



# An improved theory for calculating leaf gas exchange more precisely accounting for small fluxes

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**The widely used theory for gas exchange proposed by von Caemmerer and Farquhar (vCF) integrates molar fluxes, mole fraction gradients and ternary effects but does not account for cuticular fluxes, for separation of the leaf surface conditions or for ternary effects within the boundary layer. The magnitude of cuticular conductance to water ( $g_{cw}$ ) is a key factor for determining plant survival in drought but is difficult to measure and often neglected in routine gas exchange studies. The vCF ternary effect is applied to the total flux without the recognition of different pathways that are affected by it. These simplifications lead to errors in estimations of stomatal conductance, intercellular carbon dioxide concentration ( $C_i$ ) and other gas exchange parameters. The theory presented here is a more precise physical approach to the electrical resistance analogy for gas exchange, resulting in a more accurate calculation of gas exchange parameters. Additionally, we extend our theory, using physiological concepts, to create a model that allows us to calculate cuticular conductance to water.**

Gas exchange between a leaf and the atmosphere occurs simultaneously through two pathways: (1) epidermal cells and cuticular waxes (henceforth referred to as ‘cuticle’) which are always present at each leaf surface and (2) stomata, which typically control most of the exchange. The gradients that drive the gas exchange are the differences between concentrations inside the leaf and the surfaces of the leaf, and between the leaf surfaces and the external air. The ternary effect applies to the fluxes between the surfaces of the leaf and the external air and to the fluxes crossing the stomata but not to the fluxes crossing the cuticle. However, in the von Caemmerer and Farquhar<sup>1</sup> theory (vCF), the gas exchange is considered as fluxes passing through the stomata and the boundary layer with direct connection between the inside and the external environment, and ternary corrections are applied to the whole flux.

In calculations of gas exchange parameters, measurements of transpiration rate are used to estimate conductances to water and those estimations are widely used to calculate conductances to  $\text{CO}_2$  (ref. <sup>2</sup>). Thus, to differentiate the fluxes from the leaf surfaces, it is necessary to define the cuticular conductance to  $\text{H}_2\text{O}$  ( $g_{cw}$ ) and stomatal conductance to  $\text{H}_2\text{O}$  ( $g_{sw}$ ). However, it is typically impracticable to identify  $g_{cw}$  and  $g_{sw}$  separately when the flux of water occurs through both at the same time<sup>3</sup>. Then, as stomata dominate gas exchange,  $g_{cw}$  is for convenience commonly treated as being negligible<sup>4</sup>.

Overlooking  $g_{cw}$  is problematic because, in some cases, it can be as high as 28% of the maximum stomatal conductance<sup>5</sup> or the stomatal conductance to  $\text{H}_2\text{O}$  vapour ( $g_{sw}$ ) can be low enough to magnify the importance of  $g_{cw}$  (ref. <sup>6</sup>). Additionally, cuticular transpiration is important under dry conditions when it contributes to desiccation and death of leaves whose stomata are already closed<sup>7,8</sup>. In practice, the assumption that cuticular conductance is negligible leads to the miscalculation of stomatal water fluxes and  $\text{CO}_2$  fluxes deduced from them, including the internal  $\text{CO}_2$  concentration ( $C_i$ ) and all the subsequent calculations that involve these parameters<sup>9</sup> (for example, mesophyll conductance<sup>10</sup>). Thus, cuticular conductance to water is a key unknown when calculating gas exchange parameters more precisely<sup>9,11,12</sup>.

Cuticular conductance is often estimated from measurements of leaf conductance when stomata are tending to close, such as in darkness<sup>13</sup>, obtaining the ‘minimum’ leaf conductance. The ‘minimum’ leaf conductance is, however, the sum of the cuticular conductance and additions from stomata which may be incompletely closed<sup>13</sup>. This situation can be improved by adding abscisic acid (ABA) to promote stomatal closure, so that gas exchange becomes dominated by the cuticle<sup>11</sup>. An additional measurement difficulty is that cuticular conductance changes with leaf water status<sup>6,14</sup>. Boyer<sup>14</sup> observed that when the leaf dries out, epidermal cells shrink, affecting the cuticular conductance to  $\text{H}_2\text{O}$  and  $\text{CO}_2$ . This highlights the importance of obtaining values of cuticular conductance under the same conditions as those of the experiment being conducted or, better, during the measurements themselves.

Boyer<sup>11</sup> and Tominaga et al.<sup>15</sup> presented a technique to estimate cuticular conductance to water from the difference between two estimations of conductance to  $\text{CO}_2$ . One from direct measurements of  $C_i$  from the abaxial surface ( $g_{ic-m}$ ) and a second using vCF calculations of total conductance to  $\text{CO}_2$  through the adaxial surface ( $g_{ic-vCF}$ ) (ref. <sup>12</sup>) as  $g_{cw} = 1.6 \times (g_{ic-vCF} - g_{ic-m})$ , on the basis of the tacit assumption of adaxial and abaxial  $C_i$  being equal. Equations used by Tominaga<sup>12</sup> and Boyer<sup>11</sup> differ slightly but both are based on the von Caemmerer and Farquhar<sup>1</sup> (vCF) theory. This is a convenient simplification to obtain a  $g_{cw}$  value where it is assumed that the difference between the calculated and measured  $g_{ic}$  is only due to the vCF theory neglecting cuticular conductance to water. However, the vCF theory does not include any variable describing  $\text{H}_2\text{O}$  and  $\text{CO}_2$  fluxes through the cuticle, nor the interaction between cuticular fluxes and stomatal and boundary layer fluxes.

In our study, a theory of gas exchange is developed including cuticular fluxes and their interaction with the other gas exchange parameters, the conditions at the leaf surface and ternary corrections in the boundary layer. To evaluate the theory proposed here, a model to calculate cuticular conductance to water was derived from the theory and a set of experiments was performed to obtain  $g_{cw}$  using our model. These experiments were largely performed on a

64 system created by S.C. Wong<sup>16,17</sup> that measures adaxial and abaxial  
65 gas exchange independently (system 1). Further tests were made  
66 using an inhouse setup of two LI-6800s that emulate system 1 dual  
67 measurements.

68 Here, we examine the basis of usual leaf gas exchange calcula-  
69 tions, then introduce the main theory proposed for calculation of  
70 gas exchange parameters. Finally, we extend the theory by adding  
71 physiological assumptions to create a model that allows us to calcu-  
72 late cuticular conductance. The technique and the assumptions  
73 made are then examined.

74 A list of the abbreviations and subscripts used in this paper is  
75 shown in Supplementary Note 1.

## 77 The basis of current theory of leaf gas exchange

78 The total conductance to H<sub>2</sub>O ( $g_{tw}$ ) is conventionally estimated from  
79 the evaporative flux<sup>2,18</sup> ( $E_T$ ) by using equations introduced by von  
80 Caemmerer and Farquhar<sup>1</sup> (vCF). The vCF theory was the first to  
81 combine the use of molar fluxes, mole fraction gradients and ternary  
82 corrections (including the effect of the evaporative flux on  
83 itself and on CO<sub>2</sub> fluxes) but is silent regarding  $g_{cw}$  and gas concen-  
84 trations at leaf surfaces.

$$85 \quad g_{tw-vCF} = \frac{E_T \cdot (1 - \frac{w_i + w_a}{2})}{w_i - w_a} = \frac{E_T \cdot (1 - \bar{w})}{\Delta w} \quad (1)$$

86 The main assumptions in equation (1) are that: the total gas  
87 exchange occurs through a single pathway between the inside of  
88 the leaf and the atmosphere; the ternary correction is applied to  
89 the whole flux; and the gradient that drives the exchange is that  
90 between the internal ( $w_i$ ) and external ambient ( $w_a$ ) concentrations.  
91 Equation (1) does not separate stomatal, cuticular and boundary  
92 layer fluxes for calculating  $g_{tw}$ . However, in practice,  $E_T$  is the sum of  
93 water vapour passing through the stomata ( $E_s$ ) and the cuticle ( $E_c$ )

$$94 \quad E_T = E_s + E_c \quad (2)$$

95 and the last is not affected by ternary effects as are the stomata.

96 In the electrical resistance analogue to leaf gas exchange, the total  
97 conductance to H<sub>2</sub>O and CO<sub>2</sub> includes stomatal ( $g_{sw}$  and  $g_{sc}$ ), cuticular  
98 ( $g_{cw}$  and  $g_{cc}$ ) and boundary layer ( $g_{bw}$  and  $g_{bc}$ ) conductances, where  
99  $g_s$  and  $g_c$  operate in parallel and  $g_b$  in series with them (Fig. 1b,c)

$$100 \quad g_{tw} = \frac{1}{\frac{1}{g_{sw} + g_{cw}} + \frac{1}{g_{bw}}} \wedge g_{tc} = \frac{1}{\frac{1}{g_{sc} + g_{cc}} + \frac{1}{g_{bc}}} \quad (3)$$

101 Combined cuticular and stomatal conductances to H<sub>2</sub>O form the  
102 total conductance to H<sub>2</sub>O through the surface of the leaf ( $g_{lw}$ ) that is  
103 without the boundary layer

$$104 \quad g_{lw} = g_{cw} + g_{sw} \quad (4)$$

105 In the vCF calculations,  $g_{cw}$  is neglected and  $g_{sw}$  approximates to  
106  $g_{lw}$ . Taking  $g_{tw}$  from equation (1) and rearranging equation (3)

$$107 \quad g_{sw-vCF} \approx g_{lw} = \frac{1}{\frac{1}{g_{sw}} - \frac{1}{g_{bw}}} = \frac{g_{tw} \cdot g_{bw}}{g_{bw} - g_{tw}} \quad (5)$$

108 Then, stomatal conductance to CO<sub>2</sub> ( $g_{sc}$ ) is calculated using the  
109 ratio of H<sub>2</sub>O and CO<sub>2</sub> diffusivities in air<sup>19</sup>. The ratio  $g_{sw}/g_{sc}$  is taken  
110 as a constant value, estimated to be between 1.58 (ref. <sup>20</sup>) and 1.62,  
111 commonly taken as 1.6 (ref. <sup>21</sup>). Thus,  $g_{sc} = g_{sw}/1.6$  and then taking  
112  $g_{sw-vCF}$  from equation (5)

$$113 \quad g_{sc-vCF} \approx \frac{g_{lw}}{1.6} = \frac{g_{sw-vCF}}{1.6} \quad (6)$$

114 One of the implications of using the result from equation (5) in  
115 this way is that the ratios of cuticular and stomatal conductances to

H<sub>2</sub>O and CO<sub>2</sub> are (incorrectly) considered to be the same. However,  
estimates of  $g_{cw}/g_{cc}$  are one order of magnitude greater than  $g_{sw}/g_{sc}$ ,  
varying in a range between 20 and 40 (ref. <sup>14</sup>). Thus, while the  
assumption of negligible cuticular conductance to H<sub>2</sub>O has been a  
practical one, it can lead to significant overestimates of CO<sub>2</sub> conduc-  
tance and subsequent parameters.

