A study of the reactions of molecular hydrogen with small gold clusters

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This work presents a study of reactions between neutral and negatively charged Au_n clusters ($n = 2, 3$) and molecular hydrogen. The binding energies of the first and second hydrogen molecule to the gold clusters were determined using density functional theory (DFT), second order perturbation theory (MP2) and coupled cluster (CCSD(T)) methods. It is found that molecular hydrogen easily binds to neutral Au_2 and Au_3 clusters with binding energies of 0.55 eV and 0.71 eV, respectively. The barriers to H_2 dissociation on these clusters with respect to Au_nH_2 complexes are 1.10 eV and 0.59 eV for $n = 2$ and 3. Although negatively charged Au_n^- clusters do not bind molecular hydrogen, H_2 dissociation can occur with energy barriers of 0.93 eV for Au_2^- and 1.39 eV for Au_3^- . The energies of the $Au_2H_2^-$ and $Au_3H_2^-$ complexes with dissociated hydrogen molecules are lower than the energies of $Au_2^- + H_2$ and $Au_3^- + H_2$ by 0.49 eV and 0.96 eV, respectively. There is satisfactory agreement between the DFT and CCSD(T) results for binding energies, but the agreement is not as good for barrier heights. © 2004 American Institute of Physics. [DOI: 10.1063/1.1647118]

I. INTRODUCTION

This paper is concerned with the adsorption and the dissociation of H_2 on very small, neutral and negatively charged Au_n clusters ($n = 2, 3$). Its main purpose is to study hydrogen chemistry on these clusters and to test the accuracy of the density functional method by comparing its results to those obtained by using the coupled cluster method CCSD(T).¹

The interest in hydrogen chemistry on gold clusters has been stimulated by the recent discovery that supported gold clusters catalyze the hydrogenation of ethylene, 1,3-butadiene, 1-butene,² acrolein,³ and the partial hydrogenation of acetylene to ethylene.⁴

Even more interesting is the partial oxidation of propylene to propylene oxide, by a mixture of hydrogen and oxygen, which is catalyzed by small Au clusters supported on TiO_2 .⁵ It is rather unusual that an oxidation reaction requires the presence of hydrogen, and the reason for this is not yet understood. About 30% of the hydrogen is converted to water, which is a waste of an expensive feedstock. Therefore, work that furthers our understanding of the role of hydrogen in this process may have practical consequences. Other reactions catalyzed by small gold clusters are described in several excellent review articles.

Most electronic structure calculations in the fields of catalysis or surface science are performed by using density functional theory (DFT). This theory, which has had notable successes in explaining a variety of observations,⁶ offers no *a priori* criterion for assessing the magnitude of the errors. These can be established only by comparison with experiments and/or higher level calculations. It is therefore prudent to test the accuracy of DFT, for each new system, before embarking on extensive predictive calculations on large systems of practical interest. This is especially important since

we have recently illustrated that DFT calculations do not account, qualitatively or quantitatively, for the adsorption of molecular oxygen on small gold clusters.⁷

Calculations and experiments on small gas-phase clusters play a central role in such comparisons. Negatively charged clusters are of interest because experiments on them are more readily available than on neutral clusters. Furthermore, they may play a role in catalysis by supported clusters, since the Au clusters tend to bind on oxygen vacancies⁸ that are electron rich⁹ and are chemically active.¹⁰ A very interesting example of the activation of a small gold cluster by contact with an oxygen vacancy on MgO is provided by the beautiful work of Sanchez *et al.*¹¹

Finally, note that most industrial catalysts use large clusters, containing thousands of Au atoms. It is not clear whether such large clusters are necessary, and small clusters may present an interesting alternative, especially since their properties change markedly when the number of atoms is changed or when they are electrically charged or alloyed. Thus, they offer more opportunities for performance optimization than very large clusters. Unfortunately, their use has been prevented so far by their propensity to coarsen and future uses of small clusters will have to solve this problem.

