

**Title: Optimisation can provide the fundamental link between leaf  
photosynthesis, gas exchange and water relations.**

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## 23 Abstract

24

25 Tight coordination in the photosynthetic, gas exchange and water supply capacities of  
26 leaves is a globally conserved trend across land plants. Strong selective constraints on  
27 leaf carbon gain create the opportunity to use quantitative optimisation theory to  
28 understand the connected evolution of leaf photosynthesis and water relations. We  
29 developed an analytical optimisation model that maximises the long-term rate of leaf  
30 carbon gain, given carbon costs in building and maintaining stomata, leaf hydraulics  
31 and osmotic pressure. Our model demonstrates that selection for optimal gain should  
32 drive coordination between key photosynthetic, gas exchange and water relations  
33 traits. It also provides predictions of adaptation to drought and the relative costs of  
34 key leaf functional traits. Our results show that optimisation in terms of carbon gain  
35 given carbon costs of physiological traits successfully unites leaf photosynthesis and  
36 water relations and provides a quantitative framework to consider leaf functional  
37 evolution and adaptation.

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## 40 Introduction

41

42 Maintaining net positive carbon gain at the leaf level represents a finely balanced  
43 economic challenge to the plant: the beneficial uptake of CO<sub>2</sub> through stomata for  
44 photosynthesis is coupled to a large cost in terms of the unavoidable loss of typically  
45 100-fold more water to the atmosphere. To maximise reproductive gain and avoid  
46 death through desiccation, plants must adopt the best strategy, both in short-term

behaviour and long-term investment in physiological traits, to succeed in a challenging and competitive environment.

Optimisation provides an intuitive, theoretical basis to uncover what the best strategy may be. It posits that in a population of individuals, those that exhibit optimal behaviour will have a relatively greater carbon gain over their life than those that are not optimal. Thus natural selection should act to shift a population towards greater carbon gain, insofar as greater carbon gain is associated with increased fitness<sup>1-3</sup>.

Provided plants are behaving optimally, predictions from optimisation theory can provide insights into what processes are of fundamental importance to plants, as well as the costs of these functionally important components.

The leaf is the main plant organ responsible for photosynthetic carbon gain and as such the long-term adaptive coordination of leaf physiological traits across species is globally significant<sup>4</sup>. It has also made leaves an integral component in understanding whole-plant fitness in terms of maximising carbon gain. For example, the coordination between light-saturated photosynthesis (measured as light-saturated CO<sub>2</sub> assimilation rate,  $A_{\text{sat}}$ ) and the light-saturated leaf surface porosity to gas exchange through stomata (measured as light-saturated stomatal conductance,  $g_{\text{sat}}$ ) represents a conservative global feature of plant behaviour<sup>5,6</sup>. Remarkably, optimisation theory pre-empted the discovery of the coordination of CO<sub>2</sub> assimilation rate and leaf surface porosity<sup>2,7,8</sup> by considering the economic gains of CO<sub>2</sub> assimilation for the loss of transpiration by two different approaches, later shown to be equivalent<sup>3</sup>. Farquhar<sup>7</sup> and Givnish and Vermeij<sup>9</sup> considered that there was an inherent metabolic cost of transpiration, and that plants would possess a leaf surface porosity that would maximise the difference between CO<sub>2</sub> assimilation and the transpiration cost. Cowan and Farquhar<sup>2</sup> instead suggested plants would possess a leaf surface porosity that

72 would minimise the transpiration lost for a given amount of photosynthesis gained.  
 73 However, it is not intuitive how the metabolic cost of transpiration <sup>9</sup> and the marginal  
 74 cost of water <sup>2</sup> directly relate to physical plant traits.  
 75 New insights into the limitations on plant gas exchange have come from considering  
 76 the “supply” end of the transpiration pathway. The inevitable loss of transpiration  
 77 associated with carbon assimilation must ultimately be balanced by the supply of  
 78 liquid water to the leaves <sup>10</sup>. The size of this flux at the leaf level is determined by two  
 79 factors: the ease of movement of liquid water through the leaf (measured as leaf  
 80 hydraulic conductance,  $K_{\text{leaf}}$ ), and the difference in water potential ( $\Delta\Psi$ ) between the  
 81 stem and the leaf <sup>10</sup>. Leaves are major resistors to water flow in the whole plant <sup>11</sup>,  
 82 exerting a disproportionate influence over maximum water supply. Thus altering  $K_{\text{leaf}}$   
 83 or  $\Delta\Psi$  represent two divergent strategies that plants could adopt to supply the  
 84 increased transpiration optimally demanded for an increase in  $A_{\text{sat}}$ .  
 85 Vascular plants show tight coordination between  $K_{\text{leaf}}$ ,  $g_{\text{sat}}$  and  $A_{\text{sat}}$  across species <sup>12-14</sup>,  
 86 resulting in a diversity of species occupying a surprisingly conserved range of leaf  
 87 water potentials under well-watered conditions <sup>15</sup>. Coordination between  $K_{\text{leaf}}$  and  $g_{\text{sat}}$   
 88 could represent a balanced allocation of resources <sup>10</sup> and/or constrain variation in  $\Delta\Psi$   
 89 under varying environmental conditions, reducing the risk of damage to the water  
 90 transport system by excessive hydraulic tension <sup>16</sup>. However, given the diversity  
 91 across vascular plants in the capacity of the water transport tissue (xylem) to cope  
 92 with large variations in  $\Delta\Psi$  <sup>17</sup>, it is perhaps surprising that selection would favour  
 93 adapting water supply by changing  $K_{\text{leaf}}$  rather than adapting  $\Delta\Psi$ .  
 94 Optimisation may provide the fundamental rationale for the coordination of  $K_{\text{leaf}}$ ,  $g_{\text{sat}}$   
 95 and  $A_{\text{sat}}$ . There has been a wealth of studies that successfully extend optimisation  
 96 ideas to directly or indirectly include liquid phase transport, predominantly at the

whole-plant level. These can be broadly split into formulations that model stomatal responses to environmental stimuli <sup>1,18-23</sup>, and those that model the coordination of traits at a tissue<sup>24</sup>, organ <sup>25</sup> or whole-plant level <sup>26-29</sup>. Many formulations either: (1) require predominantly numerical solutions, which limit an intuitive understanding of the underlying causes and effects; (2) optimise by considering variations in leaf surface porosity only, which limits the utility of optimisation for the estimation of relative costs of physiological traits; or (3) consider the optimisation of few physiological traits, rarely including liquid-phase conductance as a predicted outcome.

Here we evaluate whether observations of coordination between photosynthetic, gas exchange and hydraulic systems in leaves conforms to an optimal model of resource acquisition. We do so by developing an analytic optimisation model that integrates photosynthetic physiology with diffusive and liquid flow properties of the whole leaf and simultaneously optimises for a number of leaf traits. The optimisation model maximises overall carbon gain by allocating investments in functional traits required to support gas exchange for photosynthesis. These investments entail carbon costs for both the construction and maintenance of traits. The key leaf costs considered are of stomata, hydraulics and osmotic pressure, with the relation between these investments and plant carbon-water balance linked by physiology (Fig. 1). The optimisation is considered to occur over a sufficiently long timescale to encompass a number of cycles of leaf replacement. As such, it does not explicitly consider short-term dynamic plant responses, but rather it considers average investments and returns of carbon under average growth conditions. Variation between species is prescribed by a linearised biochemical photosynthetic capacity ( $k$ ; see Methods) but is more naturally expressed through  $A_{\text{sat}}$ , the capacity of photosynthetic carbon income for the leaf

under light-saturated conditions. Expressing trait variation in terms of photosynthetic carbon income is common in both experimental<sup>5,6,13</sup> and modelling studies<sup>30-32</sup>. We show that this analytical, optimisation model simultaneously predicts a number of fundamental relationships between photosynthesis, gas exchange and plant water relations, and how physiological traits vary in the long-term under different environmental conditions. Moreover, fitting of the model to observed species data provides estimates of the relative costs of physiological traits required to support photosynthesis without the need for detailed, mechanistic accounting of the energetic or structural costs of traits, provided plants are optimally configured.

## Results

The analytical optimisation model provided five key predictions (see Methods). The first four assume that cost parameters for stomata, hydraulics and osmotic pressure are relatively conserved across species. The predictions are:

- (1) leaf osmotic pressure at turgor loss point ( $\pi_{tlp}$ ) is independent of photosynthesis and is set only by the conditions of leaf water availability and evaporative demand to which plants are adapted (equation 26);
- (2) leaf liquid-phase conductance and photosynthesis are coordinated (equation 28);
- (3) leaf gas-phase conductance and photosynthesis are coordinated (equation 29);
- (4) stem to leaf water potential difference is independent of photosynthesis (equation 30);
- (5) relative costs of stomata, hydraulics and osmotic pressure can be estimated from data (equations 31-33).

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148 The optimisation model predicted that both stomatal conductance and leaf hydraulic  
149 conductance should be linearly proportional to assimilation rate under constant  
150 environmental conditions. Predicting linear coordination between  $g_{\text{sat}}$  and  $A_{\text{sat}}$  was  
151 identical to predicting a conserved leaf internal  $\text{CO}_2$  concentration ( $C_i$ ) across species  
152 (see equations 7 and 29). The model was effective at explaining the observed  
153 coordination between measured  $g_{\text{sat}}$  and  $A_{\text{sat}}$  across species (fitting set  $R^2 = 0.66$ , full  
154 set  $R^2 = 0.61$ ; Fig. 2a), particularly over the linear region encompassing low to  
155 moderate  $A_{\text{sat}}$ . It could not explain why measured  $g_{\text{sat}}$  tended to increase more rapidly  
156 than linearly at higher  $A_{\text{sat}}$ . Importantly however, the optimisation model captured the  
157 strong linear correlation between  $K_{\text{leaf}}$  and  $A_{\text{sat}}$  observed across species (Fig. 2b; fitting  
158 set  $R^2 = 0.83$ , full set  $R^2 = 0.89$ ).

159 There was no relationship between  $\Delta\Psi$  and  $A_{\text{sat}}$ , and  $\Delta\Psi$  was not significantly  
160 different in the sample species from that calculated from the optimisation model  
161 (predicted  $\Delta\Psi = 0.29$  MPa, one-sample t-test  $P = 0.27$ ; Fig. 2c).

162 The optimisation model predicted that, across species, leaf osmotic pressure should be  
163 independent of assimilation rate and constant under identical environmental  
164 conditions. However, data from 16 species ranging from lycophytes to angiosperms  
165 showed a weak, significant relation between osmotic pressure and  $A_{\text{sat}}$ , despite  
166 apparent conservatism in  $\pi_{\text{tlp}}$  at lower photosynthetic rates (Fig. 2d;  $R^2 = 0.28$ ,  $P =$   
167  $0.021$ ).

168 Optimal  $A_{\text{sat}}$ ,  $g_{\text{sat}}$ ,  $K_{\text{leaf}}$ ,  $\pi_{\text{tlp}}$  and  $\Delta\Psi$  were modelled for a range of leaf to air water mole  
169 fraction difference ( $\Delta w$ ) and leaf source water potential ( $\Psi_s$ ) to simulate adaptation to  
170 mean atmospheric dryness and leaf petiole water potential, respectively (Fig. 3). The  
171 latter combined the effects of both soil dryness and other plant resistances to water

flow on leaf water potential. The simulations used the mean across species of the cost parameter for each trait and assumed a given biochemical photosynthetic capacity ( $k$ , a linearised form of the maximum carboxylation rate of Rubisco; see Methods). Predicted  $A_{\text{sat}}$  and  $g_{\text{sat}}$  were relatively conserved across a range of  $\Delta w$  and  $\Psi_s$  (Fig. 3a-d), but the reason for this differed depending on whether  $\Delta w$  or  $\Psi_s$  provided the environmental variation. Both adaptive  $K_{\text{leaf}}$  and  $\Delta\Psi$  were predicted to increase under drier atmospheric conditions to supply greater transpiration, while there was little predicted shift in  $\pi_{\text{tlp}}$  (Fig. 3e, 3g and 3i). In contrast, adaptive  $\pi_{\text{tlp}}$  was predicted to increase under drier source water conditions, while predicted  $K_{\text{leaf}}$  and  $\Delta\Psi$  were relatively conserved (Fig. 3f, 3h and 3j). Optimal adaptive  $A_{\text{sat}}$  and  $g_{\text{sat}}$  both showed much weaker sensitivities over a range of  $\Delta w$  than that predicted from the Cowan-Farquhar stomatal optimisation model (Fig. 3a and 3c).

Our optimisation model provided a means to estimate the costs of hydraulics, stomata and osmotic pressure for a leaf, relative to the combined cost of the three traits. Leaf hydraulics were estimated to contribute  $10.0 \pm 1.1\%$  of the combined cost of the three traits, while stomata were estimated to contribute  $43.3 \pm 1.2\%$  and osmotic pressure  $46.7 \pm 0.2\%$  (Fig. 4; hydraulics-stomata:  $P = 4.5 \times 10^{-4}$ , hydraulics-osmotic pressure:  $P = 1.1 \times 10^{-8}$ , stomata-osmotic pressure: n.s.).

## Discussion

The coordination between leaf gas exchange and hydraulic capacities across species represents a fundamental crossover between plant productivity and leaf water relations<sup>10</sup>. Here we have shown that optimisation to maximise carbon gain at the leaf



level provides a strong theoretical rationale that can explain key adaptive trends observed in stomatal, hydraulic and leaf osmotic traits across species. This is significant as it provides a quantitative explanation for the coordination between photosynthesis, gas exchange and leaf water relations, in terms of maximising carbon gain.

Predicting the coordination between photosynthesis and leaf hydraulic conductance represents a major success of our optimisation model. Coordination between photosynthetic rate and stomatal conductance has previously been shown to be optimal in terms of the water-carbon economy of the plant<sup>2,30,32</sup>. However, further coordination of liquid and vapour water conductances has only been informally discussed as a balanced allocation of resources<sup>10</sup>, or as a means of constraining  $\Delta\Psi$  to avoid xylem cavitation<sup>16</sup>. Our optimisation model quantitatively supports the coordination of photosynthesis and hydraulics as representing the most efficient use of resources.

It is of interest that no direct specification of xylem vulnerability to cavitation was required to produce observed trends. There is debate about whether the liquid-phase conductance to water in aerial plant tissues decreases appreciably with decreases in tissue water potential over the range where stomata are open<sup>33-35</sup>, or whether hydraulic conductance predominantly decreases at water potentials drier than the point of stomatal closure<sup>36-39</sup>. We took the simpler assumption of hydraulic conductance remaining largely constant over the range of water potentials where stomata are open. This is a potential limitation of our model; however, that our model can explain observed trends in the leaf traits here supports our choice of assumptions.

A second remarkable outcome of the model is the inclusion of osmotic pressure at turgor loss point, a fundamental trait in the understanding of leaf water relations, as an

emergent trait from maximising carbon gain. The role of osmotic pressure at turgor  
 loss point in maintaining leaf turgor and stomatal opening for active photosynthesis  
 has been qualitatively described numerous times, particularly its importance under  
 drier conditions <sup>40-42</sup>. Our optimisation model provides support for this important  
 mechanism, quantitatively linking leaf osmotic pressure at turgor loss point with gas  
 exchange and the leaf carbon economy.

Tight coordination of leaf liquid and vapour conductances theoretically leads to  
 constant stem to leaf water potential differences across species under constant  
 environmental conditions <sup>10</sup>. Both our optimisation model and the species data support  
 the conservation of stem to leaf water potential differences across different  
 photosynthetic capacities under well-watered, saturating light conditions, when plants  
 have been adapted to similar environmental conditions. This contrasts with other  
 studies that have shown either greater <sup>14</sup> or decreased <sup>43</sup> gradients in stem to leaf water  
 potential with increasing photosynthetic capacity. However, as the measured species  
 are representative of a broad range of environments and measurement conditions,  
 while the model fitting assumed identical environmental conditions across species,  
 some deviation between the model and observations is expected.