The composition of, and transport pathways through, the cuticle  
(epidermis, cell wall, waxes and so on) that generate these differ-  
ences are non-trivial<sup>22-24</sup> and are not treated here. The cuticle and its  
components are treated as a single resistance as is common in gas  
exchange modelling.

The cuticular conductance to CO<sub>2</sub> ( $g_{cc}$ ), is conventionally  
assumed to be zero. As  $g_{cc}$  is an order of magnitude lower than  $g_{cw}$   
(ref. <sup>14</sup>), this typically only results in a minor error in the calcula-  
tions. Then,  $g_{tc-vCF}$  is calculated taking  $g_{sc}$  from equation (6) and  
rearranging equation (3)

$$g_{tc-vCF} \approx \frac{1}{\frac{1}{g_{sc} + g_{cc}} + \frac{1}{g_{bc}}} = \frac{g_{bc} \cdot g_{sc}}{g_{sc} + g_{bc}} \quad (7)$$

with the main source of error coming from equations (5) and (6).

Direct measurement of [CO<sub>2</sub>] in the air flowing over the leaf  
allows us to determine the net assimilation rate ( $A_T$ ) (ref. <sup>2</sup>). CO<sub>2</sub>  
concentration inside the leaf ( $C_i$ ) is conventionally calculated using  
the value of  $g_{tc-vCF}$  obtained from equation (7) and

$$C_{i-vCF} = \frac{(g_{tc} - \frac{E}{2}) \cdot C_a - A_T}{g_{tc} + \frac{E}{2}} \quad (8)$$

Equation (8) takes into account the ternary effect<sup>1</sup> (vCF) of the  
collisions between H<sub>2</sub>O and CO<sub>2</sub> molecules when they are passing  
through stomata in opposing flows. However, the term  $E$  in equation  
(8) should refer only to the portion  $E_s$  of the total evaporation  
which is crossing through stomatal pores. Equation (8) has the same  
assumptions as discussed for equation (1).

Also, it is important to consider that leaf gas exchange occurs  
through the adaxial and abaxial leaf faces. The outgoing gases are  
typically mixed before analysis (Fig. 1a) and the results used to  
calculate the gas exchange parameters according to the vCF equa-  
tions (henceforth Gas-Mix vCF). Gas-Mix vCF calculations have  
the same assumptions discussed above plus the forced presumption  
of a single  $C_i$  in the leaf and single values for other gas exchange  
parameters.

## Deriving more precise theory

Looking at leaf gas exchange more precisely (Fig. 1b,c),  $E_T$  is the  
water flux from the leaf surface, at vapour concentration ( $w_s$ ), cross-  
ing the boundary layer to the atmosphere ( $w_a$ ). Then, taking into  
account the ternary effect on the boundary layer transect the fol-  
lowing expression is obtained for the humidity at the leaf surface  
(derived in Supplementary Note 2)

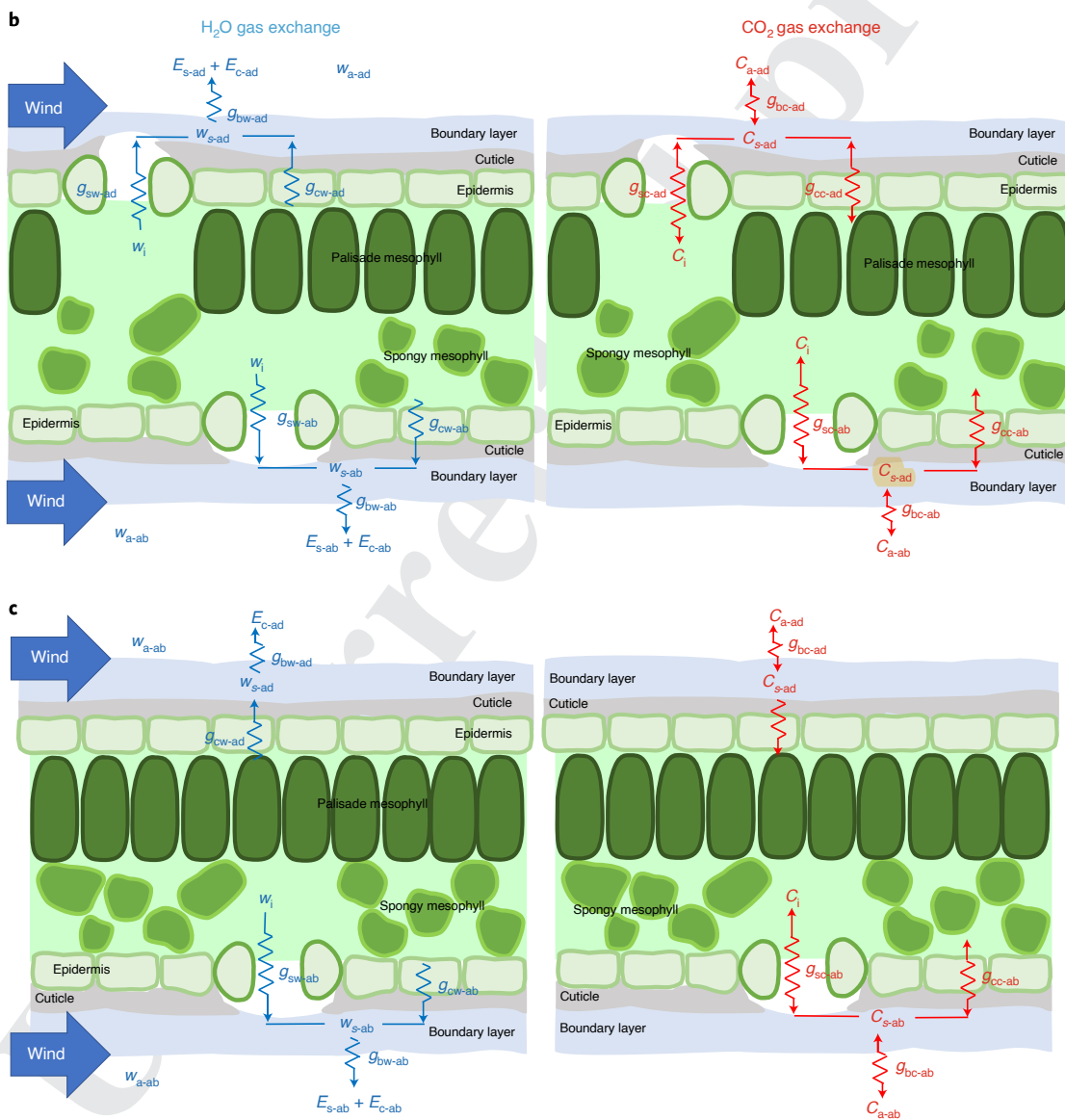
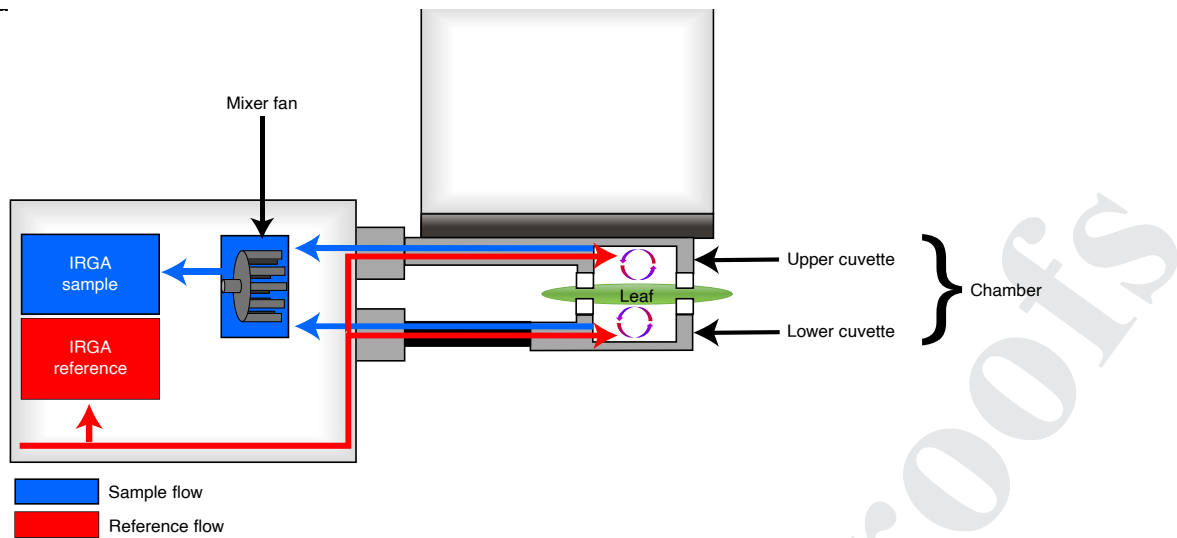
$$w_s = \frac{\frac{E_T}{g_{bw}} \cdot (1 - \frac{w_a}{2}) + w_a}{1 + \frac{E_T}{2 \cdot g_{bw}}} \quad (9)$$

The total outward flux of H<sub>2</sub>O vapour at the surface of the leaf  
comes from the stomata and the cuticle. Then, adapting equation  
(3) for the total conductance to H<sub>2</sub>O ( $g_{tw}$ ) by including the ternary  
effects and cuticular transpiration and conductance (Supplementary  
Note 3), the total leaf conductance to water vapour is given by

$$g_{tw} = \frac{1}{\frac{\frac{E_c}{w_i - w_s}}{g_{cw}} + \frac{\frac{E_s - E_s \cdot \bar{w}_s}{w_i - w_s}}{g_{sw}}} + \frac{1}{\frac{E_T - E_T \cdot \bar{w}_a}{w_s - w_a}} = \frac{1}{\frac{w_i - w_s}{E_T - E_s \cdot (\frac{w_i + w_a}{2})} + \frac{1}{g_{bw}}} \quad (10)$$

Equation (10) for  $g_{tw}$  is identical to equation (3) with the con-  
ductances defined to include their associated ternary effects (see

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**Fig. 1** a-c, Typical gas exchange analyser setup (a) and gas exchange diagram from an amphistomatous leaf (b) and a hypostomatous leaf (c). IRGA, infrared gas analysis.

Supplementary Notes 3 and 2) but differs from the vCF theory equation (1), which is an approximation.

