula + n ya + ni?
what DAT NEG 2SS *go* PAST
'why didn't you go?'
- (b) namu + n + na kula ya + n + ku
 COMP 2SS DOUBT NEG *go* CM FUT

(115)

- G kula nayu + niṅi nu + na ya + ni
 NEG 1S *only* AUX 1SS *go* PAST
'not only I went'

In M, as already mentioned, kula does not attract clitics, but is followed by the neutral Aux pa with clitics attached.

In addition to the cross-referencing of NP's within the domain of simple S by clitic attachment, M (and B too but not G) has the possibility

as in (119)(b). Where the Q-constituent consists of more than one word, the clitics may be attached to the final word of the constituent but this is rather rare. More often the clitics are either attached to *nu* as in (120)(a), or the Q-constituent is broken up and the clitics attached to an initial Q-word, as in (120)(b).

(119)

- G (a) *wapjl + ka + lu tirip kari + na?*
which LOC 3PS camp be PAST
- (b) *nu + lu tirip kari + na wapjl + ka?*
AUX 3PS camp be PAST which LOC
'where did they camp?'

(120)

- G (a) *wapji + ka nura + nka nu + lu tirip kari + na?*
which LOC place LOC AUX 3PS camp be PAST
- (b) *wapjl + ka + lu tirip kari + na nura + nka + ma?*
which LOC 3PS camp be PAST place LOC
'which place did they camp at?'

Attention should be paid to the ordering of the clitic complex with respect to other suffixes, as this is different in the case of Q-word attachment on the one hand and contrastive initial attachment on the other. The clitic complex follows *pa|a/wa|a* the focus marker which itself follows the case-suffixes on Q-words. *pa|a/wa|a* marks something either new in time ('now', sequential 'then';) or new in information content (non-topical, focus). In its latter meaning it has a particular attraction to Q-words, which are by nature non-topical or non-presupposed, hence Q-words are frequently found with the *pa|a/wa|a* suffix as in (121). The topic marker *ma*, for parallel reasons, is hardly ever found on Q-words unless, by ellipsis, they occur alone in the sentence. More frequently, *ma* is found on the remainder of the sentence which is relatively topical, often the verb (which does not normally take the *ma* suffix) as in (121)(a).

Occasionally, perhaps because of the topical nature of one of the clitics, *ma* may occur on the Q-word. When it does so, it follows the clitic complex, as in (121)(b).

(121)

- (a) *nampa + wu + wa|a + yl + ta pa + ni + ma?*
what DAT FOCUS 1SO 2PS hit PAST #
'why did you lot hit me?'
- (b) *nampa + wu + wa|a + yl + ta + ma pa + ni?*
what DAT FOCUS 1SO 2PS # hit PAST

Where the Q-word ends in a consonant e.g. *patjaŋ* 'how much, how many?'. and is immediately followed by a clitic complex, an epenthetic linking syllable *pa* intervenes between the Q-word and the clitic complex as in (122)(b). This is the same link *pa* which occurs with other suffixes in G, e.g. *ŋi*, as in (123)(b), and separating final consonants of initial consonants and clitic complexes in B.

(122)

- G (a) *patjaŋ jɪya + ŋi?*
how much win PAST
'how much did he win?'
- (b) *patjaŋ + pa + ku jɪya + ŋi?*
how much LINK 2SO win PAST
'how much did he win from you?'

(123)

- G (a) *jintaku + ŋi* } *jɪya + ŋi*
one only } *win PAST*
- (b) *murkuŋ + pa + ŋi* }
three LINK only }
'he won only {one
three}'

According to most criteria, the clitic complex forms part of the same phonological word as the Q-word to which it is attached:

(i) it is unstressed, except for secondary stress on the syllable preceding *ŋkulu*, where this occurs in G. A separate word would normally have primary or at least secondary stress on the initial syllable.

(ii) phonological rules operate from the Q-word onto the clitic complex. In (114) NASAL CLUSTER DISSIMILATION (DENASALISATION) has changed *n* into *t* because of the preceding cluster *mp*. In (121) and (122), NASAL CLUSTER DISSIMILATION (DELETION) has operated, changing *nta* into *ta* and *ŋku* into *ku* because of the preceding clusters, respectively *mp* and *np*.

(iii) the clitic complex is inseparable from the Q-word except by a well defined class of other *suffixes*.

(iv) the clitic complex precedes the topic marker *ma* which is normally last in a sequence of suffixes and thus acts as a word boundary marker. In M clitics are not attached to Q-words, but to Aux *pa*; see (101).

4.4. INITIAL ATTACHMENT

In B attachment of clitics to the S-initial constituent as in (124) is the normal unmarked form of attachment. There is no unmarked Aux in B and attachment to V has a special use discussed in section 6. Where

the word to which clitics are attached has a final consonant as in (124) (b) the link *pa* is inserted before the clitics, (as with *patjaŋ* in G.). Phonological rules operate between the base word and the clitic complex, as with G. Q-words. Unlike with Q-words, however, *ma* may be suffixed to the clitic base word and in this case the clitics follow *ma*, as in (124)(c).

(124)

- B (a) *ya + ni + ŋi + ŋa + ŋku ɲunu + ɲ*
go PAST ALL 1SS 2SO 2S DAT
'I came to you'
- (b) *ɲunu + n + pa + ŋa + ŋku ya + ni + ŋi*
2S DAT LINK 1SS 2SO go PAST ALL
- (c) *ɲunu + ɲ + ma + ŋa + ŋku ya + ni + ŋi*

As in the other languages, the link *pa* becomes *wa* following a liquid by a general phonological rule:

(125)

- B *ku! + wa + ŋa paya + ŋi*
drink LINK 1SS drink PAST
'I drank it'

In B the attachment of clitics to the final word of a complex initial constituent is more common than in the other languages, e.g. (126)(a), but again the initial constituent may be split and the clitics attached to the first word e.g. (126)(b). In (126) pre-verb and verb together form a constituent (V).

(126)

- B (a) *jaɾakap ma + !a + ŋa + !a*
talk say PRES 1SS 3SIO
'I am talking to him'
- (b) *jaɾakap + pa + na + !a ma + !a*
talk LINK 1SS 3SIO say PRES

Attachment of clitics to V may happen coincidentally in B because V is the only or final word of the initial constituent, as in (124)(a) and (126)(a) respectively. V-attachment conditioned by a particular type of discursive environment in B is discussed in the following section.

Attachment to initial constituents in G (other than to AUX, COMP, NEG or Q-words) and M (other than to AUX) represents a marked sentence-type which is not frequently found. Upon investigation this marked sentence-type can be shown to have a distinct set of functions related to discourse pattern.

4.5. CONTRASTIVE INITIAL ATTACHMENT

The most important form of S-domain initial attachment is that which I refer to as *contrastive*. This will require a fairly lengthy explanation before the data can be introduced. It has two main forms which appear to be related: that in which clitics are attached to a demonstrative pronoun (G n̄ila 'that'/n̄awa 'this', M yali 'that'/ŋina 'this') with a ma suffix; and that in which clitics are attached to another NP with a ma suffix. The two types share a discursive function of *contrast*, which I believe to be distinct either from the topic-comment structure to be found within a normal topic-chain organisation of discourse, or from the focus-presupposition structure, which is (somewhat confusingly) called 'contrastive' by some authors, both of which have been more investigated than contrast. The forms of contrastive initial attachment also share a number of syntactic characteristics, which are quite different from those of Q-word attachment in G.

The unmarked form of discourse is that which proceeds in a *linear* fashion. In each succeeding sentence some new information is added to old information (*topic*) which is carried over from the previous sentences or drawn from a pool of presuppositions available in other ways to the speaker and hearer. Typically, such a discourse describes a temporal sequence of events, or a logical sequence of statements, or both. Essentially, focus-presupposition sentences (such as clefts etc) are generated in the same mode of discourse, except that it is the new rather than the old information which is placed in the foreground.

However, a different mode of joining sentences in discourse is also available to speakers, which I shall call *lateral*. By this I mean that such a joining of sentences in the normal chain of new building on old information, and its implications of temporal, causal or logical sequence is temporarily suspended. Given a topic as a starting point sentences are joined in lateral sequence, as it were, by shifting paradigmatically, rather than moving on syntagmatically. A pair of sentences in a lateral sequence have a symmetrical relationship with each other with respect to time, cause or implication, so that reversing their order in itself does not alter the meaning of their relationship. This is unlike a pair of sentences in a linear sequence, which bear an asymmetrical relation to each other of the kind 'first S1 then S2' or 'if S1 then S2'.

One type of lateral sequence could be a *cumulative* list of factual statements on a particular topic. Probably more common is a *contrastive* sequence. In English, contrast may be expressed by stress and intonational marking of the elements contrasted. The stress added to

contrasted elements appears to be the same as that used to mark focus (indicated by " in (131)) perhaps because contrastive elements are by their nature new (non-topical). I have not investigated whether any intonation pattern is uniquely associated with contrast.

(131) "Jack "swam across. "Jill "waded across.

Contrast in English may be further indicated by conjunctions and particles, e.g.:

(132) "Jack "swam across, but "Jill "waded across.

(133) Whereas "Jack "swam across, "Jill "waded across.