Measured  $g_{\text{sat}}$  increased more rapidly than linearly for high  $A_{\text{sat}}$  across species, such  
 that species became less water-use efficient at higher gas exchange rates <sup>14,44</sup>. This  
 could come about if the carbon cost of stomata becomes marginally cheaper the  
 denser they are packed on the leaf surface. Optimal allocation of the leaf surface area  
 to stomata requires that higher  $g_{\text{sat}}$  be achieved with smaller, more-densely distributed  
 stomata <sup>45,46</sup>. If smaller stomata are individually less costly than larger stomata <sup>47</sup> then  
 this could lead to the overall cost of stomata becoming marginally cheaper the denser  
 they are on the leaf surface. Our model effectively assumes all stomata to be of equal

size and cost and so will not capture this sort of behaviour. A similar effect in  $K_{\text{leaf}}$  might also be expected based on the vein cost of  $K_{\text{leaf}}$  becoming marginally cheaper at higher vein densities in leaves with reticulate venation <sup>48</sup>, but was only weakly observed in this dataset, and suggests anatomical determinants of  $K_{\text{leaf}}$  other than vein density, such as leaf depth or mesophyll properties, may be playing a modifying role <sup>13,48-50</sup>. If the marginal costs of small, dense stomata decrease more rapidly than those for denser venation networks, then stomatal conductance could increase relatively more than leaf hydraulic conductance for an increase in photosynthetic capacity. In this situation increases in hydrodynamic pressure gradients with increased photosynthetic capacity would be expected <sup>14</sup>. This could also explain the weak but significant trend of increasing  $\pi_{\text{tlp}}$  with increasing photosynthetic capacity observed in the dataset presented here.

Our model employs a linearised approximation for photosynthetic biochemistry; however, it is unlikely that using the full nonlinear representation of photosynthesis of Farquhar, et al. <sup>51</sup> would provide a better prediction for the  $A_{\text{sat}}$  and  $g_{\text{sat}}$  relation when photosynthetic capacity is the only source of variation. For example, Arneth, et al. <sup>52</sup> derived equations for the Cowan and Farquhar <sup>2</sup> optimisation but included the nonlinear photosynthesis equation, again predicting a linear relation between  $A_{\text{sat}}$  and  $g_{\text{sat}}$  when photosynthetic capacity is the source of variation. This is because  $\text{CO}_2$  assimilation rate behaves linearly when both photosynthetic capacity and stomatal conductance change proportionally and boundary layer conductance is ignored <sup>53,54</sup>. It may be that better predictions of the coordination between assimilation and leaf gas exchange conductance could be achieved if the more physically relevant total conductance to  $\text{CO}_2$  were used. However, an optimisation model including boundary layer conductance would require inclusion of the feedback of transpiration on leaf

272 temperature <sup>8</sup> and the impact of leaf size on boundary layer <sup>9</sup>, both reducing the  
273 analytic tractability of the model.

274 The optimisation model predicts different adaptive strategies under water-stressed  
275 conditions, depending on whether water stress occurs via high evaporative demand  
276 ( $\Delta w$ ) or because of drier petiole water potential ( $\Psi_s$ ). Our model suggests that  
277 adaptive shifts to accommodate drier environments are a combination of greater  
278 investments in veins under drier atmospheres <sup>55</sup> and greater leaf osmotic pressures in  
279 drier soils <sup>56,57</sup>, inasmuch as drier soils would manifest themselves as drier petiole  
280 water potentials. It should be noted that natural water stresses from evaporative  
281 demand and petiole water potential are dynamic, not static as assumed in our model,  
282 and are likely to co-occur. Dynamic water stress may also modify the effective  
283 fraction of time that plants are active and provide additional selective pressures for  
284 plant survival, while biochemical photosynthetic capacity is also likely to be  
285 environment-dependent. We then caution that the illustrated trends in response to  
286 water stress should be viewed as being indicative only. However, because these  
287 predictions are obtained for a given photosynthetic income, this means that the leaf  
288 carbon balance is predicted to be more marginal under drier environments. This  
289 would compound the reduced opportunity for photosynthesis caused by soil water  
290 deficit driving stomatal closure, contributing to the rapid changes in plant  
291 communities that occur across precipitation gradients.

292 Our model does not directly deal with hydraulic vulnerability, but it could still  
293 provide some insight into why observed trade-offs between hydraulic efficiency and  
294 safety in leaves <sup>58</sup> and stems <sup>59</sup> are weak, and in particular why there is a  
295 predominance of species with water supply systems of both low efficiency and low  
296 safety <sup>59</sup>. Our results suggest that at the leaf level, photosynthetic capacity sets

297 optimal hydraulic efficiency (the magnitude of  $K_{\text{leaf}}$ ), while  $\pi_{\text{tlp}}$  optimally increases  
 298 under increased source water stress, which has been shown to correlate with the  
 299 ability of xylem to function under drier water potentials <sup>15,58,60</sup>. Furthermore, scaling  
 300 of hydraulic flow capacity across the plant vascular system <sup>61,62</sup> and coordination in  
 301 leaf and stem hydraulic vulnerabilities <sup>63-65</sup> suggests then that leaf and stem hydraulic  
 302 properties should be coordinated. Thus efficiency and safety of plant hydraulic  
 303 systems could represent two relatively independent axes of adaptation, the former set  
 304 by photosynthetic capacity and the latter set by soil dryness. Plants of low efficiency  
 305 and safety could then reflect those of low photosynthetic capacity adapted to mesic  
 306 environments <sup>59</sup>.

307 Our trait-driven optimisation model also predicts that long-term adaptive  $A_{\text{sat}}$  and  $g_{\text{sat}}$   
 308 would be relatively conserved for a given biochemical photosynthetic capacity ( $k$ )  
 309 across a large range of average evaporative demand. This is in contrast to both current  
 310 optimisation <sup>30,32</sup> and hydraulic <sup>31,66,67</sup> models that predict more drastic stomatal  
 311 closure and loss of photosynthesis in the short-term under the conditions of large  
 312 evaporative demand. However, a recent study showing maximum stomatal  
 313 conductance is relatively conserved across biomes <sup>68</sup> may support our model  
 314 predictions. Conservation of  $A_{\text{sat}}$  and  $g_{\text{sat}}$  across evaporative demands could suggest  
 315 that selection favours different responses for short-term environmental stimuli  
 316 compared with long-term adaptation to average conditions. Although our model does  
 317 not include competition for resources, maintaining operational  $A_{\text{sat}}$  and  $g_{\text{sat}}$  for a given  
 318 photosynthetic capacity is more consistent with a competitively favourable strategy,  
 319 where less-conservative water-use is favoured for greater carbon gain compared with  
 320 the case without competition <sup>69</sup>. The inclusion of competition for resources in

321 optimisation models is likely to provide a more complex, but complete, picture of  
 322 plant adaptation under natural conditions <sup>70,71</sup>.  
 323 A number of simplifying assumptions underpin the model, of which the effect and  
 324 validity of each are variously known. One key step in the derivation of the model is  
 325 the condensation of time-averaged photosynthesis in relation to  $A_{\text{sat}}$  (represented by  
 326 the effective fraction of time the plant is operating at  $A_{\text{sat}}$ ,  $f$ ), leaf longevity ( $\tau$ ), and  
 327 building and maintenance costs for each trait into one overall cost for each trait  
 328 (equation 4). This step is mathematically robust because neither  $f$  nor  $\tau$  are being  
 329 optimised. However, we take the further step and assume that there is effectively a  
 330 common leaf construction motif across plant species. This common construction  
 331 motif reveals itself in the assumption of constant cost parameters, and so is apparent  
 332 in underlying assumptions of similar stomatal size, similar xylem hydraulic  
 333 characteristics and the mesophyll being made of cells of constant photosynthetic  
 334 nitrogen concentration (see Methods).  
 335 The *a priori* assumption of a common leaf construction motif across species seems  
 336 unlikely to be true in the strict sense. However, the agreement of model predictions  
 337 with experimental observations suggests that the assumption may provide some useful  
 338 insights. For example, conservation in cost parameters across species entails higher  
 339 trait construction costs per leaf area for leaves with greater longevity (equation 4). It  
 340 would also suggest that the fundamental metabolic costs of building and maintaining  
 341 physiological traits are approximately conserved across vascular plant lineages, with  
 342 more minor cost savings appearing from anatomical innovations. Finally, constant  
 343 cost coefficients across species could suggest that the effective fraction of time that a  
 344 leaf was photosynthesising at  $A_{\text{sat}}$  is, on average, approximately constant across  
 345 species. This is difficult to test, especially when these results reflect long-term

346 evolutionary adaptive traits and not necessarily plastic responses to different light  
 347 environments. However, it may be consistent with changes of photosynthetic capacity  
 348 that occur with changes in average irradiance, where decreases in photosynthetic  
 349 capacity under lower irradiances would act in the direction of conserving  $f$ .  
 350 The assumption of a common leaf construction motif implies that the mesophyll is  
 351 made of cells of constant photosynthetic nitrogen concentration, so that leaf  
 352 photosynthetic capacity increases with mesophyll depth and that the cost of osmotic  
 353 pressure is approximately proportional to photosynthetic capacity. The aim of this  
 354 assumption was to capture how the cost of osmotic pressure could scale with  
 355 photosynthetic leaf depth, without specifying directly a depth for each species. The  
 356 assumption is well justified for plastic changes in photosynthetic capacity in response  
 357 to changes in irradiance but is less well justified for evolutionary differences across  
 358 species. In the latter case, higher photosynthetic capacities are typically associated  
 359 with thinner leaves if lower leaf mass per unit area ( $LMA$ ) is a proxy for leaf  
 360 thickness. However, a distinction should be made between structural aspects of  $LMA$   
 361 that contribute through investments in cell walls, for example, and photosynthetic  
 362 aspects of  $LMA$ , as set out in Osnas, et al.<sup>72</sup>. Relating the cost of osmotic pressure to  
 363 the photosynthetic aspect of  $LMA$  better captures the dependence of the cost on cell  
 364 contents, rather than scaling the cost with total leaf depth, which would erroneously  
 365 include cell wall components. A direct consequence of the cost of osmotic pressure  
 366 being proportional to photosynthetic capacity is the prediction in the model of  $\pi_{tlp}$   
 367 being independent of  $A_{sat}$ . It is possible that this assumption is not true in the strict  
 368 sense and that deviations from it could explain the weak but significant positive  
 369 relation between  $\pi_{tlp}$  and  $A_{sat}$  (Fig. 2d) and the nonlinear dependence of  $g_{sat}$  on  $A_{sat}$   
 370 (Fig. 2a) observed across species. However, the large degree of species variation

371 observed that the model can capture suggests the assumptions may hold  
372 approximately and may provide useful insights into plant functioning.  
373 A major advantage of expressing optimisation in terms of carbon costs of water-  
374 relations traits required for photosynthesis is that it provides directly an estimate of  
375 the relative costs of the traits, provided plants are behaving optimally. Our model  
376 predicts that the costs of both stomata and leaf osmotic pressure are relatively high,  
377 while the cost of hydraulics is approximately one quarter of the others (Fig. 4). We  
378 interpret the higher costs of stomata and osmotic pressure over hydraulics as  
379 representing a division between primarily active maintenance costs for stomata and  
380 osmotic pressure, and initial building costs with little associated maintenance for  
381 hydraulics. Building the leaf venation system constitutes a major initial carbon cost,  
382 but requires relatively little in terms of maintenance costs because the majority of leaf  
383 xylem tissue is made up of conduits that are no longer living in mature tissue. In  
384 contrast, the continuous maintenance of osmotic gradients between mesophyll cells  
385 and the leaf apoplast requires a metabolic cost that could become significant over the  
386 lifetime of a leaf <sup>73</sup>. Maintenance of guard cell turgor for gas exchange also entails an  
387 active energetic cost <sup>74</sup>. The relatively high metabolic cost of maintaining osmotic  
388 pressure is consistent with previous estimates that the maintenance of osmotic  
389 gradients could account for 20% of the total maintenance cost in plant tissues <sup>73</sup>.  
390 Estimates for the construction costs for leaf hydraulics are more elusive. However, the  
391 relative building cost of leaf vasculature spread over the lifespan of the leaf can be  
392 crudely estimated from the proportional contribution of leaf mass that is veins <sup>75</sup>,  
393 mean mass-based construction cost and mean leaf lifespan <sup>76</sup>. The building cost of leaf  
394 vasculature per leaf lifespan estimated in this way is approximately 22% of the  
395 maintenance cost of osmotic pressure (see Supplementary Methods), surprisingly



396 close to our optimisation result of 21% of the cost of osmotic pressure. Energetic  
 397 costs of opening stomata have been estimated based on ionic requirements of guard  
 398 cell turgor pressure <sup>77,78</sup>, but did not consider the energetic requirements of  
 399 maintaining turgor, while dynamic light environments make mean energetic costs  
 400 difficult to estimate. We suggest that optimisation could provide estimates of the  
 401 relative costs of leaf traits, highlighting the hidden costs of leaf maintenance and in  
 402 particular how potentially costly is the maintenance of stomata and osmotic pressure.  
 403 Our model has advantages over models that perform optimisation in terms of stomatal  
 404 conductance ( $g_s$ ) and not the component traits that make up  $g_{sat}$ . For example, Dewar,  
 405 et al. <sup>18</sup> correctly predicted that optimal  $g_s$  should be proportional to  $(K_{leaf}A)^{1/2}$  but  
 406 concluded that  $g_s$  would independently co-vary with  $K_{leaf}^{1/2}$  and  $A^{1/2}$  (where  $A$  is the  
 407 CO<sub>2</sub> assimilation rate). Our trait-based approach provided extra information and  
 408 showed  $K_{leaf}$  itself to be optimally coordinated with  $A_{sat}$ , so that the classic  
 409 optimisation result of  $g_{sat}$  and  $A_{sat}$  correlating was recovered <sup>30,32</sup>. Our model also  
 410 targets predictive outcomes differing from recent optimisation models that aim to  
 411 predict stomatal responses to leaf water potential, given a carbon cost of losing  
 412 hydraulic supply <sup>1,22</sup>. Instead, our model prescribes the relative shape of the stomatal  
 413 response to water potential and effectively assigns carbon costs to the slope and  
 414 intercept of this function. Our optimisation model is much more akin to the model of  
 415 Buckley and Roberts <sup>26</sup> in that it allocates carbon to different traits and performs the  
 416 optimisation in terms of those traits, but at the leaf level only and considers time-  
 417 averaged properties, not growth.  
 418 By focussing on long-term adaptive traits, our model cannot predict the short-term  
 419 dynamic responses of stomata to the environment. However, our model has allowed  
 420 the estimation of the relative costs of leaf traits fundamental to maintaining gas

exchange for photosynthesis by building the model in terms of these traits. In capturing fundamental relations between leaf photosynthesis, gas exchange and water relations, our model provides a basis for predicting the long-term adaption of traits to the environment.

## Methods

### *Leaf carbon balance and the representation of costs*

The optimisation model is based on a carbon income-cost approach, with the aim of maximising the rate of net carbon gain of a leaf per leaf area ( $C_{\text{gain}}$ ). The carbon income to the plant is taken to be the time-averaged  $\text{CO}_2$  assimilation rate per leaf area over a sufficiently long timescale to encompass a number of cycles of leaf replacement, while the individual carbon costs per leaf area (both building and maintenance) for component traits supporting  $\text{CO}_2$  assimilation are taken as expenses. The aim is to be able to solve for leaf traits given species variation in  $A_{\text{sat}}$ , which is somewhat akin to taking the photosynthetic nitrogen investment per leaf area of a species as the known variation. Because the optimisation is aimed at explaining long-term traits, the time averaged  $\text{CO}_2$  assimilation rate is expressed in terms of  $A_{\text{sat}}$ , multiplied by an effective fraction of time ( $f$ ) that  $\text{CO}_2$  assimilation was at  $A_{\text{sat}}$ . That is, the mean rate of carbon gain is

$$C_{\text{gain}} = A_{\text{sat}}f - \text{costs}, \quad (1)$$

such that

$$f = \frac{\int_{t_1}^{t_2} A(t)dt}{\int_{t_1}^{t_2} A_{\text{sat}}dt}, \quad (2)$$

where  $A(t)$  is the CO<sub>2</sub> assimilation rate per leaf area at time  $t$  and  $t_1$  and  $t_2$  represent bounds on some large time interval of active leaf function.  $A_{\text{sat}}$  is assumed constant for an individual under a particular environment, not dynamically changing under a dynamic environment.