II. COMPUTATIONAL METHODS

The geometries determined using Hartree–Fock (HF) calculations were used as the initial structures for DFT and MP2¹² geometry optimizations. If, during HF geometry optimization H_2 did not bind to a Au cluster the optimization process was repeated with DFT and MP2 using a reasonable initial guess for the geometry. To ensure that the optimized geometries correspond to minima or transition states on their respective potential energy surfaces, the Hessian (matrix of

energy second derivatives) was calculated and diagonalized. A Hessian with n negative eigenvalues corresponds to an n th order saddle point. Therefore, a zeroth order saddle point is a minimum on the potential energy surface, and a first order saddle point is a transition state. Since even relatively simple potential energy surfaces can have several minima and transition states, the intrinsic reaction path (IRC) method,¹³ using the second order Gonzalez–Schlegel algorithm¹⁴ was used to connect the transition states with minima. This technique guarantees that one has found the correct transition state connecting two known minima. The optimized MP2 geometries were then used for single point CCSD(T) energy calculations. The energy gradients for geometry optimizations were evaluated analytically for all HF, DFT, and closed shell MP2 calculations. For open-shell MP2 geometry optimizations the gradients were calculated numerically.

To avoid spin contamination in the wave function, restricted open shell methods were used for all open shell calculations. For open shell second order perturbation theory the Z-averaged perturbation theory (ZAPT¹⁵) version of restricted MP2 was used. The ZAPT and the restricted RCCSD(T)¹⁶ method are based on a restricted open shell HF wave function that is free of spin contamination. In the MP2 and CCSD(T) calculations the $1s$ orbitals of oxygen and $5s$ and $5p$ core orbitals of gold atoms were not included in the correlation part of the calculation.

The restricted DFT method with the B3LYP¹⁷ functional was used to compare with the results of plane-wave-based DFT calculations and with the MP2 and CCSD(T) calculations described above. The B3LYP functional consists of five functionals, namely Becke+Slater+HF exchange and LYP +VWN5 correlation.

In all calculations the effective core potential (ECP) with scalar relativistic corrections developed by Stevens *et al.* (SBKJC)¹⁸ was used for the gold atoms. This ECP retains 19 explicit electrons. For geometry optimizations and Hessian calculations the basis set defined as “small” was used. This basis set consists of the Gaussian contracted SBKJC basis set¹⁷ augmented with one set of f polarization functions (exponent=0.89) and one s and one set of p diffuse functions (both exponents=0.01) centered on the gold atoms. The standard contracted 6-31+G** (Ref. 19) basis set was centered on each hydrogen atom. A “large” basis set was used for single point calculations on geometries optimized with the small basis set. The large basis set consists of the completely uncontracted SBKJC basis set augmented with a $3f/2g$ set of polarization functions (f function exponents =2.00, 0.84, 0.31; g function exponents=1.90, 0.69) and one s and one set of p diffuse functions (both exponents=0.01). The exponents of the polarization functions were optimized using MP2 calculations for atomic Au. The standard aug-cc-pVTZ²⁰ basis set was centered on each hydrogen atom. In all calculations spherical harmonic basis functions were used with five d orbitals and seven f orbitals.

For plane wave DFT calculations we used the Perdew–Wang (PW91)²¹ functional, and the revised Perdew–Burke–Ernzerhof (rPBE) exchange-correlation functional.²² The projector-augmented wave function pseudopotentials,²³ as implemented in the VASP program²⁴ was used. These

TABLE I. Bond distances R (Å), dissociation energies D_e (eV) and vibration frequencies ω_e (1/cm) of the Au₂ at different level of theory.

	Small basis set			Large basis set		
	R (Å)	D_e (eV)	ω_e (1/cm)	R (Å)	D_e (eV)	ω_e (1/cm)
HF	2.615	0.80	156	2.598	0.88	156
MP2	2.494	2.24	190	2.448	2.47	200
CCSD(T)	2.535	2.04	175	2.504	2.14	
B3LYP	2.562	1.98	167	2.539	2.03	168
PW-PBE ^a				2.54	2.22	
PW-PW91 ^b				2.528	2.27	
cp-CCSD(T) ^c				2.488	2.19	187
Experiment ^d				2.472	2.29	191

^aDFT with plane-wave basis set and PBE functional (Ref. 32).

^bDFT with plane-wave basis set and PW91 functional (Ref. 31).

^cCounterpoise-corrected CCSD(T) with all electron PJHNC-4f2g1h1i basis set (Ref. 29).