It should be noted that there is nothing inherent in the meaning or referents of pairs of sentences in a discourse which determines whether they form part of a linear or lateral sequence, or of a cumulative or contrastive sequence. (Although, of course, for certain pairs these factors do make one or other interpretation highly likely.) The choice of type of sequence is something within the autonomous discursive domain, which is added by the speaker by means of grammatical markers (such as stress and intonation in English). Thus (134), which is the same as (131) except for the lack of marked stress, is either a linear (temporal) sequence, or a lateral cumulative sequence.

(134) Jack swam across, (and) Jill waded across.

Contrast is taken to be an irreducible notion in this paper. It appears to shade imperceptibly off into cumulation yet appears to be a basic building block of human thought: perhaps the two concepts are the two sides of the same coin of a symmetrical paradigmatic relationship one or other of which may be foregrounded.

(135) A is x; A is not y
 B is y; B is not x
 cumulative contrastive
 aspect aspect

'Focus' is called 'contrastive' by some authors because it identifies the value of a variable and thereby asserts that other values are discounted.

(136) A is X (variable); A is either x or y or z or....
 X = x (value); A is not y nor z nor ...

Contrast in the sense used here, on the other hand, means contrast with only *one*, not many, possible values.

In G and M *contrast* has a specific syntactic effect: attachment of clitics to the first constituent of a sentence. In the case of attachment to initial demonstratives, the contrast is frequently with a

preceding or following relative/temporal/conditional clause of the same complex S, as in (137), but this is not necessarily so as we see in (138). The demonstrative does not have a normal deictic or anaphoric significance in this construction. If the distal (G *ɲila*, M *yali*) is used to introduce one of the pair of sentences and the proximal (G *ɲawa*, M *ɲipa*) the other, this indicates a contrast in time of the two contrasted sentences, that with the proximal initial being closest to the present, as in (138). A similar temporal contrast may be effected by a proximal initial in the main clause, but with a relative-type clause indicating the farther-removed contrasted event as in (138). Where the distal introduces a sentence of main clause, without a corresponding proximal in the other sentence, this indicates that there is no temporal contrast, but the two sentences are equally removed from the present, e.g. (139).

(137)

G *ɲawa* + *ma* + *ɲa* *kulukulup* + *pa**ɲa* *ɲamu* + *ɲa* *kari* + *ɲa* *wankaj* + *ma*
this # 1SS *happy* FOCUS REL 1SS *be* PAST *bad* #
purinji! + *ɲa* + *ma*
yesterday LOC #
'I am happy now although I was bad yesterday'

(138)

M *yali* + *ma* + *n* *ya* + *n* + *ta* + *ɲa* + *ɲi* *ɲali**ɲa* + *ɲi* *ɲipa* + *ma* + *n*
that # 2SS *go* CM IMP IRR *all* *new* EMPH *this* # 2SS
*ɲu*ɲuku! *wanti* + *ɲa* + *ra*
overlap *fall* PAST DIST
'you should have come (when you rib was) still new(ly broken),
now it has doubled over'

(139)

G *ma*ɲuka + *ɲu* *yalu* + *ɲku* *ɲamu* + *ɲa* *yunpa* + *wu* *yar*ɲɲɲɲ + *ɲu* *kula*
old man ERG *that* ERG REL DOUBT *sing* FUT *sorcery* *song* ERG NEG
*wan*ɲi *kar* + *u* *ɲila* + *ma* *yarulan* + *ma* *ɲila* + *ma* + *ɲa* *tempan*
alive *be* FUT *that* # *young man* # *that* # DOUBT *dead*
kar + *u* *waji*ɲa + *ɲi*
be FUT *quick* EMPH
'if that old man sings him with a sorcery song, that young man
won't stay alive but will die quickly'

In (139) it is unclear whether the contrast in the final clause is with the immediately preceding clause or with the protasis of the condition. Conditionals with initial attachment to demonstratives in the apodosis without a clear contrastive meaning are fairly common.

In all of the above examples the two sentences in a contrastive pair (with the possible exception of 139) share their subject: this appears to be particularly common (but not completely without exception e.g. (140)) for initial demonstrative attachment. Where the subjects are different, they are usually contrasted, and themselves become the initial element to which clitics are attached.

It will have been noted that all demonstratives in this construction are immediately followed by the suffix *ma* which *precedes* the clitic complex; this is indeed obligatory for all contrastive initial attachment, whether of demonstratives or otherwise. In this respect the construction differs markedly from Q-word-attachment, in which *ma* is rarely suffixed, to the initial constituent, and if it is, *follows* the clitic complex. Another difference between the two constructions is in the position of the focus marker (G *pa|a/wa|a*, M *wapa*).

In G Q-word attachment (and in the related focus attachment), *pa|a/wa|a* immediately follows the Q-word and *precedes* the clitic complex. In initial attachment, however, it *follows* the clitic complex as in (140). As with Q-word attachment, where an initial element co-occurs with NEG in a contrastive construction, as also shown by (140), it is *kula* to which the clitics are attached:

(140)

ma + lu + ra yipurk nila + ma + wa|a kula + na + |a jayi +
 say FUT HORT *in vain that* # FOCUS NEG 1SS 3SIO *give*
 ŋ + ku
 CM FUT
 'whatever he says I won't give it to him'
 (lit: 'let him talk in vain (but) I won't give it to him')

Attachment to initial demonstratives (*nila*, *nawa*) which do not have a normal deictic or anaphoric meaning is common in B. This does appear to have the contrastive meaning associated with it in G on some occasions, as in (141) and (142). On other occasions the distal appears to indicate simply relevance of S to a temporally distant state of affairs, (past or future), and the proximal, relevance of S to the present state of affairs.

(141)

1. kula + ŋa pina + ŋu + |a + wu ya + n + ku
 NEG 1SS *give* GER LOC DAT *go* CM FUT
 'nobody gives me anything'
 (lit: 'I can't go for when (someone) is giving')

11. pawa + ma + ŋa + ŋa waŋaak
this # 1SS DOUBT waif
'I am (like) a waif' (unsupported by kin)

(142)

1. liwaŋ + pa + yi kar + a
wait LINK 1SO be PRES
'he is waiting for me'
11. pawa + ma + ŋa + ŋku liwaŋ kar + a
this # 1SS 2SO wait PRES
'I am waiting for you'

Another initial element to which clitics are attached in B is *pala*. It is used to introduce temporally new sentences or sentences having a relation of result or purpose with what precedes.

(143)

- pala + ŋa + ŋku ma + lu maŋu + kaŋi + !i*
 FOCUS 1SS 2SO *say put language other ERG*
*'^{SO}
 now } I'll tell you in a different language'*

Contrastive initial attachment of elements other than the demonstratives discussed above seems to have two major functions. The first is marking of the second sentence in a lateral contrastive pair such as the English sentences in (131). This is organised in the following way: one constituent of the second sentence, usually an NP (frequently a pronoun) is foregrounded as contrasting with one constituent of the preceding contrastive sentence. This element although non-topical in the normally-used sense may be regarded as a sub-topic which is a member of a paradigmatic topic-set, including e.g. JACK and JILL in (131). It is this element which is sentence-initial and to which clitics are attached. The properties of the second sub-topic which contrast with those of the first are expressed in the remainder of the sentence. In G and M the sub-topic is not confined to any particular grammatical function: it may be the subject, as in (144), the direct object as in (145), or an adverbial as in (146). The sentences (111) in (144) and (145) return to a linear sequence after the lateral parenthesis: in the case of (144), (111) continues from (1), in (145) (111) continues from (11). In these passages, C marks the contrastive sentences.

(144)

- G 1. wayi + !i ya + n + ta kujara
 INDEF 1IDS *go CM IRR two*
'we should both have gone'

C 11. nuntu + waɾɪj + pa + ɲi + n ya + ni
 2S alone LINK only 2SS go PAST
 'YOU went on your own'

111. wayi + ɲa + ɲku jarara ma + n + ta waɪu + wu
 INDEF AUX 1SS 2SO follow get CM IRR fire DAT
 'I would have gone with you for firewood'

(145)

G 1. yirap + ma ɲu + ɲa + yina paɾik waɲja + ni V.R.D. + ɪa
 one mob # AUX 1SS 3PO behind leave PAST LOC
 'one lot (A) I left them at V.R.D.'

C 11. yirap + ma + ɲa + yina waɪ ka + ɲa muɪa + ɲkura
 one mob # 1SS 3PO back take here ALL
 'THE OTHER LOT (B) I brought them back here'

111. muɪa + ɲka + ɲi ɲu + lu kari + ɲ + ana
 here LOC still AUX 3PS be CM PRES
 'they are still staying here'

(146)

M 1. pa + ɪa ɲɲjuɲɲju ka + ɲa + ra
 AUX 3SIO special kind of yellow ochre take PAST DIST
 waɾiɪila + wu maɪaɪuka + li kampara + ma
 hook-boomerang DAT oldmen ERG before #
 'in the old days, the old men used to bring a special kind
 of yellow ochre for the hook boomerangs'

C 11. jaɪajaɪa + ma + ɲa + li wampal + wapa yuwa + ra waɾiɪila +
 today REDUP # 1EPS nothing FOCUS put HAB hook.boomerang
 ma kula ɲɲjuɲɲju + wuru + ɪu
 # NEG special kind of yellow ochre PROP ERG
 'NOWADAYS, WE make the hook boomerangs without it because
 we do not have the special ochre'

Contrastive initial attachment is often found in conversational dis-
 course, where the contrast is between the behaviour or properties of
 speaker and addressee as in (147) and (148).