Analogous to  $g_{tw}$  we have total conductance to CO<sub>2</sub>, which includes ternary effects, cuticular CO<sub>2</sub> flux ( $A_c$ ) and stomatal CO<sub>2</sub> flux ( $A_s$ )

$$g_{tc} = \frac{1}{\frac{A_c}{C_s - C_i} + \frac{1}{\frac{A_s + E_s \cdot \gamma}{C_s - C_i}} + \frac{1}{\frac{A_T - E_T \cdot \gamma}{C_s - C_s}}} \quad (11)$$

Equation (11) is identical to equation (3) for total conductance to CO<sub>2</sub> ( $g_{tc}$ ) (see Supplementary Notes 4 and 5).

Then, the CO<sub>2</sub> concentration at the leaf surface ( $C_s$ ) is (Supplementary Note 4)

$$C_s = \frac{g_{bc} \cdot C_a - A_T - \frac{E_T}{2} \cdot C_a}{g_{bc} + \frac{E_T}{2}} \quad (12)$$

This introduces the equation for calculating  $C_i$ , taking into account cuticular conductance to CO<sub>2</sub> and ternary corrections through the boundary layer and stomatal pathways (Supplementary Note 5)

$$C_i = \frac{C_s \cdot (g_{sc} + g_{cc} - \frac{E_s}{2}) - A_T}{g_{sc} + g_{cc} + \frac{E_s}{2}} \quad (13)$$

By analogy with the vCF theory, Ball<sup>25</sup> obtained similar equations for  $C_s$  and  $C_i$  but did not differentiate between  $E_T$  and  $E_s$  nor  $g_{sc}$  and  $g_{cc}$ ; and  $g_{sc}$  in Ball's derivation is based on the calculations from vCF theory equations (5) and (6).

Arranging equations (9)–(13) with parameters in the form usually measured in gas exchange devices, we obtain (derivation in Supplementary Note 6)

$$C_i = C_s - \frac{A_T + C_s \cdot E_T - C_s \cdot D \cdot g_{cw}}{G_i - \alpha \cdot g_{cw}} \quad (14)$$

where

$$\begin{aligned} D &= w_i - w_s \\ G_i &= \frac{E_T}{1.6 \cdot (w_i - w_s)} \cdot (1 - \bar{w}_s) + \frac{E_T}{2} \\ \bar{w}_s &= \frac{w_i + w_s}{2} \\ w_s &= \frac{w_a + (1 - \frac{w_a}{2}) \cdot \frac{E_T}{g_{bw}}}{1 + \frac{E_T}{2 \cdot g_{bw}}} \\ \alpha &= \frac{1 - \bar{w}_s}{1.6} + \frac{D}{2} - \beta \\ \beta &= \frac{g_{cc}}{g_{cw}} \end{aligned}$$

Then, expanding the term  $E_s$  in equation (10)

$$g_{tw} = \frac{1}{\frac{w_i - w_s}{E_T - [E_T - g_{cw} \cdot T \cdot (w_i - w_s)] \cdot (\frac{w_i + w_s}{2})} + \frac{1}{g_{bw}}} \quad (15)$$

In equations (14) and (15) the only unknown values are the cuticular conductances to H<sub>2</sub>O ( $g_{cw}$ ) and CO<sub>2</sub> ( $g_{cc}$ ).

Equations (9)–(15) represent a new way of calculating gas exchange parameters, modified structurally from the widely used vCF theory.

### Model for calculating $g_{cw}$

The omission of  $g_{cw}$  in  $g_{tw-vCF}$  is the major cause of a miscalculation (knowing  $g_{cw} \neq 0$ ) in the conventional  $C_{i-vCF}$  calculation. This error in the vCF theory has been used to calculate a correction factor taken as  $g_{cw}$  (refs. <sup>11,12</sup>). However, this approach to calculating  $g_{cw}$  carries the assumptions made in the vCF theory and assumes that the sole mismatch is from neglecting  $g_{cw}$ . Our proposed theory does not involve such assumptions and includes  $g_{cw}$  from the outset of the

derivation rather than as a correction. If  $C_i$  and  $\beta$  are known, equation (14) can be rearranged to solve for  $g_{cw}$  directly

$$g_{cw} = \frac{(C_s - C_i) \cdot G_i - (A_T + C_s \cdot E_T)}{(C_s - C_i) \cdot \alpha - C_s \cdot D} \quad (16)$$

However, in most cases  $C_i$  is unknown. Here, we propose a model developed from equation (14) that allows us to estimate  $g_{cw}$  when  $C_i$  is unknown, using adaxial and abaxial leaf gas exchange measurements.

Adding physiological parameters representing the ratio of CO<sub>2</sub> concentrations at the adaxial and abaxial evaporative sites by  $\kappa = C_{i-ad}/C_{i-ab}$  and the ratio of  $g_{cw}$  on the abaxial and adaxial surface by  $\gamma = g_{cw-ab}/g_{cw-ad}$ , we obtain

$$\begin{aligned} C_{s-ad} - \frac{A_{T-ad} + C_{s-ad} \cdot E_{T-ad} - C_{s-ad} \cdot D_{ad} \cdot g_{cw-ab} \cdot \gamma}{G_{i-ad} - \alpha_{ad} \cdot g_{cw-ab} \cdot \gamma} \\ = \left( C_{s-ab} - \frac{A_{T-ab} + C_{s-ab} \cdot E_{T-ab} - C_{s-ab} \cdot D_{ab} \cdot g_{cw-ab}}{G_{i-ab} - \alpha_{ab} \cdot g_{cw-ab}} \right) \cdot \kappa \end{aligned} \quad (17)$$

where the subscripts ad and ab denote adaxial and abaxial surfaces of the leaf. Equation (17) is then solved for  $g_{cw}$  (full derivation in Supplementary Note 7)

$$a \cdot g_{cw-ab}^2 + b \cdot g_{cw-ab} + c = 0 \quad (18)$$

where

$$\begin{aligned} a &= (C_{s-ad} - C_{s-ab} \cdot \kappa) \cdot \alpha_{ad} \cdot \alpha_{ab} \cdot \gamma + \kappa \cdot C_{s-ab} \cdot D_{ab} \cdot \alpha_{ad} \cdot \gamma \\ &\quad - C_{s-ad} \cdot D_{ad} \cdot \alpha_{ab} \cdot \gamma \\ b &= (C_{s-ad} \cdot D_{ad} \cdot G_{i-ab} \cdot \gamma + (A_{T-ad} + C_{s-ad} \cdot E_{T-ad}) \cdot \alpha_{ab}) \\ &\quad - (C_{s-ad} - C_{s-ab} \cdot \kappa) \cdot (\alpha_{ad} \cdot G_{i-ab} \cdot \gamma + G_{i-ad} \cdot \alpha_{ab}) \\ &\quad - \kappa \cdot (C_{s-ab} \cdot D_{ab} \cdot G_{i-ad} + (A_{T-ab} + C_{s-ab} \cdot E_{T-ab}) \cdot \alpha_{ad} \cdot \gamma) \\ c &= (C_{s-ad} - C_{s-ab} \cdot \kappa) \cdot G_{i-ad} \cdot G_{i-ab} - (A_{T-ad} + C_{s-ad} \cdot E_{T-ad}) \\ &\quad \cdot G_{i-ab} + \kappa \cdot (A_{T-ab} + C_{s-ab} \cdot E_{T-ab}) \cdot G_{i-ad} \end{aligned}$$

and the lesser positive root is taken.

Our model to calculate  $g_{cw}$  is based on using the uneven impact of  $g_{cw}$  and  $g_{cc}$  on total conductance to H<sub>2</sub>O ( $g_{tw}$ ) and CO<sub>2</sub> ( $g_{tc}$ ) on each surface of the leaf to find  $g_{cw}$  for one surface. The ratio of abaxial and adaxial  $g_{cw}$  ( $\gamma$ ) distributes the influence of  $g_{cw}$  on each surface. However, the actual value of  $\gamma$  is unknown, so for simplicity a value of one is assumed. The rationale for this assumption is discussed and tested later in the paper.

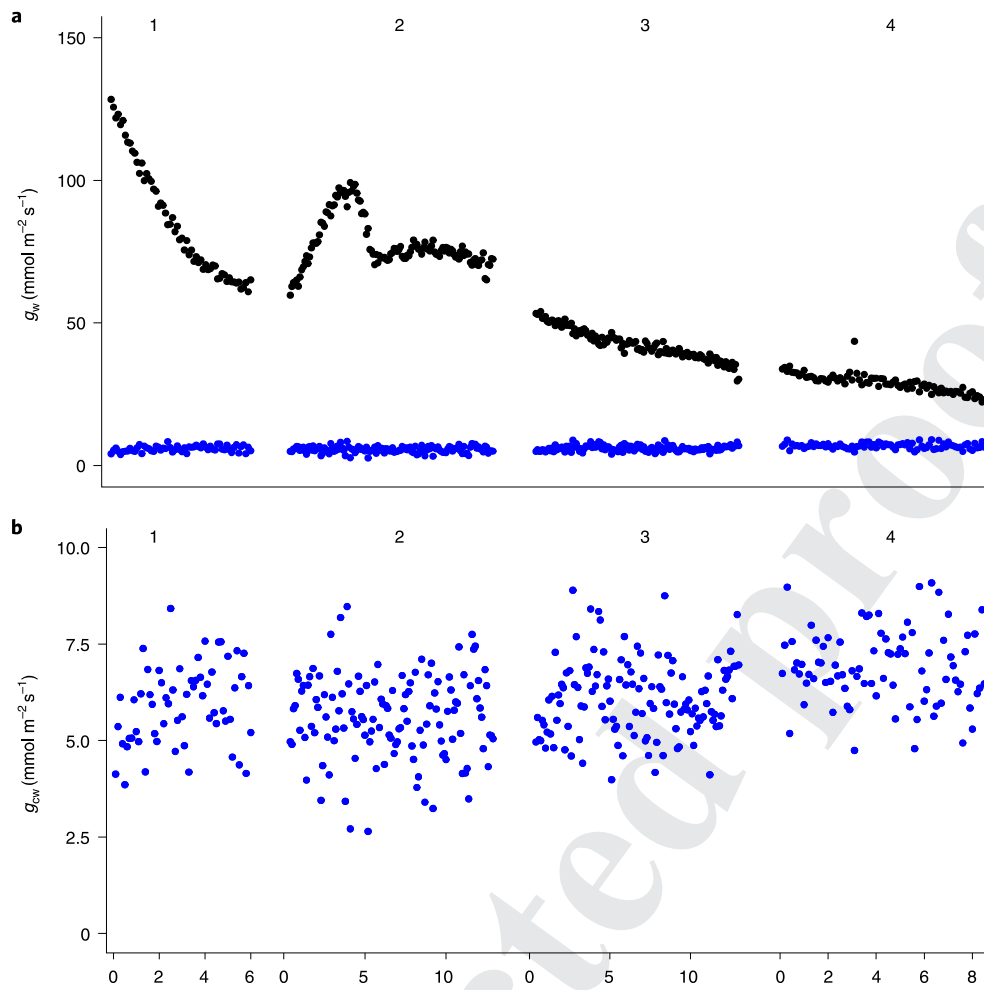
A requirement for using equation (18) is that the experimental setup must provide conditions that allow inference of the value of  $\kappa$ . Most gas exchange measurements assume that  $C_{i-ad} = C_{i-ab}$ , that is  $\kappa = 1$ , and for usual gas exchange measurements, the assumption is adequate<sup>26-30</sup>. However,  $\kappa$  can vary depending on the environmental conditions and leaf characteristics<sup>31,32</sup>.