^dExperimental values (Ref. 28).

pseudopotentials retain 11 explicit electrons for gold atom. The plane wave cutoff energy was 250 eV. The fictitious effect of the dipole and monopole (for anionic systems) moments of periodic images were removed by the method of Makov and Payne.²⁵

The GAMESS²⁶ suite of programs was used for all calculations except for CCSD(T) and plane wave density functional calculations which were done using MOLPRO²⁷ and VASP,²⁴ respectively.

III. RESULTS AND DISCUSSION

Results for Au₂: In order to estimate the reliability of the methods and basis sets used in this paper, test calculations were carried out on Au₂. The values of the bond length, dissociation energy and vibrational frequency, calculated with different methods and basis sets, are presented in Table I. The HF method is clearly unable to correctly predict the dissociation energy of the gold dimer. The predicted HF dissociation energy is only $\sim$ one-third of the experimental value. The bond distance and vibrational frequency deviate significantly from the experimental values as well. These poor results are essentially unaffected by basis set. The B3LYP results are in significantly better agreement with experiment. As for the HF results, the B3LYP predictions are $\sim$ independent of the basis set size. However, the B3LYP dissociation energy is still smaller than the experimental value by 0.28 eV. The bond distance is too large and the vibrational frequency is too small.

As one might suspect, the MP2 and CCSD(T) values are much more sensitive to the basis set. The methods that include electron correlation require large basis sets, since electrons, trying to avoid each other, need more degrees of freedom in Hilbert space. The MP2 values obtained with the small basis set are in better agreement with experiment than those obtained using the large basis set. This is an example of the cancellation of basis set error with the error introduced due to the incomplete treatment of electron correlation. However, the MP2 values are much closer to the experimental ones than are the values obtained using B3LYP. Even using the small basis set, the CCSD(T) results are in very good

TABLE II. Small (large) basis Au_2^- and Au_2 binding energies (eV) for the first, E_{b1} , and the second, E_{b2} , H_2 molecule.

	MP2	CCSD(T)	B3LYP	PW-PW91	PW-rPBE
Au_2, E_{b1}	0.39 (0.69)	0.27 (0.55)	0.30 (0.42)	0.59	0.42
Au_2, E_{b2}	0.19 (0.37)	0.12 (0.29)	0.15 (0.24)	0.37	0.19
Au_2^-, E_{b1}	unstable		unstable	0.02	unstable
Au_2^-, E_{b2}	unstable		unstable	unstable	unstable

agreement with experiment, and they improve with the large basis set. The CCSD(T) values in Table I are in good agreement with experiment²⁸ and with the results of Hess and Kaldor calculated with the basis set counterpoise-corrected relativistic CCSD(T) method and a very large basis set.²⁹ Plane-wave DFT (PW91³⁰ and PBE³¹ functionals) gives reasonable results.

Binding energies of molecular hydrogen. The binding energies of the first and second hydrogen molecules to the neutral gold clusters, summarized in Tables II and III, were determined from

$$E_{b1} = E[\text{Au}_n] + E[\text{H}_2] - E[\text{Au}_n\text{H}_2],$$

$$E_{b2} = E[\text{Au}_n\text{H}_2] + E[\text{H}_2] - E[\text{Au}_n(\text{H}_2)_2].$$

Here $n=2, 3$ is the number of atoms in the specific gold cluster and $E[X]$ is the energy of the molecule X . The binding energies of the hydrogen molecules to the negatively charged gold clusters were determined in an analogous manner. If H_2 does not bind to a gold cluster as molecular hydrogen, it can still bind as two separate hydrogen atoms, as long as the reactants have sufficient energy to break the H–H bond.

All levels of theory predict that the first H_2 binds to neutral Au_2 (Table II). Using the large basis set, the MP2 (0.69 eV) and B3LYP (0.42 eV) binding energies bracket the CCSD(T) result of 0.55 eV. The plane wave PW-PW91 and PW-rPBE methods (0.59 eV and 0.42 eV, respectively) are in good agreement with CCSD(T). The second H_2 binds to Au_2H_2 with a smaller bonding energy than that of the first H_2 (cf. Table II). PW-PW91 predicts a very small (0.02 eV)

TABLE III. Small (large) basis $\text{Au}_3/\text{Au}_3^-$ binding energies (eV) for the first, E_{b1} , and the second, E_{b2} , H_2 molecule.