(147)

G 1. A: "ɲu + ja ya + n + ku kani + mpara"
 AUX 1EDS go CM FUT downstream ALL
 'we two will go downstream'

C 11. B: "ɲantipa + ma + ɲalu + kar + u muɪa + ɲka + ɲi"
 1EP # 1EPS be FUT here LOC EMPH
 'WE will stay here'

(148)

- M 1. A: "tanku pa + ŋa + yɪ wəŋja + na kaja + ŋka"
supplies AUX 1SS 1SO leave PERF bush LOC
"I have left my swag in the bush"
- C 11. B: "ŋayi + ma + ŋa ka + ŋ + ana + ŋi"
1 # 1SS take CM PERF ALL
"I have brought it (mine) back"

Sometimes contrastive initial attachment takes place without an explicit preceding sentence to contrast with. In such cases such a preceding sentence can be said to be presupposed.

(149)

- G 1. PRESUPPOSITION
'(you (A) said that he is coming to see me (B))'
11. "nawa + ma ŋu + yi + n kuŋan ma + ŋi"
this # AUX 1SO 2SS lie say PAST
?"you told me a lie"
- C 111. "kapjura ŋu ya + n + ana ŋayu + ma + ŋa ŋa!aka + !u ma +
down AUX go CM PRES 1 # 1SS head ERG say
ŋ + ana"
CM PRES
"I think he is going down (to the Settlement)"

(150)

- M 1. PRESUPPOSITION
'(you are just women)'
- C 11. ŋayi + ma + na piŋiŋja
1 # 1SS initiated man
"I am an initiated man" (said by mythical snake to women
who are trying to keep him out of a sacred ritual)

The fact that linear and lateral contrastive sequences can be alternative ways of joining the same pair of sentences is illustrated in the following passage (151). The transition from 111. to iv. or v. (which have the same information content) can be seen as either primarily one of paradigmatic contrast or primarily one link in a temporally sequential topic-chain. In fact, here both these possibilities are realised. The former is realised as sentence iv. with the sub-topic *kiŋa* 'father' contrasted with *lampara* 'father-in-law' in 111., receiving initial-attachment. The topic-chain alternative is realised as v. in which *kiŋa* of 111. becomes the topic of v., in the typical subject-topic position immediately preceding the compound verb.

(151)

- M 1. *ɲayl + ɲa pa + yl jawljl*
 1 DAT AUX 1SO MF
'my mother's father...'
- ii. *ɲayl + ɲa pa + yl jakaʔl jawijl + !l kɪɲaŋ ku + ɲi + ra*
 1 DAT AUX 1SO MF ERG PAST DIST
'my mother's father fathered my mother'
- iii. *lampara + !l nu + ɲa + ra ɲayl + ɲa + ma kɪʔa + ma*
 WF/DH ERG give PAST DIST 1 DAT # father #
'as father-in-law he gave her to my fathers'
- C iv. *kɪʔa + !i + ma + ɲanta + ɲulu kɪɲaŋkɪɲaŋ ku + ɲi + ra*
 father ERG # 1EPO 3PS find REDUP put PAST DIST
'OUR FATHERS fathered US'
- v. *pa + ɲanta + ɲulu kɪʔa + !i + ma kɪɲaŋkɪɲaŋ ku + ɲi + ra*
 AUX 1EPO 3PS father ERG find REDUP put PAST DIST
kampara + ma
ahead #
'our fathers fathered us before'

The second, and less significant, function of contrastive initial attachment is in correcting an incorrect or vague specification of an element in a preceding sentence, or in correcting an impression that an element has been carried over as a topic, whereas in fact a new element has been substituted for it. It is this new element which receives contrastive clitic attachment, like the locative NP in (152). Here iii. is paired with ii., in which the location is assumed to be unspecified, or the same as that mentioned in i. Incidentally, the attachment here is to the last word of a complex constituent.

(152)

- M 1. *yali kaʔa karampaʔji + !a ɲura + ɲka pa + ɲanta ɲura*
 that TOP Karimbaldi LOC place LOC AUX 1EPO camp
'our camp was Karimbaldi Yard then'
- ii. *pullki pa + ɲa + li kiʔlkiʔl waŋʔa + ɲi + ra*
 cattle AUX 1S EPS chase REDUP get PAST DIST
'we were mustering cattle'
- C iii. *Gum Creek + ku!a paddock + ku!a + ma + ɲa + !l waŋʔa + ɲi*
 LOC LOC # 1EPS get PAST
+ra pullki
 DIST cattle
'we were mustering cattle AT GUM CREEK PADDOCK'

- iv. ḡayl + ḡi + wapa pa + ḡa kiḡlkiḡi waḡta + ḡi + ra pullki + ma
1 ONLY FOCUS AUX 1SS chase RED get PAST DIST cattle
'I was mustering the cattle myself'
- v. karakara pa + li pullki + ma ya + ni + ra
run REDUP AUX 3PS cattle # go PAST DIST
'the cattle ran off'
- vi. kula + wapa pa + ḡa wujuk pa + ni yali + ma puliki + ma
NEG FOCUS AUX 1SS let go hit PAST that # cattle #
'it wasn't that I let the cattle go...'

In ii. pullki 'cattle' is new (non-topical); it is marked as such by its initial position and by the lack of a topic suffix ma. In iii. puliki is shifted to the right by the presence of a contrastive element in initial position, but still has no ma suffix. This indicates that unlike in sentences iv. - vi., puliki in iii. is not topical, although it is preceded by an instance of the same word in ii. This results from the fact that the pair ii. and iii. is a *lateral* sequence, whereas the sequence iii. - vi. is a *linear* topic-chain.

Although the property asserted by each sentence of a contrastive pair of this type is formally the same (although not strictly referentially identical), contrast is still present since the two sub-topics are contrasted in this way.

(153)

- i. A is x
ii. A is not x
iii. B is x

Since stage (ii) of (153) presupposes an earlier statement (i), contrastive pairs of the type (ii)-(iii) are found, often conjoined, with backward gapping as in (154).

(154)

- G kula panawu + ḡḡiḡ, ḡawa + ma + lu ḡumpit + kaḡl ya + ni
NEG that occasion people this # 3PS man OTHER go PAST
(contrast)
'not the same ones as that time (came), but THESE OTHER MEN came'

4.6. V-ATTACHMENT

In G where the mood of the sentence is imperative or hortative, as in (155) and (156), the clitics are suffixed to the verb, following the tense/mood suffixes. In the imperative in M the clitics are attached either to the verb or to the S-initial constituent, as in (157)(a & b). As in (157)(b) the clitics are more often attached to the first word than to the whole initial constituent.

(155)

G nila + ma wa!u + ma wara ka + ŋ + ka + lu
that # fire # care take CM IMP PS
'you lot watch out for that fire'

(156)

G nila + ma wa!u + ma wara ka + ŋ + ku + ra + lu
that # fire # care take CM FUT HORT PS
'let them watch out for that fire'

(157)

M (a) yali + ma pupa + ma wara na + ŋ + ka + li
that # fire # care see CM IMP PS
'you lot watch out for that fire'
 (b) yali + ma + li wara na + ŋ + ka + li pupa + ma
that # PS care see CM IMP PS fire #
'you lot watch out for that fire'

In M the irrealis (IMP + !a) does duty both as hortative and past irrealis. Both hortative and past irrealis may be formed by Aux-attachment as in (158) and (159)(b), but the past irrealis may alternatively be formed by Initial-attachment as in (159)(a).

(158)

M yali + ma pupa + ma wara na + ŋ + ka + !a pa + li
that # fire # care see CM IMP IRR AUX PS
'let them watch out for that fire'

(159)

| | | | |
|---|-----------------------|---|-----------------------------------|
| M | (a) yali + ma + li | } | wara na + n + ka + !a pupa + ma |
| | <i>that # PS</i> | | <i>care see CM IMP IRR fire #</i> |
| | (b) yali + ma pa + li | } | |
| | <i>that # AUX 3PS</i> | | |

'they should have watched out for that fire'

A similar pattern is found in B where IMP !a also realises both hortative and past irrealis, except of course that there is no Aux-attachment in B. A suffix +ŋa is also added to the clitic complex in the case of past irrealis, as in (98). In B imperatives, attachment to the verb is the rule.

(160)

B nila jawl + ma + lu + ŋa wara ka + ŋ + ka + !a
that fire # PS DOUBT care take CM IMP IRR
'they should have watched out for that fire'

Clitic attachment in the imperative and hortative is however different

in B on the one hand and G and M on the other. In B, if the focus suffix *pa|a/wa|a* is attached to these forms of the verb, the clitics follow this suffix, as in (161 a and b), but in G the clitics are directly attached to the V-tense suffix, and precede *pa|a/wa|a* as in (162 a and b).

(161)

- B (a) *ka + ŋ + ka + wa|a + lu*
take CM IMP FOC PS
'you lot take it now'
- (b) *ka + ŋ + ka + |a + wa|a + lu*
take CM IMP HORT FOC PS
'let them take it now'

(162)

- G (a) *ka + ŋ + ka + lu + wa|a*
take CM IMP PS FOC
'you lot take it now'
- (b) *ka + ŋ + ku + ra + lu + wa|a*
take CM FUT HORT PS FOC
'let them take it now'

In B therefore, the order of suffixes where the clitics are attached to imperative and hortative forms of the verb is the same as that in other tenses of the verb in B, as in 163, and the same as that in COMP, NEG and Q-word attachment in G, where *pa|a/wa|a* also precedes the clitic complex (see section 4.2.).