The gain of carbon in the optimisation is expressed in terms of a net rate of CO<sub>2</sub> uptake per leaf area. This requires the carbon cost of traits to be also expressed as a rate of CO<sub>2</sub> loss per leaf area. It is important to consider what these costs encompass. Here the carbon cost of a physiological trait can be broadly partitioned into three components: a once-off cost to build the trait, a continual background maintenance cost for the trait, and a maintenance cost for the trait that is higher when the plant is photosynthesising in the light. These costs should be approximately proportional to some function of the particular trait in question. Considering the costs as a rate of carbon loss per leaf area, then the building cost is effectively spread over the mean lifespan of the leaf ( $\tau$ ), while the light-dependent maintenance cost is only operating for approximately  $f$  of the time, and the carbon balance is

$$C_{\text{gain}} = A_{\text{sat}}f - \sum_{\text{traits}} \left( \frac{\mu_{\text{b}}}{\tau} + \mu_{\text{mc}} + \mu_{\text{ml}}f \right) h_{\text{trait}}, \quad (3)$$

where  $\mu_{\text{b}}$ ,  $\mu_{\text{mc}}$  and  $\mu_{\text{ml}}$  are the proportionality constants for building, continual maintenance and light-dependent maintenance costs, respectively,  $h_{\text{trait}}$  is the functional dependence of the costs on the trait, and total costs are summed over the physiological traits in question.

In practice, not all of  $\mu_{\text{b}}$ ,  $\mu_{\text{mc}}$  and  $\mu_{\text{ml}}$  are known for each trait, nor are  $f$  or  $\tau$  necessarily known for a leaf either. It is best then to group the unknowns into common parameters and do the optimisation not in terms of  $C_{\text{gain}}$  but  $F = C_{\text{gain}}/f$ , so that

$$F = A_{\text{sat}} - \sum_{\text{traits}} \frac{1}{f} \left( \frac{\mu_{\text{b}}}{\tau} + \mu_{\text{mc}} + \mu_{\text{ml}}f \right) h_{\text{trait}} = A_{\text{sat}} - \sum_{\text{traits}} \mu_{\text{trait}} h_{\text{trait}}, \quad (4)$$

where  $\mu_{\text{trait}}$  is the constant of proportionality for the cost of a trait, or the cost coefficient for the trait. In this way the unknown  $f$ ,  $\tau$ ,  $\mu_b$ ,  $\mu_{mc}$  and  $\mu_{ml}$  are absorbed into one parameter  $\mu_{\text{trait}}$ , which is used in the model. If any one of the unknown parameters changes between species independently of the other parameters, then the apparent cost coefficient of the trait is also expected to vary between species.

Key to the optimisation is the identification of the important traits, an expression for  $A_{\text{sat}}$  in terms of these traits and consideration of how the carbon costs of these traits should scale (in terms of a cost to  $\text{CO}_2$  assimilation rate).

*CO<sub>2</sub> assimilation rate in terms of the key component traits*

$\text{CO}_2$  assimilation rate is the key income of carbon for plants. Thus it is important to be able to express  $A_{\text{sat}}$  physiologically in terms of the key functional traits.  $A_{\text{sat}}$  was modelled using the linearised form of Rubisco-limited kinetics <sup>8</sup> as

$$A_{\text{sat}} = k(C_i - \Gamma^*), \quad (5)$$

such that

$$k = \frac{V_{\text{cmax}}}{K_m + \Gamma^*} \quad (6)$$

<sup>51</sup>, where  $C_i$  is the  $\text{CO}_2$  concentration inside the leaf,  $\Gamma^*$  is the  $\text{CO}_2$  compensation point in the absence of respiration,  $K_m$  is the Michaelis-Menten constant for carboxylation at 21%  $\text{O}_2$  and  $V_{\text{cmax}}$  is the maximum rate of carboxylation by Rubisco per leaf area.

The linearised form was used for simplicity and has successfully been utilised in a number of optimisation schemes <sup>30,79,80</sup>. However, optimisations using the linear form predict the incorrect responses of  $g_s$  to ambient  $\text{CO}_2$  <sup>7,28,32</sup>; thus changes to ambient  $\text{CO}_2$  were not considered. Respiration rate was not included in the expression for  $A_{\text{sat}}$

for simplicity and because respiration should form part of the metabolic costs of supporting CO<sub>2</sub> assimilation.

Diffusion of CO<sub>2</sub> into the leaf was described using Fick's Law, assuming well-mixed conditions (infinite boundary layer conductance), as

$$A_{\text{sat}} = \frac{g_{\text{sat}}}{1.6} (C_a - C_i), \quad (7)$$

where  $C_a$  is the CO<sub>2</sub> concentration in the ambient air surrounding the leaf,  $g_{\text{sat}}$  is the light-saturated stomatal conductance to water vapour per leaf area, and the factor 1.6 converts conductance to water vapour to conductance to CO<sub>2</sub>.

Eliminating  $C_i$  between equations 5 and 7 gives

$$A_{\text{sat}} = \frac{k g_{\text{sat}} (C_a - \Gamma^*)}{1.6k + g_{\text{sat}}}. \quad (8)$$

Stomata are turgor-controlled pores; thus it was assumed that  $g_{\text{sat}}$  behaves as if it is controlled hydraulically in response to changes in leaf water status.  $g_{\text{sat}}$  was approximated as a linear function of leaf turgor pressure ( $P$ )

$$g_{\text{sat}} = \chi P, \quad (9)$$

where  $\chi$  is the constant of proportionality<sup>66,81</sup>. This approximation captures the linear increase of stomatal aperture with increasing guard cell turgor pressure at non-saturating turgor pressures<sup>82,83</sup> and the generally observed coordination between stomatal closure and turgor loss point<sup>15</sup>. For stomata of equal size and shape,  $\chi$  is indicative of stomatal density.

Leaf turgor pressure depends on both the leaf osmotic pressure ( $\pi$ ) and leaf water potential ( $\Psi_l$ ) through the equation

$$P = \pi + \Psi_l. \quad (10)$$

However,  $\pi$  is not constant, but changes because of dilution and concentration of solutes as cell volume changes from hydration and dehydration, respectively. For a

518 given amount of solute in a cell, changes in osmotic pressure with changes in cell  
 519 volume can be expressed as

$$520 \quad \pi = \frac{\pi_{\text{tlp}} V_{\text{tlp}}}{V}, \quad (11)$$

521 where  $V$  is the cell volume and where we have taken the reference as the turgor loss  
 522 point, such that  $\pi_{\text{tlp}}$  and  $V_{\text{tlp}}$  are the osmotic pressure and cell volume at turgor loss  
 523 point, respectively.

524 Changes in cell turgor pressure are related to changes in cell volume while plant cells  
 525 are turgid by

$$526 \quad \varepsilon = V \frac{dP}{dV}, \quad (12)$$

527 where  $\varepsilon$  is the elastic modulus.

528 Taking into account the effect that changes in cell volume have on leaf osmotic  
 529 pressure through dilution, by approximating the derivative of equation 12 by a finite  
 530 difference around the turgor loss point and then combining with equations 10 and 11,  
 531  $P$  can be written as

$$532 \quad P = \frac{\pi_{\text{tlp}} + \Psi_1}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}. \quad (13)$$

533 Eliminating  $\Psi_1$  requires knowledge of leaf water balance. Liquid ( $J$ ) and vapour ( $E$ )  
 534 fluxes of water per unit leaf area into and out of the leaf are balanced at steady state.  
 535 Again assuming well-mixed conditions, the flux balance can be described as

$$536 \quad J = K_{\text{leaf}} \Delta \Psi = K_{\text{leaf}} (\Psi_s - \Psi_1) = E = g_{\text{sat}} \Delta w, \quad (14)$$

537 where  $K_{\text{leaf}}$  is the leaf hydraulic conductance per leaf area,  $\Psi_s$  is the source water  
 538 potential (the terminal twig or petiole water potential) and  $\Delta w$  is the leaf-to-air vapour  
 539 mole fraction difference (leaf-to-air vapour pressure difference divided by  
 540 atmospheric pressure). Rearranging gives  $\Psi_1$  as

$$541 \quad \Psi_1 = \Psi_s - \frac{g_{\text{sat}} \Delta w}{K_{\text{leaf}}}. \quad (15)$$

Eliminating  $\Psi_1$  from equation 13 using equation 15 and substituting into equation 9 gives  $g_{\text{sat}}$  as

$$g_{\text{sat}} = \frac{\chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)}{K_{\text{leaf}}\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi \Delta w}. \quad (16)$$

Finally, substituting equation 16 into equation 8 gives

$$A_{\text{sat}} = \frac{k(C_a - \Gamma^*)\chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)}{1.6k\left[K_{\text{leaf}}\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi \Delta w\right] + \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)}. \quad (17)$$

This is an expression for  $A_{\text{sat}}$  in terms of physical traits to be solved for ( $\chi$ ,  $K_{\text{leaf}}$  and  $\pi_{\text{tlp}}$ ),  $\varepsilon$  and environmental conditions ( $\Delta w$  and  $\Psi_s$ ).

*The carbon costs of stomata, hydraulics and osmotic pressure*

Here we consider how the carbon costs of stomata ( $\chi$ ), hydraulics ( $K_{\text{leaf}}$ ) and osmotic pressure at turgor loss point ( $\pi_{\text{tlp}}$ ) should scale in terms of a cost to  $\text{CO}_2$  assimilation rate per leaf area.

Stomata ( $\chi$ ) – If stomatal size and shape remains approximately constant across species so that each individual pore is contributing the same small amount to overall stomatal conductance, then the slope of stomatal conductance to turgor pressure ( $\chi$ ) will increase proportionally with stomatal density. We can then see that  $\chi$  is indicative of stomatal density. Stomatal density, like  $A_{\text{sat}}$ , is a surface property, so that the carbon cost of stomata per area should be proportional to the density of stomata, or

$$\text{cost}_\chi = \mu_\chi \chi, \quad (18)$$

where  $\mu_\chi$  is the cost parameter for  $\chi$ .

Hydraulics ( $K_{\text{leaf}}$ ) – How the carbon cost of hydraulics scales with  $K_{\text{leaf}}$  is less clear, because differences in vessel architecture, leaf venation pattern, vein density and leaf thickness can all influence  $K_{\text{leaf}}$ <sup>13,48-50</sup>. For simplicity, and to allow comparisons

between single and multiveined species, it was assumed that the carbon cost of hydraulics scaled with  $K_{\text{leaf}}$ , given that  $K_{\text{leaf}}$  is a per area quantity, or

$$\text{cost}_K = \mu_K K_{\text{leaf}}, \quad (19)$$

where  $\mu_K$  is the cost parameter for  $K_{\text{leaf}}$ .

Osmotic pressure at turgor loss point ( $\pi_{\text{tlp}}$ ) – Osmotic pressure inside the cells of a leaf is approximately proportional to the concentration of solutes in the cells<sup>84</sup>. The carbon cost of osmotic pressure in the cell should depend on the amount of solute present, so that the cost is higher when either the concentration of solutes is higher for a given volume, or higher when the volume is greater for the same concentration of solutes. This means that per leaf area, the carbon cost of osmotic pressure depends on both osmotic pressure at turgor loss point and the depth of relevant tissue. To incorporate this depth, we assumed that a leaf increases photosynthetic capacity by increasing the depth of photosynthetic tissue and that  $V_{\text{cmax}}$  per depth of photosynthetic tissue is somewhat conserved, such as is typically the case for plastic adaptation to growth in shade vs. sun leaves<sup>85</sup>. Note that this depth is different from true leaf thickness, as the latter can represent structural adaptation for leaf longevity<sup>4,72</sup>. The cost of osmotic pressure can be written as

$$\text{cost}_\pi = \frac{\mu_\pi}{v} \pi_{\text{tlp}} k, \quad (20)$$

where  $\mu_\pi$  is the cost parameter for  $\pi_{\text{tlp}}$  and  $v$  is the  $k$  per depth of photosynthetic tissue, so that  $k/v$  is a depth. The more natural cost parameter is  $\mu_\pi/v$  and it is used throughout.

In practice there is also a carbon cost for  $\varepsilon$ ; however, the cost was ignored because our data sets do not include  $\varepsilon$  and it was unclear how the cost might be expected to scale. Combining the assimilation benefit from equation 17 and the carbon costs of the traits of interest from equations 18-20 into equation 4 gives the net carbon gain as



$$F = \frac{k(C_a - \Gamma^*)\chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)}{1.6k[K_{\text{leaf}}(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}) + \chi\Delta w] + \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)} - \mu_\chi\chi - \mu_K K_{\text{leaf}} - \frac{\mu_\pi}{v}\pi_{\text{tlp}}k. \quad (21)$$

592

593 *Solutions and predictions of optimal leaves*

594

595 An optimal leaf maximises net carbon gain, but what values of  $\chi$ ,  $K_{\text{leaf}}$  and  $\pi$  maximise  
 596 net carbon gain for a given  $A_{\text{sat}}$  and environmental conditions? The optimum occurs  
 597 when

$$\nabla F = \underline{0}, \quad (22a)$$

599 or

$$\frac{\partial F}{\partial \chi} = \frac{\partial F}{\partial K_{\text{leaf}}} = \frac{\partial F}{\partial \pi_{\text{tlp}}} = 0. \quad (22b)$$

601 The partial derivatives provide three equations, one for each cost parameter. These  
 602 can be expressed in terms of  $A_{\text{sat}}$  after eliminating  $k$  using equation 17 as

$$\mu_\chi = \frac{1.6(1 + \frac{\pi_{\text{tlp}}}{\varepsilon})}{(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)\chi^2} A_{\text{sat}}^2, \quad (23)$$

$$\mu_K = \frac{1.6\Delta w}{(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)K_{\text{leaf}}^2} A_{\text{sat}}^2 \quad (24)$$

605 and

$$\frac{\mu_\pi}{v} = \frac{1.6[K_{\text{leaf}}(1 - \frac{\Psi_s}{\varepsilon}) + \chi\Delta w]}{k(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)^2 \chi K_{\text{leaf}}} A_{\text{sat}}^2 =$$

$$\frac{1.6[K_{\text{leaf}}(1 - \frac{\Psi_s}{\varepsilon}) + \chi\Delta w]\{(C_a - \Gamma^*)\chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s) - 1.6A_{\text{sat}}[K_{\text{leaf}}(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}) + \chi\Delta w]\}}{(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)^3 \chi^2 K_{\text{leaf}}^2} A_{\text{sat}}. \quad (25)$$

608 These can be solved analytically for the three unknown traits.  $\pi_{\text{tlp}}$  is the solution to

$$\begin{aligned}
609 \quad & \frac{\left(\frac{\mu_{\pi}}{v}\right)}{\mu_{\chi}} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) (\pi_{\text{tlp}} + \Psi_s)^2 - \left\{ \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \left[ \frac{\mu_K \Delta w}{\mu_{\chi}} \left(1 + \right. \right. \right. \\
610 \quad & \left. \left. \left. \frac{\pi_{\text{tlp}}}{\varepsilon}\right)\right]^{\frac{1}{2}} \right\} \left\{ \left[ \frac{1.6 \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) (C_a - \Gamma^*) (\pi_{\text{tlp}} + \Psi_s)}{\mu_{\chi}} \right]^{\frac{1}{2}} - 1.6 \left[ \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \left[ \frac{\mu_K \Delta w}{\mu_{\chi}} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)\right]^{\frac{1}{2}} \right] \right\} = 0. \\
611 \quad & \hspace{15em} (26)
\end{aligned}$$

612 This has to be solved numerically, but importantly  $\pi_{\text{tlp}}$  is independent of  $A_{\text{sat}}$ , and  
613 conserved under the same environmental conditions and cost parameters.  
614 Other traits can be solved once optimal osmotic pressure is known. The solutions to  
615 the other two traits are

$$616 \quad \chi = \left[ \frac{1.6 \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{\mu_{\chi} (C_a - \Gamma^*) (\pi_{\text{tlp}} + \Psi_s)} \right]^{\frac{1}{2}} A_{\text{sat}} \quad (27)$$

617 and

$$618 \quad K_{\text{leaf}} = \left[ \frac{1.6 \Delta w}{\mu_K (C_a - \Gamma^*) (\pi + \Psi_s)} \right]^{\frac{1}{2}} A_{\text{sat}}. \quad (28)$$

619 That is, both  $\chi$  and  $K_{\text{leaf}}$  are proportional to  $A_{\text{sat}}$  in optimal leaves under the same  
620 environmental conditions,  $\varepsilon$  and constant cost parameters.  
621 The  $\chi$  vs.  $A_{\text{sat}}$  relation is more intuitive when expressed as a  $g_{\text{sat}}$  vs.  $A_{\text{sat}}$  relation. The  
622  $g_{\text{sat}}$  vs.  $A_{\text{sat}}$  relation can be obtained from equations 16, 27 and 28 as

$$623 \quad g_{\text{sat}} = \frac{\left[ \frac{1.6 (\pi_{\text{tlp}} + \Psi_s)}{\mu_{\chi} (C_a - \Gamma^*) \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}}}{1 + \left[ \frac{\mu_K \Delta w}{\mu_{\chi} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}}} A_{\text{sat}}. \quad (29)$$

624 Equation 29 gives the usual optimisation result of  $g_{\text{sat}}$  being proportional to  $A_{\text{sat}}$ <sup>30,32</sup>.  
625 Equations 28 and 29 show that  $g_{\text{sat}}/K_{\text{leaf}}$  is constant for constant cost parameters and  
626 environmental conditions. Substituting into equation 15 gives

$$\Delta\Psi = \Psi_s - \Psi_l = \frac{(\pi_{\text{tlp}} + \Psi_s)}{\left[ \frac{\mu_\chi \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{\mu_K \Delta w} \right]^{\frac{1}{2}} + 1}. \quad (30)$$

That is, stem to leaf water potential difference is independent of  $A_{\text{sat}}$  and conserved in plants possessing the same cost structure, adapted under the same environmental conditions and measured under saturating light conditions.