A compilation of  $\kappa$  values reported for different species under different conditions is shown in Supplementary Note 8. The highest value of  $\kappa$  found was 1.18 ( $|\Delta C_i| \approx 11$  ppm), when a *Helianthus annuus* leaf was exposed to <100 ppm of ambient [CO<sub>2</sub>]; and the lowest  $\kappa$  value found was 0.88 ( $|\Delta C_i| \approx 25$  ppm), when a *Eucalyptus pauciflora* leaf was exposed to 3 h of photo-inhibition. However, under non-stressed light conditions and at CO<sub>2</sub> concentrations near that of the atmosphere,  $\kappa$  values were on average  $1.00 \pm 0.05$ . For simplicity, then, our experimental setup was established in conditions and ranges of  $C_a$  where  $\kappa$  can be expected to be close to one and we use  $\kappa = 1$  in our calculations. To test the impact of  $\kappa$  in the calculations,  $\kappa$  was varied within reasonable bounds (Extended Data Fig. 1). The results of this evaluation are discussed later in the paper.

### Cuticular conductance to H<sub>2</sub>O

Adaxial and abaxial gas exchange was measured independently on *Adaxium annuum* leaves and equation (18) used with  $\kappa$  set to unity,

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299 **Fig. 2 | Cuticular conductance calculated for single leaf surfaces for four leaves of the same *C. annuum* plant.** Four different leaves from the same *C.*  
300 *annuum* plant (1, 2, 3 and 4), with measurements of 6, 13, 13 and 10 h under the same light, [CO<sub>2</sub>] and air saturation deficit ( $n_{(1,2,3,4)} = 60, 126, 120$  and  
301 85). **a**, Total leaf surface conductance ( $g_{lw-T} = g_{lw-ad} + g_{lw-ab}$ ; black dots) and calculated cuticular conductance for a single surface with  $\kappa = 1$  (blue dots). **b**,  
302 Close-up of the calculated cuticular conductance. Each point is a single measurement repeated every 6 min. The dataset of each leaf was divided into  
303 sections of 1 h to analyse changes in the calculated  $g_{cw}$ . No significant differences were found between time sections within measurements of each leaf; no  
304 significant differences were found between the average values of leaves 1, 2 and 3; leaf 4 showed a significantly higher  $g_{cw}$  than the other leaves (statistical  
305 analysis: two-sided Student's *t*-test with  $P < 0.05$ ; Supplementary Note 9).

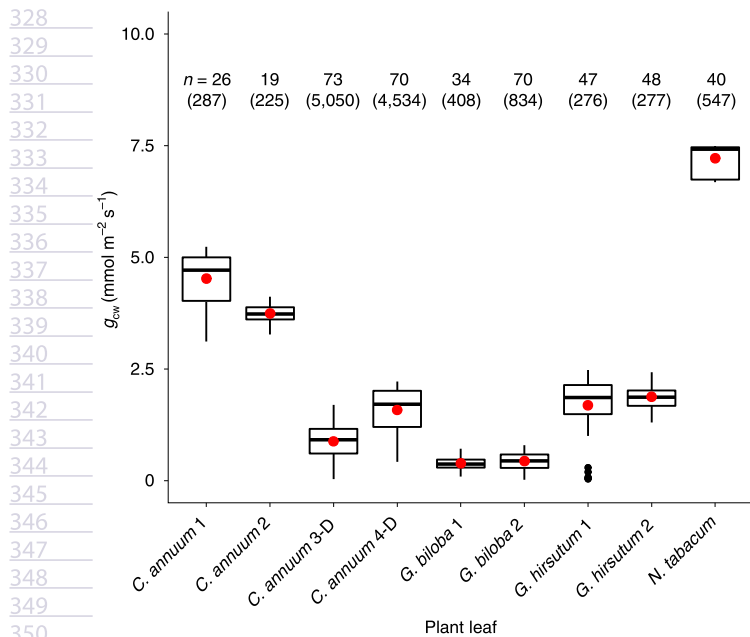
307 to calculate  $g_{cw}$ . Cuticular conductance to water ( $g_{cw}$ ) of each leaf  
308 was found to be constant regardless of changes in evaporation rate and  
309 natural stomatal aperture changes (circadian rhythm) during  
310 the day (Fig. 2; Methods). These observations are consistent with  
311 reports by Hoad et al.<sup>33</sup> and Boyer<sup>11,14</sup> that cuticular conductance  
312 to H<sub>2</sub>O is stable while the leaf retains turgor. Correction of  $g_{sw}$  was  
313 5–20% of the total  $g_{lw}$  ( $g_{lw-T} = g_{lw-ad} + g_{lw-ab}$ ) for *C. annuum* plants dur-  
314 ing these experiments.

315 In a study comparing species, leaf cuticular conductance to H<sub>2</sub>O  
316 was calculated for *C. annuum*, *Ginkgo biloba*, *Gossypium hirsutum*  
317 and *Nicotiana tabacum* plants (Fig. 3).

318 Our results were compared with a common method for measur-  
319 ing adaxial and abaxial minimum conductance, which involves  
320 measuring gas exchange after long dark adaptation with and with-  
321 out ABA on the leaf surface<sup>13</sup>. From lengthy experiments conducted  
322 on *G. hirsutum* and *C. annuum*, adding ABA and placing the plants  
323 in the dark, the minimum conductance ( $g_{lw-min} + ABA$ ) for the adax-  
324 ial surface of the leaf was practically the same as our calculated  $g_{cw}$ .  
325 The value of  $g_{lw-min} + ABA$  for *C. annuum* was 5.7 mmol m<sup>-2</sup> s<sup>-1</sup> and  
326 the  $g_{cw}$  was 5.6 mmol m<sup>-2</sup> s<sup>-1</sup>. For *G. hirsutum* the values were 1.9 and  
327 1.63 mmol m<sup>-2</sup> s<sup>-1</sup>, respectively.

Our calculated cuticular conductances were always below the  
adaxial and abaxial minimum conductance (Table 1) as one would  
expect assuming a tiny stomatal aperture. In three of these species,  
the conductance of both leaf surfaces was measured after 12 h of  
dark adaptation ( $g_{lw-min}$ ) when stomata had closed. It was found that,  
in *C. annuum* leaves, the cuticular conductance comprised 94% of  
 $g_{lw-min}$  ( $g_{cw}/g_{lw-min}$ ) on the upper surface and 60% on the lower surface  
(in other words, 6% and 40% of vapour was coming from almost  
closed stomata, respectively). The corresponding values of  $g_{cw}/g_{lw-min}$   
for *G. hirsutum* were 90% and 75%, and in *G. biloba* were 8% in the  
lower surface and 100% for the astomatous surface, the last largely  
confirming almost closed stomata as the other water source.

Leaves of *C. annuum* plants grown under water-stress conditions  
(Fig. 3, *C. annuum* 3-D and 4-D) were also measured after long dark  
adaptation. The water stress of our *C. annuum* plants was managed by  
watering them only when the soil water potential reached  $-0.1$  MPa.  
It was found that  $g_{lw-min}$  for these plants, measured when fully rehy-  
drated, was two to three times less than in well-watered plants and  
the stomatal component of the water loss was higher. Compared  
with minimum conductance measured in the dark, the cuticular  
conductance was 50% of the abaxial surface conductance and 75%



**Fig. 3 | Average cuticular conductance calculated for a single leaf surface for leaves of different plants ( $\kappa = 1$ ).** *C. annuum* 3-D and 4-D were grown in drought conditions. Red dots are the mean values of the dataset, boxes represent the range of data between the second and third quartiles of frequency (IQR =  $Q_3 - Q_2$ ), the horizontal lines in the boxes represent the median of the dataset, vertical lines represent the range of maximum and minimum values excluding outliers and black dots are outliers (outliers are:  $<Q_2 - 1.5 \cdot IQR$  or  $>Q_3 + 1.5 \cdot IQR$ ). Each observation ( $n$ ) used for the calculations is the average of 12 to 72 measurements under stable conditions for a period of 6 min. The total number of observations is shown in parentheses, each leaf being measured for 2–7 h.

for the adaxial surfaces, a lower percentage than in well-watered plants. Other researchers have reported similar results with plants grown in well-watered and drought-stressed conditions<sup>34–37</sup>.

### Effect of $C_a$ , temperature and humidity on $g_{cw}$ calculation

It was found that simultaneous changes in  $C_a$  at both surfaces had no significant effect on the  $g_{cw}$  calculation, when plants were in stable gas exchange conditions (Extended Data Fig. 2). Neither temperature nor atmospheric saturation deficit affected our calculations of cuticular conductance to H<sub>2</sub>O on well-watered plants (Extended Data Fig. 2).

A stable  $g_{cw}$  of about  $5 \pm 0.5$  (mean  $\pm$  s.d.) mmol m<sup>-2</sup> s<sup>-1</sup> for one surface of the leaf ( $\gamma = 1$ ) was found for a *C. annuum* leaf regardless of changes in air temperature. Our measurements were carried out at leaf temperatures in the range 20–29 °C and are consistent with the minor changes in cuticle permeability reported for isolated cuticles within this range<sup>38</sup>.

There was no significant change in the calculated  $g_{cw}$  in a large range of ambient relative humidity (Extended Data Fig. 2) although other studies on isolated cuticles<sup>39</sup> have reported important variations in the cuticular permeability resulting from changes in relative humidity. These variations seem to be species dependent, with permeabilities at 100% relative humidity between 1.4 and ten times higher than at 0% relative humidity. There is still little information about the mechanism of this variation and the effect that isolation of the cuticular waxes has on the measurements.