(163)

- ka + ŋ + a + wa|a + lu*
take CM PRES FOC 3PS
'they are taking it now'

In G (and M) however, the order of suffixes in 162 shows attachment to V to be a distinct rule from other types of clitic attachment.

In WG, but not in EG, clitics may be attached to the verb in the future and irrealis tenses. The former is the same as the future tense in the other languages, but frequently adds the *+ŋa* DOUBT suffix to the clitic complex. The past irrealis is realised by the same verbal suffix as the imperative, but the suffix *+ŋa* is obligatorily present on the clitic complex. Clitics are usually attached to the verb, but sometimes to the initial constituent. In both the future and irrealis, clitics may alternatively, and less commonly, be attached to the Aux *ŋu ... (+ŋa)*. In EG the Aux *wayl ... (+ŋa)* is used with the past irrealis, instead of *ŋu ... + ŋa*. Examples of the above tenses are given in (161)-(165).

(164)

- WG (a) kayira ya + n + ku + lu (+ŋa)
north go CM FUT 3PS (DOUBT)
- (b) kayira ŋu + lu (+ŋa) ya + n + ku
north AUX 3PS (DOUBT) go CM FUT
'they will (possibly) go north'

(165)

- WG (a) kayira ya + n + ta + lu + ŋa
north go CM IRR PS DOUBT
- (b) kayira + ma + lu + ŋa ya + n + ta
north # PS DOUBT go CM IRR
- (c) kayira ŋu + lu + ŋa ya + n + ta
north AUX PS DOUBT go CM IRR
'they would have gone north'

(166)

- EG kayira ŋu + lu ya + n + ku
north AUX 3PS go CM FUT
'they will go north'

(167)

- EG kayira ŋanta + lu ya + n + ku
north DOUBT AUX 3PS go CM FUT
'they may/want to go north'

(168)

- EG kayira wayi + lu + ŋa ya + n + ta
'they would have gone north'

Attachment of clitics to verbs may come about in B coincidentally, because V happens to be the first constituent, as already illustrated in (124)(a). There is also in B clitic attachment to V irrespective of V's position in the sentence. Like initial-attachment in G and M, this appears to be determined by a discursive environment, but at the present stage of investigation I cannot be sure of the exact nature of this environment. Some examples like (169) and (170) appear superficially similar to those of lateral contrast described above for G and M. (170) shows that what is being dealt with is not strictly V-attachment, but also includes attachment to preverbs (\bar{V} -attachment).

In examples (171) and (172) however there is strictly no contrast of the type described here. What seems to characterise the sentences in which V-attachment occurs is a temporary (usually parenthetical) break in a topic chain in which a new (non-topical) element acquires prominence. In all the examples here, the new element takes over

sentence subject status. I have not found any clear examples of the new element being anything other than a new subject, but the corpus analysed at the moment is too small to be conclusive.

(169)

- B 1. niɟpuɾu + ŋuɟu + ŋa + lu ya + ni
Pigeon Hole ELAT 1EPS go PAST
'we went away from Pigeon Hole'
- ii. Sanford + ta + ŋa kari + pa jaŋkaŋi + k ŋayu + ma
LOC 1SS be PAST big INCHOAT 1S #
'I grew up at Mt. Sanford'
- ?C 111. ŋayi + ŋ + ma ŋamayɪ + ma ŋaji + ma kari + pa + wula
1S DAT # mother # father # be PAST 3DS
pɪɪmatjuru + ɟa
Bilinara Hill LOC
'my mother and father lived at Bilinara Hill'
- iv. ŋayu + ma + ŋa kari + pa Sanford + ta
1S # 1SS be PAST LOC
'I lived at Mt. Sanford'

(170)

- B 1. ya + n + ta + ɟa + ŋa + ŋa
go CM IMP IRR 1SS DOUBT
'I wanted to go'
- ?C 11. ŋumpɪn + kaɾɪ + ɟɪ kaji + yi ma + ŋɪ
man OTHER ERG stop 1SO say me
'another man stopped me'

(171)

- B 1. yɪkarp + pa + ŋa + paŋu ma + n + a
scratch LINK 1SS RFL get CM PRES
'I am scratching myself'
- ii. kanamuru + ɟu paya + ŋɪ + yi
mosquito ERG bite PAST 1SO
'mosquitoes have bitten me'
111. janarjanar + wa + yi kampa + ŋɪ
sore REDUP LINK 1SO burn PAST
'they have made me sore'

(172)

1. kari + pa + ŋa yapakaɾu + ma pakaɟi + ɟa + ma
be PAST 1SS baby # paperbark LOC #
'I was a baby in a paperbark cradle'
- ii. ŋayi + ŋ + ju ŋamayɪ + ɟɪ kampa + ŋɪ + yi
1S DAT ERG mother ERG cook PAST 1SO
'my mother cooked me (in antbed for strength)...'

- iii. jaŋkaŋi + k kari + pa + ŋa
big INCHOAT be PAST 1SS
'...and I grew up'
- iv. luku + ŋa kari + pa
marry 1SS be PAST
'I was married'

4.7. THE VARIETIES OF CLITIC ATTACHMENT

The chart below summarises the environments in which different clitic bases appear in the four languages and dialects examined:

(173)

| | B | WG | EG | M |
|--------------|---|--|-------------------------|---|
| (i) AUX | no AUX | unmarked | unmarked | unmarked |
| (ii) COMP | yes | yes | yes | no |
| (iii) NEG | yes | yes | yes | no |
| (iv) Q-words | yes | yes | yes | no |
| (v) ID | ?contrast | contrast | contrast | contrast |
| (vi) IC | unmarked | contrast past irrealis | contrast | contrast imperative past irrealis |
| (vii) V | ?subject/ topic change imperative hortative | imperative hortative past irrealis future | imperative hortative | imperative |

We have seen that COMP, NEG, Q-words (and focus elements) behave similarly with regard to clitic-attachment.

- (i) they usually occur initially, but sometimes do not;
- (ii) they attract clitics in G and B, but not in M;
- (iii) even in G they can co-occur with the neutral auxiliary (which attracts clitics) under certain circumstances;
- (iv) they may not have *ma* suffixed to them preceding the clitic complex and rarely have it following the clitic complex, but may take the *pa* link where the Q-words has a final consonant;
- (v) they may have *pa|a/wa|a* suffixed to them preceding the clitic complex;
- (vi) they are not contrastive (in the sense defined in this paper).

ID and IC attachment, on the other hand, share the following characteristics, which are, with the exception of iii, different from the above set:

- (i) they always occur initially;
- (ii) they attract clitics in M as well as in G;
- (iii) ID possibly does occasionally co-occur with the neutral

auxiliary; as in (146)(ii); it is difficult to say whether other IC do or not;

(iv) they must have *ma* suffixed to them preceding the clitic complex and must not have it following the clitic complex;

(v) they must not have *pa|a/wa|a* suffixed to them preceding the clitic complex, but may have it following the clitic complex;

(vi) they are contrastive (in the sense defined in this paper).

One feature that is absent from the above lists is a positive semantic characterisation of COMP, NEG, Q-word and focus-attachment together, (rather than the negative one of (vii)) to complement the syntactic facts which link them together. I believe that it is possible to provide such a characterisation in terms of the topical or presuppositional nature of the remainder of the sentence when it is introduced by COMP, NEG, Q-word or a focus. The last implies that the clause which follows it is presupposed by definition, as in (174)(a and b). Special questions like (174)(c) are similar in their organisation to other focus structures. Schachter (1973) has shown that relative clauses are also presupposed as in (174)(d). This analysis can probably be extended to other subordinate clauses like (174)(e). Negation as in (174)(f) also implies that the equivalent positive statement has occurred or is in some other way topical in the discourse. On the other hand in the simple sentence (174)(g) there is not necessarily any presupposition.

| (174) | PRESUPPOSITION |
|---|---|
| (a) "Jack swam across | (someone swam across) |
| (b) It was Jack who swam across | (someone swam across) |
| (c) Who swam across? | (someone swam across) |
| (d) The boy who swam across is here | (a boy someone swam across) |
| (e) After Jack swam across he came here | (Jack swam across) |
| (f) Jack did not swim across | (Jack someone swam across) |
| (g) Jack swam across | (Jack was expected to swim across etc) |

Thus it is the meaning of the COMP, NEG, Q-word or focus element which is *new* here, and the remainder of the sentence relatively topical.

We can capture the syntactic and semantic similarity of COMP, NEG, Q-word, and focus-attachment in the following way. In the languages with auxiliaries, G and M, the clitics may be attached to AUX. The AUX node is located on the left of the main part of the sentence, identified as S. To the left of AUX there are two further presentential nodes COMP and NEG, in that order, under another node \bar{S} . In G clitics may also be attached to the rightmost of these pre-sentential elements.

Priority of clitic attachment to different bases appears to have an

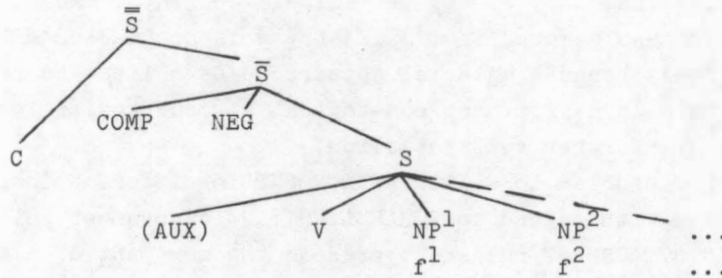
rest of the rule is an abbreviation of the rule (173) in which feature bundles with greek-letter variables are written as f^1 etc. and variables are omitted.

