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# FOCAL II: PAPERS FROM THE FOURTH INTERNATIONAL CONFERENCE ON AUSTRONESIAN LINGUISTICS

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eds



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## FURTHER THOUGHTS ON OCEANIC SUBGROUPING

George W. Grace

My involvement with Oceanic languages, which began 30 years ago, was associated from the outset with the problem of subgrouping. It came about because some anthropologists who were interested in reconstructing the culture history of the Pacific area wanted an account of the genetic relationships within the Austronesian family and particularly within the Oceanic branch of the family. My remarks here will be limited to the Oceanic languages although the approach which I follow should be applicable to the rest of Austronesian, or for that matter, to any other language family.

I have avoided saying that what these anthropologists wanted was a 'family tree' or a 'subgrouping', although I am sure that they would have found either characterisation acceptable. I have chosen instead the more general statement 'an account of the genetic relationships' to indicate that I think their needs could have been satisfied with some other kind of account.

In fact, work going back as far as Isidore Dyen's lexicostatistical classification of the Austronesian languages (Dyen 1965) has recognised patterns of relationship different from those recognised in the classical formulation of the family tree model. Recognition of such relationships has appeared regularly in recent discussions by Paul Geraghty, Andrew Pawley, and most of the other scholars who have been involved in research on genetic relationships among Oceanic languages. However, most of these discussions have continued to use family tree terminology. That is, such terms as "proto-language" and "subgroup" have been used, but in loosened senses. What I want to suggest here is that the real lesson in all of this recent work is precisely that the family tree model is not adequate to represent the genetic relationships among the Oceanic languages. And I suggest furthermore that by continuing to use the family tree terminology we inhibit ourselves from realising the full benefits of this lesson.

What we are concerned with are the cases where a given member of the Oceanic group is more closely related to some of its co-members than to others. The established practice has been to conceive of these closer relationships in terms of subgroups in a family tree. But, as I will try to show here, it is possible to separate the concept of closer relationship from the concept of subgrouping and the assumptions of the family tree model. Accordingly, it will be possible to claim that two members, A and B, of a language family are in a closer relationship with each other than with some other member, C, without claiming that there

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is a well-defined subgroup of the family to which those two belong. To say that A and B are in a closer relationship as opposed to C will mean nothing more than that they have undergone one or more innovations in common which C has not undergone.

Let me begin by recalling that the family tree model is not the only recognised model of linguistic differentiation. In fact, it is a standard practice in textbook treatments of linguistic differentiation to present the family tree (Stammbaum) model of August Schleicher and the wave (Wellentheorie) model of Johannes Schmidt as two competing models, neither of which has succeeded in displacing the other.

Now there is in this what seems to me to be a strange contradiction. It seems to be accepted as a truism that the wave model corresponds to the actual process of differentiation more accurately than does the family tree model. On the other hand, when someone wants an account of the genetic relationships within a language family, the automatic expectation seems to be that the answer is to be expressed in terms of the family tree model. It is appropriate to ask why that should be so.

In what follows I will briefly consider some factors which may help explain the popularity of the family tree model. Then I will try to show that the wave model is indeed a more accurate representation of the kinds of events which must have led from the Oceanic proto-language to the contemporary linguistic situation. Finally, I will attempt a very rough account, based on the wave model, of the probable historical relationships among the Oceanic languages.

### ATTRACTIONS OF THE FAMILY TREE MODEL

The question is: If the family tree model so seriously fails to reflect the actual historical relationships, why has it persisted in use to the extent that it has? I cannot attempt to give a complete answer, but I can make a few suggestions.

I believe that there are probably two considerations which have tended to encourage its use. One consideration which has surely been significant is that it has a visually attractive product. A family tree offers the great convenience of being two-dimensional, it can be printed on a single sheet of paper, and it can be easily read. This is certainly a very attractive feature of the approach. However, I would suggest that this aesthetic appeal is bought at the cost of accuracy.

A second consideration, I suspect, is that this approach to linguistic relationships heightens the analogy between genetic linguistics and evolutionary biology. The point of analogy which has always seemed to me to be particularly striking is the parallel role attributed to particular key evolutionary units in each. The units of which I am speaking are, respectively, the species in biology (as contrasted with subspecies or races) and the individual language in linguistics (as contrasted with dialects, etc.).

What is of such crucial importance about these units is that they are supposed to represent the point-of-no-return in the process of differentiation. Evolutionary biology (or, respectively, historical linguistics) traditionally makes the following assumptions. Subspecies (or dialects) may arise through natural differentiation, but unless they are physically isolated from the other subspecies (or dialects), gene flow (or the spread of innovations) will continue

among them. As long as this gene flow (or spread of innovations) continues, a levelling of the differences remains possible.

However, there is assumed to be a sort of biological (or linguistic) Rubicon which is occasionally crossed. This Rubicon-crossing is supposed to occur when the subspecies boundary is elevated to the status of a species boundary (or when a dialect boundary is elevated to the status of a language boundary). From this point on, the newly established unit - the species or the language - has become irreversibly separate from its relatives. Gene flow (or the spread of linguistic innovations) is no longer possible. 4

My objection to this biological analogy is that, as far as linguistics is concerned, it is a false picture. I do not believe that there is any such Rubicon-crossing between dialect differences on the one hand and language differences on the other. I would propose a different picture of the process of differentiation.

I would say that any local speech variety will produce linguistic innovations. Some innovations will spread from one variety to its neighbours. Two conditions are necessary if an innovation is to spread from one variety to another. First, there must be some communication between the speakers of the two varieties, and second, the receiving variety must have the necessary linguistic characteristics. The reason why particular linguistic characteristics may be required is that, if the particular innovation consists of a change undergone by some particular feature of a linguistic system, only a system which possesses that feature in the first place will be capable of undergoing the diffused innovation. <sup>5</sup>

In short, each variety may be expected to originate some innovations. Innovation in itself is a differentiating factor. That is, each innovation will at first differentiate the originating variety from the other members of the family. However, some innovations will diffuse between varieties. Diffusion has the effect of partially offsetting the differentiating effect of innovation. The amount of diffusion between two varieties will decline as the amount of communication between them declines (other things being equal; that is to say, provided the sociological circumstances of the relation between the two remains constant). One important influence on the amount of communication will generally be the geographical distance which separates them.

The amount of diffusion may also be expected to decline as the linguistic similarity between the two declines. That is, it will decline in the measure that what we may call their "systemic compatibility" declines. However, this effect is a very gradual one; there is no single Rubicon-crossing step in the process.

#### THE NATURE OF THE PREHISTORIC EVENTS TO BE RECONSTRUCTED

As I said, I believe that the wave model permits a more accurate representation of the kinds of events which led from the Oceanic proto-language to the contemporary state of affairs. Of course, we have no direct evidence of those events, but I believe that we would find ourselves in approximate agreement as to their general nature. And I believe that our model of linguistic relationships should be compatible with the events by which those patterns of relationship were produced.

I would imagine that most students of the field would agree that, starting from the time when Proto-Oceanic was being spoken in its original homeland, the most likely pattern of spread would have been something like this: From very early some dialect differentiation along geographical lines would have been apparent. However, the differentiation, except in rare cases where communication was completely cut off, would have been in part counterbalanced by the spread of innovations. The pattern of spread would have conformed essentially to the classical wave model.

I would suggest that a typical event in the spread of Oceanic would have been for a group of people, usually from somewhere near the periphery of the area then occupied by Oceanic, to move out and establish a new settlement in some place beyond the old periphery.