The optimisation model provides an estimate for the relative cost of each component trait, defined as the percentage each trait contributes to the total cost of building and maintaining stomata, hydraulics and osmotic pressure. The relative costs of stomata ( $p_\chi$ ), hydraulics ( $p_K$ ) and osmotic pressure ( $p_\pi$ ) are

$$p_\chi = \frac{K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)}{\pi_{\text{tlp}} \left\{ K_{\text{leaf}} \left[ 1 + \frac{\left(1 - \frac{\Psi_s}{\varepsilon}\right)}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right] + \frac{2\chi\Delta w}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right\} + \Psi_s \left\{ K_{\text{leaf}} + \frac{\chi\Delta w}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right\}}, \quad (31)$$

$$p_K = \frac{\frac{\chi\Delta w}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}(\pi_{\text{tlp}} + \Psi_s)}{\pi_{\text{tlp}} \left\{ K_{\text{leaf}} \left[ 1 + \frac{\left(1 - \frac{\Psi_s}{\varepsilon}\right)}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right] + \frac{2\chi\Delta w}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right\} + \Psi_s \left\{ K_{\text{leaf}} + \frac{\chi\Delta w}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right\}}, \quad (32)$$

and

$$p_\pi = \frac{1}{\left(1 + \frac{\Psi_s}{\pi_{\text{tlp}}}\right) \left[ \frac{K_{\text{leaf}} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi\Delta w}{K_{\text{leaf}} \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \chi\Delta w} \right] + 1}. \quad (33)$$

A full derivation of the model is presented in the Supplementary Methods.

### Model testing

The optimisation model was tested on data from 41 species encompassing lycophytes, ferns, gymnosperms and angiosperms and a range of adaptive conditions from Brodribb, et al. <sup>13</sup>. Each species was treated as a distinct sample. Of these, 16 species had a complete set of  $A_{\text{sat}}$ ,  $g_{\text{sat}}$ ,  $K_{\text{leaf}}$  and  $\pi_{\text{tlp}}$  (originally from Brodribb and Holbrook

<sup>86</sup>), while the remaining species possessed data for  $A_{\text{sat}}$ ,  $g_{\text{sat}}$  and  $K_{\text{leaf}}$  only (Supplementary Table 1). The former set of 16 species was used to fit the model by solving for the unknown cost parameters, while the model was tested on both this set and the full set of 41 species. The aim was to determine whether the model could explain observed trends across species in osmotic pressure, stomatal conductance and leaf hydraulic conductance, as well as estimate relative costs of stomata, hydraulics and osmotic pressure.

The optimisation model was first fitted to data from the 16 species that possessed a complete set of  $A_{\text{sat}}$ ,  $g_{\text{sat}}$ ,  $K_{\text{leaf}}$  and  $\pi_{\text{tlp}}$  for each species. This was done by calculating cost parameters for stomata ( $\mu_{\chi}$ , equation 23), hydraulics ( $\mu_K$ , equation 24) and osmotic pressure ( $\mu_{\pi}/v$ , equation 25) using  $A_{\text{sat}}$ ,  $g_{\text{sat}}$ ,  $K_{\text{leaf}}$  and  $\pi_{\text{tlp}}$  for each species, and assuming an  $\varepsilon$  of 10 MPa and  $\Gamma^*$  of  $48.4 \mu\text{mol mol}^{-1}$  at  $25^\circ\text{C}$ <sup>87</sup>.  $C_a$  was  $400 \mu\text{mol mol}^{-1}$ , while  $\Delta w$  was set at 0.015, the midrange of  $\Delta w$  during measurement. Well-watered conditions ( $\Psi_s = 0$  MPa) were also assumed. In all cases  $g_{\text{sat}}$  for each species was first converted to  $\chi$  using

$$\chi = \frac{g_{\text{sat}} \left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right)}{(\pi_{\text{tlp}} + \Psi_s) - \frac{g_{\text{sat}} \Delta w}{K_{\text{leaf}}}} \quad (34)$$

(obtained from rearranging equation 12).

The across-species means of the cost parameters for stomata, hydraulics and osmotic pressure were taken as the best estimates for each cost parameter. Cost parameter estimates were (mean  $\pm$  s.e.):  $\mu_{\chi} = 16.9 \pm 4.0 \mu\text{mol mol}^{-1} \text{ MPa}$ ,  $\mu_K = 44.1 \pm 5.5 \mu\text{mol mol}^{-1} \text{ MPa}$  and  $\mu_{\pi}/v = 31.0 \pm 3.1 \mu\text{mol mol}^{-1} \text{ MPa}^{-1}$ . These cost parameters were then used as inputs to first calculate optimal  $\pi_{\text{tlp}}$  by numerically solving equation 26, again assuming  $\varepsilon = 10$  MPa,  $\Gamma^* = 48.4 \mu\text{mol mol}^{-1}$ ,  $C_a = 400 \mu\text{mol mol}^{-1}$ ,  $\Delta w = 0.015$  and  $\Psi_s = 0$  MPa. Optimal  $\Delta\Psi$  was also calculated using equation 30. Predicted  $\pi_{\text{tlp}}$  was then

used to solve for optimal  $\chi$  (equation 27),  $K_{\text{leaf}}$  (equation 28) and  $g_{\text{sat}}$  (equation 16) for the given  $A_{\text{sat}}$  of each species, assuming the same values as above for  $\varepsilon$ ,  $\Gamma^*$ ,  $C_a$ ,  $\Delta w$  and  $\Psi_s$ . The model-data comparison was made for both the 16 species with full data and the complete 41 species. Model predictions for relations between  $g_{\text{sat}}$ ,  $K_{\text{leaf}}$  and  $A_{\text{sat}}$  were compared by  $R^2$ . Trends of  $\pi_{\text{tlp}}$  and  $\Delta\Psi$  against  $A_{\text{sat}}$  in observed data were tested by linear correlation, and if no significant difference was found then the data were compared against the model prediction by a one-sample  $t$ -test.

The effects of varying the environmental parameters  $\Delta w$  and  $\Psi_s$  on long-term adaptive  $\pi_{\text{tlp}}$ ,  $g_{\text{sat}}$  and  $A_{\text{sat}}$  were modelled using the across-species mean of cost parameters above.  $\varepsilon$  was assumed to be 10 MPa,  $\Gamma^*$  was  $48.4 \mu\text{mol mol}^{-1}$  and  $C_a$  was  $400 \mu\text{mol mol}^{-1}$ .  $\pi_{\text{tlp}}$  under different conditions was solved numerically from equation 26. Optimal  $\Delta\Psi$  was then calculated using equation 30. Both  $g_{\text{sat}}$  and  $A_{\text{sat}}$  were calculated for a constant  $k$ , set at the value expected for an optimal leaf at a reference  $A_{\text{sat}}$  of  $15 \mu\text{mol m}^{-2} \text{s}^{-1}$  at a  $\Psi_s$  of 0 MPa and  $\Delta w$  of 0.015, using

$$k = \frac{\chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s) A_{\text{sat}}}{(C_a - \Gamma^*) \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s) - 1.6 A_{\text{sat}} \left[ K_{\text{leaf}} \left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right) + \chi \Delta w \right]}, \quad (35)$$

where optimal  $\pi_{\text{tlp}}$ ,  $\chi$  and  $K_{\text{leaf}}$  were calculated from equations 26, 27 and 28, respectively. It can be seen from equation 35 that  $k$  and  $A_{\text{sat}}$  are proportional to each other under constant environmental conditions, because both optimal  $\chi$  and  $K_{\text{leaf}}$  are proportional to  $A_{\text{sat}}$  (equations 27 and 28). Here simulations were done for constant  $k$  rather than constant  $A_{\text{sat}}$  because  $k$  is the true source of variation in biochemical photosynthetic capacity and as such is not strictly influenced by  $\Delta w$  or  $\Psi_s$  in the model, while  $A_{\text{sat}}$  depends on  $g_{\text{sat}}$  and so does depend on  $\Delta w$  and  $\Psi_s$  (Fig. 1). Optimal  $\chi$  and  $K_{\text{leaf}}$  for different environmental parameters were then calculated as

$$\chi = k \frac{1.6 \left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right)}{(\pi_{\text{tlp}} + \Psi_s)} \left\{ \left[ \frac{(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)}{1.6 \mu_{\chi} \left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right)} \right]^{\frac{1}{2}} - \left[ 1 + \left[ \frac{\mu_K \Delta w}{\mu_{\chi} \left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right)} \right]^{\frac{1}{2}} \right] \right\} \quad (36)$$

695 and

$$696 \quad K_{\text{leaf}} = k \frac{1.6}{(\pi_{\text{tlp}} + \Psi_s)} \left[ \frac{\mu_{\chi} \Delta w \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{\mu_K} \right]^{\frac{1}{2}} \left\{ \left[ \frac{(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)}{1.6 \mu_{\chi} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}} - \left[ 1 + \left[ \frac{\mu_K \Delta w}{\mu_{\chi} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}} \right] \right\}. \\ 697 \quad (37)$$

698  $g_{\text{sat}}$  was then calculated using equation 16 and  $A_{\text{sat}}$  from equation 17. Simulations were  
699 carried out for a  $\Delta w$  range of 0.001 to 0.03 at a  $\Psi_s$  of 0 MPa, and a  $\Psi_s$  range of 0 to -2  
700 MPa at a  $\Delta w$  of 0.015.

701 The predictions for how optimal  $A_{\text{sat}}$  and  $g_{\text{sat}}$  varied with  $\Delta w$  were compared with that  
702 from the linearised Cowan-Farquhar optimisation. The marginal cost of transpiration  
703 ( $\mu_E$ ) for each species was calculated from the data as

$$704 \quad \mu_E = \frac{1.6}{\Delta w (C_a - \Gamma^*) g_{\text{sat}}^2} A_{\text{sat}}^2, \quad (38)$$

705 assuming  $C_a$  of 400  $\mu\text{mol mol}^{-1}$ ,  $\Gamma^*$  of 48.4  $\mu\text{mol mol}^{-1}$  and  $\Delta w$  of 0.015. The mean  $\mu_E$   
706 was then used to simulate the effect of varying  $\Delta w$  on  $g_{\text{sat}}$  and  $A_{\text{sat}}$  for constant  $k$ , set  
707 as that expected for a reference  $A_{\text{sat}}$  of 15  $\mu\text{mol m}^{-2} \text{s}^{-1}$  and  $\Delta w$  of 0.015, using

$$708 \quad k = \frac{g_{\text{sat}} A_{\text{sat}}}{g_{\text{sat}} (C_a - \Gamma^*) - 1.6 A_{\text{sat}}}, \quad (39)$$

709 where optimal  $g_{\text{sat}}$  for the reference condition was calculated using

$$710 \quad g_{\text{sat}} = \left[ \frac{1.6}{\mu_E \Delta w (C_a - \Gamma^*)} \right]^{\frac{1}{2}} A_{\text{sat}}. \quad (40)$$

711 Optimal  $g_{\text{sat}}$  for varying  $\Delta w$  was then calculated using

$$712 \quad g_{\text{sat}} = 1.6k \left\{ \left[ \frac{(C_a - \Gamma^*)}{1.6 \mu_E \Delta w} \right]^{\frac{1}{2}} - 1 \right\} \quad (41)$$

713 and  $A_{\text{sat}}$  was further calculated using equation 8.

714 The relative costs of stomata, hydraulics and osmotic pressure were also estimated for  
715 each of the 16 species that had measured values for  $g_s$ ,  $K_{\text{leaf}}$  and  $\pi_{\text{tlp}}$ . First  $\chi$  was again  
716 calculated for each species from  $g_s$ ,  $K_{\text{leaf}}$  and  $\pi_{\text{tlp}}$  using equation 34. The relative costs

of stomata, hydraulics and osmotic pressure for each species were then calculated from equations 31, 32 and 33, respectively, from the  $\chi$ ,  $K_{\text{leaf}}$  and  $\pi_{\text{tlp}}$  measured for each species. Other parameters again assumed were:  $\varepsilon = 10$  MPa,  $\Gamma^* = 48.4 \mu\text{mol mol}^{-1}$ ,  $C_a = 400 \mu\text{mol mol}^{-1}$ ,  $\Delta w = 0.015$  and  $\Psi_s = 0$  MPa. Relative costs among physiological traits were compared by a Kruskal-Wallis test with two-sided multiple comparison testing.

All calculations and analyses were performed in MATLAB (Mathworks, Natick, MA, USA).

#### Data availability statement

Data used are available in Supplementary Table 1. Data and code are available at <https://github.com/rossdeans/Deans-et-al.-2020>.

Correspondence and requests for materials should be made to G.D.F.

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744

745 Author contributions

746

747 R.M.D., T.J.B. and G.D.F. conceived the study. R.M.D. developed the model with

748 input from T.J.B., F.A.B. and G.D.F. T.J.B. provided data. R.M.D. wrote the

749 manuscript with input from T.J.B., F.A.B. and G.D.F.

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Figure legends

Figure 1. The optimisation scheme for predicting long-term coordination of leaf photosynthetic, gas exchange and water relations traits. Carbon input for a leaf is the light-saturated CO<sub>2</sub> assimilation rate ( $A_{\text{sat}}$ ), which is dependent on leaf biochemical photosynthetic capacity ( $k$ ) and light-saturated stomatal conductance ( $g_{\text{sat}}$ ). However, for a leaf to obtain a certain  $g_{\text{sat}}$  requires the appropriate investments in stomata, leaf hydraulics and osmotic pressure, which entails a carbon cost proportional to the trait. Light-saturated stomatal conductance is also dependent on atmospheric evaporative demand ( $\Delta w$ ) and petiole source water potential ( $\Psi_s$ ). The model optimally allocates carbon to the three traits that maximises carbon gain ( $C_{\text{gain}}$ ), the difference between  $A_{\text{sat}}$  and the carbon costs. Black arrows indicate flows of carbon, while grey arrows indicate leaf physiological links.