(176)

| | | | | | | | |
|-----|---|-----------|-----|-----------------|-----------------|-----------------|---------|
| | [| I | X | NP ¹ | NP ² | NP ³ |] |
| | | | | f^1 | f^2 | f^3 | |
| | D | | | | | | D |
| SD: | 1 | 2 | 3 | 4 | 5 | | |
| SC: | | pro | pro | pro | | | |
| | | 1 + f^1 | + | f^2 | + | f^3 | 2 3 4 5 |

One could regard the sentence in G, B, and M as having roughly the following structure, with the AUX node being present in G and M but not in B.

(177)



(not necessarily in this order)

C would be a node under which elements such as NP's from within S could be attached if they carried a particular discursive function: in G and M this might be characterised as [⁺contrast]; in B it might include this, but would also include another designation, possibly [_{-patient}]. In G and M the contrastive demonstratives together with *ma* might be generated as underlying daughters of C, as indicating the most general form of lateral contrastive sequence, and later replaced by other sub-topics from within S by a transformation. This would not be possible in B as 'contrastive' demonstratives behave differently from the elements which cause V-attachment.

In M (and probably B) NP (or \bar{N}) could also be regarded as a domain of CLITIC ATTACHMENT, with AUX being an optional initial constituent of NP for M. An alternative to this not considered here would be to regard clitic-marking in genitive constructions as arising from an underlying embedded S within the NP concerned.

CLITIC ATTACHMENT could then be seen as applying more than once for each (maximal) sentence in a manner similar to that of a cyclical rule,

but using different domains to cycle on in each language:

(178)

D: (numbers indicate repeated applications)

G: (1) \bar{S} (2) \bar{S}

B: (1) \bar{N} (2) \bar{S}

M: (1) \bar{N} (2) S (3) \bar{S}

This type of application of the rule would correctly predict the facts about the distribution of clitics in the three languages. The suggestion of course raises many questions. For instance, why does B skip S, and M skip \bar{S} , in its application? Perhaps it is because there is no distinction between S and \bar{S} in B, and \bar{S} and \bar{S} in M at the time of the application of CLITIC ATTACHMENT. Also, why does cycling on \bar{S} cause V (or \bar{V}) attachment in B where there is a 'contrastive' element under \bar{S} ? This would have to be answered by showing that \bar{V} would either be (as in our examples) the only or the initial element in S, once a 'contrastive' element has been removed from S and there is no COMP or NEG present. This is likely because material appears to be shifted to the right of V where there is a prominent non-topical element to its left, but this would require further substantiation.

A further problem in CLITIC ATTACHMENT in different languages is its ordering with regard to SCRAMBLING (topic-movement rules). In G the CLITIC ATTACHMENT rule must precede the movement of elements to the left of COMP, NEG and AUX, otherwise the clitics will be attached to the surface initial elements, not to COMP, NEG or AUX. In B, CLITIC ATTACHMENT must attach clitics to the surface initial element of S, but not the surface initial element of \bar{S} , if this is followed by COMP or NEG. This is ensured if we allow some kind of SCRAMBLING to apply on the S cycle, and a rule which optionally moves *one* (non-topical) element to the left of COMP and NEG to apply on the cycle. CLITIC ATTACHMENT would then apply on the cycle *before* the latter rule. In G and M, however, either no SCRAMBLING takes place on S or CLITIC ATTACHMENT applies before SCRAMBLING on S. The former solution is possible for G, and the latter for M, but not vice versa, given the present framework.

The variations in clitic attachment considered above are related to variations in the domain of initial CLITIC ATTACHMENT between NP, minimal S and maximal S, with various more or less inclusive forms of S defined in a slightly different way for each language. There is also a form of CLITIC ATTACHMENT in which the clitic base is defined not by its position in sentence-structure, but by its grammatical category: V-ATTACHMENT. This form of attachment is found either exclusively, or

alternating with INITIAL ATTACHMENT, in a number of Nyungic languages to the West and South of the area examined here. In the Ngumbin group, the occurrence of V-ATTACHMENT is determined by factors of mood and tense.

All the tenses which determine marked forms of attachment (V-attachment, or Initial Attachment in WG and M) imperative, hortative, past irrealis and future could be described as [+irrealis] in the sense that they presuppose that the event described has not taken place. G, B and M all agree in having clitics attached to V in the imperative and hortative mood, although in M they may alternatively undergo initial attachment. Past irrealis is the next most likely tense to produce marked attachment, as in WG (V- or Initial-) and occasionally in M (initial), and finally future produces V-attachment in WG. Realis past and present never produce V-attachment in the languages examined here. There appears then to be the following tense hierarchy:

(179)

| | | |
|---------------|---------------|---|
| Imperative | [(+irrealis)] | |
| Hortative | [+order] | ↑ |
| Past Irrealis | [+irrealis] | V-attachment (marked Initial Attachment) |
| | [+past] | |
| Future | [+irrealis] | |
| | [+future] | Unmarked (G, M Aux- attachment) |
| Present | | |
| Past | [-irrealis] | ↓ |

One possible explanation of this hierarchy is that the tenses at the irrealis/marked attachment end are positively correlated with topical subjects, that is, they are more likely to have pronoun subjects than not, more likely to have definite subjects than indefinite subjects, etc. The reason for this is probably connected with the *accessibility* of the subject-verb relation to the speaker. By this I mean that while a speaker might report a past or present event involving as subject something or someone unknown to the addressee, this becomes more unlikely if the event is being predicted, and more unlikely still in any hypothetical context, where some knowledge of the predispositions or mental state of the subject is usually presupposed. In the case of imperatives, of course, the subject is not only topical but completely predictable as being the addressee.

I do not see how this observation can provide us with any direct means of simplifying the grammar in synchronic terms, however. In other words, the base (X in rule 24) must still be specified as V independent of its order, and modal/tense elements must be specified for the appropriate features (+irrealis etc.). This rule, and its alternative

forms of INITIAL-ATTACHMENT must apply before the major form of INITIAL-ATTACHMENT.

4.8. THE HISTORY OF CLITIC ATTACHMENT

The particularly topical nature of subjects in the tenses which undergo V-ATTACHMENT may however provide a clue about the historical development of V-ATTACHMENT. Assuming that INITIAL-ATTACHMENT was the dominant form of clitic attachment at an earlier stage of these languages (further evidence for this is presented below), if V tended to be the surface initial constituent under certain circumstances, one might hypothesise that these same circumstances might provide the environment for a rule of V-ATTACHMENT which differentiated itself from INITIAL-ATTACHMENT by freezing the initial constituent clitic complex sequence, then beginning to apply before rather than after some topic and new movement rules. The type of alternation between V- and INITIAL-ATTACHMENT as in the M imperative thus represents a kind of survival of the stage in which this change was taking place.

Further evidence for the genesis of V-attachment in initial attachment is provided by the different order of suffixes in B, where initial-attachment is dominant, and in G where it is not (discussed in Section 4.b.). In B, although clitics are attached to V in the imperative and hortative, the attachment rule remains the same as that for attachment to any initial constituent. In G however we can perceive a later stage of development in which V-attachment has further separated itself from attachment of other types of joining the clitics more closely to the verb, to the left of the focus suffix pa|a/wa|a.

V would tend to be in initial position particularly in the tenses referred to for the following reasons:

(i) since the subjects (and probably other NPs in the sentence) would be topical, they would usually be pronominalised, and the full pronouns would often be dropped following clitic attachment. V + clitic complex would therefore often be the only and therefore the initial sentence constituent;

(ii) even if there were other elements in S, they would tend to be topical, while V would be new. Since new elements often acquire initial position in the E. Ngumbin languages, V would still be initial position in the majority of cases.

Finally in this examination of clitic bases in the E. Ngumbin languages, I wish to look briefly at the possible origins of the auxiliary. Unlike 'auxiliaries' in other languages, which have a close affinity with and usually originate from *verbs*, the AUX in the Ngumbin and Ngarga

languages (called 'catalyst' by Capell) are quite unlike verbs. Here we shall consider only the neutral AUX and those which are similar to them:

(180) Auxiliaries:

G ɲu Djaru/Nyinin ɲa Walmadjari pa; ɲa (Q)
M pa Walbiri ka (PRESENT) l+pa (PAST INDEF)

First consider pa, which occurs in M and Walmadjari as the unmarked AUX, and in Walbiri in one tense. Now in many of the Nyungic languages of the Western Desert and desert fringes there is an epenthetic element pa which is either synchronically productive or historically reconstructable as a suffix which adjusts final syllables, and, as in the case of Walbiri, was added to consonant final morphemes in the past to avoid having any consonant-final words in the language (a constraint which still applies in Walbiri but not, of course, in the Ngumbin languages).