Let us imagine this situation. Before the migration, our migrants-to-be live in an area in which some linguistic differentiation has already occurred. The nearest village, both geographically and linguistically, to the village of the migrants-to-be speaks what I will call the "neighbour variety". The actual migrants make up only a part of the population of their village and their speech community. Those who remain behind will be called the "stay-at-homes". Thus, at the time of the migration, the migrant and stay-at-home varieties are identical. 8

Now let us consider the linguistic situation which develops after the departure of the migrants. We may imagine that from this time on the stay-at-homes will share more innovations with the neighbours (because of their relative accessibility) than they do with their departed next of kin, the migrants. The situation which eventually develops is one which is ambiguous in the family tree framework. The stay-at-homes share features (innovations of the period prior to the departure) with the migrants. These shared innovations suggest that the stay-at-homes subgroup with the migrants. But the stay-at-homes also share innovations (those of the period subsequent to the departure) with the varieties which we are calling the "neighbours". These sharings appear to constitute evidence for a subgroup consisting of the stay-at-homes and the neighbours as opposed to the migrants. In fact, there is no clear basis in what I have told you for determining which subgrouping should be judged to be correct.

As I understand it, the traditional basis for deciding such questions relied on the old assumption that something of particular importance had occurred when what had been a dialect boundary was elevated to the status of a language boundary. That is, it relied on the "Rubicon-crossing" of which we have spoken. The key question would be which boundary, that separating the migrants from the stay-at-homes or that separating the stay-at-homes from the neighbours, first attained the level of a language boundary, as opposed to a dialect boundary. As I have explained, I believe that that is a misconceived question, and therefore I do not want us to have to be concerned with it.

#### A STRATEGY BASED ON THE WAVE MODEL

Rather than attempt an extended critique of the family tree model here, I will focus instead on four characteristics that I believe are desirable in a strategy for determining the relationships within a language family, and which I will accordingly incorporate in my proposed wave-based model. They are as follows:

(1) We always tacitly assume that the geographical distribution of the languages should correspond in some measure to their genetic linguistic relations. It seems reasonable to expect geography to provide leads and it seems sensible to attempt to make use of them.

I proposed above that the most likely kind of event in the spread of Oceanic languages would have been for a group of people from the then periphery of the Oceanic-speaking area to establish a new settlement somewhere beyond the old periphery. It seems also to be likely that most of these moves would have been short - that the destination would have been an area which was known beforehand by the migrating group and which was not very difficult of access. I propose therefore what I will call the "principle of shortest moves". This principle is that in the absence of evidence to the contrary, it is to be assumed that each new Oceanic settlement was made from the geographically closest Oceanic-speaking place then in existence.

This principle may be generalised to say that, in the absence of evidence to the contrary, the relative linguistic closeness of any two varieties corresponds to the relative geographical proximity of the locations in which they are spoken. The task of the comparative linguist will then become that of identifying cases where varieties are out of place - where the linguistic relations do not conform to their geographical location - and of providing supporting arguments.

- (2) We want our strategy to permit us to escape the assumption that language boundaries that is, the boundaries which separate different languages are different in kind from dialect boundaries. Therefore, in the approach which I propose one does not need to talk about languages at all. Instead, I will speak of "local varieties". For present purposes I think it will be sufficient to think of a local variety as that which is spoken in one settlement e.g. a village.
- (3) It is desirable to design our strategy so that the allocation of burdens of proof is symmetrical. What I mean is this: in the framework of the family tree model the conventions of argumentation all seem to concern argumentation for one kind of claim the claim that some subset of the languages of some language family constitute a subgroup. The most often cited statement on the evidence required is that of Karl Brugmann (1884:253) that the proof of a subgrouping consists of a mass of common innovations, phonological, flectional, syntactic, and lexical a mass so great as to exclude the thought of accident.

There seems to be no comparable discussion of what is required to prove the opposite claim, i.e. that a particular set of languages do not constitute a subgroup. The only strategy available to whoever wants to argue against such a grouping is to try to create doubt about whatever evidence has been adduced or seems likely to be adduced in support of the grouping. We might therefore speak of the kind of evidence appropriate to support a claim that a subgroup exists as positive evidence and that appropriate to argue against its existence as negative evidence. I call it negative evidence because it can be seen as evidence at all only in relation to, and in reaction against, some adduced or anticipated positive evidence.

Where this difference between the kinds of evidence exists, it is impossible to measure out the burden of proof in equal proportions. That is, it is impossible to design the rules of play in such a way that the requirements of one who would argue for a subgrouping and one who would argue against it are equally rigorous.

In the strategy which I will propose there will be no difference in the kinds of evidence required to support counterclaims as compared to that supporting the original claim.

(4) In Grace 1985 I tried to show that we ordinarily make the tacit assumption that languages which are closely related will be generally similar to one another. As a consequence any perceived lack of similarity between varieties is likely to be construed as prima facie evidence that they are not closely related to one another. I tried also to show there that such a tacit assumption rests on another tacit assumption - that the rate at which languages change is approximately constant. I pointed out that there are serious reasons to doubt that that is true.

In view of these considerations, we do not want our strategy to involve any assumption of gradualism, overt or hidden. That is, we assume that rates of change and the cumulative effects of change may differ widely from one instance to another.

#### APPLICATION OF THE WAVE-BASED STRATEGY

There are a few points which I would like to mention before presenting the results of the application.

First, my own experience convinces me that it is very useful to recognise groupings which consist of chains of most closely related varieties but which may not be subgroups in the strictest sense. That is, they may not be subgroups in the sense that they may not be descended from a proto-language with all of the necessary qualifications. A proper proto-language according to family tree theory must have been a homogeneous entity in the sense of being characterised throughout all of its dialectal range by the same set of linguistic innovations. In addition, it must have been set off by full-fledged language boundaries from any other varieties.

The kind of groups which I am proposing are what I called "subgroups" in the so-called "subgrouping" which I published in 1955 (Grace 1955). Those were intended to be simply chains of varieties such that each chain was completely set off by boundaries from all outside varieties. Thus, it was required that the closest relative of each member variety was another member but not that each member must be more closely related to every other member than to any non-member. I find it very difficult to get along without some such linguistic units, so I will implicitly recognise them here.

Second, we will often encounter gaps in the geographical distribution of the linguistic units (i.e. varieties or chains of varieties) belonging to the family. A gap may be occupied by languages not belonging to the family or it may be unoccupied - that is, it may be uninhabited land or, especially in the ocean area with which we are concerned here, water. Where there are gaps, we may speak of the distribution as being broken up into different linguistic 'islands'. That is, an island will be an area which is occupied throughout by Oceanic linguistic varieties, and which is separated from all other Oceanic varieties by geographical gaps.

The existence of such islands will naturally lead to the question of where each island fits in relation to the others. I expect that in actual practice one of the islands under consideration at a particular time will usually be seen as the matrix island - or 'homeland' - in relation to the others, and that the

problem will turn out to be that of placing the other islands with respect to this matrix island. In accordance with the principle of shortest moves which I described above, I will assume that closeness of relationship corresponds to geographical distance even where such gaps intervene. Thus, the assumption in the absence of contrary evidence will be that even if the closest relative of a particular linguistic unit is not within the same island, it will still be the geographically closest unit - i.e. the unit separated from it by the smallest gap.

The most important kind of question which will arise is whether there are any units which are geographically out of place. That is, are there any cases where varieties or subgroups of different geographical proveniences have come to be juxtaposed by secondary migrations? I will discuss the evidence bearing on questions of this sort below, but first, I want to lay out my tentative hypotheses about Oceanic linguistic prehistory.