### Cuticular conductance to CO<sub>2</sub>

On an astomatous surface, it should be possible to measure the actual  $g_{cc}$ ; however, the conductance to CO<sub>2</sub> through the cuticle is

usually so low that the signal is too weak and noise is overwhelming. The measurements of assimilation rate through the astomatous surfaces in *G. biloba* leaves were at the limit of the instrumental noise, averaging  $0.015 \pm 0.018$  (mean  $\pm$  s.d.)  $\mu\text{mol m}^{-2} \text{s}^{-1}$ . This makes it challenging to estimate a reliable ratio of  $g_{cc}/g_{cw} = \beta$  directly.

The literature suggests values for  $\beta$  of between 0.025 and 0.05, depending on the species and growth conditions<sup>6,11,14</sup>. The average positive assimilation rate occurring through the cuticle ( $A_c$ ) in *G. biloba* leaves means that in our calculations a residual  $g_{sw}$  is assigned to the astomatous surfaces if  $\beta$  is set as 0. This residual  $g_{sw}$  is due to the calculations where CO<sub>2</sub> must pass through one of the two pathways and  $\beta = 0$  mathematically blocks the cuticular pathway. If we estimate a  $\beta$  value that satisfies the condition of obtaining an average  $g_{sw}$  for the adaxial surface equal to 0, we obtain  $\beta \approx 0.05$ . Even though the  $\beta$  value found is within the range suggested in the literature, the measurements of  $A_c$  were not precise enough for us to validate our  $\beta$  value.

An additional issue with the calculation explained above is that it was made assuming  $\gamma = 1$  and technically we could make the same calculation assigning any reasonable  $\beta > 0$  and correcting the  $g_{sw}$  by varying  $\gamma$ . Further in the paper,  $\gamma$  is estimated as 1.06 for *G. biloba* leaves (adaxial surface with lower conductance) using measurements of minimum conductance in the dark. Using  $\gamma = 1.06$  and recalculating  $\beta$  to make adaxial  $g_{sw} = 0$ , we obtain an insignificantly higher  $\beta$  that still approximates to  $\beta \approx 0.05$  but again with insufficient precision of measurement of  $A_c$ .

Correcting our calculations using  $\beta = 0.05$  in *G. biloba* leaves has no significant influence on  $g_{cw}$  estimation, on  $C_i$  or on any other gas exchange parameter calculation during positive net assimilation rate. Nor does the value of  $\beta$  impact the calculations made in the other species used in our experiments. However, knowing that  $g_{cc} \neq 0$ ,  $\beta$  values within the range reported in the literature are appropriate in the absence of a known  $\beta$ .

### Elucidating the model

To calculate  $g_{cw}$  using our model (equation (18)), the values of  $A_T$ ,  $E_T$  plus  $g_{sw}$  and  $g_{lc}$  for the adaxial and abaxial surface are balanced using a mediated  $\kappa$  value. Thus, to calculate  $g_{cw}$  the mathematics require a difference between leaf surfaces in at least one of these parameters, as usually happens. However, this also implies that the calculation relies on the precision of [H<sub>2</sub>O] and [CO<sub>2</sub>] measurements from the upper and lower cuvettes and any failure in the precision between cuvette measurements results in a miscalculation of  $g_{cw}$ . Our experiments showed that the main possible source of error in our model and method is the drift in the equipment used to calculate H<sub>2</sub>O and CO<sub>2</sub> balances (Supplementary Note 10).

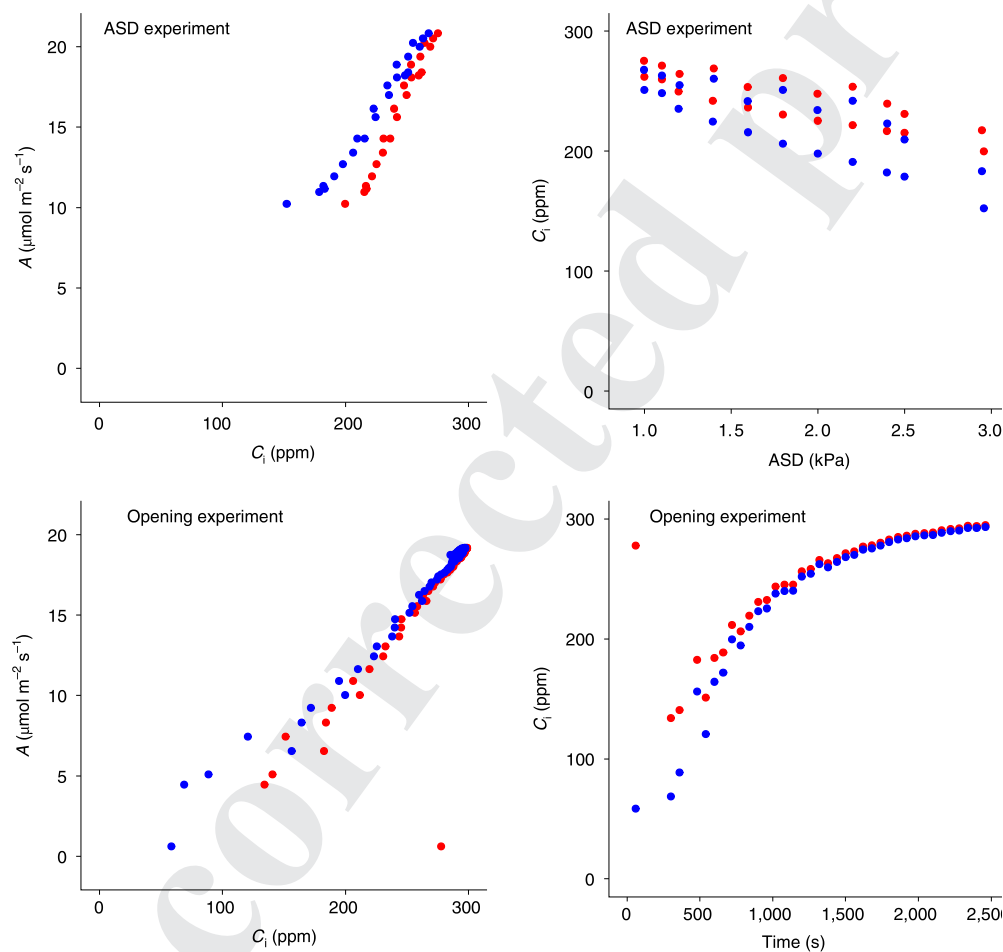
During our experiments the calculated  $g_{cw}$  was stable with a reliable value whenever the experimental setup allowed inference of  $\kappa$ . The impact of  $\kappa$  in the calculation using equation (17) depends on the magnitude of  $g_{lc}$  on each surface. In the case of a hypostomatous leaf, the astomatous surface has a very low CO<sub>2</sub> conductance in comparison with the surfaces with stomata. Conventionally, internal [CO<sub>2</sub>] is defined as the [CO<sub>2</sub>] calculated in the evaporative site<sup>31</sup> but, in the astomatous surface, internal [CO<sub>2</sub>] and  $C_s$  are physically separated. This physical separation offers great resistance to CO<sub>2</sub> diffusion, creating the conditions where the value of  $\kappa$  has a small impact on our calculations.

It is possible to evaluate the latter concept from our measurements made in hypostomatous *G. biloba* leaves. Our first approach was to estimate  $g_{cw}$  assuming  $\kappa = 1$ . Later, we imposed  $\kappa \approx 0.9$  equivalent to the  $\Delta C_i$  found by Parkhurst et al.<sup>29</sup> when only one surface of an amphistomatous leaf was fed with CO<sub>2</sub>. The change did not affect the calculated value of  $g_{cw}$ . We evaluated  $\kappa$  in a range from 0.9 to 1.1 and found insignificant variation in the calculation of  $g_{cw}$  in *G. biloba* leaves (Extended Data Fig. 1). In contrast, the same analysis in an amphistomatous leaf of *N. tabacum* showed a big impact in the same range.

**Table 1** | Comparison of measured minimum conductance to H<sub>2</sub>O, calculated  $g_{cw}$  using equation (18), the dark- $g_{sw}$  calculated as  $g_{lw-min} - g_{cwr}$ , Dark- $g_{sw}$  ratio and stomatal density ratio

Plant	Surface	$g_{lw-min}$ (mmol m <sup>-2</sup> s <sup>-1</sup> )	$g_{cw}$ (mmol m <sup>-2</sup> s <sup>-1</sup> )	Dark- $g_{sw}$ (mmol m <sup>-2</sup> s <sup>-1</sup> )	Dark- $g_{sw}$ (%)	Stomatal density (%)
<i>C. annuum</i>	Adaxial	8.5	5.6	2.9	26	10
	Abaxial	13.8	5.6	8.2	74	90
<i>G. biloba</i> <sup>a</sup>	Adaxial	0.5	0.35	0.15	6	0
	Abaxial	2.75	0.35	2.4	94	100
<i>G. biloba</i> <sup>b</sup>	Adaxial	0.5	0.49	0.01	0	0
	Abaxial	2.75	0.49	2.26	100	100
<i>G. hirsutum</i>	Adaxial	2.5	1.63	0.88	17	25
	Abaxial	6	1.63	4.38	83	75

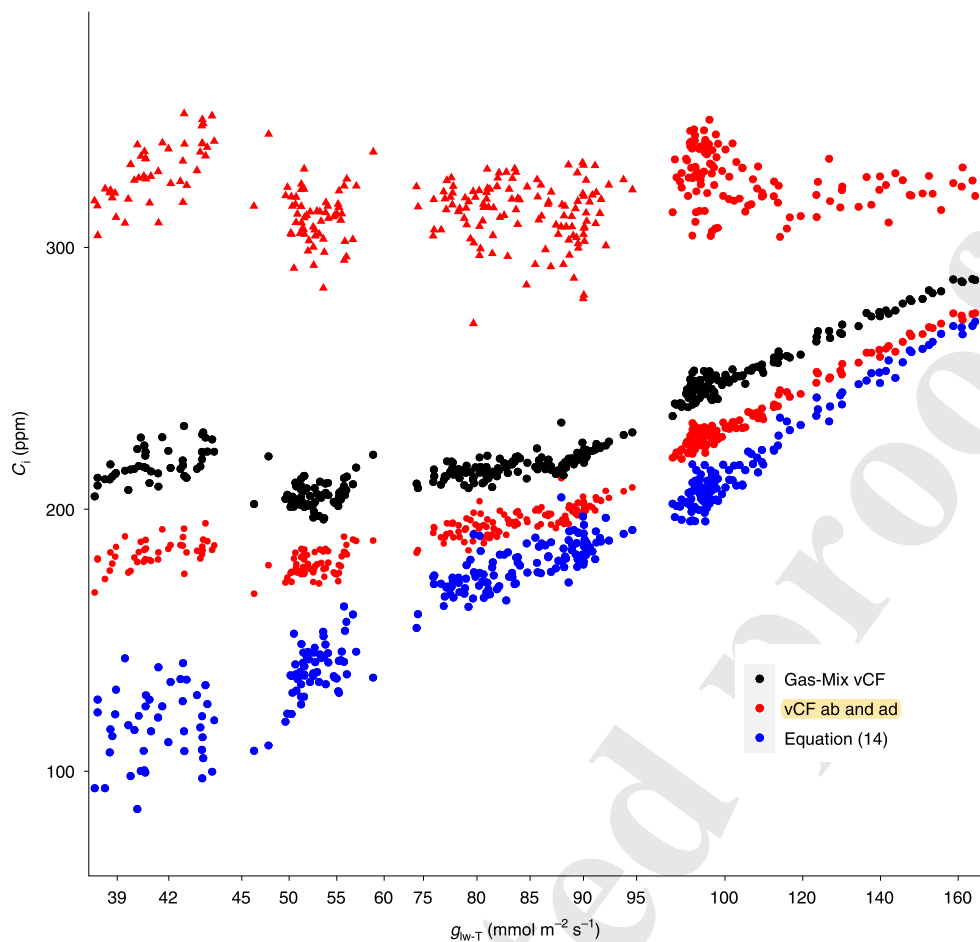
<sup>a</sup>Calculation assuming  $\beta = 0$ . <sup>b</sup>Calculated using  $\beta = 0.05$ .