This is undoubtedly the origin of pa in l + pa, in which the element l has many cognates throughout the Nyungic languages (Capell, 1956). In G, as we have seen, that pa is used as a link between consonant-final stems and certain suffixes, including pronominal clitics, and in M it is used to separate sequences of consonant-final and consonant-initial tense morphemes suffixes to verbs. In B, where there is no AUX, pa is much more widely used to separate consonant-final clitic bases and clitics. In the E. dialect of Ngarinman of which I have some data, pa is used in the same way as in B but is more prominent as Ngarinman has many more final nasal consonants which have been dropped in B, e.g.:

(181)

- B (a) pina + pa + yi
give PAST ISO
'he gave it to me'
- Ng (b) pina + ɲaŋ + pa + yi
give PAST LINK ISO

(182)

- B (a) jala + ɲa ya + n + ku
today ISS *go* CM FUT
'I will go today'
- Ng (b) jalaŋ + pa + ɲa ya + n + ku
today LINK ISS *go* CM FUT

It is interesting that it is precisely in the language in which pa does *not* occur as a link between consonant-final bases and pronominal clitics, Mudbura, that pa occurs as a free auxiliary. We can then hypothesise the development from the general rule (183) into the M

rule (181) by the loss of the left-hand environment [+C] followed by the establishment of *pa* as an AUX in underlying structure.

(183) PA - INSERTION

G, B $\emptyset \rightarrow pa / [+C] _____ \left\{ \begin{array}{c} + \\ \# \end{array} \right\} \left\{ \begin{array}{c} [+pro] \\ ni \end{array} \right\}$

(184)

M $\emptyset \rightarrow pa / _____ [+pro]$

At the time that Capell collected Ngarinman data (probably a western dialect), a half-way house situation appears to have existed in that dialect in which *pa* could function either as a bound link, or a free form.

With regard to the other forms of the AUX base *ka/ŋa/ŋu*, the situation is less clear. *ka* and *ŋa* appear to have been variants in dialects lying between Djaru and Walbiri (Capell 1962), so one could propose these two as reflexes of a single proto-form, presumably **ŋa*. The specialisation of *ka* in standard Walbiri to the present tense and of *ŋa* to the interrogative in Walmadjari remain as problems, however. *G ŋu* could be regarded as originating in *ŋa*, as the functions of the elements are so similar in *G* and Djaru (Tsunoda, personal communication) and appear as variants in the border area between the two languages. *ŋu* might have developed through assimilation to the high back vowel characteristic of a number of clitics in *G* (+*lu*, +*wula*, +*ŋku*, *n(j)ura*, etc). On the other hand, there is a development of *ŋu* from an epenthetic link *ku* observable in *M*. *ku* is usually used to separate consonant-final elements from lateral-initial suffixes, which may be case-suffixes as in (185) or pronominal clitics as in (186).

(185)

G *kunŋ + ku + !u*
dreaming LINK ERG

(186)

G *ŋu + n + ku + !a ŋa + ŋa*
AUX 2SS LINK 3SIO *see* PAST
'you looked for it'

The element *ŋku* which separates oblique pronouns and reflexives from *!u* (3PS) or *!a* (10), also arises from *ku* through reanalysis of final *ŋ* in forms like (184)(a) as belonging to the following morpheme as in (187)(b).

(187)

Ng (a) ɲalaŋ + ku + lu
LEPO LINK PS
G (b) ɲala + ŋku + lu
LEPO LINK PS

A further development is the transfer of stress on to the link syllable in M. Presumably because homorganic nasal clusters are not found as initials in stressed syllables (e.g. never in word initial position), ŋku became ɲu.

(188)

M ɲala + ɲu + lu

If such a development had taken place at any earlier stage in G it could have resulted in the releasing of a free form ɲu AUX. This is unlikely however as the original form +ŋku + lu would have to have been maintained throughout, unless a complex pattern of borrowing and morphological influence between proto-Gurindji and proto-Mudbura is to be posited. Such hypotheses are beyond what the evidence at the present stage or possibly at any future stage of research could support.

5. CONCLUSIONS

5.1. SPLIT SYSTEMS AND HIERARCHIES

In this paper I have attempted to contribute towards the understanding of variation within one section of the grammar of some languages of one sub-group of Australian languages. I hope that some of the ideas and data in this paper will be of use when further studies of the languages of this area become available (as they shortly will, e.g. Hudson and Richards on Walmadjari, Tsunoda on Djaru), and comparative and historical syntax of the Ngumbin sub-group and of the Nyungic family can proceed on a wider and more thorough-going basis.

Another aim of this paper has been to attempt to extract some theoretical notions which may be of use in the discipline of comparative syntax. I feel that studies of variation within closely related languages may be of particular value in advancing hypotheses in this field.

The starting point of my theoretical enquiry has been the notions of *split system* and *grammatical hierarchy*. Silverstein has put forward an interesting hypothesis concerning the relations of so-called *split ergative systems* and a universal *nominal hierarchy* (Silverstein 1976). I have also touched on this question in this paper and will review the evidence directly. In the remainder of the paper I attempt to analyse the variations of other rules using similar notions of grammatical hierarchy.

marked accusative form, but not necessarily vice-versa; if pronouns generally take a marked agentive ('ergative') form in a language, nouns would also take such a form, but not necessarily vice-versa. Thus the hierarchies for the two types of case-marking appear linked, but the implicational order appears to be opposite in the two cases. (Strict implicational order may in fact prove to provide too string a definition of hierarchy; see further below.)

We may establish that for the purposes of hierarchies, $>$ means 'is implied by', $x > y > z$ therefore means 'if z , then y ; if y , then x ' as an item of rule R . x will be referred to as 'higher than' y and z , and at the 'top' of the hierarchy, while z is referred to as 'lower than' x and y , and at the 'bottom' of the hierarchy. Unless otherwise specified, the high-low dimension of hierarchies will be mapped on to a left-right dimension in diagrams. Hierarchies are also interpretable in a historical sense, that the higher the element in a hierarchy the earlier it enters a language grammar and the later it drops out. This remains a secondary hypothesis, however, subject to empirical testing. Hierarchies of this kind appear to exist in phonology (see Foley 1972, Zwicky 1972).

So far we have been talking about what Silverstein refers to as the 'local' operation of hierarchies, in which the application or non-application of a rule is determined by the position of *one* item in its SD in the hierarchy. There are also rules in which hierarchies operate in a 'global' fashion, by which the application or non-application of a rule is determined by the *relative* position of *two* items of its SD in the hierarchy. Silverstein has given examples of 'global' hierarchies in case-marking; case-marking in the languages considered here appears to be purely 'local' in its operation. Other rules in certain of the E. Ngumbin languages (CLITIC SWITCH, COPYING, DUAL NEUTRALISATION, SUBJECT NUMBER SHIFT) do however operate partially in a 'global' way. Where they do operate in a 'local' way, there appears to be a connection between the 'local' rules and 'global' rules in neighbouring languages and dialects.

The hierarchy diagrams below are organised in the following way: the first set of lines is the hierarchy for the rule, which concerns an item or items of the SD. Where the rule is local it is the hierarchical position of the item to be changed which alone determines whether the change takes place. In such cases there is only one line labelled SD, which represents the item which is both the determinant and determinand of the rule. Where the rule is global, the SD hierarchy has two lines, the first concerning the determinant (Dt) or additional item of the SD which effects the application of the rule, and the second concerning the determinand (Dd) or item to be changed by the rule. The second set of

lines is labelled SC: here they may be one line if there is only one sub-rule covered by the hierarchy above (SD), or more than one is there is more than one covered by the rule.

The bracketings represent the areas of the hierarchy SD which trigger the application of the rule; outside those areas the rule does not apply. If there is both a Dt and Dd hierarchy in SD, both are covered by the brackets in SC, and areas of overlap either of rules or hierarchies, are to be considered conjunctions (e.g. the condition where $X=y$ and $Q=z$ for hierarchies; 'both R and R¹ apply' for rules, in (189)).

The first rule considered in this paper was (nuclear) CASE-MARKING. Since there appears to be an inverse relationship between 'ergative' and 'accusative' marking, the two types are placed in relation to one hierarchy. Where all nominals are either subject to ergative or accusative marking, there is the situation, as in Walmadjari (Hudson 1976) and other W. Ngumbin and Walbiri diagrammed in (191), in which the two rules 'fit' except for a small 'gap'.

(191)

| | | | | | |
|------------------------|-----|---------------|------------|---------------|-------|
| Walmadjari, Walbiri | SD: | other clitics | 3S clitics | pronouns | nouns |
| | SC: | [+case] on O | | [+ case] on A | |

In other languages, e.g. of the Wati group, there is a situation of 'overlap' as in (192).

(192)

| | | | |
|-----|--------------|----------|--------------|
| SD: | clitics | pronouns | nouns |
| SC: | [+case] on O | | [+case] on A |

In the E. Ngumbin languages, G and B, the 'gap', already observed in Walmadjari, widens to include all free pronouns.

(193)

| | | | | | |
|---------|-----|---------------|------------|-------------|-------|
| G and B | SD: | other clitics | 3S clitics | pronouns | nouns |
| | SC: | [+case] on O | | + case on A | |

In M the gap includes all pronouns except 3S.

(194)

| | | | | | | |
|---|-----|------------------|------------|-------------------|---------------|-------|
| M | SD: | other clitics | 3s clitics | other pronouns | 3S pronouns . | nouns |
| | SC: | + case on O | | | + case on A | |

The hierarchy here seems fairly well defined for these related sub-groups of languages: only the scope of the rules appears to be altering, as it were, by pulling the rule-brackets further along or further back on the hierarchy. As we move beyond these immediately related languages, we would expect to find more pronounced types of variation on the hierarchy. We have already remarked that in Causasian languages, pronominal clitics do not necessarily occupy the left-hand position in the hierarchy. Further in some Cherkess and W. Caucasian language, (Deeters 1963) the following pattern has been observed, in which 'accusative' marking is not tied to the right-hand end of the hierarchy, but occupies the same left-hand area as 'ergative' marking.