#### HYPOTHESES ABOUT OCEANIC LINGUISTIC PREHISTORY

A few of the hypotheses which are suggested by the proposed wave-based strategy are as follows:

- (1) It is hypothesised that the Oceanic homeland was in Irian Jaya (Indonesian New Guinea) in the region including the Sarmi coast and probably the Kumamba Islands. This hypothesis is based on the assumption that the homeland of Oceanic must be the Oceanic area which could have been reached by the shortest move from the area in which the most closely related non-Oceanic Austronesian languages are spoken.
- (2) Turning to the areas most remote from this homeland and therefore presumably the last reached by Oceanic speakers, we may, first of all, accept the conclusions of various investigators that the Polynesian settlement results from successive migrations from central Vanuatu to Fiji, thence to Rotuman and also to Polynesia, with the subsequent spread throughout Polynesia and back to the Outliers.

However, the genetic relations of most of the other remote groups have been more problematic. Here are the hypotheses which the principle of shortest moves yields for the languages and groups at the far end of the Melanesian chain, namely the languages of New Caledonia, the Loyalty Islands, Southern Vanuatu, Central and Northern Vanuatu, and the Santa Cruz Islands:

- (a) New Caledonia was presumably settled from one of the Loyalty Islands.
- (b) Each of the Loyalty Islands was presumably settled from southern or central Vanuatu or from another of the Loyalty Islands (if we were to apply the principle of shortest move strictly, we would have to hypothesise that Mare was settled from Aneityum, and that Lifu was settled from Mare, and Uvea from Lifu. The main island of New Caledonia would then have been settled from Uvea or Lifu).
  - (c) Southern Vanuatu was presumably settled from central Vanuatu.
- (d) Vanuatu was presumably settled from the south-eastern Solomon Islands (probably San Cristobal).
- (e) The Santa Cruz Islands (at least as far as the Oceanic languages there are concerned) were presumably settled from San Cristobal or thereabouts.

(3) Perhaps the most conspicuous problem concerns the Oceanic but non-Polynesian languages of Micronesia, which I will just call the 'Micronesian' languages. They presumably reflect a movement from somewhere in the Melanesian chain to somewhere in Micronesia. The closest geographical distance between Micronesian and Melanesian (i.e. Oceanic, but not Micronesian or Polynesian) languages is between the Mortlocks and the St Matthias Islands. However, both Micronesia and Melanesia are large areas, and the distances between them at several different points are very roughly equal. Therefore, it is difficult to make any decision on geographical grounds alone.

Micronesian internal relationships suggest, on the basis of linguistic diversity, that the original settlement of Oceanic speakers in Micronesia was in the eastern part of the area - somewhere in the area from Ponape to Kiribati. The closest points in that area to Melanesian languages are Nauru and Ocean Island. The closest Melanesian languages to Nauru and Ocean Island are in the Solomons (Malaita and Isabel) and the Santa Cruz Islands. The very shortest distance would be that separating Nauru, in Micronesia, and Malaita in Melanesia.

If we were to make these assumptions: (1) that the original Oceanic settlement of Micronesia was most likely in eastern Micronesia, and that the most likely point is the closest point to Melanesia in eastern Micronesia - namely Nauru, and (2) that the most likely point of origin is the geographically closest point to Nauru in which a Melanesian language is spoken (that is, if we apply the principle of shortest moves), then the hypothesis would be that people from Malaita (or possibly Isabel, Choiseul, Santa Cruz, or thereabouts) settled on Nauru (or perhaps Ocean Island), and that thence they gradually spread throughout Micronesia.

I should point out at once that the principle of shortest moves does not seem to constitute a very weighty argument where all possible distances are quite long as in this case. However, the hypothesis is made more interesting by the fact that Robert Blust (1984) has recently found some, admittedly skimpy, evidence linking Micronesian with the languages of Malaita.

Most of these hypotheses have not been proposed before, as far as I know. That concerning the position of Fijian and Polynesian is the most noteworthy exception. In addition, Blust has, as I mentioned, suggested a possible connection between Malaitan languages and Micronesian. Finally, one might want to count the hypothesis of the settlement of southern Vanuatu as an exception since I personally have always thought that the southern Vanuatu languages would turn out to be most closely related to other Vanuatu languages.

I am not aware of any previous suggestions about where any of the other groups fit in. What the present approach has done is put forward some relatively specific hypotheses. These are not based on linguistic evidence, of course, but then no one seems to have been able to find any linguistic evidence in those cases. What these hypotheses can claim to be, therefore, are the best guesses available in the absence of linguistic evidence. But they might possibly have one further merit; they might provide a specific focus for a future search for linguistic evidence.

Let me say just a few further words about how this approach would reconstruct the history of the spread of Oceanic throughout Melanesia. It suggests that, from the original homeland on the Sarmi coast, Oceanic languages must have spread eastward along the coast of New Guinea. When they reached the Sepik Province of Papua New Guinea, there was presumably a split. One offshoot would have gone north to Wuvulu-Aua and thence eastward through the Admiralties to Emira-Mussau

(St Matthias) and New Ireland. It was presumably this prong of the advance which reached the Solomons - perhaps via Nissan - and thence, as we saw, moved on to eastern Melanesia and Polynesia.

From the Sepik Province, the other prong would have continued eastward along the coast and offshore islands such as the Schoutens. Again there must have been a split on or around the northern coast of Morobe Province with one branch going to New Britain<sup>9</sup> and the other continuing down the coast to provide the Oceanic languages of Papua.

Some parts of these latter hypotheses have been proposed before, and some supporting evidence for some of them has been published. However, some of the seemingly most significant of them are entirely new, and again, I can only suggest that they represent best guesses in the absence of linguistic evidence and possible foci for a future search for linguistic evidence.

#### HOW HYPOTHESES OF THIS KIND CAN BE DISPROVED

What kind of argumentation is possible against a claim made in this proposed new game? The possible attacks seem to be of two kinds. One would be to show that I have made mistakes. For example, it is not impossible that I have made mistakes in determining the distances, and that some of the moves which I have proposed are not actually the shortest possible.

The other kind of attack, and this is the most interesting case, would involve disproving one or more of my purported moves by showing that the geographical proximity on which the postulated move was based is the result of a secondary juxtaposition - i.e. that it involves a move other than the minimal sequence of shortest moves needed to account for the present distribution of Oceanic languages. But how may one go about proving this?

First of all, one obvious kind of argument is excluded by one of the assumptions which we have adopted. That assumption is that linguistic change might be greater in some times and places than in others. The main practical consequence of this assumption is that we cannot base a claim against closeness of relationship on the negative grounds of linguistic dissimilarity between the linguistic units involved. We are, therefore, left with the following possibilities in a case where a unit A is supposed on the basis of its geographical proximity to have a unit B as its closest relative:

- (1) Possibly upon closer examination, A can be shown to share innovations exclusively with B or with B and a few of B's close relatives. If so, that is evidence that the geographically-based hypothesis was right, after all.
- (2) Possibly A can be shown to share innovations exclusively with other units geographically and linguistically remote from B. If so, that is evidence that some unforeseen migration has brought A secondarily into this geographical juxtaposition with B. In this case, A's true next-of-kin will have been identified.
- (3) Possibly A can be shown to have retained features of an earlier protolanguage which have been *replaced* in some of the innovations which are shared exclusively by B and some of B's closest relatives. If so, that is evidence at least that B's relation to A is more remote than its relation to the languages which share those innovations. This would be at least compatible with A's location being a secondary juxtaposition.