**Fig. 4** | Gas exchange parameters calculated using equations (9)–(15) and the vCF theory for experiments changing ASD and stomatal aperture from dark to light (opening) on *C. annuum* plants. Red dots show the results of using the Gas-Mix vCF calculation and the blue dots the calculations using equations (9)–(15) accounting for cuticular gas fluxes. Each point in the ASD experiment is a single measurement taken under stable leaf gas exchange conditions. Each point in the opening experiment is a single measurement taken every 1 min; initial measurements are more spaced due to the rapid change of gas exchange conditions and subsequent IRGA matches for pairing the sample and reference IRGA.

In a general analysis, the value of the parameter  $\kappa$  affects the calculated relationship between  $g_{lw}$ ,  $g_{sw}$  and  $g_{cw}$ ; the ternary correction on the CO<sub>2</sub> fluxes; and the CO<sub>2</sub> gradients between the stomatal cavity and the leaf surface. In the calculations made in this study, we assume that due to our experimental setup the [CO<sub>2</sub>] at the sites of evaporation is the same for both sides of a leaf ( $\kappa = 1$ ). This is likely

to be the case when there is negligible resistance to CO<sub>2</sub> diffusion inside the leaf and the ambient conditions are equal in both surfaces but not necessarily restricted to that condition (Supplementary Note 8). On the other hand, gradients across a leaf can be of the order of 20 ppm or more if  $A_T$  at one surface is constrained to zero<sup>29</sup>. Additionally, the assumption of  $\kappa = 1$  may not hold when the plant



**Fig. 5 | Comparison of  $C_i$  calculation using vCF, Gas-Mix vCF and equation (14) calculations.**  $\text{CO}_2$  concentration inside a *C. annuum* leaf using equation (8) (vCF) for the adaxial (red triangles) and abaxial (red circles) faces of a leaf and the Gas-Mix vCF calculation using a mixture of the gases from both faces of the leaf (black circles). Blue circles are the calculation of  $C_i$  using equation (14) with  $\kappa=1$ . The total conductance to  $\text{H}_2\text{O}$  through both surfaces of the leaf ( $g_{w-T}$ ) is displayed as the x axis: sections are different periods of the day; each section has a different scale in the x axis to make them readable. One point is a single measurement, taken every 6 min. Further analysis of Fig. 5 in Supplementary Note 11.

species has thick leaves or if there is an internal or external physical impediment to free diffusion in the leaf<sup>26,27</sup>. For instance, see the contrast between *Xanthium strumarium* and *Zea mays* reported by Farquhar and Raschke<sup>32</sup>. In our experimental setup, we used a combination of plants and environmental conditions where it is reasonable to assume that  $\kappa \approx 1$  and we tested the possible error arising from this assumption (Extended Data Fig. 1).

Another aspect that might affect the calculations is the difference between the adaxial and abaxial  $g_{c-w}$ . Although the two leaf surfaces might have different cuticular structures, direct values of cuticular conductance from the astomatous surfaces have been used as an approximation of the cuticular conductance of the opposite surface<sup>5,6</sup>. We took this initial approach assigning  $\gamma=1$  for equation (18) because the actual difference is unknown. However, a recent study<sup>40</sup> found that the adaxial and abaxial cuticles of a hypostomatous leaf have different evaporative rates under similar environmental conditions. This might indicate significant differences in  $g_{c-w}$  for the adaxial and abaxial surfaces.

To address this, we used dark measurements to obtain the  $g_{w-\min}$  of the adaxial and abaxial surfaces. Then, the calculated  $g_{c-w}$  and  $g_{w-\min}$  were used to isolate the dark- $g_{sw}$  from both surfaces. Ratios of stomatal conductance in the dark were compared with the stomatal density ratios and used to test whether differences in  $g_{w-\min}$  between the abaxial and adaxial surfaces were explained by stomatal densities (Table 1). It was found that a clear majority of the differences

in the adaxial and abaxial  $g_{w-\min}$  were explained by stomatal density imbalances.

The difference between the stomatal density ratio and the  $g_{w-\min}$  ratio might be explained by uneven stomatal closure on the two surfaces, differences in adaxial and abaxial  $g_{c-w}$  or a mixture of these two factors. To evaluate the impact of differences in adaxial and abaxial  $g_{c-w}$ , we considered the extreme case where the whole difference between these ratios is due to uneven  $g_{c-w}$  in the adaxial and abaxial surfaces. We found values of  $\gamma$  ( $g_{c-w-ab}/g_{c-w-ad}$ ) of 1.18, 1.09 and 1.06 for *C. annuum*, *G. hirsutum* and *G. biloba*, respectively. Using equation (18) and these  $\gamma$  values to recalculate the total  $g_{c-w}$  ( $g_{c-w-T}=g_{c-w-ad}+g_{c-w-ab}$ ), it was found that  $g_{c-w-T}$  of our plants was increased by 12.5% in *C. annuum*, 5% in *G. hirsutum* and 3% in *G. biloba*. The other gas exchange parameters were not significantly affected when corrected by  $\gamma$ . An analysis of the impact of  $\gamma$  values of between 0.7 and 1.4 is presented in (Extended Data Fig. 3).

In the model presented in equation (18) we included the ratio of abaxial to adaxial  $g_{c-w}$  ( $\gamma$ ), which is usually unknown. This introduces a level of uncertainty to the calculations of total cuticular conductance to water ( $g_{c-w-T}=g_{c-w-ad}+g_{c-w-ab}$ ); however, within reasonable range,  $\gamma$  has a minor effect in the calculation of other gas exchange parameters. Our theory gives a tool to evaluate the differences between adaxial and abaxial  $g_{c-w}$  and the future direction of our research will focus on it.



526 **Theory insights**

527 The gas exchange mathematical theory derived in equations (9)–(15)  
528 incorporates two new variables in the calculation of gas exchange:  
529  $g_{cw}$  and  $g_{cc}$ . The variable  $g_{cc}$  can be expressed in terms of  $g_{cw}$  using the  
530 parameter  $\beta$  as presented in equation (14) and discussed in the sec-  
531 tion Cuticular conductance to  $CO_2$ .

532 The theory can be applied to any gas exchange measurement,  
533 independently of whether the intention is to estimate  $g_{cw}$  or not. It  
534 calculates gas exchange parameters from normal gas exchange mea-  
535 surements provided  $g_{cw}$  is known. For instance, using the default  
536 setup of a LI-6800, we performed experiments involving air satura-  
537 tion deficit (ASD) and stomatal opening in response to light using  
538 *C. annuum* leaves where the  $g_{cw}$  was determined in advance. Then,  
539 we calculated all the gas exchange parameters using equations (9)–  
540 (15) (Fig. 4).

541 In the examples shown in Fig. 4, the  $A - C_i$  relation obtained using  
542 equations (9)–(15) is lower than that calculated with the widely  
543 used Gas-Mix vCF calculation. These results are consistent with the  
544 corrections made by Tominaga et al.<sup>15</sup> using direct measurements to  
545 estimate  $C_i$ . Calculations made using equations (9)–(15) show more  
546 consistency in the  $A - C_i$  trend, especially when stomata are not fully  
547 open. Similar results were found in experiments conducted in a gas  
548 exchange system able to measure adaxial and abaxial surfaces inde-  
549 pendently (Fig. 5). The vCF equations overestimate  $C_i$ , which results  
550 in miscalculation of other parameters calculated from it such as  
551 mesophyll conductance. Overestimation of  $C_i$  under ambient  $[CO_2]$   
552 ( $C_a \approx 400 \mu\text{mol mol}^{-1}$ ) causes underestimation of the mesophyll con-  
553 ductance, for example in calculations based on isotope discrimina-  
554 tion techniques<sup>41</sup> such as those by Tazoe et al.<sup>42</sup> or Busch et al.<sup>43</sup>.

555 The proposed physiological model to estimate cuticular con-  
556 ductance (equation (18)) is valuable when the actual  $C_i$  is unknown  
557 and reasonable assumptions can be made about the relationship  
558 between adaxial and abaxial  $C_i$  values ( $\kappa$ ). The application of the  
559 model requires a gas exchange system able to measure adaxial  
560 and abaxial surfaces independently such as those used by us, Mott  
561 and O'Leary<sup>27</sup>, Sharkey et al.<sup>44</sup>, Wong et al.<sup>17</sup>, Parkhurst et al.<sup>29</sup> and  
562 Farquhar and Raschke<sup>32</sup>.

563 Another example of the use of our theory to calculate  $g_{cw}$  is where  
564  $C_i$  is known and then substituted in equation (16). For instance,  $C_i$   
565 can be estimated in the way proposed by Sharkey et al.<sup>44</sup> or with  
566 the method used by Tominaga and Kawamitsu<sup>12</sup> to estimate  $g_{cw}$  but  
567 using equation (16) instead. Then all the gas exchange parameters  
568 can be calculated as before, using equations (9)–(15).