(195)

| | | | |
|-----|--------------------------------------|----------------|------------------|
| SD: | pronouns | definite nouns | indefinite nouns |
| | └──────────────────┘ | | |
| | └──────────────────────────────────┘ | | |
| SC: | + case on O and A | | |

Despite such variation, CASE-MARKING hierarchies still seem to be subject to some universal constraints, the general character of which has been pointed out by Silverstein. Further work on variation in specific areas could reveal more about the general character of such hierarchies.

Rules affecting clitics other than CASE MARKING will now be discussed. DUAL NEUTRALISATION will be used as the primary example of global hierarchical variation. The simplest form of DUAL NEUTRALISATION in the E. Ngumbin and Ngarga languages is non-hierarchical i.e.:

(196)

| | | |
|----------------------|-----|---|
| E. Walbiri, M, EG | SD: | all P, D. 1, 2, & 3 |
| | | └──────────────────┘ |
| | SC: | -D on +D clitics adjacent to +NS clitics |

In the Wati languages I know of (Pitjantjatjara: Glass and Hackett 1970) there is no DUAL NEUTRALISATION rule, nor is there in Walmadjari. In Ngaliwuru, a non-Nyungic language spoken to the north of Ngarinman (Bolt et al. 1971) there is non-hierarchical neutralisation of dual subject clitics to plural. This opens up the possibility that hierarchical variation in rules which cross major group boundaries could be profitably studied in future.

The first variation of DUAL NEUTRALISATION dealt with here was that in W. Walbiri (Hale 1973), which can be diagrammed as follows (excluding discursive factors):

(197)

W. Walbiri
D.N.

SD: Dt

| | | |
|---|---|---|
| P | D | |
| | 1 | 2 |

Dd

| | | |
|--|---|---|
| | 2 | 3 |
|--|---|---|

SC: -D on clitics
 adjacent to +NS clitics

This hierarchy indicates that DUAL NEUTRALISATION operates in a 'global' way. The determinand is either the S or the O clitic; the determinant is the other (S or O) clitic apart from the determinand. The hierarchy above means that the rule only operates where the determinant is dual, and where the determinant is 1st person and the determinand 2nd or 3rd, or the determinant 2nd and the determinand 3rd person.

It is interesting to compare this to another 'global' variation on the same rule, in WG.

(198)

WG

SD: Dt

| | | |
|---|-----|--|
| P | D | |
| | 1 2 | |

Dd

| | | |
|--|-----|--|
| | 2 3 | |
|--|-----|--|

SC: -D etc. as above

As compared with (197) there are changes here: (i) the rule is extended to include all plural determinants, (ii) the relationship between 1st and 2nd person determinants and 2nd and 3rd person determinands is no longer assymmetrically divided: the rule applies where the determinant is dual 1st *or* 2nd, and the determinand 2nd *or* 3rd.

A further variation within WG is the following (dialect b):

(199)

WG (b) SD: Dt
D.N.

| | | |
|---|---|---|
| 1 | 2 | 3 |
|---|---|---|

Dd

| | | |
|---|---|---|
| 3 | 2 | 1 |
|---|---|---|

SC: [-D] etc. as above

Here (i) number 1 is eliminated entirely as a relevant category in the determinant and (ii) the residual person categories (Dt: 3, Dd: 1) are aligned with each other as a combination falling outside the scope of the rule.

There is a further set of variations of DUAL NEUTRALISATION in Ngarinman. In dialect (a), the situation is as in (200).

(200)

| | | | | | | |
|------|---------|----|---|---|---|---|
| Ng | (a) SD: | Dt | 0 | | | S |
| D.N. | | | 1 | 2 | 3 | |
| | | Dd | 3 | | 2 | 1 |

SC: -D etc.

Here (i) only S clitics are affected by the rule, therefore only 0 clitics are determinants, (ii) 2nd person determinands have shifted outside the scope of the rule.

The final variation is that of Ngarinman dialect (b) (201).

(201)

| | | | | |
|------|---------|-----|---|----|
| Ng | (b) SD: | Dt: | P | D |
| D.N. | | | 0 | 0 |
| | | | 1 | 23 |
| | | Dd: | 3 | 21 |

SC: -D etc.

Here the rule deals only with 1st plural 0 determinants (the left most most point of the Dt. hierarchy), combined with 3rd person determinands as in (200).

The hierarchical variation displayed by DUAL NEUTRALISATION is of four types: (i) extension and contraction of the scope of the rule relative to the Dd. and Dt. hierarchies, (ii) extension and contraction of the scope of categories within the Dd and Dt hierarchies relative to each other, (iii) removal of boundaries between adjacent categories, (iv) introduction and removal of sub-hierarchies (such as number and subject/oblique) within the Dt. hierarchy.

Among types of variation not encountered were (i) splitting up of the scope of the rule into sub-scopes interspersed with gaps relative to the Dd and Dt hierarchies and (ii) permutation of the order of categories within the Dd and Dt hierarchies relative to each other. In order to establish hierarchies as fully implicational, it would be necessary to show that rules apply to stretches of a hierarchy *including the top-most end* of the hierarchy. This does not appear to be the case with (197), which omits plural and starts with dual; it may be necessary to allow changes in the order of certain major parts of sub-hierarchies (such as dual/plural). This in turn may help to clarify why the order of 2 and 3 also appears to change as between (197) on the one hand and (200) and

(201) on the other.

Assuming that the latter problems can be resolved, it might be useful to propose an analogy for variation in hierarchies. Picture first a set of pieces of elastic, one or more representing a rule or sub-rules, one or more representing the Dd. hierarchy, and one or more representing the Dt. hierarchy. The latter two sets of pieces are calibrated by grammatical categories, each category occupying a stretch of the elastic in a fixed implicational order. The observed type of variation can then be thought of as a stretching of parts of one or more than one of the pieces of elastic so that a stretch of it extends to be partially or completely adjacent to stretches of another piece to which it was formerly not adjacent. In addition boundaries in the calibration may vary between being of significance and being of no significance in the hierarchy. The limiting case is when no boundaries are of significance, for one of the pieces, in which case that piece represents a sub-hierarchy which no longer plays any role in the application of a rule.

Such an analogy implies a fairly highly constrained model of variation in rules. Precise formulation of the hypotheses involved here and their empirical testing remains a task for the future. It may be necessary to distinguish, for instance, between universal constraints on the possible form of single *steps* in the history of grammars, and universal (ahistorical) constraints on the form of grammars. No doubt for both these questions more attention will also have to be paid to the functional embedding of rules in grammars. In what follows, the other rules examined in this paper are discussed in the light of the hypothesis already advanced.

In most of the languages considered here, SUBJECT NUMBER SHIFT is not a hierarchical rule. In Ngarinman, and sometimes in G, a person hierarchy operates globally. In (202), Dt is the O clitic and Dd is the S clitic:

(202)

| | | | | | | | | |
|---------------|----------|---|------|----------|-----|--|-----|---|
| Ng, S, N S | SD: Dt | <table style="border-collapse: collapse; width: 100%;"> <tr> <td style="border: 1px solid black; padding: 5px;">sing</td> <td colspan="2" style="border: 1px solid black; padding: 5px;">non-sing</td> </tr> <tr> <td style="border: 1px solid black; padding: 5px;"></td> <td style="border: 1px solid black; padding: 5px;">1 2</td> <td style="border: 1px solid black; padding: 5px;">3</td> </tr> </table> | sing | non-sing | | | 1 2 | 3 |
| sing | non-sing | | | | | | | |
| | 1 2 | 3 | | | | | | |
| | Dd | <table style="border-collapse: collapse; width: 100%;"> <tr> <td style="border: 1px solid black; padding: 5px;"></td> <td style="border: 1px solid black; padding: 5px;">3</td> <td style="border: 1px solid black; padding: 5px;">2 1</td> </tr> </table> | | 3 | 2 1 | | | |
| | 3 | 2 1 | | | | | | |

SC: [+NS]S element moved
to right of O

It may be also that there is also hierarchical variation in the rule between languages like Walmadjari, in which both dual and plural elements are shifted to the right, and G, B and M, in which only plural elements are moved.

CLITIC SWITCH in G is a hierarchical rule. In (203) Dt and Dd are O and S clitics, respectively.

(203)

| | | | |
|---------|--------|------|----------|
| G, C.S. | SD: Dt | sing | non-sing |
| | | 1 | 2 |
| | Dd | 2 | 3 |

SC: S chopped to right of O

In M the situation is more complicated as there appear to be three rules related to the same hierarchy: CLITIC SWITCH, CLITIC COPYING and SPURIOUS RECIPROCAL INSERTION. CLITIC COPYING has three variant scopes depending on dialect marked by E, W and C in (204).

(204)

| | | | | | |
|--------------------------|--------|---|---|------|------|
| M C.S./C.C/ S.R.I. | SD: Dt | 1 | 2 | NS | Sing |
| | | 3 | | | |
| | Dd | 2 | 3 | 2 | sing |
| | | | | sing | NS |

E

W

C

clitic switch

spurious reciprocal

clitic copying

Dt and Dd refer to O and S respectively, except for SPURIOUS RECIPROCAL INSERTION, where they refer to S and O respectively.