#### CONCLUDING REMARKS

This scheme is being put before you as a serious proposal. Of course, it will require much further testing before a final evaluation of its usefulness can be made, but its underlying assumptions appear to be intuitively sound and it seems likely to provide valuable research leads. Furthermore, the application of it which I have attempted here has generated a whole series of more or less novel hypotheses.

I believe that it offers two important advantages over our present strategy. The first is that it provides an alternative to the family tree with the latter's reliance on the concept of the subgroup. The difficulty with the subgroup concept is that the kind of discrete and homogeneous entities that are required as proto-languages for the subgroups must surely have occurred only sporadically in the history of any language family. Any strategy for determining the genetic relations among a group of languages which is prevented from recognising any relationships except those which can be expressed in terms of such subgroups seems destined to provide very unsatisfactory results.

The other advantage which it offers is that it makes reasonable hypotheses about genetic relationships available for use even before all of the linguistic evidence has been compiled. These hypotheses should be of value to the culture historian as well as to the linguist. I say that the hypotheses are reasonable because I believe that in most cases it will turn out to be true that the nearest relative of a particular variety will be the variety which is geographically closest to it, as the theory holds. Moreover, I believe that in the majority of cases where the closest relative turns out not to be the nearest geographical neighbour, our hypothesis will prove still not to have been wrong by very much. That is, I predict that in most such cases the closest relative will still be relatively near, and that the nearest neighbour will turn out to be a fairly close relative. In short, I predict that the hypotheses will usually be right, and that when they are wrong, the error will most often amount to no more than a minor imprecision.

I believe that it deserves the serious consideration of both culture historians and linguists.

#### NOTES

- This is a slightly revised version of the historical linguistics keynote address delivered on August 16, 1984, to the Fourth International Conference on Austronesian Linguistics, Suva, Fiji.
- In fact, the 'network-breaking' model of linguistic differentiation proposed in Pawley and Green 1984, comes quite close to that proposed here.
- 3. The idea of defining closer relationship as a relation holding between individual languages independent of claims about subgrouping as such probably was first suggested to me by Isidore Dyen. Dyen (1953:580) wrote:

In contradistinction to Brugmann's usage, closer relationship can be used as a broad term to include both membership in the same dialect area of the proto-language as well as membership in the same subgroup, where a subgroup implies a private proto-

language which was one of the languages resulting from the differentiation of the proto-language of the whole family. In this sense a closer relationship is indicated by any exclusively shared innovation.

4. Actually, there is one qualification which must be made in the case of language boundaries. It is generally acknowledged that lexical items may diffuse across language boundaries. However, it is customary to think of the lexicon of a language as more nearly an accidental accumulation than as contributing to the essential character of the language. Therefore, this qualification is generally not regarded as a serious one.

I think that this sharp distinction between lexicon and grammar (or whatever the other parts of language dealt with in linguistic descriptions are to be called) is a misleading exaggeration. See my discussion of the process of differentiation just below.

- I presume that this requirement of systemic compatibility is the source of the idea that only lexical items can be borrowed from one language to another.
- 6. Not nearly enough is known about this, but as John Wolff has reminded me, there are as many different kinds of language contact situations as there are kinds of possible sociological relations between the languages or varieties in contact. Each different kind of contact situation is likely to manifest a different pattern of diffusion. In the model I am describing I assume the sociological relations to remain unchanged.
- 7. The suggestion that the amount of diffusion will be in some inverse relationship to the degree of "systemic compatibility" again assumes everything else to be equal. Furthermore, it should not be understood as claiming that very great structural differences cannot be levelled given favourable circumstances and sufficient time.
- 8. The same problem would arise if, instead of being linguistically identical at the beginning, the varieties spoken by the migrants and the stay-at-homes were simply more closely related to each other than to that of the neighbours, as long as there was enough systemic compatibility between stay-at-home and neighbour varieties to permit fairly free diffusion of subsequent innovations.
- 9. The Tolai area of New Britain was surely settled by the Oceanic prong which passed through New Ireland. Therefore, Oceanic languages entered New Britain from both ends. My guess at this point would be that all present-day Oceanic languages in New Britain except the varieties of Tolai are traceable back to the settlement from the Morobe coast.

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#### ON THE NATURE OF SUBGROUPING ARGUMENTS

#### S.P. Harrison

A warrant is a theoretical premise governing arguments in scientific discourse, a premise that licenses the move from data to conclusions in a particular domain. The warrant can be viewed as the major premise in an argument whose minor premise is a datum or data and whose conclusion is an inference from that datum or data. The warrant, then, is a means of evaluating the strength of particular data as evidence for a particular conclusion. An acceptable warrant need not, in any strong sense, be one that has been independently verified. Within a theory it may have axiomatic status, the status of a rule by which the game is played. In order to be judged minimally acceptable, however, it seems to me that a warrant:

- must be consistent with other premises on the theory, unless appropriately qualified
- ii) must be relevant to the data used
- and iii) must entail a deductive argument form, in which the conclusion is valid.

It is my contention that the warrants currently employed, explicitly or, more often, implicitly, in the subgrouping enterprise fail one or more of these criteria of consistency, relevance, or validity.

I will begin\* by distinguishing two types of genetic argument in comparative historical linguistics. An *in vacuo genetic argument* is an argument that the members (>2) of some set D of languages do or do not share a common ancestor. A *subgrouping argument* is an argument that the members (>2) of some proper subset S of a set D of genetically related languages share a common ancestor and that no members of the complement of S in D are descended from that ancestor.

The major warrant for in vacuo genetic arguments is given by Anttila (1972: 302) in the following form:

(1) If two or more languages share a feature which is unlikely to have occurred spontaneously in each of them [or been borrowed into one or more of them - SPH],<sup>2</sup> this feature must have arisen once only, when the languages were one and the same.

The property 'share a feature' requires interpretation. In what is termed the comparative method, the shared features (or similarities) are represented as relations (or correspondences) among component segments of signantia (with similar signata) in the languages compared. The method requires that these relations (correspondences) be identical for all the relevant signantia compared. This requirement is usually termed the regularity principle (see further below).

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The above warrant suggests a classic argument form, a dilemma or disjunctive syllogism, by which, in this case, one demonstrates genetic relatedness by successively ruling out borrowing, chance, and iconism as accounts of shared similarities. In principle, each of the steps in this argument requires its own (minor) warrant. Iconism is ruled out a priori, however, by filtering the data for comparison, such that only similarities among signantia related symbolically (by an arbitrary convention) to their signata count as evidence. If one, in addition, wanted to rule out chance at this stage in the argument, as it seems to me some comparativists do, a minor warrant of the following form would be required:

(2) Similarity (regular correspondence) among symbolic signantia is unlikely to be due to chance.

The identification of borrowings is a less trivial matter. Except in cases of massive borrowing (such as reported in Biggs 1965), many borrowings will show up as irregular correspondences. This observation can be incorporated into a revised version of (2) above:

(3) Regular correspondence among symbolic signantia is unlikely to be due to either chance or borrowing.

Having thus filtered out iconism, chance, and borrowing as sources of similarity, one can employ the remaining data as evidence that the languages compared are genetically related. (Other considerations bearing on the cognacy (genetic relatedness) of signantia, for example, similarity of signata, or number of component segments of the signantia, will not be considered here.)

There seems to be an assumption, widely held, that essentially the same major warrant and, by implication, the same argument form, governs both in vacuo genetic and subgrouping arguments. One might note, for example, that Anttila offers his version ((1) above) of the 'genetic warrant' as a subgrouping warrant, noting subsequently that "the establishment of a language family uses the same principle ..." (1972:302).