	MP2	CCSD(T)	B3LYP	PW-PW91	PW-rPBE
Au_3, E_{b1}	0.58 (0.90)	0.41 (0.71)	0.39 (0.52)	0.71	0.53
Au_3, E_{b2}	0.17 (0.34)	0.08 (0.25 ^a)	0.11 (0.18)	0.26	0.08
Au_3^-, E_{b1}	unstable		unstable	0.05	unstable
Au_3^-, E_{b2}	unstable		unstable	unstable	unstable

^aCCSD(T)/large values was estimated based on CCSD(T)/small, MP2/small and MP2/large values (see explanation in the text).

binding energy for the first $\text{H}_2 + \text{Au}_2^-$. All other methods find that molecular hydrogen does not bind to the negatively charged Au_2^- cluster.

To estimate the error introduced by using the small basis set for geometry optimizations, the geometries of Au_2H_2 were reoptimized using the large basis set. The difference in large basis set binding energies obtained using the small vs large basis sets geometries is only 0.02 eV. Geometry optimizations for all other complexes were therefore carried out using the small basis set. However, note that although the basis set effects on predicted geometries are small, there are significant basis set effects on the predicted binding energies. For all methods, the binding energies increase when the large basis set is employed.

The equilibrium geometries of the gold clusters with adsorbed hydrogen molecules are shown in Fig. 1. All complexes are planar. The two H_2 hydrogen atoms coordinate to the same gold atom, in a symmetric (C_{2v}) manner. The lengths of the H–H bonds increase by 0.05–0.10 Å, compared with free hydrogen molecule (0.734 Å). Addition of the first H_2 stretches the Au–Au bond length by ~0.04 Å. The effect of the second H_2 is much smaller, consistent with the smaller binding energy.

The binding energies of the first and second hydrogen molecules to the Au_3 clusters are given in Table III. Again, the negatively charged cluster does not bind molecular hydrogen. The binding energy of the first H_2 to the neutral Au_3 cluster according to CCSD(T)/large is 0.71 eV. It was not possible to carry out the CCSD(T) calculation of the binding energy of the second H_2 to the Au_3 cluster with the large

FIG. 1. MP2/large optimized geometries for lowest energy ground states of Au_2H_2 , Au_2H_4 , Au_2H_2^- , and Au_2H_4^- complexes. Bond distances are in angstroms.

FIG. 2. Reaction path for H₂ dissociation on neutral Au₂, with relative energies of minima and transition state. The energies calculated with the large basis set are in parentheses.

basis set because of the large number (nearly 400) of basis functions. However, the CCSD(T)/large results can be estimated from the CCSD(T)/small calculations and MP2 calculations with both basis sets, in analogy with the procedures used in the GN methods.³² Assuming that $E[\text{CCSD(T)/large}] - E[\text{CCSD(T)/small}]$ is the same as the corresponding MP2 energy difference, the relative CCSD(T)/large energy at the highest transition state is estimated to be 0.08 eV + 0.17 eV = 0.25 eV. The MP2 and B3LYP and PW-rPBE binding energies are in good and PW-PW91 values are in perfect agreement with the CCSD(T) values.

Dissociation of molecular hydrogen on gold clusters. The reaction path for dissociation of molecular hydrogen on the neutral Au₂ cluster is presented in Fig. 2. The energies of the transition state and reaction products are shown relative to the energy of the separated Au₂ and H₂. The values obtained from calculations using the large basis set are shown in parentheses. The relative energies of the transition state and reaction products obtained with most methods are in good agreement with each other. However, the PW-PW91 calculations underestimate the barrier by 0.21 eV and overestimate the stability of the product by 0.14 eV. Still, this should be considered reasonably accurate if the calculations are used for answering qualitative questions. The PW-rPBE method gives much better results than PW-PW91 for the

barrier and stability of the product. The PW-rPBE energy of the product is in perfect agreement with CCSD(T) and the barrier is underestimated by only 0.08 eV. Of course, this method has been parametrized for systems like the one under study; it is not clear how well the success seen here will carry over to other systems. The CCSD(T) activation energy for the dissociation of molecular hydrogen on the Au₂ cluster is 1.10 eV (0.55 eV above separated reactants). The Au₂ cluster with one bridging and one terminal hydrogen atom is 0.22 eV higher in energy than Au₂ with an adsorbed H₂ molecule, so the dissociation is predicted to be mildly endothermic.