Figure 2. Observed and predicted dependence of (a) light-saturated stomatal conductance to the diffusion of water vapour ( $g_{\text{sat}}$ ), (b) leaf hydraulic conductance ( $K_{\text{leaf}}$ ), (c) stem to leaf water potential difference ( $\Delta\Psi$ ) and (d) leaf osmotic pressure at turgor loss point ( $\pi_{\text{tlp}}$ ) on light-saturated CO<sub>2</sub> assimilation rate ( $A_{\text{sat}}$ ) across species. Black circles represent species for which the full set of  $A_{\text{sat}}$ ,  $g_{\text{sat}}$ ,  $K_{\text{leaf}}$  and  $\pi_{\text{tlp}}$  were known and used for fitting the model, while red circles represent species missing  $\pi_{\text{tlp}}$ . Dashed lines represent predicted model trends. The solid line represents the linear line of best fit and dotted lines encompass the 95% confidence interval of the fit. Predicted line in (a) is identical to that from the Cowan-Farquhar model.

776

777 Figure 3. Long-term adaptive light-saturated CO<sub>2</sub> assimilation rate ( $A_{\text{sat}}$ ), stomatal  
778 conductance ( $g_{\text{sat}}$ ), leaf hydraulic conductance ( $K_{\text{leaf}}$ ), osmotic pressure at turgor loss  
779 point ( $\pi_{\text{tlp}}$ ) and stem to leaf water potential difference ( $\Delta\Psi$ ) predicted for a range of  
780 leaf to air water mole fraction differences ( $\Delta w$ ) and source water potentials ( $\Psi_s$ ). All  
781 model predictions used a photosynthetic capacity expected for a plant with an  $A_{\text{sat}}$  of  
782  $15 \mu\text{mol m}^{-2} \text{s}^{-1}$  at a  $\Delta w$  of 0.015 and  $\Psi_s$  of 0 MPa. In (a) and (c), dotted lines  
783 represent the predicted  $\Delta w$  dependence for  $A_{\text{sat}}$  and  $g_{\text{sat}}$ , respectively, using the  
784 linearised Cowan-Farquhar stomatal optimisation model.

785

786

787 Figure 4. Proportion of the total cost of stomata, hydraulics and osmotic pressure  
788 attributable to each trait. Centre lines represent medians, boxes cover the 25<sup>th</sup> and 75<sup>th</sup>  
789 percentile, whiskers cover extremal points not considered outliers, red crosses  
790 represent outliers. Black circles represent the mean and error bars are the standard  
791 error of the mean. Letters signify significantly different groups from a Kruskal-Wallis  
792 test with two-sided multiple comparison testing ( $n = 16$  species; hydraulics-stomata:  $P$   
793  $= 4.5 \times 10^{-4}$ , hydraulics-osmotic pressure:  $P = 1.1 \times 10^{-8}$ , stomata-osmotic pressure:  
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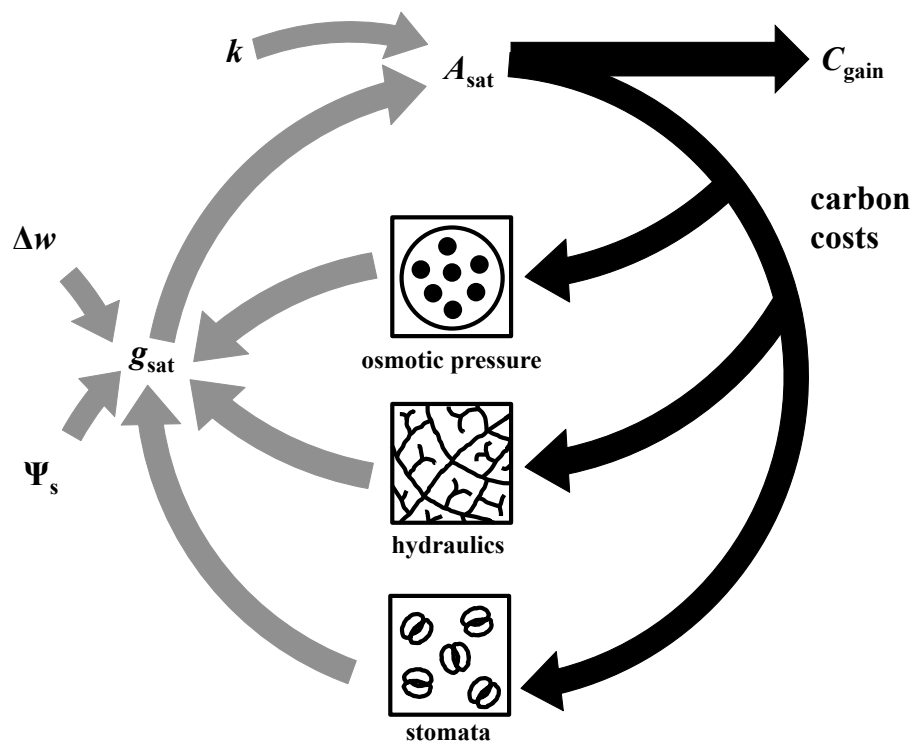
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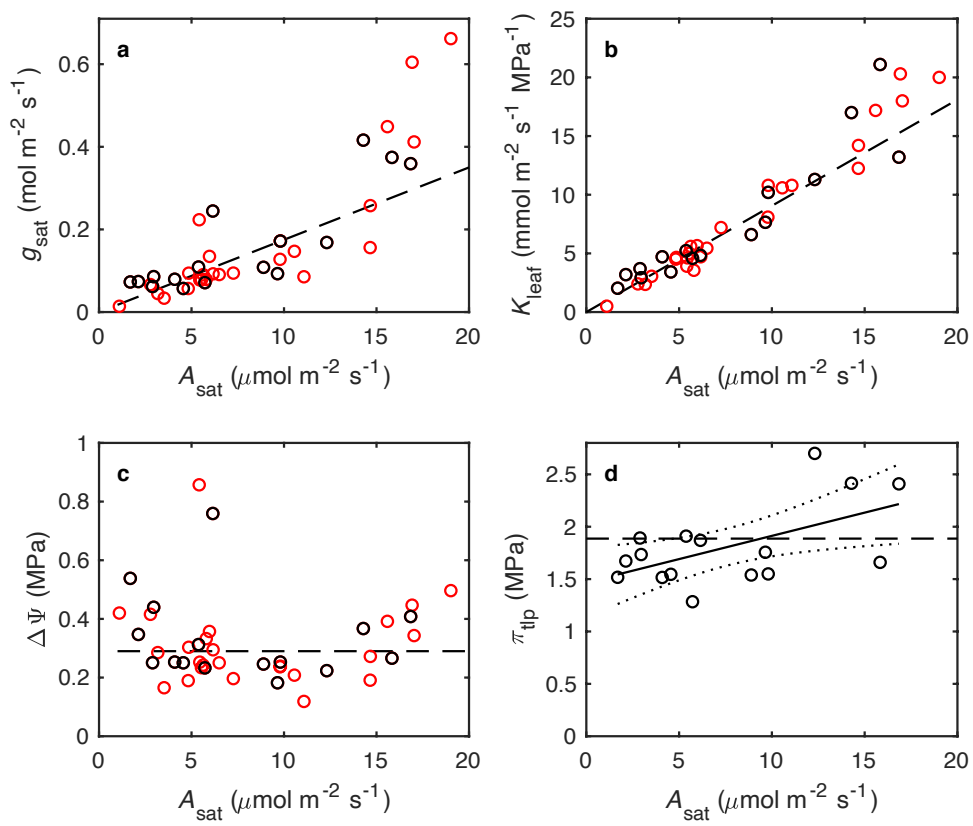
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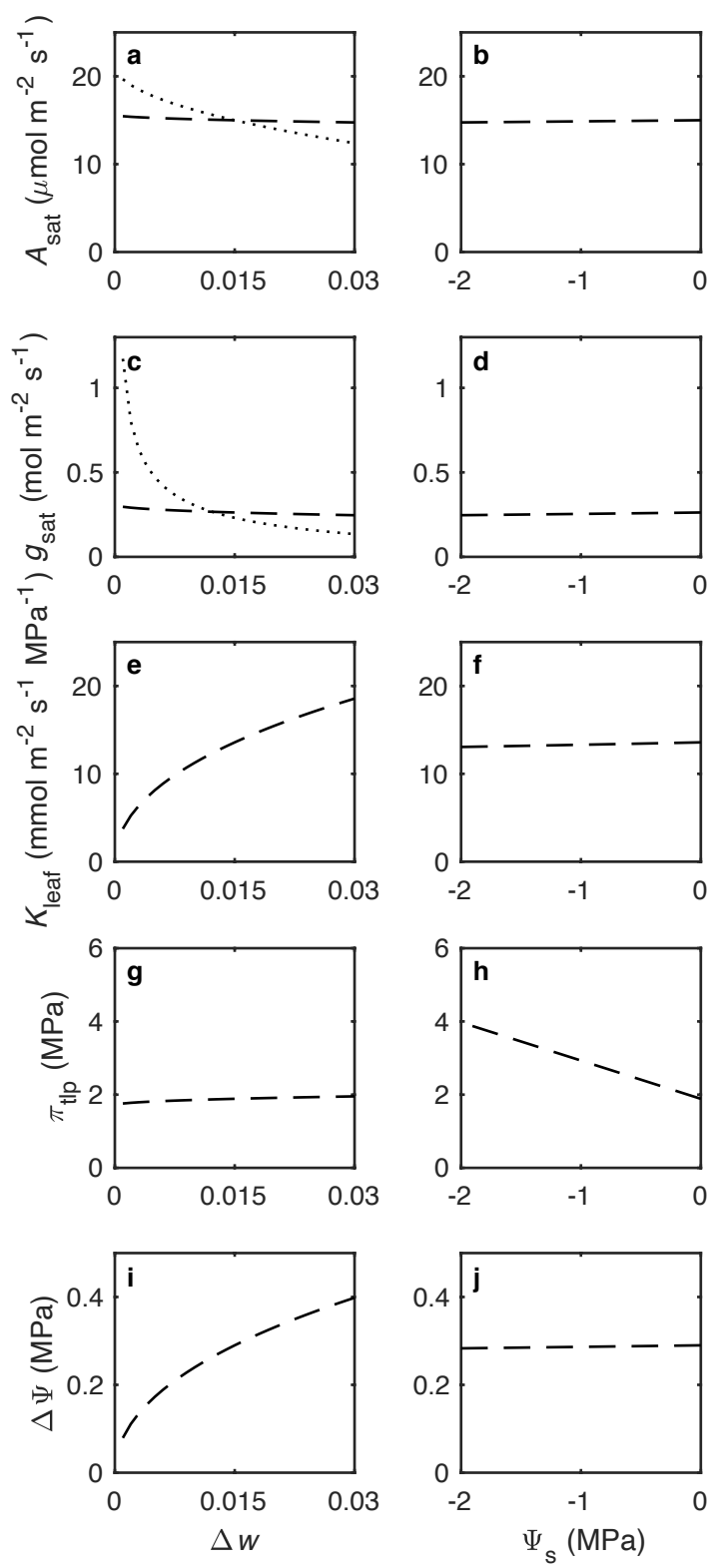
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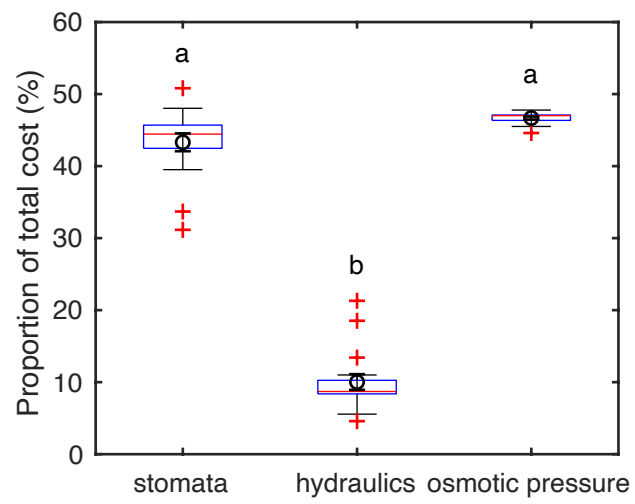
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## 1    **Supplementary Methods**

### 3    **Derivation of the leaf optimisation model**

5    Here we present a full derivation of the leaf optimisation model. The aim was to use  
6    optimisation of carbon gain at the leaf level to obtain average relations between  $A_{\text{sat}}$   
7    (light-saturated CO<sub>2</sub> assimilation rate),  $g_{\text{sat}}$  (light saturated stomatal conductance to  
8    water vapour),  $K_{\text{leaf}}$  (leaf hydraulic conductance),  $\pi_{\text{tlp}}$  (leaf osmotic pressure at turgor  
9    loss point) and  $\Delta\Psi$  (stem to leaf water potential difference), for changing either  
10    photosynthetic capacity (taken as  $V_{\text{cmax}}$ , the maximum carboxylation rate) or  
11    environmental conditions ( $\Psi_s$ , source water potential, to take into account the  
12    combined effects soil drying and other plant hydraulic resistances; or  $\Delta w$ , vapour  
13    mole fraction difference, i.e. vapour pressure difference normalised for atmospheric  
14    pressure, as a proxy for atmospheric drying).

15    It was assumed plants aim to maximise carbon gain per leaf area ( $C_{\text{gain}}$ ). Carbon  
16    income for the leaf was taken as the mean CO<sub>2</sub> assimilation rate over a time period.  
17    This was represented as  $A_{\text{sat}}f$ , where  $f$  represents the effective fraction of time that  
18    photosynthesis is operating at  $A_{\text{sat}}$ . Costs encompassed the carbon costs of building  
19    and maintaining physiological traits for photosynthesis, so that net carbon gain was

$$20 \quad C_{\text{gain}} = A_{\text{sat}}f - \text{costs}, \quad (1)$$

21    such that

$$22 \quad f = \frac{\int_{t_1}^{t_2} A(t)dt}{\int_{t_1}^{t_2} A_{\text{sat}}dt}, \quad (2)$$

23    where  $A(t)$  is the CO<sub>2</sub> assimilation rate per leaf area at time  $t$  and  $t_1$  and  $t_2$  represent  
24    some large time interval of active leaf function.

25 The gain of carbon in this optimisation is expressed as a net rate of CO<sub>2</sub> uptake per  
 26 unit leaf area. Thus costs of traits also have to be expressed in terms of a rate of  
 27 carbon cost per unit leaf area. It is important to consider what the costs of these  
 28 physiological traits broadly represent.

29 We can consider the cost of a physiological trait to be broadly split into three  
 30 components: building, continual maintenance and light-dependent maintenance costs.  
 31 Building costs are those required to construct the trait in question. Considered as a  
 32 rate of carbon cost per leaf area, building costs represent the carbon cost to construct  
 33 the trait per leaf area, spread out over the lifespan of the leaf. Continual maintenance  
 34 costs represent the metabolic energetic cost required to maintain a trait whether the  
 35 plant is photosynthesising or not, such as the continual maintenance of osmotic  
 36 gradients across cell membranes. Light-dependent maintenance costs represent  
 37 maintenance costs that are higher when the plant is photosynthesising, such as  
 38 maintaining higher osmotic pressures in guard cells of stomata in the light. It is  
 39 expected that these costs should be proportional to some function of the particular  
 40 trait in question.

41 If we consider carbon gain over an arbitrary time period  $T$ , the balance of carbon  
 42 income and costs can be represented as

$$43 \quad C_{\text{gain}}T = A_{\text{sat}}fT - \sum_{\text{traits}} \left( \mu_b \frac{T}{\tau} + \mu_{\text{mc}}T + \mu_{\text{ml}}fT \right) h_{\text{trait}}, \quad (\text{S1})$$

44 where  $\mu_b$ ,  $\mu_{\text{mc}}$  and  $\mu_{\text{ml}}$  represent the proportionality constants for building, continual  
 45 maintenance and light-dependence maintenance costs, respectively,  $h_{\text{trait}}$  is the  
 46 functional dependence of costs on the trait, and  $\tau$  is mean leaf lifespan. Dividing  
 47 through equation S1 by the time period  $T$  gives

$$48 \quad C_{\text{gain}} = A_{\text{sat}}f - \sum_{\text{traits}} \left( \frac{\mu_b}{\tau} + \mu_{\text{mc}} + \mu_{\text{ml}}f \right) h_{\text{trait}}. \quad (3)$$

Thus we can see the carbon costs for each trait are made up of a building cost per leaf lifespan, a continual maintenance cost and a maintenance cost modulated by the effective fraction of time photosynthesis is operating at  $A_{\text{sat}}$ . Note that some of these component costs might be small or zero for different traits.