The subgrouping warrant must differ from the genetic warrant in at least one respect, however; in attending to the possibility that observed similarities among the members of a putative subgroup are not due to retention from a higher order (superset) ancestor. This requirement is usually stated in such terms as the following:

(4) The conclusion that some set of languages form a subgroup is licensed by the demonstration that the members of that set uniquely or exclusively share one or more innovations attributable to the most immediate ancestor of the members of that set.

It might appear that the subgrouping warrant can be derived from the genetic warrant simply by appending an additional disjunct of the form:

(5) ... or [that the similarity not be] a feature retained from a more remote ancestor ...

as a further necessary condition, together with an appropriate minor warrant. The original genetic warrant and the derived subgrouping warrant do differ in one significant respect, however. The former is a warrant to conclude that the members of some set of languages are genetically related, while, in the latter, the genetic relatedness of the languages compared is a premise, explicit in the characterisation of a subgrouping argument given above and implicit in the phrase 'more remote ancestor'.

This difference is crucial to the nature of the data relevant to a subgrouping argument. One might observe first that subgrouping arguments are subsequent to in vacuo genetic arguments. That is, one has already concluded that the members of some set of languages are genetically related, through an in vacuo genetic argument, before one attempts to construct subgrouping arguments. It follows, then, that the data available for a subgrouping argument is of two sorts:

 i) the data available for the antecedent genetic argument and ii) reconstructions<sup>3</sup> for an ancestor of the members of a set of languages, some proper subset of which, it will be argued, constitutes a subgroup.

The correspondence between comparable elements in these two domains (that is, between the reconstructions and the primary data) is usually represented as a set of functions called *changes*, which are themselves interpreted as (sequences of) historical events. It is these functions, the changes, and not simply observed similarities among signantia, that constitute the data for a subgrouping argument. If one observes that two or more members of the set of languages compared share identical changes, one then asks whether these identical changes must be interpreted as the same historical event. If they must be so interpreted, one is licensed to conclude that the languages in question form a subgroup. Thus, what is required for subgrouping is a warrant to conclude that identical changes are a single historical event.

It seems to me reasonable and perhaps advisable to construct a subgrouping warrant that is formally similar to the genetic warrant; that is, as a series of disjuncts, each of which is to be ruled out in turn (in a subargument) such that only the last, that the changes are a single historical event, remains. These disjuncts themselves might be viewed as parallel to those of the genetic warrant; that is, that the identical changes are the result of:

- diffusion after the diversification of some common ancestor has begun, or
- ii) accidental parallel separate development, or
- iii) motivated parallel separate development, or
- iv) a single historical event

corresponding to borrowing, chance, iconism, and genetic relatedness, respectively. There have, to my knowledge, been no explicit attempts to construct a major subgrouping warrant along these or similar lines. (In a subgrouping argument one must also recognise the possibility that the higher order reconstructions, from which the changes were inferred, might be incorrect. If this were the case, then the putative identical changes to be evaluated in terms of i)-iv) above are not changes at all, but retentions from a higher order ancestor and, as such, do not constitute data for a subgrouping argument. This issue is considered further below.)

There are, I believe, two distinct premises which, though not in fact sub-grouping warrants, do govern the present conduct of the subgrouping enterprise. The first I will term the fact of change premise:

(6) Given the theory of change T, the changes  $c_1 \ldots c_n$  form a hierarchy of naturalness, predictability, explicability, or frequency.

and the second, the act of change premise:

(7) The incidence of any change is unnecessary and, therefore, unexpected and remarkable (though the nature of the change may not be odd of itself).

These premises are not subgrouping warrants because they do not directly license the conclusion that a particular shared change is a single historical event. In order to construct a warrant from the fact of change premise one would have to accept that the more 'unusual' a change, the more likely it is to have occurred only once (with respect to the disjunctive conditions above, the less likely it is to have diffused, occurred by chance, or, by definition, been motivated). Such a warrant, it seems to me, corresponds to the symbolic data filter in the comparative method and thus, rules out functional motivation and chance as accounts of shared changes.

The problem with the premise (6), and with any warrant derived from it, is that there is no theory T in which a suitable hierarchy of changes is defined. In fact, the major thrust of contemporary historical linguistic theory has been in precisely the opposite direction, to the effect that all linguistic changes (in this context, types of change) are in some sense motivated, either articulatorily, perceptually, or indexically.

Consider, for example, a subclass of the class of what Pawley (1974) terms replacement innovations, changes whereby "some feature \*x is replaced by a new feature y ( $\neq$ 0 - SPH), which is in some sense its continuation or functional equivalent". The subclass I have in mind involves cases of the replacement of one lexical item by another, innovations which Pawley (1974:5) feels "can only rarely be established". Were such an innovation established, however, one need not interpret it as 'odd' in the intended sense, since such replacements are not unmotivated. The result of such a change is, in the prototypical case, the loss of the replaced signans and a change in the signatum of the replacing signans. Crucially, the replacing signans may, in principle, have any form whatsoever, but not so the replacing signatum. To my mind, it is difficult to conceive of replacing signatum that is neither metaphorically nor metonymically related to the replaced signatum. Thus, though the act of change might be unmotivated in such cases, the fact of change is not. Mutatis mutandis, the same ought to hold for replacements in other domains. It is inconsistent to maintain the fact of change premise, as the basis for a subgrouping warrant, and at the same time maintain the thesis that change types are motivated.

The act of change premise (7) is less controversial since, I believe, few historical linguists would want to maintain that there are sufficient conditions for the act (incidence) of change. It is difficult to formulate this premise precisely though, given that agreement is far from complete among historical linguists regarding just how surprised one should be when a particular act of change takes place. The premise is crucial, however, to the methodology of 'realistic' reconstruction (see note 3), as the basis for the heuristic favouring that reconstruction which requires the fewest number of individual acts of change.

If one felt that what might be termed the singularity theorem:

(8) Since all acts of change are remarkable, all formally identical changes in a given language are likely to be the same historical event

can be derived from the act of change premise, it is possible to relate that premise to the regularity principle governing the interpretation of sound change. Though the regularity principle was formulated above as an identity condition on correspondences, it can be, and frequently is interpreted as a premise regarding acts of sound change:

(9) The act of (sound) change is a single event, affecting all tokens of a given type for all speakers in a well-defined speech community simultaneously.

While such an interpretation of the regularity principle has long been regarded as demonstrably false, this fact detracts only minimally from its utility in the context of the comparative method, since it is also well known that a significant number of observed correspondences are regular, no matter how many separate acts of change, in each of the languages compared, were required to establish this regularity.

The act of change premise is thus crucial to the methodology of (in vacuo) genetic comparison in two respects; in realistic reconstruction and, through the singularity theorem, in an idealised interpretation of the effect of change on signantia in a single language. The singularity theorem can also be modified as a major subgrouping warrant:

(10) Since all acts of change are remarkable, if two or more languages share the same change then this change is likely to have occurred only once, in the most immediate ancestor of the languages sharing this change.

This strong act of change subgrouping warrant in effect holds that any shared change is evidence for subgrouping. Some of the more outlandish subgrouping claims licensed under this warrant might be rejected by invoking the geographic proximity warrant but, even with this qualification, few comparativists would admit to maintaining the warrant in this strong form.