All methods make the same qualitative predictions: H₂ binds to Au₂ and has a fairly high barrier to dissociation. The state with the dissociated hydrogen is metastable with respect to the adsorbed molecular hydrogen. The barriers are such that the adsorbed H₂ would much rather desorb than dissociate.

As noted above, while there is no stable Au₂⁻ · H₂ cluster, there is a stable Au₂H₂⁻ species in which the molecular hydrogen has dissociated. This is illustrated in Fig. 3. CCSD(T)/large predicts the largest barrier for this reaction (0.93 eV relative to separated Au₂⁻ and H₂). The transition state has an asymmetrically bound H₂ molecule with a sig-

FIG. 3. Reaction path for H₂ dissociation on negatively charged Au₂⁻, with relative energies of minima and transition states. The energies calculated with the large basis set are in parentheses. There is a shoulder instead of a minimum and transition state on the PW-PW91 and PW-rPBE reaction paths.

FIG. 4. Reaction path for H₂ dissociation on neutral Au₃, with relative energies of minima and transition states. The energies calculated with the large basis set are in parentheses. CCSD(T)/large values were estimated based on CCSD(T)/small, MP2/small, and MP2/large values (see explanation in the text).

nificantly stretched (1.085 Å) H–H bond, compared with free hydrogen molecule (0.734 Å). There is one very shallow minimum and one very low energy transition state on this reaction path. The transition state is only 0.01 eV higher in energy than the minimum and therefore may not exist. The presence of these stationary points illustrates that even such a seemingly simple reaction can have a nontrivial potential energy surface. However, these local minima and transition state probably do not play a significant role in the mechanism of H₂ dissociation. The PW-PW91 and PW-rPBE DFT methods predict the existence of a shoulder on this reaction path instead of a very shallow minimum and transition state with very small activation energy. Interestingly, for this reaction PW-rPBE gives a barrier that is in better agreement with the CCSD(T) result than the PW-PW91 barrier. However, the PW-PW91 energy of the product relative to reactants is closer to the CCSD(T) value than is the PW-rPBE product energy. Both functionals underestimate the barrier and stability of the product.

The dissociation of H₂ on the Au₃ cluster (Fig. 4) is more complex and characterized by several minima and transition states. Because of the large number of basis functions and the low symmetry of some of the stationary points, it was not possible to carry out open-shell CCSD(T) calculations with the large basis set for all of these species. However, the CCSD(T)/large energies of Au₃H₂ can be estimated using the same procedure as was used to estimate the binding energy of the second H₂ to the Au₃ cluster. The dependence of the results on the size of the basis set is quite significant for this reaction. All methods except PW-PW91 are in good agreement with each other. The PW-PW91 and PW-rPBE potential energy surfaces appear to be too flat.

After bonding to the Au₃ cluster, with a binding energy of 0.71 eV, H₂ can dissociate through a symmetric transition state with an estimated CCSD(T) activation energy of 0.18 eV. However, the minimum on the potential energy surface that corresponds to two hydrogen atoms, symmetrically attached to one gold atom, is almost isoenergetic with this transition state. Therefore, the lifetime of a Au₃ cluster with two hydrogen atoms attached to one gold atom is likely to be very small. In order to form the Au₃H₂ complex with dissociated hydrogen and a significant lifetime, the reaction must

proceed further through an asymmetric transition state towards the complex with one bridging and one terminating hydrogen atom. The estimated MP2 and CCSD(T) activation energies for this reaction, with respect to the Au₃H₂ complex with molecular hydrogen, are 0.69 and 0.59 eV, respectively. It is important to point out that these values do not depend on the basis set used. For comparison PW-PW91 and PW-rPBE values are 0.30 eV and 0.28 eV, respectively.