In practice, not all of  $\mu_b$ ,  $\mu_{\text{mc}}$  or  $\mu_{\text{ml}}$  are known for each trait, nor are  $f$  or  $\tau$  necessarily known for a leaf either. It is then perhaps best to group unknowns into common parameters and do the optimisation not in terms of  $C_{\text{gain}}$  but in terms of  $F = C_{\text{gain}}/f$ ,

$$F = \frac{C_{\text{gain}}}{f} = A_{\text{sat}} - \sum_{\text{traits}} \frac{1}{f} \left( \frac{\mu_b}{\tau} + \mu_{\text{mc}} + \mu_{\text{ml}} f \right) h_{\text{trait}}, \quad (\text{S2})$$

or

$$F = A_{\text{sat}} - \sum_{\text{traits}} \mu_{\text{trait}} h_{\text{trait}}. \quad (4)$$

An expression for  $A_{\text{sat}}$  is required, and identification of the key physiological traits.

Taking the Rubisco limited expression for  $A$ , and ignoring  $R_d$  (day respiration) for both simplicity and that it should be a part of maintenance costs, we have

$$A_{\text{sat}} = \frac{V_{\text{cmax}}(C_i - \Gamma^*)}{C_i + K_m}, \quad (\text{S3})$$

where  $C_i$  is the internal concentration of  $\text{CO}_2$ ,  $\Gamma^*$  is the  $\text{CO}_2$  compensation point in the absence of  $R_d$  and  $K_m$  is the Michaelis-Menten constant for  $\text{CO}_2$ , taking into account the concentration of  $\text{O}_2$ . Here it is also assumed that mesophyll conductance to  $\text{CO}_2$  is infinite for simplicity.

Equation S3 was linearised for algebraic simplicity, in aid of obtaining more-analytic solutions. Linearising equation S3 around  $C_i = \Gamma^*$  gives

$$A_{\text{sat}} \approx \left. \frac{V_{\text{cmax}}(C_i - \Gamma^*)}{C_i + K_m} \right|_{C_i = \Gamma^*} + \left. \frac{dA_{\text{sat}}}{dC_i} \right|_{C_i = \Gamma^*} (C_i - \Gamma^*).$$

Now,

$$\frac{dA_{\text{sat}}}{dC_i} = \frac{V_{\text{cmax}}}{C_i + K_m} - \frac{V_{\text{cmax}}(C_i - \Gamma^*)}{(C_i + K_m)^2}$$

$$72 \quad \frac{dA_{\text{sat}}}{dC_i} = \frac{V_{\text{cmax}}(C_i + K_m - C_i + \Gamma^*)}{(C_i + K_m)^2}$$

$$73 \quad \frac{dA_{\text{sat}}}{dC_i} = \frac{V_{\text{cmax}}(K_m + \Gamma^*)}{(C_i + K_m)^2},$$

74 so that

$$75 \quad \left. \frac{dA_{\text{sat}}}{dC_i} \right|_{C_i = \Gamma^*} = \frac{V_{\text{cmax}}(K_m + \Gamma^*)}{(\Gamma^* + K_m)^2}$$

$$76 \quad \left. \frac{dA_{\text{sat}}}{dC_i} \right|_{C_i = \Gamma^*} = \frac{V_{\text{cmax}}}{(K_m + \Gamma^*)},$$

77 giving

$$78 \quad A_{\text{sat}} \approx \frac{V_{\text{cmax}}(\Gamma^* - \Gamma^*)}{\Gamma^* + K_m} + \frac{V_{\text{cmax}}}{K_m + \Gamma^*} (C_i - \Gamma^*),$$

79 or

$$80 \quad A_{\text{sat}} \approx \frac{V_{\text{cmax}}}{K_m + \Gamma^*} (C_i - \Gamma^*). \quad (\text{S4})$$

81 Equation S4 can be expressed as

$$82 \quad A_{\text{sat}} = k(C_i - \Gamma^*), \quad (5)$$

83 where

$$84 \quad k = \frac{V_{\text{cmax}}}{K_m + \Gamma^*}. \quad (6)$$

85 We next require a way to eliminate  $C_i$ . By Fick's Law and assuming infinite boundary

86 layer conductance for simplicity, we have

$$87 \quad A_{\text{sat}} = \frac{g_{\text{sat}}}{1.6} (C_a - C_i), \quad (7)$$

88 where the 1.6 factor is to convert  $g_{\text{sat}}$  from stomatal conductance to water vapour to

89 the stomatal conductance to  $\text{CO}_2$  and  $C_a$  is the  $\text{CO}_2$  concentration in the surrounding

90 air. Rearranging gives

$$91 \quad C_i = C_a - \frac{1.6A_{\text{sat}}}{g_{\text{sat}}}. \quad (\text{S5})$$

92 Substituting equation S5 into equation 5 gives

$$93 \quad A_{\text{sat}} = k \left( C_a - \frac{1.6A_{\text{sat}}}{g_{\text{sat}}} - \Gamma^* \right)$$

$$A_{\text{sat}} \left( 1 + \frac{1.6k}{g_{\text{sat}}} \right) = k(C_a - \Gamma^*)$$

$$A_{\text{sat}} = \frac{k(C_a - \Gamma^*)}{1 + \frac{1.6k}{g_{\text{sat}}}},$$

or

$$A_{\text{sat}} = \frac{k g_{\text{sat}} (C_a - \Gamma^*)}{1.6k + g_{\text{sat}}}. \quad (8)$$

An expression for  $g_{\text{sat}}$  is now required. It is assumed that variation in  $g_{\text{sat}}$  is achieved, or appears to be achieved, hydraulically. Moreover, it is assumed that  $g_{\text{sat}}$  is a linear function of leaf turgor pressure ( $P$ ), such that stomata are fully closed when a leaf has reached turgor loss point. That is,

$$g_{\text{sat}} = \chi P, \quad (9)$$

where  $\chi$  is the constant of proportionality. If it is assumed stomata are approximately the same size,  $\chi$  becomes indicative of stomatal density.

Leaf turgor pressure is the result of the conflicting effects of leaf osmotic pressure ( $\pi$ ) and leaf water potential ( $\Psi_l$ ) and can be calculated as

$$P = \pi + \Psi_l. \quad (10)$$

However, leaf osmotic pressure is approximately dependent on the concentration of osmolytes within leaf cells and can therefore change as the volume of a cell changes with hydration or dehydration. If the total amount of osmotic pressure is constant,  $\pi$  can be written as

$$\pi = \frac{\pi_{\text{tlp}} V_{\text{tlp}}}{V}, \quad (11)$$

where the reference is taken as the turgor loss point, such that  $V_{\text{tlp}}$  is the cell volume at turgor loss point and  $V$  is the current cell volume.

$V$  can be calculated using the elastic modulus ( $\epsilon$ ). From the definition of elastic modulus, we have



$$117 \quad \varepsilon = V \frac{dP}{dV}. \quad (12)$$

118 The derivative in equation 12 can be approximated by a finite difference around the

119 turgor loss point so that equation 12 becomes

$$120 \quad \varepsilon = V \frac{P - P_{\text{tlp}}}{V - V_{\text{tlp}}} = \frac{VP}{V - V_{\text{tlp}}},$$

121 as  $P_{\text{tlp}}$  is zero, by definition, such that

$$122 \quad V = \frac{\varepsilon V_{\text{tlp}}}{\varepsilon - P},$$

123 so that equation 11 becomes

$$124 \quad \pi = \frac{\pi_{\text{tlp}} V_{\text{tlp}} (\varepsilon - P)}{\varepsilon V_{\text{tlp}}} = \pi_{\text{tlp}} \left( 1 - \frac{P}{\varepsilon} \right). \quad (S6)$$

125 Substituting equation S6 into equation 10 gives

$$126 \quad P = \pi_{\text{tlp}} \left( 1 - \frac{P}{\varepsilon} \right) + \Psi_l$$

$$127 \quad \left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right) P = \pi_{\text{tlp}} + \Psi_l$$

$$128 \quad P = \frac{\pi_{\text{tlp}} + \Psi_l}{\left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right)}. \quad (13)$$

129 Substituting this equation into equation 9 gives

$$130 \quad g_{\text{sat}} = \frac{\chi(\pi_{\text{tlp}} + \Psi_l)}{\left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right)}. \quad (S7)$$

131 An expression for  $\Psi_l$  is now required. At steady state, liquid ( $J$ ) and vapour ( $E$ ) fluxes

132 of water into and out of the leaf are equal; that is

$$133 \quad J = K_{\text{leaf}} \Delta \Psi = K_{\text{leaf}} (\Psi_s - \Psi_l) = E = g_{\text{sat}} \Delta w, \quad (14)$$

134 so that

$$135 \quad \Psi_l = \Psi_s - \frac{g_{\text{sat}} \Delta w}{K_{\text{leaf}}}. \quad (15)$$

136 Substituting equation 15 into equation S7 gives

$$137 \quad g_{\text{sat}} = \frac{\chi \left( \pi_{\text{tlp}} + \Psi_s - \frac{g_{\text{sat}} \Delta w}{K_{\text{leaf}}} \right)}{\left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right)}$$

$$138 \quad g_{\text{sat}} \left[ 1 + \frac{\frac{\chi \Delta w}{K_{\text{leaf}}}}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right] = \frac{\chi(\pi_{\text{tlp}} + \Psi_s)}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}$$

$$139 \quad g_{\text{sat}} = \frac{\chi(\pi_{\text{tlp}} + \Psi_s)}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) \left[ 1 + \frac{\frac{\chi \Delta w}{K_{\text{leaf}}}}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]}$$

$$140 \quad g_{\text{sat}} = \frac{\chi(\pi_{\text{tlp}} + \Psi_s)}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \frac{\chi \Delta w}{K_{\text{leaf}}}},$$

141 or

$$142 \quad g_{\text{sat}} = \frac{\chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)}{K_{\text{leaf}}\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi \Delta w}. \quad (16)$$

143 This is an equation for  $g_{\text{sat}}$  in terms of the component traits  $\chi$ ,  $K_{\text{leaf}}$  and  $\pi_{\text{tlp}}$ ,  $\varepsilon$  and  
 144 environmental factors  $\Delta w$  and  $\Psi_s$ .

145 Substituting equation 16 into equation 8 gives

$$146 \quad A_{\text{sat}} = \frac{k(C_a - \Gamma^*)\chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)}{\left[ K_{\text{leaf}}\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi \Delta w \right] \left[ 1.6k + \frac{\chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)}{K_{\text{leaf}}\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi \Delta w} \right]},$$

147 or

$$148 \quad A_{\text{sat}} = \frac{k(C_a - \Gamma^*)\chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)}{1.6k \left[ K_{\text{leaf}}\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi \Delta w \right] + \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)}. \quad (17)$$

149 The equation for  $A_{\text{sat}}$  can now be used in the equation for  $F$ .

150 Expressions for the costs of stomata ( $\chi$ ), leaf hydraulics ( $K_{\text{leaf}}$ ) and osmotic pressure at  
 151 turgor loss point ( $\pi_{\text{tlp}}$ ) are still required. We will now consider how the cost of each  
 152 trait should scale for stomata, leaf hydraulics and osmotic pressure.

153 If stomata are approximately the same size,  $\chi$  is indicative of stomatal density, an area  
 154 property. The carbon cost of building and maintaining stomata on the leaf surface per  
 155 area should therefore be proportional to stomatal density and  $\chi$ , such that

$$156 \quad \text{cost}_{\chi} = \mu_{\chi} \chi, \quad (18)$$

157 where  $\mu_{\chi}$  is the constant of proportionality, or the cost parameter for  $\chi$ .

How the carbon cost of hydraulics should scale with  $K_{\text{leaf}}$  is less clear, partly from differences in xylem anatomy, leaf depth and venation pattern. For simplicity and to account for both single and multiple vein anatomies, it was assumed the carbon cost of  $K_{\text{leaf}}$  scaled linearly with  $K_{\text{leaf}}$ , as  $K_{\text{leaf}}$  is also a per area quantity. That is

$$\text{cost}_K = \mu_K K_{\text{leaf}}, \quad (19)$$

where  $\mu_K$  is the cost parameter for  $K_{\text{leaf}}$ .

The cost of osmotic pressure should be approximately proportional to the amount of solute in the leaf per unit leaf area. However, what is driving the physiology is  $\pi_{\text{tlp}}$ , not total solutes per se. Therefore of two leaves with the same  $\pi_{\text{tlp}}$ , the one with a thicker layer of cells will have a larger cost of osmotic pressure. If it is assumed plants increase photosynthetic capacity by increasing the depth of cells, as is typical for sun-shade transitions, the cost of osmotic pressure is

$$\text{cost}_\pi = \mu_\pi \pi_{\text{tlp}} \frac{k}{v}, \quad (20)$$

where  $\mu_\pi$  is the cost parameter for  $\pi_{\text{tlp}}$  and  $v$  is the  $k$  per depth of photosynthetic tissue.

Note that  $k/v$  has units of depth.

From equations 17-20, equation 4 becomes

$$F = \frac{k(C_a - \Gamma^*) \chi K_{\text{leaf}} (\pi_{\text{tlp}} + \Psi_s)}{1.6k \left[ K_{\text{leaf}} \left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right) + \chi \Delta w \right] + \chi K_{\text{leaf}} (\pi_{\text{tlp}} + \Psi_s)} - \mu_\chi \chi - \mu_K K_{\text{leaf}} - \frac{\mu_\pi}{v} \pi_{\text{tlp}} k. \quad (21)$$

$F$  is a maximum when

$$\nabla F = \underline{0}, \quad (22a)$$

or

$$\frac{\partial F}{\partial \chi} = \frac{\partial F}{\partial K_{\text{leaf}}} = \frac{\partial F}{\partial \pi_{\text{tlp}}} = 0. \quad (22b)$$

Differentiating with respect to  $\chi$  gives

$$180 \quad \frac{k(C_a - \Gamma^*)K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)}{1.6k[K_{\text{leaf}}(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}) + \chi\Delta w] + \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)} - \frac{k(C_a - \Gamma^*)\chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)[1.6k\Delta w + K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)]}{\{1.6k[K_{\text{leaf}}(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}) + \chi\Delta w] + \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)\}^2} -$$

$$181 \quad \mu_\chi = 0$$

$$182 \quad \mu_\chi = \frac{k(C_a - \Gamma^*)K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)}{\{1.6k[K_{\text{leaf}}(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}) + \chi\Delta w] + \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)\}^2} \left\{ 1.6k \left[ K_{\text{leaf}} \left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right) + \chi\Delta w \right] + \right.$$

$$183 \quad \left. \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s) - 1.6k\chi\Delta w - \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s) \right\}$$

$$184 \quad \mu_\chi = \frac{1.6k^2(C_a - \Gamma^*)K_{\text{leaf}}^2(\pi_{\text{tlp}} + \Psi_s)(1 + \frac{\pi_{\text{tlp}}}{\varepsilon})}{\{1.6k[K_{\text{leaf}}(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}) + \chi\Delta w] + \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)\}^2}. \quad (\text{S8})$$

185 Differentiating with respect to  $K_{\text{leaf}}$  gives

$$186 \quad \frac{k(C_a - \Gamma^*)\chi(\pi_{\text{tlp}} + \Psi_s)}{1.6k[K_{\text{leaf}}(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}) + \chi\Delta w] + \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)} -$$

$$187 \quad \frac{k(C_a - \Gamma^*)\chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)[1.6k(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}) + \chi(\pi_{\text{tlp}} + \Psi_s)]}{\{1.6k[K_{\text{leaf}}(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}) + \chi\Delta w] + \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)\}^2} - \mu_K = 0$$

$$188 \quad \mu_K = \frac{k(C_a - \Gamma^*)\chi(\pi_{\text{tlp}} + \Psi_s)}{\{1.6k[K_{\text{leaf}}(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}) + \chi\Delta w] + \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)\}^2} \left\{ 1.6k \left[ K_{\text{leaf}} \left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right) + \chi\Delta w \right] + \right.$$

$$189 \quad \left. \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s) - 1.6k K_{\text{leaf}} \left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right) - \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s) \right\}$$

$$190 \quad \mu_K = \frac{1.6k^2(C_a - \Gamma^*)\chi^2\Delta w(\pi_{\text{tlp}} + \Psi_s)}{\{1.6k[K_{\text{leaf}}(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}) + \chi\Delta w] + \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)\}^2}. \quad (\text{S9})$$