The problem lies with the act of change premise (7), on which the warrant (10) is based. This premise is essentially a claim that acts of change are not motivated (iconically, for example) within the linguistic system itself. One can maintain this premise without at the same time being committed to the view that two or more languages cannot come to share changes either:

- i) as a result of separate accidents (i.e. chance)
- or ii) as a result of contact stimulus diffusion (i.e. borrowing).

(Indeed, chance, in a broad sense, and diffusion appear to be the only two possible interpretations for shared acts of change under the act of change premise.) Using the act of change premise, a valid subgrouping argument must rule out both diffusion and independent accident (after the members of the putative subgroup had begun to diverge) in order to license the conclusion that the shared change can be attributed to a single historical accident/event. One therefore requires subwarrants for each of these subarguments.

One might first dispose of what I will term the temporal sequence subwarrant:

(11) If it can be demonstrated that a change  $c_b$ , shared by  $L_1$  and  $L_2$ , followed a change  $c_a$  found only in  $L_1$ , then  $c_b$  developed subsequent to the divergence of  $L_1$  and  $L_2$ .

Though innocuous, the subwarrant (11) is *not* a subgrouping warrant of the desired sort. It licenses the conclusion that a shared change is not a single historical act, but the result of either independent accidents or of stimulus diffusion. What is required of a subgrouping warrant is licence to conclude that the observation of shared change is not interpretable in either of these ways. The subwarrant (11) is useful only in arguments that the members of some set of languages are *not* a subgroup.

It seems to me that stimulus diffusion can never be ruled out, except on a priori or on geographical grounds. Though I believe that some comparativists, until very recently, have adopted an aprioristic stance with regard to the possibility of the stimulus diffusion of a change after diversification has begun, there is ample evidence that such changes do take place. The same can be said of parallel separate development (independent accident). On these grounds, one is safe in rejecting the strong form (10) of the act of change subgrouping warrant.

As already noted, most comparativists would in fact reject that warrant in favour of one or both of the following weaker versions:

- (12) Since the act of change is unmotivated/accidental, the more languages share a change, the more likely it is that that change is a single historical event.
- (13) Since the act of change is unmotivated/accidental, the more changes are shared by some set of languages, the more likely it is that the members of that set form a subgroup.

Subgrouping warrant (12), which I will term the weak act of change (token) subgrouping warrant, is, I think, seldom recognised. Though, like the temporal sequence subwarrant (11), it is innocuous, it is of questionable utility since it asserts only that evidence for subgroups with more members is stronger than evidence for subgroups with fewer members. It is, in some sense, irrelevant to the data since it provides only a partial function on the domain of recognised subgrouping evidence. Subgrouping warrant (13), which I will term the weak act of change (type) subgrouping warrant is also unacceptable, because it requires some metric by which relative quantity of shared changes can be interpreted in terms of the family tree model. In the absence of such a metric it seems to me that the model of genetic relatedness most appropriate to the interpretation of such quantitative observations is the wave model, in which case the issue of subgrouping becomes moot.

In summary, as presently conducted the subgrouping enterprise is based, implicitly at least, on one or more of the following warrants:

- i) the geographical proximity warrant
- ii) the fact of change warrant
- iii) the strong act of change warrant
- iv) the temporal sequence warrant
- v) the weak act of change (token) warrant
- vi) the weak act of change (type) warrant.

It has been my contention that no one of these warrants, nor any combination thereof, provides an acceptable basis for subgrouping. The geographical proximity warrant is uncontroversial but irrelevant, in the sense that it provides no basis for assessing linguistic evidence. The fact of change warrant lacks a necessary theoretical basis and, in fact, is inconsistent with most current theory. The strong act of change warrant does not provide for a deductive argument form in that it does not rule out, nor does it seem capable of ruling out either stimulus diffusion or separate chance actuation as accounts of shared changes. The temporal sequence warrant licenses only negative subgrouping conclusions. The weak act of change (token) warrant provides only a partial function on the domain of subgrouping evidence. The weak act of change (type) warrant is inconsistent with the family tree model. I must, then, conclude that the subgrouping enterprise, as currently conducted, is incoherent because it is being conducted in the absence of any acceptable warrant.

This assessment of the status of subgrouping arguments, if at all valid, cannot but be disturbing to comparative historical linguists, who might then conclude that the foundations of the discipline are under attack. That conclusion does not necessarily follow. Though subgrouping is a traditional concern of comparative historical linguistics, it does not follow that the discipline stands or falls on that basis. Such would only be the case if the determination of subgroups were methodologically prior to other goals of comparative historical linguistics. <sup>9</sup>

These other goals are:

- i) the determination of in vacuo genetic relationships
- ii) the construction of a theory of change
- iii) the reconstruction of unattested language states.

The first of these goals, as argued above, is independent of and prior to sub-grouping considerations. The second is also independent of subgrouping considerations, since it is concerned with the interpretation (explanation/explication) of changes. Subgrouping becomes relevant to the construction of a theory of change indirectly however, if changes attested through reconstruction are admitted as data for a theory of change and to the extent that reconstruction is dependent on subgrouping considerations. It is well-established that this last condition does in fact hold.

If one accepts the heuristic favouring that reconstruction which minimises the number of separate acts of change, then subgrouping premises become crucial, since they affect that number. Given n related languages among which, let us say, two corresponding forms a and b are distributed, one reconstructs either \*a or \*b (except under typological conditions pointing to some \*c, which will be ignored here) depending on which is attested in the greater number of languages. If no internal subgrouping is assumed, one simply counts. If the set of n lanquages is subgrouped on the basis of the distribution of a/b, subgrouping is also irrelevant to the choice of \*a or \*b, since both are now evenly distributed. Only typological considerations or some theory of change can decide the issue in such cases. If the set of n languages is subgrouped on some basis other than the distribution of a/b, such that both a and b are represented in members of all highest order subgroups, the subgrouping premise is similarly irrelevant to the choice between \*a and \*b. Only if a and b are not represented in all highest order subgroups is that subgrouping relevant and, indeed, crucial to the choice between \*a and \*b. Such cases, unfortunately, are common. Even more unfortunate is the fact that they admit of no resolution, since the shared change(s), whatever it/they may be, on which one bases the subgrouping premise being brought to bear on the \*a/\*b reconstruction problem, is/are, in principle, no better evidence for subgrouping than is the distribution of a/b itself. Appeals to subgrouping in making reconstructions thus dissolve into circularity. Unless other criteria can be brought to bear, such reconstruction problems must go unresolved. But, of course, one need be no more disturbed by this fact than by the fact that reconstruction can be problematic in cases where subgrouping premises are irrelevant.

In conclusion, let me stress that I am not claiming that there are no subgroups, as defined, only that there are no acceptable subgrouping arguments. One cannot hope to justify a subgrouping hypothesis through the search for uniquely shared innovations if one has no warrant to find them. If there is to be a solution to this problem, it must be in the construction of a new, and acceptable warrant for subgrouping arguments. Of the two premises relevant to linguistic data, I see no hope whatsoever in the act of change premise. As it

stands, it does not provide an acceptable basis for the construction of a subgrouping warrant. Nor, of course, does its converse - that acts of change are motivated and expected. There may be more hope in the fact of change premise, but only insofar as the investigation of changes leads to the construction of a theory T in which changes are ranked in a hierarchy of 'naturalness'. (It follows, of course, that the less natural a change is, the fewer instances of that change will be encountered; in short, good subgrouping evidence under such a warrant will be hard to find.) If there are other directions that might be pursued in the search for an acceptable subgrouping warrant, at present they are not at all obvious to me.