The mechanism of H₂ dissociation on the negatively charged Au₃[−] cluster is shown in Fig. 5. Molecular hydrogen does not form a stable complex with this gold anion. The CCSD(T)/large barrier for the H₂ dissociation is 1.39 eV. B3LYP, MP2, and CCSD(T) give very similar values for the barrier, whereas the PW-PW91 and PW-rPBE values are again too low.

Finally, in order to explore the possibility of other bridging structures on the small gold clusters, MP2 calculations were performed to search for double-bridged structures that might correspond to minima on the potential energy surfaces of H₂ with neutral and negatively charged Au₂ and Au₃ clusters. No such local minima have been found. Similarly, for Au₃ and Au₃[−] no stable complexes with H atom coordinated to all three gold atoms were found.

IV. SUMMARY

It has been shown that one and even two H₂ molecules easily bind to neutral Au₂ and Au₃ clusters. According to the best CCSD(T) calculations, the activation energies for dissociation of H₂ adsorbed on neutral Au₂, and Au₃ clusters are 1.10 eV and 0.59 eV. On the other hand, the activation energies with respect to separate Au clusters and H₂ molecules are only 0.55 eV and −0.12 eV (transition state is lower in energy than separated Au₃ and H₂). This means that some H₂ molecules can dissociate on these clusters directly without formation of weakly bound H₂–gold cluster complexes. But since these weak complexes correspond to global minima on the potential energy surfaces, their population at equilibrium should be significant.

Molecular hydrogen does not form stable complexes with negatively charged Au₂[−] and Au₃[−] clusters. The dissociation barriers for H₂ on these clusters (with respect to the

FIG. 5. Reaction path for H_2 dissociation on neutral Au_3^- , with relative energies of minima and transition states. The energies calculated with the large basis set are in parentheses.

separate cluster and H_2) are 0.93 eV (Au_2^-) and 1.39 eV (Au_3^-). Since the energies of Au_2^- and Au_3^- with adsorbed dissociated H_2 are 0.49 eV and 0.96 eV, respectively, lower than the energies of separated H_2 and gold clusters, formation of these adsorbed complexes is possible if the reactants have sufficient energy to overcome the barriers and if collisions with an inert gas dissipate the adsorption energy.

It is interesting to compare the adsorption characteristics of H_2 vs O_2 to small gold clusters. In contrast to oxygen adsorption⁷ the DFT methods perform reasonably well for predicting geometries and hydrogen adsorption on small gold clusters, at least with regard to the prediction of binding energies. The plane wave basis set with the PW91 and rPBE functionals, predicts binding energies that are very similar to the CCSD(T) values with the large Gaussian basis set. However, the PW-PW91 and PW-rPBE methods tend to underestimate activation energies.

The small basis set used here appears to be sufficient for optimization of the geometries and calculations of the relative energies of minima and transition states. For quantitative prediction of binding energies of H_2 to gold clusters it is necessary to use the large basis set, as there are significant basis set effects. The small basis set appears to be sufficient for predicting the values of O_2 binding energies.³³ The difference may be associated with the small number of Gaussian functions on hydrogen atom in the 6-31G(d) basis set.

The molecular hydrogen adsorption on small gold clusters is very different from that of molecular oxygen.⁷ H_2 coordinates to gold clusters with both hydrogen atoms, whereas, only one oxygen atom of O_2 coordinates to a gold cluster. Molecular hydrogen does not bind to negatively charged Au_n^- clusters. In contrast, oxygen binding mostly depends on the presence of unpaired electrons on the gold clusters, and is associated with partial electron transfer from the gold cluster to O_2 . Of the clusters studied in this paper, only neutral Au_3 can bind individual H_2 and O_2 molecules, with O_2 and H_2 binding energies of 0.17 eV and 0.71 eV, respectively. H_2 binds to neutral Au_2 , while O_2 does not. On the other hand, O_2 binds to Au_2^- , while H_2 does not. Therefore, one can speculate that simultaneous adsorptions of O_2 and H_2 are possible only on neutral clusters with an odd number of gold atoms. Exploring the validity of this hypoth-

esis and its relevance to catalytic properties of small gold clusters will be the focus of future work.

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