191 Differentiating with respect to  $\pi_{\text{tlp}}$  gives

$$192 \quad \frac{k(C_a - \Gamma^*)\chi K_{\text{leaf}}}{1.6k[K_{\text{leaf}}(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}) + \chi\Delta w] + \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)} - \frac{k(C_a - \Gamma^*)\chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)(\frac{1.6kK_{\text{leaf}}}{\varepsilon} + \chi K_{\text{leaf}})}{\{1.6k[K_{\text{leaf}}(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}) + \chi\Delta w] + \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)\}^2} -$$

$$193 \quad \frac{\mu_\pi}{v} k = 0$$

$$194 \quad \frac{\mu_\pi}{v} k = \frac{k(C_a - \Gamma^*)\chi K_{\text{leaf}}}{\{1.6k[K_{\text{leaf}}(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}) + \chi\Delta w] + \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)\}^2} \left\{ 1.6k \left[ K_{\text{leaf}} \left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right) + \chi\Delta w \right] + \right.$$

$$195 \quad \left. \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s) - \frac{1.6kK_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)}{\varepsilon} - \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s) \right\}$$

$$196 \quad \frac{\mu_\pi}{v} k = \frac{1.6k^2(C_a - \Gamma^*)\chi K_{\text{leaf}}[K_{\text{leaf}}(1 - \frac{\Psi_s}{\varepsilon}) + \chi\Delta w]}{\{1.6k[K_{\text{leaf}}(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}) + \chi\Delta w] + \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)\}^2}. \quad (\text{S10})$$

197 To look at possible coordination of traits it is useful to express the above equations in  
 198 terms of  $A_{\text{sat}}$  and eliminate  $k$ . Noting that

$$199 \quad A_{\text{sat}}^2 = \frac{k^2 (C_a - \Gamma^*)^2 \chi^2 K_{\text{leaf}}^2 (\pi_{\text{tlp}} + \Psi_s)^2}{\left\{1.6k \left[K_{\text{leaf}} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi \Delta w\right] + \chi K_{\text{leaf}} (\pi_{\text{tlp}} + \Psi_s)\right\}^2}, \quad (\text{S11})$$

200 the above equations for cost parameters can be expressed as

$$201 \quad \mu_\chi = \frac{1.6 \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{(C_a - \Gamma^*) (\pi_{\text{tlp}} + \Psi_s) \chi^2} A_{\text{sat}}^2, \quad (23)$$

$$202 \quad \mu_K = \frac{1.6 \Delta w}{(C_a - \Gamma^*) (\pi_{\text{tlp}} + \Psi_s) K_{\text{leaf}}^2} A_{\text{sat}}^2, \quad (24)$$

203 and

$$204 \quad \frac{\mu_\pi}{v} = \frac{1.6 \left[K_{\text{leaf}} \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \chi \Delta w\right]}{k (C_a - \Gamma^*) (\pi_{\text{tlp}} + \Psi_s)^2 \chi K_{\text{leaf}}} A_{\text{sat}}^2. \quad (25a)$$

205  $k$  can be eliminated in equation 25a by rearranging equation 17 as

$$206 \quad 1.6k \left[K_{\text{leaf}} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi \Delta w\right] A_{\text{sat}} + \chi K_{\text{leaf}} (\pi_{\text{tlp}} + \Psi_s) A_{\text{sat}} = k (C_a -$$

$$207 \quad \Gamma^*) \chi K_{\text{leaf}} (\pi_{\text{tlp}} + \Psi_s)$$

$$208 \quad k \left\{ (C_a - \Gamma^*) \chi K_{\text{leaf}} (\pi_{\text{tlp}} + \Psi_s) - 1.6 A_{\text{sat}} \left[K_{\text{leaf}} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi \Delta w\right] \right\} = \chi K_{\text{leaf}} (\pi_{\text{tlp}} +$$

$$209 \quad \Psi_s) A_{\text{sat}}$$

$$210 \quad k = \frac{\chi K_{\text{leaf}} (\pi_{\text{tlp}} + \Psi_s) A_{\text{sat}}}{(C_a - \Gamma^*) \chi K_{\text{leaf}} (\pi_{\text{tlp}} + \Psi_s) - 1.6 A_{\text{sat}} \left[K_{\text{leaf}} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi \Delta w\right]}, \quad (35)$$

211 so that

$$212 \quad \frac{\mu_\pi}{v} = \frac{1.6 \left[K_{\text{leaf}} \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \chi \Delta w\right] \left\{ (C_a - \Gamma^*) \chi K_{\text{leaf}} (\pi_{\text{tlp}} + \Psi_s) - 1.6 A_{\text{sat}} \left[K_{\text{leaf}} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi \Delta w\right] \right\}}{(C_a - \Gamma^*) (\pi_{\text{tlp}} + \Psi_s)^3 \chi^2 K_{\text{leaf}}^2} A_{\text{sat}}.$$

$$213 \quad (25b)$$

214 The equations for the cost parameters can now be solved for the traits, given  $A_{\text{sat}}$ .

215 From equations 23 and 24 it follows that

$$216 \quad \frac{\mu_\chi}{\mu_K} = \frac{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) K_{\text{leaf}}^2}{\Delta w \chi^2}, \quad (\text{S12})$$

217 so that

$$218 \quad K_{\text{leaf}}^2 = \frac{\mu_{\chi} \Delta w}{\mu_K \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \chi^2,$$

219 or

$$220 \quad K_{\text{leaf}} = \left[ \frac{\mu_{\chi} \Delta w}{\mu_K \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}} \chi. \quad (\text{S13})$$

221 Substituting equation S13 into equation 25b, but first writing  $K_{\text{leaf}} = \alpha \chi$ , gives

$$222 \quad \frac{\mu_{\pi}}{v} = \frac{1.6 \left[ \alpha \chi \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \chi \Delta w \right] \{ (C_a - \Gamma^*) \alpha \chi (\pi_{\text{tlp}} + \Psi_s) - 1.6 A_{\text{sat}} \left[ \alpha \chi \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi \Delta w \right] \}}{(C_a - \Gamma^*) (\pi_{\text{tlp}} + \Psi_s)^3 \chi^2 \alpha^2 \chi^2} A_{\text{sat}}$$

$$223 \quad \frac{\mu_{\pi}}{v} = \frac{1.6 \left[ \alpha \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \Delta w \right] \{ (C_a - \Gamma^*) \alpha \chi (\pi_{\text{tlp}} + \Psi_s) - 1.6 A_{\text{sat}} \left[ \alpha \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \Delta w \right] \}}{(C_a - \Gamma^*) (\pi_{\text{tlp}} + \Psi_s)^3 \alpha^2 \chi^2} A_{\text{sat}}. \quad (\text{S14})$$

224 From equation 23

$$225 \quad \chi = \left[ \frac{1.6 \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{\mu_{\chi} (C_a - \Gamma^*) (\pi_{\text{tlp}} + \Psi_s)} \right]^{\frac{1}{2}} A_{\text{sat}}, \quad (27)$$

226 which, substituting into equation S14, after writing  $\chi = \beta A_{\text{sat}}$ , gives

$$227 \quad \frac{\mu_{\pi}}{v} = \frac{1.6 \left[ \alpha \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \Delta w \right] \{ (C_a - \Gamma^*) \alpha \beta A_{\text{sat}} (\pi_{\text{tlp}} + \Psi_s) - 1.6 A_{\text{sat}} \left[ \alpha \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \Delta w \right] \}}{(C_a - \Gamma^*) (\pi_{\text{tlp}} + \Psi_s)^3 \alpha^2 \beta^2 A_{\text{sat}}^2} A_{\text{sat}}$$

$$228 \quad \frac{\mu_{\pi}}{v} = \frac{1.6 \left[ \alpha \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \Delta w \right] \{ (C_a - \Gamma^*) \alpha \beta (\pi_{\text{tlp}} + \Psi_s) - 1.6 \left[ \alpha \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \Delta w \right] \}}{(C_a - \Gamma^*) (\pi_{\text{tlp}} + \Psi_s)^3 \alpha^2 \beta^2 A_{\text{sat}}^2} A_{\text{sat}}^2$$

$$229 \quad \frac{\mu_{\pi}}{v} = \frac{1.6 \left[ \alpha \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \Delta w \right] \{ (C_a - \Gamma^*) \alpha \beta (\pi_{\text{tlp}} + \Psi_s) - 1.6 \left[ \alpha \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \Delta w \right] \}}{(C_a - \Gamma^*) (\pi_{\text{tlp}} + \Psi_s)^3 \alpha^2 \beta^2}$$

$$230 \quad \frac{\mu_{\pi}}{v} = \frac{1.6 \left[ \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \frac{\Delta w}{\alpha} \right] \{ (C_a - \Gamma^*) \beta (\pi_{\text{tlp}} + \Psi_s) - 1.6 \left[ \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \frac{\Delta w}{\alpha} \right] \}}{(C_a - \Gamma^*) (\pi_{\text{tlp}} + \Psi_s)^3 \beta^2}. \quad (\text{S15})$$

231 It can already be seen here that equation S15 is an equation for  $\pi_{\text{tlp}}$  and that it is

232 independent of  $A_{\text{sat}}$ .

233 Now,

$$234 \quad \frac{\Delta w}{\alpha} = \left[ \frac{\mu_K \Delta w}{\mu_{\chi}} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) \right]^{\frac{1}{2}}, \quad (\text{S16})$$

235 so that, expanding  $\beta$  gives

$$\begin{aligned}
236 \quad & \frac{\left(\frac{\mu_{\pi}}{v}\right)(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)^3 1.6\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{\mu_{\chi}(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)} = 1.6 \left\{ \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \left[ \frac{\mu_K \Delta w}{\mu_{\chi}} \left(1 + \right. \right. \right. \\
237 \quad & \left. \left. \left. \frac{\pi_{\text{tlp}}}{\varepsilon} \right) \right]^{\frac{1}{2}} \right\} \left\{ \left[ \frac{1.6\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{\mu_{\chi}(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)} \right]^{\frac{1}{2}} (C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s) - 1.6 \left[ \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \right. \right. \\
238 \quad & \left. \left. \left[ \frac{\mu_K \Delta w}{\mu_{\chi}} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) \right]^{\frac{1}{2}} \right] \right\} \\
239 \quad & \left( \frac{\mu_{\pi}}{v} \right) \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) (\pi_{\text{tlp}} + \Psi_s)^2 - \left\{ \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \left[ \frac{\mu_K \Delta w}{\mu_{\chi}} \left(1 + \right. \right. \right. \\
240 \quad & \left. \left. \left. \frac{\pi_{\text{tlp}}}{\varepsilon} \right) \right]^{\frac{1}{2}} \right\} \left\{ \left[ \frac{1.6\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)}{\mu_{\chi}} \right]^{\frac{1}{2}} - 1.6 \left[ \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \left[ \frac{\mu_K \Delta w}{\mu_{\chi}} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) \right]^{\frac{1}{2}} \right] \right\} = 0.
\end{aligned}$$

$$241 \quad (26)$$

242 This is an equation for  $\pi_{\text{tlp}}$  in terms of cost parameters,  $\varepsilon$  and environmental conditions  
243 only; that is,  $\pi_{\text{tlp}}$  is independent of  $A_{\text{sat}}$ . Equation 26 needs to be solved numerically,  
244 but the solution can then be used to solve for  $\chi$  and  $K_{\text{leaf}}$  for a given  $A_{\text{sat}}$ . Substituting  
245 equation 27 into equation S13 gives

$$\begin{aligned}
246 \quad & K_{\text{leaf}} = \left[ \frac{\mu_{\chi} \Delta w}{\mu_K \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}} \chi = \left[ \frac{1.6\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) \mu_{\chi} \Delta w}{\mu_K \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) \mu_{\chi} (C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)} \right]^{\frac{1}{2}} A_{\text{sat}} \\
247 \quad & K_{\text{leaf}} = \left[ \frac{1.6 \Delta w}{\mu_K (C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)} \right]^{\frac{1}{2}} A_{\text{sat}}. \quad (28)
\end{aligned}$$

248 That is,  $K_{\text{leaf}}$  is proportional to  $A_{\text{sat}}$ , everything else being equal.

249 For typical measurements it is easier to express the solution in terms of  $g_{\text{sat}}$  instead of  
250  $\chi$ . From equations 16, 27 and 28,  $g_{\text{sat}}$  can be solved as

$$\begin{aligned}
251 \quad g_{\text{sat}} &= \left[ \frac{1.6 \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{\mu_{\chi}(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)} \right]^{\frac{1}{2}} \frac{(\pi_{\text{tlp}} + \Psi_s)}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \left[ \frac{\mu_K \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{\mu_{\chi} \Delta w} \right]^{\frac{1}{2}} \Delta w} A_{\text{sat}} \\
252 \quad g_{\text{sat}} &= \frac{\left[ \frac{1.6(\pi_{\text{tlp}} + \Psi_s)}{\mu_{\chi}(C_a - \Gamma^*) \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}}}{1 + \left[ \frac{\mu_K \Delta w}{\mu_{\chi} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}}} A_{\text{sat}}. \tag{29}
\end{aligned}$$

253 That is,  $g_{\text{sat}}$  is proportional to  $A_{\text{sat}}$  under identical conditions.

254 Leaf water potential can be solved for by substituting equations 28 and 29 into

255 equation 15, as

$$\begin{aligned}
256 \quad \Psi_l &= \Psi_s - \frac{\left[ \frac{1.6(\pi_{\text{tlp}} + \Psi_s) \mu_K (C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)}{\mu_{\chi}(C_a - \Gamma^*) \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) 1.6 \Delta w} \right]^{\frac{1}{2}} \Delta w}{1 + \left[ \frac{\mu_K \Delta w}{\mu_{\chi} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}}} \\
257 \quad \Psi_l &= \Psi_s - \frac{\left[ \frac{\mu_K \Delta w}{\mu_{\chi} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}} (\pi_{\text{tlp}} + \Psi_s)}{1 + \left[ \frac{\mu_K \Delta w}{\mu_{\chi} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}}},
\end{aligned}$$

258 or

$$259 \quad \Delta \Psi = \Psi_s - \Psi_l = \frac{(\pi_{\text{tlp}} + \Psi_s)}{\left[ \frac{\mu_{\chi} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{\mu_K \Delta w} \right]^{\frac{1}{2}} + 1}. \tag{30}$$

260 It is more useful to express the optimal solution in terms of  $k$  for modelling the effect

261 of different environmental conditions on adaptive  $\pi_{\text{tlp}}$ ,  $\chi$  and  $K_{\text{leaf}}$ , and in turn  $A_{\text{sat}}$  and

262  $g_{\text{sat}}$ . The equation for  $\pi_{\text{tlp}}$  in this case is the same as equation 26 because  $\pi_{\text{tlp}}$  is