#### NOTES

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- 1. I define 'genetically related' in terms of the notion 'common ancestor', rather than in terms of the often substituted notion 'period of common history'. The latter properly characterises 'historically related', which includes 'genetically related' but could equally apply to relationship through diffusion/contact/borrowing. The more restricted concept 'genetically related' can, I believe, be explicated in such terms as 'having similarities attributable to retention from an antecedent linguistic tradition'.
- 2. Anttila's statement of the warrant does not contain this last condition, a defect he later acknowledges. I take his 'spontaneously' to be equivalent to Greenberg's (1953:268ff) 'due to convergence', subsuming both chance (convergence through limited possibilities) and iconism (convergence through similarity of function).
- 3. The reconstructions may be either 'realistic' or 'formulaic', the former given phonetic content and the latter not.
- 4. Similar observations, though with rather different conclusions, are made in Greenberg 1957:48ff.
- 5. One might note the *geographical proximity warrant*, which many comparativists would not openly sanction:

The more/less geographically contiguous are the members of a set of genetically related languages, the more/less likely it is that they form a subgroup.

This warrant is given a central role in the novel approach to subgrouping suggested in Grace (in this volume).

6. Note that the various implicational hierarchies that have been proposed in recent work govern not the naturalness of changes, but their progress through time. Changes that violate these hierarchies would not be considered to be unnatural changes. Rather, their incidence would suggest deficiencies in the theory. One could, of course, envision an alternative theory, of the type T above, in which the naturalness of changes is evaluated, and in terms of which a subgrouping warrant could be constructed.

- Given differing views regarding the status of the fact of change premise or regarding the strength of necessary conditions for change.
- 8. Note that the supposed correspondence between a family tree diagram and a non-overlapping wave diagram is irrelevant to the interpretation of the quantity of shared changes. Such a correspondence could only be licensed by some version of the strong act of change warrant, rejected earlier. The same is true, I believe, of the proposal (elaborated in Krishnamurti et al 1983) for subgrouping based on lexical diffusion.
- 9. There is a non-linguistic justification for subgrouping, by which family trees are brought to bear as evidence for prehistory. While such altruism is commendable, it does have limits, an obvious one being the status of the subgrouping conclusions. If such conclusions cannot be trusted, they are of little value to anyone.
- 10. It seems to me that an attempt to construct such an argument might prove a valuable exercise. More specifically, I have in mind a weak version of that argument, to the effect that one should not expect to find clearly demarcated subgroups with all n-membered sets of genetically related languages. The basis of the argument might be the existence of 'dialect chains', within which no subgrouping is expected, together with the hypothesis that the most immediate common ancestor of a given dialect chain was itself a point in a dialect chain. I will not develop this argument further here, however. (The germ of this argument is, I think, implicit in observations made in Grace 1962.)

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#### PROTO-AUSTRONESIAN LATERALS AND NASALS

# Isidore Dyen and Shigeru Tsuchida

Tsuchida (1976:139-143) suggested that there was enough evidence to support the reconstruction of two different Proto-Austronesian phonemes that he labelled \*N and \*L. The novelty in his proposal was in the hypothesis of \*L, for \*N - under the symbol \* $n_2$  - had already been suggested by Ogawa and Asai (1935:6f) and is well supported.

Dahl (1981:101ff) attempted to show that the different reflexes assigned to \*N and \*L were complementarily distributed, contrary cases being dismissed as either possibly due to error or to assimilative or analogical changes. In his view the correspondences assigned to \*L appeared only in initial position whereas those for \*N appeared in medial and final positions. Dahl prefers the symbol \*+ for the single proto-phoneme, but we will use \*Da.L for it as a mechanical substitution without affecting his phonetic interpretation which seems to be that \*Da.L was a voiceless lateral (Dahl 1976:75).

An important point might be at stake in the issue of complementation, for if \*N and Tsuchida's \*L are different phonemes, and if they are not distinguished by any Formosan language, their merger could be interpreted as a common innovation supporting the hypothesis of a Proto-Formosan.

For the purposes of the following discussion it is convenient to speak of \*N reflexes and \*1 reflexes. In effect we will mechanically substitute \*1 for Tsuchida's term \*L. At the same time we will mechanically substitute \*L for Tsuchida's term \*1. To avoid compounding confusion we will label the old \*L as \*Ts.L and the old \*1 as \*Ts.1.

The substitution of \*1 for \*Ts.L and \*L for \*Ts.l seems obligatory if \*N and \*1 are different phonemes. None of the Formosan languages in Tsuchida 1976 show different reflexes for \*N and \*1. He distinguished these proto-phonemes by the reflexes that appear in the non-Formosan languages. Non-Formosan languages appear to reflect \*N with a nasal and \*1 with a lateral. Furthermore many Formosan languages offer a clear [1], sometimes described as being slightly palatalised. There is thus reason to consider whether \*Ts.L (= \*1) was not phonetically [1], a voiced lateral.

There is some evidence that can be cited in favour of regarding \*1 as having been voiceless. Saaroa everywhere and one Ami dialect in medial and final positions (Valangaw) clearly offer voiceless lateral reflexes for \*1, and Tsou exhibits h. On the other hand the Sakizaya dialect of Ami presents a voiced stop throughout, whereas northern dialects of Ami have voiced apical stops and/or spirants in initial and medial positions and a voiceless spirant in final position. Central and southern Ami dialects have lateral fricatives which are voiced in

initial and medial positions and voiceless in final position. Valangaw has a lateral fricative which is voiced in initial position and voiceless when medial or final (see above). Saaroa, Tsou, and Ami have merged \*1 with \*N, now by some regarded to have been a voiceless nasal. Bunun likewise shows a merger of \*1 and \*N, but the outcome is n, a voiced apical nasal. With the exception of Kavalan all of the other languages exhibit a merger in the reflexes of \*1 and \*N. There is however a difference of opinion in that Tsuchida describes the 1 of Rukai so-called dialects as 'a voiceless lateral fricative' (1976:112) and Li has described it implicitly as voiced in a publication (1977:5) and explicitly as voiced in a personal communication. [Tsuchida has not yet had an opportunity to re-examine the matter.]

For \*L (= \*Ts.l) many Formosan languages present a lateral flap. These languages are Kanakanabu, Saaroa, Budai and Mantauran Rukai, Paiwan, Puyuma, Ami, Saisiyat, and Pazeh. Those that do not, offer reflexes that are compatible without difficulty with a hypothesis that they had a flap articulation earlier on. These are Tsou, Maga Rukai r [ $\iota$ ], Sedeq, Thao r [r], Tanan Rukai, Bunun Ø, and Atayal y, Ø. One of the principal writers on the Formosan languages, Paul J-k. Li, has elected to indicate the flap by L, a convenient solution. There is thus evidence that could be used to support the hypothesis that \*Ts.l (= \*L) was a voiced lateral flap. If \*l (\*Ts.l) was a voiced lateral, then the interpretation of \*L (\*Ts.l) as a flap seems to be the simplest hypothesis. Examples of reconstructions containing \*L are the following:

PAN teLuH $_2$ , Sed turu $^2$ , SaiTa tuLu $^2$  (A: u/e), Paz turu $^2$  (A: u/e), Pai ČeLu, RukTa tuLu, RukBd tuLu, RukMg turu, RukTo tuu, RukMn tuLu (all Rukai dialects A: u/e), Kan tuuLu $^2$ , Sar tuuLu $^2$ , Tso turu (all Tsouic A: u/e), Ami tuLu $^2$  (A: u/e), BunNC tau, BunS tau $^2$ , Tha tu:ru $^2$  (A: u/e), Kvl u-tuLu (A: u/e) three, Puy ta-teLu $^2$  three persons, To tolu three.