570 **Conclusion**

571 The theory developed here adds a more detailed characterization of  
572 leaf gas exchange considering the two pathways of transport from  
573 inside the leaf to the atmosphere. This innovation adds more detail  
574 to gas exchange calculations, including the interaction of cuticu-  
575 lar fluxes with the other gas exchange parameters and the effect  
576 of ternary corrections in the boundary layer. Also, it was shown  
577 that calculations allow a more precise estimation of gas exchange  
578 parameters in normal gas exchange measurements without any  
579 special setup.

580 Additionally, we accurately estimated the cuticular conductance  
581 to  $H_2O$  for different species using the model derived from our the-  
582 ory. It was shown that atmospheric conditions did not affect our  
583 results of cuticular conductance. Differing stomatal densities on  
584 adaxial and abaxial surfaces facilitate the use of our instrumenta-  
585 tion and experimental setup to estimate cuticular conductance to  
586  $H_2O$  vapour.

588 **Methods**

589 **Gas exchange devices.** Two LI-6800 gas exchange analysers (LI-COR) were used  
590 simultaneously to evaluate the gas exchange from the adaxial and abaxial surfaces  
591 of the leaf. The upper and lower cuvettes of a fluorescence system head of an

LI-6800 were separated; leaving only the upper cuvette connected to the gas  
analyser system (Extended Data Fig. 4, LI-6800 no. 1). The lower cuvette was  
connected to the other LI-6800 system (Extended Data Fig. 4, LI-6800 no. 2); its  
reference and sample gas were isolated after the fan mixer. The connection was  
made using an adaptor created inhouse (Extended Data Fig. 4) by P. Groeneveld. In  
addition, experiments were carried out on a gas exchange analysis system (system  
1) built by S. C. Wong, which uses two LI-6251 gas analysers (LI-COR) to measure  
the  $[H_2O]$  and  $[CO_2]$  of the upper and lower cuvettes independently. System 1  
is constantly measuring a reference gas of known concentrations obtaining the  
actual absolute values from each LI-6251 on each measurement. During all the  
experiments the pressures of both cuvettes were kept equal, using the pressure and  
flow control included in the LI-6800s and the independent flow control in system 1.

**Plant material.** Five species were used in our experiments: *C. annuum*, *N. tabacum*, *H. annuus*, *G. hirsutum* and *G. biloba*. All the plants, except *G. biloba*, were kept in a greenhouse under natural light conditions with a temperature of 28 °C during the day and 20 °C at night. *G. biloba* plants were kept in a shadehouse. They were watered twice a day, except those plants under water-stress treatments (Data collection). *C. annuum* plants were germinated in a germination chamber and transplanted to their final pots after the third true leaf was fully expanded. All the other species were grown in their final pots inside the greenhouse.

We took advantage of two characteristics of *C. annuum*: (1) *C. annuum* has a significant difference in the stomatal distributions between the adaxial and abaxial surfaces of the leaf; in our plants, the distribution was about 10% adaxial, 90% abaxial. (2) *C. annuum* plants also possess a strong circadian rhythm in their stomatal behaviour, which was used to measure the effect of different natural variations of stomatal conductance on cuticular conductance calculations under non-stressed conditions.

In addition, experiments to determine the cuticular conductance of other species with different stomatal distributions were performed. The plants were *N. tabacum*, which has a 40/60% distribution of stomatal density on the adaxial and abaxial surface of the leaf; *G. hirsutum*, which has 25/75% distribution; *G. biloba*, which has 0/100%; and *H. annuus*, which has ~50/50% distribution.

**Data collection.** To evaluate the feasibility of using equation (18) to calculate  $g_{cw}$ , two experiments were performed on *C. annuum* plants using system 1 under light conditions of  $1,000 \mu\text{mol m}^{-2} \text{s}^{-1}$ : first following the diurnal rhythm over 24 h at 450 ppm of  $CO_2$ , and second with 30 min of measurement under 220, 380, 420 and 880 ppm of  $CO_2$ . Similar experiments were performed on all the plant species mentioned above using the system with two LI-6800s following the diurnal rhythm over 24 h, setting atmospheric  $[CO_2]$  at 400 ppm.

Our results were compared with minimum leaf surfaces conductance after 12 h in the dark with and without ABA application. The ABA was prepared at  $10^{-4} \text{M}$  in water. The ABA was applied to the adaxial surfaces of the leaf using a spray.

The 24-h experiments were performed to evaluate the effect of air temperature in the range 20–28 °C and atmospheric ASD of 0.8–1.8 kPa on our calculations. Additionally, experiments with cycles of temperature and relative humidity were performed setting the air temperature at 19, 25 and 35 °C; and atmospheric relative humidity at 40 and 80%.

A set of *C. annuum* plants was grown under water deficit, being watered only when the soil matric potential reached  $-0.1 \text{ MPa}$ , as measured with Decagon MPS-6 devices (Decagon Devices). Two MPS-6 were placed at different depths in each plant pot. Plants were grown under this condition from the third true leaf stage until maturity when the measurements were performed. They were then watered to ensure fully turgid conditions during the test.

Another two sets of experiments were conducted on well-watered *C. annuum* plants using the system of two LI-6800s to evaluate the impact of changes in stomatal conductance on estimates of  $g_{cw}$ . The first involved 11 changes in ASD, from 1 to 3 kPa, and then decreasing from 3 to 1 kPa, waiting until stomatal conditions were stable at each point. The second consisted of changing the irradiance from 0 to  $1,000 \mu\text{mol m}^{-2} \text{s}^{-1}$ , recording the gas exchange parameters every minute.

To analyse how  $C_i$  calculation is affected during all these experiments,  $C_i$  was also calculated following the typical method in most gas exchange experiments, which involves effectively mixing the gases from both surfaces (cuvettes) and calculating as per the vCF theory<sup>1</sup>.

Additional experiments were made using the normal setup of a LI-6800 (single chambers) on the same plants for which the cuticular conductance to water was previously determined.

The data analysis was performed using the software RStudio v.1.3.959.

**Reporting Summary.** Further information on research design is available in the Nature Research Reporting Summary linked to this article.

588 **Data availability**

All generated and analysed data from this study are included in the published article, its Supplementary Information and in the GitHub repository ([https://github.com/PlantPhysiologist/When\\_small\\_fluxes\\_matter-calculating-leaf-gas-exchange](https://github.com/PlantPhysiologist/When_small_fluxes_matter-calculating-leaf-gas-exchange)). Source data are provided with this paper.

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## Author contributions

D.M.A., H.S.-W. and G.D.F. conceived the study. D.M.A. undertook the experimental work and data analysis. D.M.A. and G.D.F. carried out the modelling. D.M.A. wrote the manuscript with help from all authors.

## Competing interests

The authors declare no competing interests.