263 independent of both  $A_{\text{sat}}$  and  $k$ . Substituting equation S13 into equation S8 gives

$$264 \quad \mu_{\chi} = \frac{1.6 k^2 (C_a - \Gamma^*) \alpha^2 \chi^2 (\pi_{\text{tlp}} + \Psi_s) \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{\left\{ 1.6 k \left[ \alpha \chi \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi \Delta w \right] + \chi \alpha \chi (\pi_{\text{tlp}} + \Psi_s) \right\}^2}$$



$$\begin{aligned}
265 \quad \mu_\chi &= \frac{1.6k^2(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{\left\{1.6k\left[\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \frac{\Delta w}{\alpha}\right] + \chi(\pi_{\text{tlp}} + \Psi_s)\right\}^2} \\
266 \quad 1.6k\left[\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \frac{\Delta w}{\alpha}\right] + \chi(\pi_{\text{tlp}} + \Psi_s) &= k\left[\frac{1.6(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{\mu_\chi}\right]^{\frac{1}{2}} \\
267 \quad \chi &= k\left\{\left[\frac{1.6(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{\mu_\chi(\pi_{\text{tlp}} + \Psi_s)}\right]^{\frac{1}{2}} - \frac{1.6}{(\pi_{\text{tlp}} + \Psi_s)}\left[\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \frac{\Delta w}{\alpha}\right]\right\} \\
268 \quad \chi &= k\left\{\left[\frac{1.6(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{\mu_\chi(\pi_{\text{tlp}} + \Psi_s)}\right]^{\frac{1}{2}} - \frac{1.6}{(\pi_{\text{tlp}} + \Psi_s)}\left[\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \left[\frac{\mu_K \Delta w}{\mu_\chi}\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)\right]^{\frac{1}{2}}\right]\right\} \\
269 \quad \chi &= k \frac{1.6\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{(\pi_{\text{tlp}} + \Psi_s)} \left\{ \left[ \frac{(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)}{1.6\mu_\chi\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}} - \left[ 1 + \left[ \frac{\mu_K \Delta w}{\mu_\chi\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}} \right] \right\}. \quad (36)
\end{aligned}$$

270 From equation S13,  $K_{\text{leaf}}$  can then be written as

$$\begin{aligned}
271 \quad K_{\text{leaf}} &= k \frac{1.6\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{(\pi_{\text{tlp}} + \Psi_s)} \left[ \frac{\mu_\chi \Delta w}{\mu_K\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}} \left\{ \left[ \frac{(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)}{1.6\mu_\chi\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}} - \left[ 1 + \left[ \frac{\mu_K \Delta w}{\mu_\chi\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}} \right] \right\} \\
272 \quad K_{\text{leaf}} &= k \frac{1.6}{(\pi_{\text{tlp}} + \Psi_s)} \left[ \frac{\mu_\chi \Delta w\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{\mu_K} \right]^{\frac{1}{2}} \left\{ \left[ \frac{(C_a - \Gamma^*)(\pi_{\text{tlp}} + \Psi_s)}{1.6\mu_\chi\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}} - \left[ 1 + \left[ \frac{\mu_K \Delta w}{\mu_\chi\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right]^{\frac{1}{2}} \right] \right\}. \\
273 \quad & \quad \quad \quad (37)
\end{aligned}$$

274 The proportional cost of stomata, hydraulics and osmotic pressure, relative to the total  
275 cost of these three traits can be estimated. Let the denominator in equation 17 be  $D$ ,  
276 such that

$$277 \quad D = 1.6k\left[K_{\text{leaf}}\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi\Delta w\right] + \chi K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s). \quad (\text{S17})$$

278 The total costs of stomata, hydraulics and osmotic pressure is

$$279 \quad \text{costs} = \mu_\chi \chi + \mu_K K_{\text{leaf}} + \frac{\mu_\pi}{v} \pi_{\text{tlp}} k$$

$$\begin{aligned}
280 \quad costs &= \frac{1}{D^2} \left\{ 1.6k^2(C_a - \Gamma^*)\chi K_{\text{leaf}}^2(\pi_{\text{tlp}} + \Psi_s) \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + 1.6k^2(C_a - \right. \\
281 \quad &\Gamma^*)\chi^2 K_{\text{leaf}}\Delta w(\pi_{\text{tlp}} + \Psi_s) + 1.6k^2(C_a - \Gamma^*)\chi K_{\text{leaf}}\pi_{\text{tlp}} \left[ K_{\text{leaf}} \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \chi\Delta w \right] \Big\} \\
282 \quad costs &= \frac{1}{D^2} 1.6k^2(C_a - \Gamma^*)\chi K_{\text{leaf}} \left\{ K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s) \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi\Delta w(\pi_{\text{tlp}} + \Psi_s) + \right. \\
283 \quad &\pi_{\text{tlp}} \left[ K_{\text{leaf}} \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \chi\Delta w \right] \Big\} \\
284 \quad costs &= \frac{1}{D^2} 1.6k^2(C_a - \Gamma^*)\chi K_{\text{leaf}} \left\{ (\pi_{\text{tlp}} + \Psi_s) \left[ K_{\text{leaf}} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi\Delta w \right] + \right. \\
285 \quad &\pi_{\text{tlp}} \left[ K_{\text{leaf}} \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \chi\Delta w \right] \Big\}. \\
286 \quad & \quad \quad \quad (S18)
\end{aligned}$$

287 Thus the relative cost of stomata ( $p_\chi$ ) can be defined as

$$288 \quad p_\chi = \frac{cost_\chi}{costs}, \quad (S19)$$

289 or, from equations S8 and S17-S19,

$$\begin{aligned}
290 \quad p_\chi &= \frac{1.6k^2(C_a - \Gamma^*)\chi K_{\text{leaf}}^2(\pi_{\text{tlp}} + \Psi_s) \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) D^2}{D^2 1.6k^2(C_a - \Gamma^*)\chi K_{\text{leaf}} \left\{ (\pi_{\text{tlp}} + \Psi_s) \left[ K_{\text{leaf}} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi\Delta w \right] + \pi_{\text{tlp}} \left[ K_{\text{leaf}} \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \chi\Delta w \right] \right\}} \\
291 \quad p_\chi &= \frac{K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s) \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)}{\left\{ (\pi_{\text{tlp}} + \Psi_s) \left[ K_{\text{leaf}} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi\Delta w \right] + \pi_{\text{tlp}} \left[ K_{\text{leaf}} \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \chi\Delta w \right] \right\}} \\
292 \quad p_\chi &= \frac{K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)}{\left\{ \pi_{\text{tlp}} K_{\text{leaf}} + \Psi_s K_{\text{leaf}} + \pi_{\text{tlp}} \frac{\chi\Delta w}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} + \Psi_s \frac{\chi\Delta w}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} + \pi_{\text{tlp}} K_{\text{leaf}} \frac{\left(1 - \frac{\Psi_s}{\varepsilon}\right)}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} + \pi_{\text{tlp}} \frac{\chi\Delta w}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right\}} \\
293 \quad p_\chi &= \frac{K_{\text{leaf}}(\pi_{\text{tlp}} + \Psi_s)}{\pi_{\text{tlp}} \left\{ K_{\text{leaf}} \left[ 1 + \frac{\left(1 - \frac{\Psi_s}{\varepsilon}\right)}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right] + \frac{2\chi\Delta w}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right\} + \Psi_s \left\{ K_{\text{leaf}} + \frac{\chi\Delta w}{\left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right)} \right\}}. \quad (31)
\end{aligned}$$

294 Likewise, the relative cost of hydraulics can be defined as

$$295 \quad p_K = \frac{cost_K}{costs}, \quad (S20)$$

296 or, from equations S9, S17, S18 and S20,

$$297 \quad p_K = \frac{1.6k^2(C_a - \Gamma^*)\chi^2 K_{\text{leaf}}\Delta w(\pi_{\text{tlp}} + \Psi_s) D^2}{D^2 1.6k^2(C_a - \Gamma^*)\chi K_{\text{leaf}} \left\{ (\pi_{\text{tlp}} + \Psi_s) \left[ K_{\text{leaf}} \left(1 + \frac{\pi_{\text{tlp}}}{\varepsilon}\right) + \chi\Delta w \right] + \pi_{\text{tlp}} \left[ K_{\text{leaf}} \left(1 - \frac{\Psi_s}{\varepsilon}\right) + \chi\Delta w \right] \right\}}$$

$$\begin{aligned}
298 \quad p_K &= \frac{\chi \Delta w (\pi_{\text{tlp}} + \Psi_s)}{\left\{ (\pi_{\text{tlp}} + \Psi_s) \left[ K_{\text{leaf}} \left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right) + \chi \Delta w \right] + \pi_{\text{tlp}} \left[ K_{\text{leaf}} \left( 1 - \frac{\Psi_s}{\varepsilon} \right) + \chi \Delta w \right] \right\}} \\
299 \quad p_K &= \frac{\frac{\chi \Delta w}{\left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right)} (\pi_{\text{tlp}} + \Psi_s)}{\pi_{\text{tlp}} \left\{ K_{\text{leaf}} \left[ 1 + \frac{\left( 1 - \frac{\Psi_s}{\varepsilon} \right)}{\left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right)} \right] + \frac{2 \chi \Delta w}{\left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right)} \right\} + \Psi_s \left\{ K_{\text{leaf}} + \frac{\chi \Delta w}{\left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right)} \right\}}. \quad (32)
\end{aligned}$$

300 Finally, the relative cost of osmotic pressure can be defined as

$$301 \quad p_\pi = \frac{\text{cost}_\pi}{\text{cost}_s}, \quad (S21)$$

302 or, from equations S10, S17, S18 and S21,

$$\begin{aligned}
303 \quad p_\pi &= \frac{1.6k^2 (C_a - \Gamma^*) \chi K_{\text{leaf}} \pi_{\text{tlp}} \left[ K_{\text{leaf}} \left( 1 - \frac{\Psi_s}{\varepsilon} \right) + \chi \Delta w \right] D^2}{D^2 1.6k^2 (C_a - \Gamma^*) \chi K_{\text{leaf}} \left\{ (\pi_{\text{tlp}} + \Psi_s) \left[ K_{\text{leaf}} \left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right) + \chi \Delta w \right] + \pi_{\text{tlp}} \left[ K_{\text{leaf}} \left( 1 - \frac{\Psi_s}{\varepsilon} \right) + \chi \Delta w \right] \right\}} \\
304 \quad p_\pi &= \frac{\pi_{\text{tlp}} \left[ K_{\text{leaf}} \left( 1 - \frac{\Psi_s}{\varepsilon} \right) + \chi \Delta w \right]}{\left\{ (\pi_{\text{tlp}} + \Psi_s) \left[ K_{\text{leaf}} \left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right) + \chi \Delta w \right] + \pi_{\text{tlp}} \left[ K_{\text{leaf}} \left( 1 - \frac{\Psi_s}{\varepsilon} \right) + \chi \Delta w \right] \right\}} \\
305 \quad p_\pi &= \frac{1}{\left( 1 + \frac{\Psi_s}{\pi_{\text{tlp}}} \right) \left[ \frac{K_{\text{leaf}} \left( 1 + \frac{\pi_{\text{tlp}}}{\varepsilon} \right) + \chi \Delta w}{K_{\text{leaf}} \left( 1 - \frac{\Psi_s}{\varepsilon} \right) + \chi \Delta w} \right] + 1}. \quad (33)
\end{aligned}$$

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### 311 **Derivation of the linearised Cowan-Farquhar optimisation model**

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313 Although the linearised Cowan-Farquhar optimisation model has been derived a

314 number of times<sup>1-5</sup>, it is shown here for completeness.

315 Taking a transpiration cost view, the aim is to maximise

$$316 \quad F = A - \mu_E E, \quad (S22)$$

317 where  $\mu_E$  is the cost parameter for transpiration. From equation 8 and assuming  
 318 infinite boundary layer conductance, equation S22 can be written as

$$319 \quad F = \frac{k g_s (C_a - \Gamma^*)}{1.6k + g_s} - \mu_E \Delta w g_s. \quad (S23)$$

320 The maximum occurs when

$$321 \quad \frac{dF}{dg_s} = 0, \quad (S24)$$

322 giving

$$323 \quad \frac{k(C_a - \Gamma^*)}{1.6k + g_s} - \frac{k g_s (C_a - \Gamma^*)}{(1.6k + g_s)^2} - \mu_E \Delta w = 0$$

$$324 \quad \frac{1.6k^2(C_a - \Gamma^*) + k g_s (C_a - \Gamma^*) - k g_s (C_a - \Gamma^*)}{(1.6k + g_s)^2} = \mu_E \Delta w$$

$$325 \quad \frac{1.6k^2(C_a - \Gamma^*)}{(1.6k + g_s)^2} = \mu_E \Delta w,$$

326 or

$$327 \quad \mu_E = \frac{1.6k^2(C_a - \Gamma^*)}{\Delta w (1.6k + g_s)^2}. \quad (S25)$$

328 Now, noting that

$$329 \quad A^2 = \frac{k^2 g_s^2 (C_a - \Gamma^*)^2}{(1.6k + g_s)^2},$$

330 then equation S25 can be written as

$$331 \quad \mu_E = \frac{1.6}{\Delta w (C_a - \Gamma^*) g_s^2} A^2, \quad (38)$$

332 or

$$333 \quad g_s = \left[ \frac{1.6}{\mu_E \Delta w (C_a - \Gamma^*)} \right]^{\frac{1}{2}} A. \quad (40)$$

334 To get  $g_s$  in terms of  $k$ , rearranging equation S25 gives

$$335 \quad (1.6k + g_s)^2 = \frac{1.6k^2(C_a - \Gamma^*)}{\mu_E \Delta w},$$

336 or

$$337 \quad g_s = 1.6k \left\{ \left[ \frac{(C_a - \Gamma^*)}{1.6\mu_E \Delta w} \right]^{\frac{1}{2}} - 1 \right\}. \quad (41)$$

338 To predict how  $g_s$  and  $A$  vary with  $\Delta w$  for a constant  $k$  requires an equation to solve  
 339 for  $k$  at a reference  $A$  and  $\Delta w$ . From equation 8 we get

$$340 \quad k g_s (C_a - \Gamma^*) = 1.6 A k + g_s A$$

$$341 \quad k [g_s (C_a - \Gamma^*) - 1.6 A] = g_s A$$

$$342 \quad k = \frac{g_s A}{g_s (C_a - \Gamma^*) - 1.6 A}. \quad (39)$$

343

344

### 345 **Estimate for the building cost of hydraulics, spread over the lifespan of the leaf**

346

347 A crude estimate for the mean building cost of hydraulics can be obtained by  
 348 combining estimates of vein mass fraction of total dry matter from John, et al. <sup>6</sup> and  
 349 the average construction cost and lifespan of leaves from Navas, et al. <sup>7</sup>. Comparisons  
 350 with estimates for the maintenance cost of cell osmotic pressure can then be made  
 351 with de Vries <sup>8</sup>. Although both costs are evaluated on a mass basis, while costs per  
 352 area are better for comparisons with the optimal model, the mean cost of hydraulics as  
 353 a proportion of that of osmotic pressure should scale similarly whether done on a per  
 354 area or per mass basis.

355 The mean building cost of hydraulics spread over the lifespan of the leaf ( $cost_{kb}$ ; here  
 356 g glucose  $g^{-1} d^{-1}$ ) can be compared with that for maintenance of osmotic pressure  
 357 ( $cost_{\pi m}$ ; here g glucose  $g^{-1} d^{-1}$ ) as

$$358 \quad \frac{cost_{kb}}{cost_{\pi m}} = \left( \frac{MFV \times CC_{leaf}}{LLS} \right) / cost_{\pi m}, \quad (S26)$$

359 where  $MFV$  is the proportion of mass that veins make up of total leaf mass ( $g g^{-1}$ ),

360  $CC_{leaf}$  is the leaf construction cost ( $g$  glucose  $g^{-1}$ ) and  $LLS$  is leaf lifespan ( $d$ ).

John, et al. <sup>6</sup> found *MFV* was approximately 0.25, while a crude mean *CC*<sub>leaf</sub> and *LLS* can be obtained from averaging the pooled means of *CC*<sub>leaf</sub> and *LLS* of the five plant life form types, and were found to be 1.49 g glucose g<sup>-1</sup> and 211 d respectively. de Vries <sup>8</sup> estimated that the maintenance cost of osmotic pressure was on average approximately 8 mg glucose g<sup>-1</sup> d<sup>-1</sup>. From this, we can get

$$\frac{cost_{Kb}}{cost_{\pi m}} = \left( \frac{0.25 \times 1.49 \text{ g glucose g}^{-1}}{211 \text{ d}} \right) / 8 \text{ mg glucose g}^{-1} \text{ d}^{-1} = 1.76 \text{ mg glucose g}^{-1} \text{ d}^{-1} / 8 \text{ mg glucose g}^{-1} \text{ d}^{-1} = 22\%,$$

which is close to the estimate based on the optimal model.

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