There must be some doubt as to whether the three rules do refer to the same hierarchy, as (i) non-singular and singular appear as distinct categories, on the right of the hierarchy, but not on the left, and in the case of the Dt hierarchy, in an opposite order from that of G, (ii) the category 2 appears split by an intervening 3 in the Dd hierarchy. These problems appear to be similar to those raised in connection with DUAL NEUTRALISATION: reordering of number categories and of 2 and 3 when asymmetrically connected with 1 and 2.

If CLITIC SWITCH alone is considered, the change from G to M consists of the loss of number (sing/non-sing) as a significant variable (sub-hierarchy).

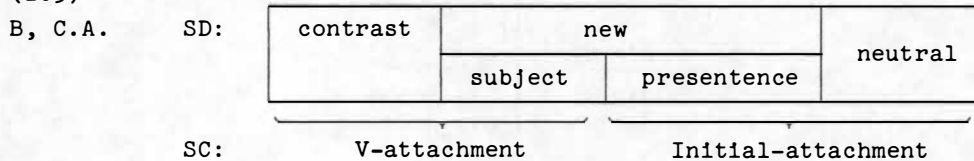
At the present stage it is not possible to fully incorporate the

data concerning CLITIC ATTACHMENT into a schema of the type outlined here, although some type of little-understood hierarchical variation seems to be at work. I take it that there are three rules involved here: (i) Initial-attachment, which I take to include both contrastive and 'focus' attachment (i.e. to Q-words, COMP and NEG); the syntactic differences between the two types are assumed here to result from the nature of the initial constituent, not the CLITIC ATTACHMENT rule itself; (ii) AUX-attachment, which developed from Initial-attachment in some of the languages; and (iii) V-attachment (including also for the purposes of the following discussion attachment to non-initial pre-verbs) which may or may not have developed from Initial-attachment in some cases.

There appear to be two hierarchies which govern these rules: (i) one concerned with mood and tense in some languages, and (ii) one concerned with discursive relations. The two hierarchies will be placed as consecutive SD lines in some of the diagrams which follow, and the rule-bracketing labelled SC refers to both. It must be borne in mind however, that unlike the Dt and Dd hierarchies in earlier diagrams, the two SD lines here are to be interpreted as a *disjunction*, not a *conjunction*.

In B there is no hierarchy of the first type.

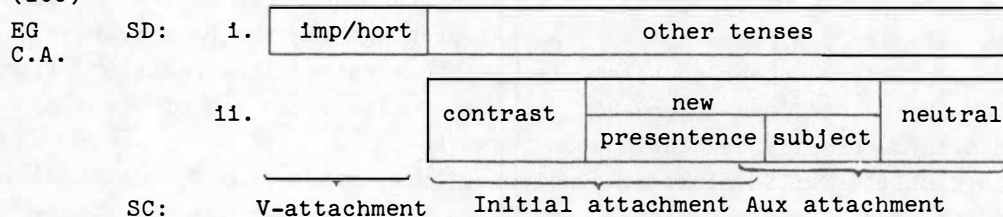
(205)



'New presentence' refers to COMP, NEG, Q-words and focus. These are assumed to be initial when the rule applies.

In EG the category 'new subject', which is doubtful, even in B, is incorporated into the neutral category, which is marked by AUX-attachment. Aux and initial attachment overlap slightly. A tense-mood hierarchy is introduced.

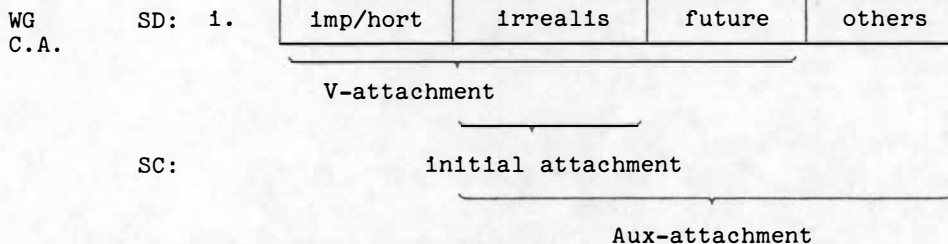
(206)



In WG the scope of V-attachment is widened relative to the tense-mood hierarchy and overlaps both with Aux-attachment and initial-attachment.

For this reason the rule hierarchy relationship for tense and mood must be separated from that of discursive relations, which does not overlap in the same way.

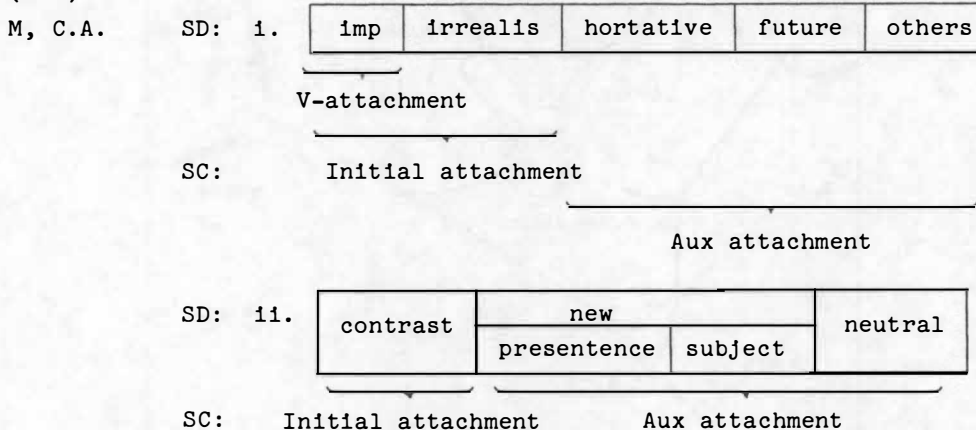
(207)



SD: 11. as for (206)11.
SC:

In M both the tense-mood hierarchy and the discursive hierarchy differ from WG. In the tense-mood hierarchy, the elastic-implicational hypothesis is apparently disconfirmed by hortative moving to the left of irrealis; V-attachment contracts leftwards and initial attachment expands leftwards. In the discursive hierarchy, initial attachment contracts leftwards, and Aux attachment expands leftwards.

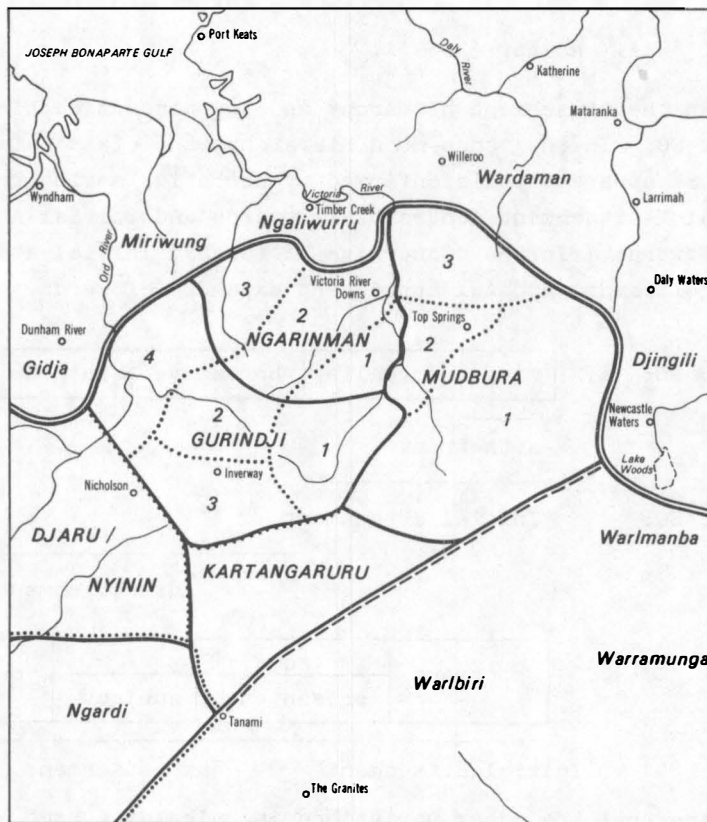
(208)



It appears that the other variations in rules discussed in the paper conform generally to the model proposed for CASE-MARKING and DUAL NEUTRALISATION. Some additional counter-examples to the strongest form of the 'elastic-implicational' theory have been uncovered, involving a few minor changes in the order of categories in hierarchies, and examples of rules occurring in the middle of hierarchies rather than 'tied' to the top of a hierarchy. The latter point raises the problem of the extent to which rules which are different in form should be considered as sub-rules which are part of the same continuum, and

therefore exempt from the requirement that each rule be tied to the top of the hierarchy. Examples of this problem are to be found in the relationships between CLITIC SWITCH, CLITIC COPYING, and SPURIOUS RECIPROCAL INSERTION, and between V-ATTACHMENT, INITIAL-ATTACHMENT and AUX-ATTACHMENT.

MAP 1
THE EASTERN NGUMBIN LANGUAGES



==== Nyungic Group (Northern Boundary)

===== Ngumbin Sub-group

———— Language

..... Dialect

----- (uncertain) Sub-group /language

----- Language/dialect

Dialects

- Gurindji: (1) Eastern (2) Western
(3) Wanyjirra (4) Malngin
Ngarinman: (1) Bilinara (2) Eastern
(3) Wurlayi
Mudbura: (1) Eastern (2) Western
(3) Karranga

HIERARCHICAL VARIATION IN PRONOMINAL CLITIC ATTACHMENT IN THE
EASTERN NGUMBIN LANGUAGES

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