## Additional information

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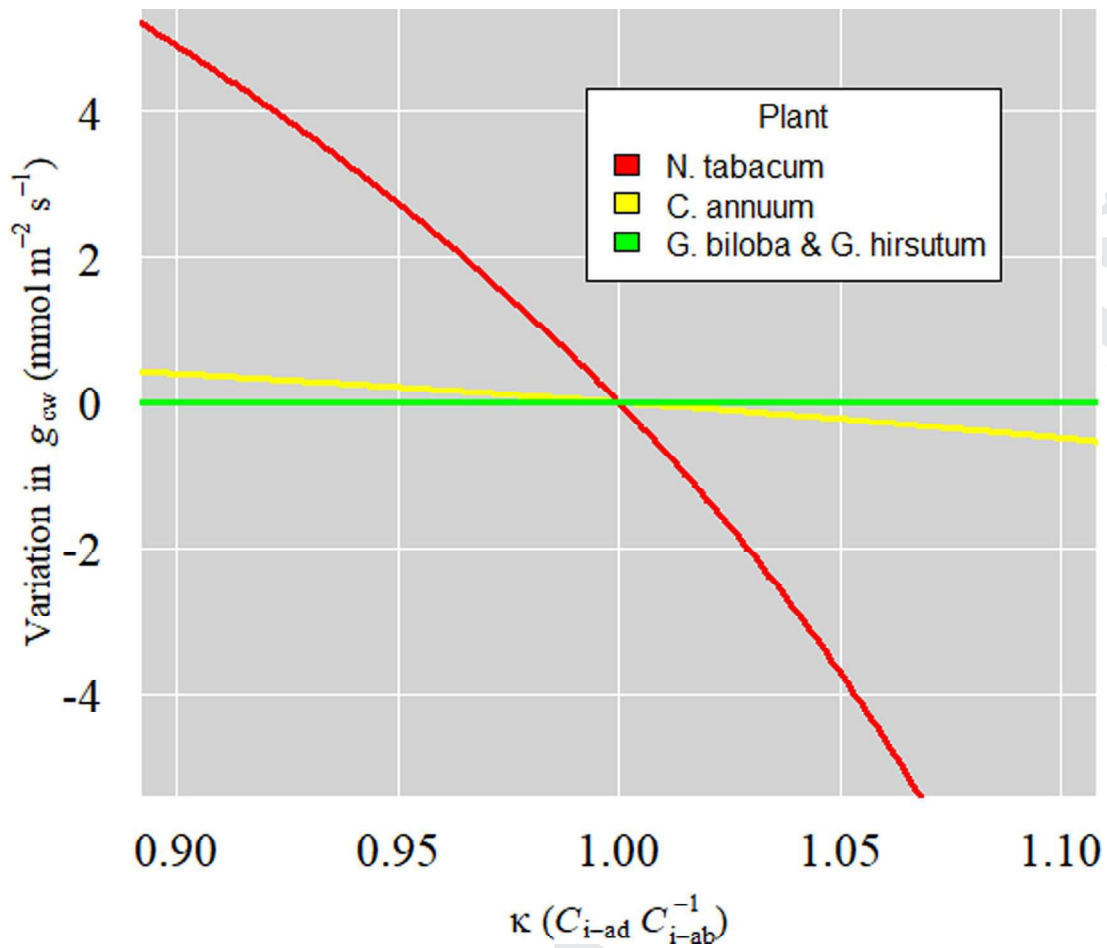
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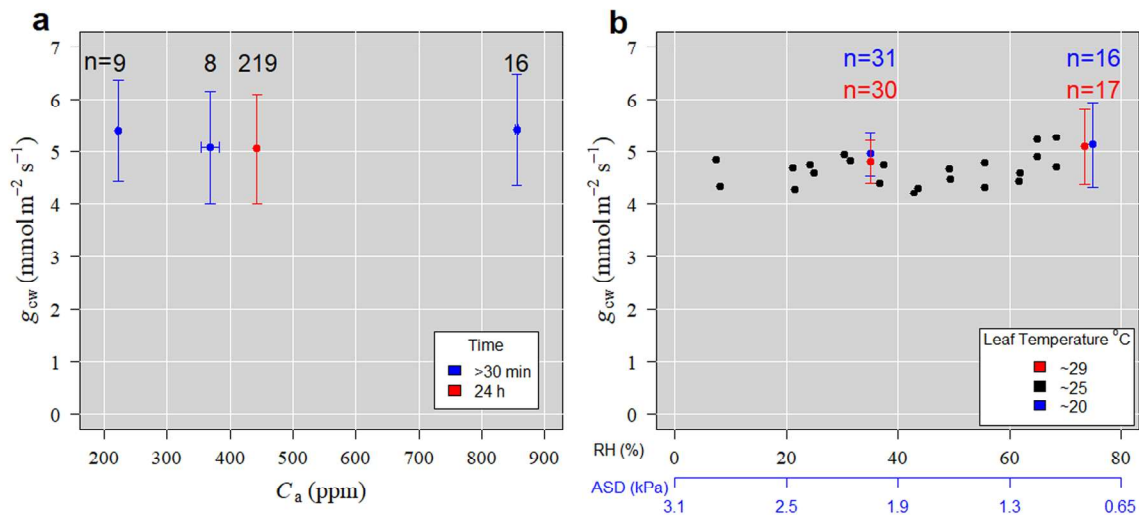
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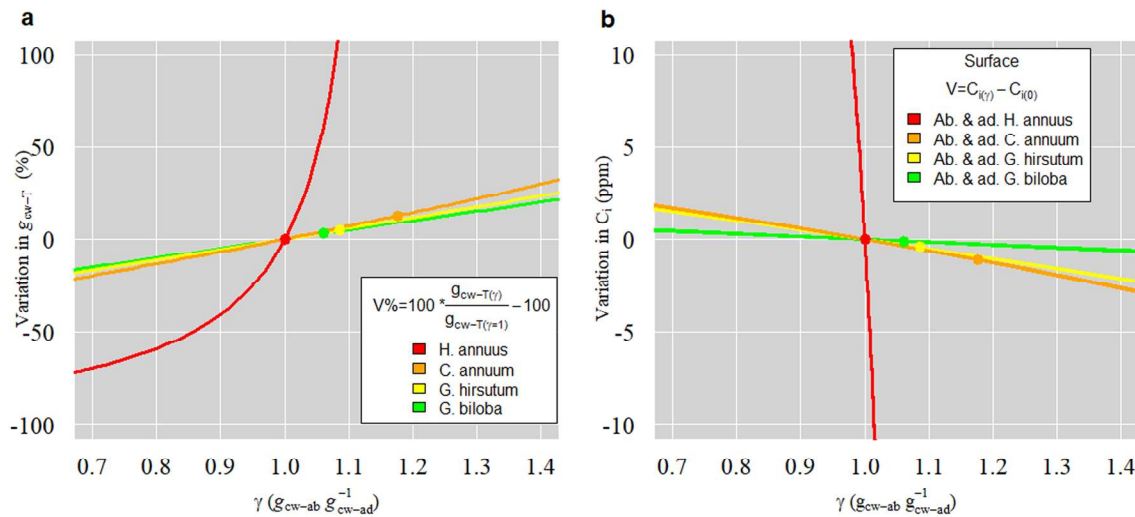
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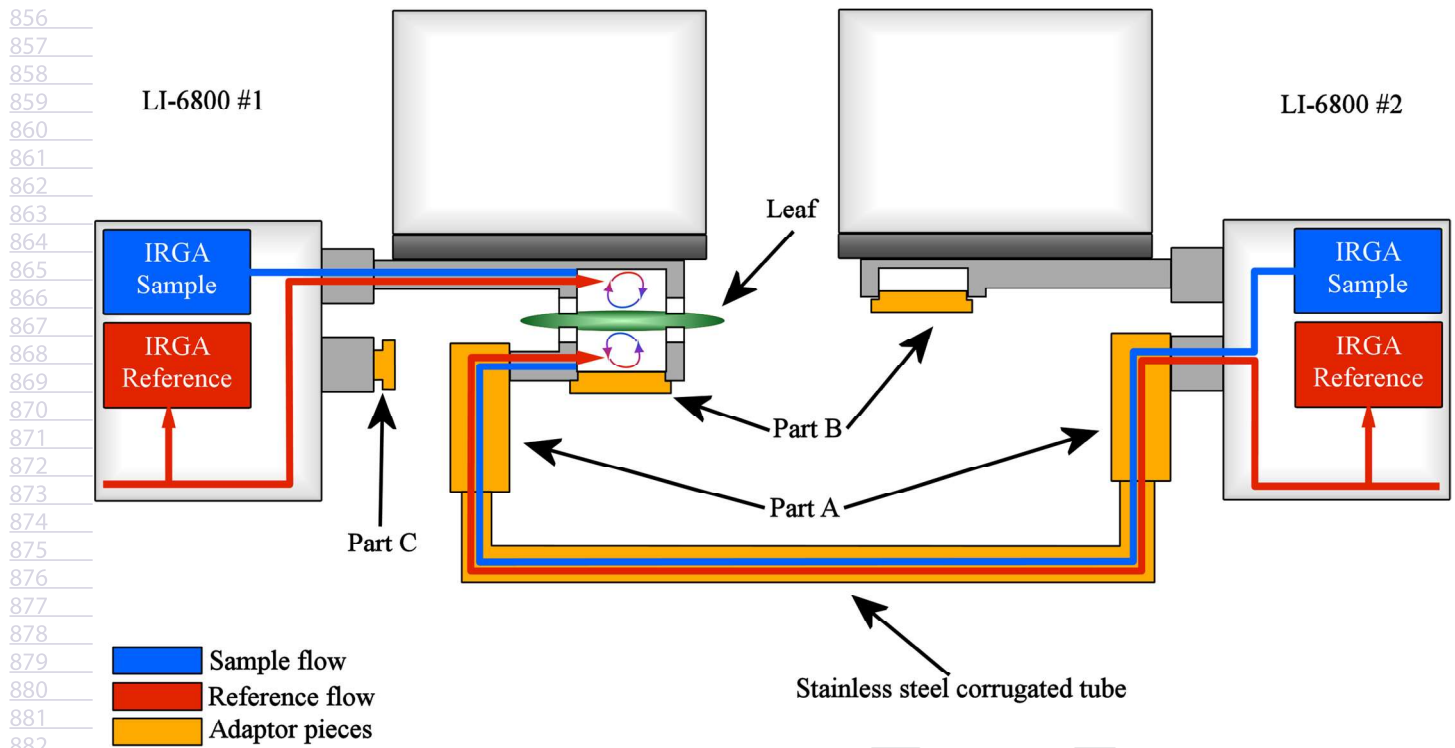
**Extended Data Fig. 1 | Effect of  $\kappa$  on cuticular conductance calculations.** Variation of  $g_{cw}$  calculation if the  $[\text{CO}_2]$  at the evaporative sites of the adaxial and abaxial surfaces of the leaf differ (Variation =  $g_{cw}(\kappa) - g_{cw}(1)$ ). Differences in  $[\text{CO}_2]$  in the evaporative site of the adaxial and abaxial surfaces impact gas exchange measurements and calculations to different degrees depending on the external measured values. For the purposes of our study, the impact on the  $g_{cw}$  calculation was tested by keeping measurements of external gas exchange parameters constant and changing  $\kappa$ .



**Extended Data Fig. 2 | Average  $g_{cw}$  for a *C. annuum* leaf under different atmospheric conditions (assuming  $\kappa = 1$ ).** **a**,  $g_{cw}$  measured under different atmospheric  $\text{CO}_2$  concentrations ( $C_a$ ) over 30 minutes after achieving stable conditions (blue dots) and an average of 24 hours under light at constant  $[\text{CO}_2]$  following a diurnal cycle of atmospheric conditions (red dot); and **(b)** at different temperatures of the leaf and varying relative humidity (RH) also expressed as atmospheric saturation deficits (ASD) for the black dots. In panel **(a)**, each blue point gives the average and standard deviation of 8 to 16 measurements ( $n$ ); the red point gives the average and standard deviation of 239 measurements ( $n$ ), one measurement being taken every 4 minutes. In panel **(b)**, each red and blue dot gives the average and standard deviation of 16 to 31 measurements ( $n$ ), one measurement taken every 2 minutes; black dots are single measurements under stable gas exchange conditions for at least 25 minutes. The measurements were made from low to high ASD and later from high to low ASD. Graphs **(a)** and **(b)** are different leaves. Cuticular conductance ( $g_{cw}$ ) is expressed as the value for one surface and therefore needs to be doubled for the whole leaf ( $\gamma = 1$ ).



**Extended Data Fig. 3 | Effect of possible differences in abaxial and adaxial leaf cuticular conductance.** Impact of unequal adaxial and abaxial  $g_{cw}$  in (a) the total cuticular conductance ( $g_{cw-T} = g_{cw-ad} + g_{cw-ab}$ ) calculated for a leaf and in (b) the  $C_i$  calculation, depending on the proportion of abaxial over adaxial cuticular conductance ( $\gamma$ ) using as a reference  $g_{cw-T}$  calculated with  $\gamma=1$ . A single measurement under stable gas exchange conditions was selected for calculating the variation of each species using  $\kappa = 1$ . The dots show the values using our dark measurements to estimate  $\gamma$  for each species. Zhang et al.<sup>40</sup> measured weight loss via cuticle of detached leaves and they concluded that astomatous surfaces have about 30% lower evaporation than the cuticle of the surfaces with stomata. It is difficult to extrapolate these evaporation values to  $g_{cw}$  values in growing leaves because the boundary layer conductance and leaf temperature were not provided, and the leaves had already been detached for one hour. Bearing this in mind, we used dark measurements to estimate inequalities between the adaxial and abaxial cuticular conductance, which can be used to quantify a possible  $g_{cw}$  difference between the adaxial and abaxial surfaces. Then, assuming equal stomatal closure on the adaxial and abaxial surface, in *G. biloba* only 6% of the difference in  $g_{lw}$  was not explained by the stomatal density differences, 8% for *G. hirsutum* and 15% for *C. annuum*; the abaxial and adaxial  $g_{lw}$  of *H. annuus* were practically the same in the dark. Using equation (18), it was found that significant variations in  $\gamma$  can have a big impact on the calculated total cuticular conductance ( $g_{cw-T} = g_{cw-ad} + g_{cw-ab}$ ). The magnitude of this impact depends on how much  $\gamma$  affects the  $w_s - w_a$  gradient.



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**Extended Data Fig. 4 | Diagram of the connection of two LI-6800.** Part A: Flanges on the lower cuvette and the second LI-6800. Part B: Lid of the upper cuvette, the lid of the lower cuvette includes two cavities to insert two thermocouples. Part C: Flange to block the flow of gases from and to the lower cuvette.