PAN ZaLan, AtyMx raan (women's speech), SaiTa raLan, Paz daran, Pai jaLan, Puy da-daLan, RukTa ka-daLan-a(ne), RukBd ka-daa-daLán-ane, RukMg da-drán $\dotplus$ , RukTo da-daáne, Kan caáne?, Sar saLa²a², Tso cron $\dotplus$ , AmiSk zazan (A: z/L), AmiNCS LaLan (A: L/r), BunNCS daan, Tha sa:ran, Kvl Lazan (M: L-z/z-L), To hala road, path.

PAN Lima?, Sed rima?, Pai Lima, Puy Lima?, RukTa Limá, RukBd Líma, RukMg ríma, RukTo imá, RukMn Lima, Kan Liíma?, Sar ku-Lima?, Tso rimo, Ami Lima?, BunNC hima?, BunS ?ima?, Tha ri:ma?, Kvl u-Lima, Sm lima five.

PAN Laŋaw, SaiTa Laŋaw, Paz raŋaw, Pai La-Laŋaw, Puy a-ŋalaw (M: ŋ-L/L-ŋ), Ami La-Laŋaw, Tha ránaw, Kvl Laŋaw, To laŋo fly, RukTa a-La-Laŋáw, RukBd a-La-Láŋaw, RukMg a-ŋároo (M: ŋ-r/r-ŋ), RukTo a-ŋáaw (M: ŋ-\*L/\*L-ŋ), RukMn a-ŋalau (M: ŋ-L/L-ŋ) bluebottle, Kan taa-ŋaláu² gnat.

PHN biq<sub>13</sub>eL, AtyMb biqiy (A: i/e), SedTn biqir (A: i/e), PaiTamali biqeL ([?] b for anticipated v), Kan vi?iLi?, Sar vi?iLi?, Tso f?iri (all Tsouic A: i/e), BunNC biqi, BunS bihi? (all Bunun A: i/e), Ilk biqel, Ifg bi:ol goitre.

The evidence for \*N in medial and final positions seems to be indisputable. The Formosan languages offer the same reflexes as for \*1 and the non-Formosan languages offer the same reflexes as for \*n:

PAN CuNuH<sub>1</sub>, Kan -cúnu<sup>?</sup>, Sar -culu<sup>?</sup>, Tso -cuhu, RukBd -cúlu, Pai culu, Ami -tuluh, BunS -tunu<sup>?</sup>, BunNC -tunu, Sai -suloh *to roast over a fire*, RukMn culu-a *smell of burning feathers*, Mal tunu *to burn*, To tunu *to cook on an open fire*.

PAN  $D_2$ aNum, Kan canúmu?, Sar salumu?, Tso chumu, Pai zalum, Puy zanum (A: n/l), Ami nanum (A: n/l, n/r), Bun danum, Tha sa:Õum, Kvl zanum, Sai ralum, Paz dalum water, To lanu to wash or rinse in fresh water.

PAN buLaN, Kan vuáne?, Sar vuLale?, Tso frohi, Ami vuLal, Bun buan, KvlbuLan, Mal bulan, Fi vula moon.

PAN q<sub>2</sub>uZaN, Kan <sup>?</sup>ucáne<sup>?</sup>, Sar usale<sup>?</sup> rain, Tso m-ichi to rain, RukBd údale, RukMg událi, Pai qujal, Puy Hudal, Ami quLal, BunS hudan, BunNC qudan, Tha qusað, Kvl <sup>?</sup>uzan, Sai <sup>?</sup>ä-<sup>?</sup>oral, Aty qwal-ax, Mal (h)ujan, To <sup>?</sup>uha rain.

The evidence for \*1 in medial position appears to be sufficient:

PHN bilan, Kvl, Mal bilan, Itb -vilan, Ivt -vidan to count.

PHN bulaw(-an), RukTa bulavá, RukBd bulávane, RukMg blávni, blávne (Tsuchida), RukTo bulávane copper, Pai vulavan copper, brass, Puy vulawan brass, Ami vulawan gold, silver, Hlg, Ilk bula:wan, Ngj bulaw gold, Tag, Bik, SL bulaw red. Under this hypothesis, Ivt vuhawan gold would have to be a loanword. However for another instance of Batanic h/x for an etymon regarded before as having \*1, see \*[tT]aNam below.

PHN bulay, Kan vunái?, Sar vuli?i?, Itb vulay snake.

PHN gelan, Ami kalan (A: a/+), Mal g+lan bracelet.

PHN kaliC, Puy kaliT fur, RukTo kalici hide, leather, Kmb kalittu skin, hide.

PAN kili?, Kan niki-niki? (M: n-k/k-l), Sar lii-liki? (M: l-k/k-l), Tag, Bik kili-kili, Ilk kili-kili, Fi kili- armpit.

PHN -lalak, RukTa, RukMn lalake child, Pai lalak child (term used by elders), lalak-an  $little\ finger$ , Puy lalak young, Tha ?a-ða:ðak child, SblBt ?a-la:lak offspring, Png gi-la:lak children,  $direct\ descendants$ . Puy lalak (also) children (plural of alak child from PHN  $w_2$ aNak) seems to belong here, but if so is in a suppletive relation to its singular associate.

PHN pilay, RukBd ma-pilai, Pai ma-pilay, Tag, Ilk pi:lay, Ivt piday, Itb pilay lame.

PHN [tT]alam, Sed -talan, Paz mi-talam to run, Sng t/um/alan to run away.

To these it may eventually prove possible to add with assurance the following which involve what now appear to be inexplicable irregularities:

- (?) PHN siliw, Paz siliw running noose, Tag si:log, Ilk si:lu loop, lasso.
- (?) PHN waliS, RukBd valisi tooth, Paz walis tusk, Saw ili canine teeth (horses, pigs), Kmb uli tusk.

In this connection Tsuchida (1976:143) offered the following comparison as implying a PHN [tT]alam, here reinterpreted:

PHN [tT]aNam, Kan ku-a-tanáme?, Sar m-aku-a-talame?, Tso oo-thomɨ, Bun tanam-un, Ami mi-tanam (A: n/l), Paz mu-talam, Sai šan-talam, Kvl talam (Dissimilation: l/n before m), Aty t/m/alam, Itb taxam (Dissimilation: x from l/n before m) to taste. It is attractive to treat this comparison as containing the element that appears doubled in Tag namnam taste, TBt namnam to taste with the lips, the first part being a prefixed element \*[tT]a- such as appears in Dempwolff's reconstruction \*ta(n)kub to cover when considered in relation to his \*kubkub to cover. It is possible that the two instances of dissimilation that this reconstruction requires themselves reflect a single dissimilation in a proto-language that formed a doublet with the reconstruction made here.

The following are the instances of correspondences in final position that can be assigned to \*1:

PHN bakal, Puy vakal a kind of knife, Pai vakal dagger, Tag bakal iron-tipped stick used in rice-cultivation.

PHN bukul, RukMg ma-bkulu, Paz ti-bukul hunchback, Kvl buqul knot, Ilk bu:kul swelling, protuberance, bump, Mal bonkol bump, hump.

PHN bukel or pukel (with an appropriate analogical change), Kvl buqul (A: u/e), KlnKl pukel knee, KlnKy pukil bone.

PHN buqel, Sed bql-it leg, WBM buqel knee, Seb buqul-buqul ankle.

PHN kawil, Kan m-ati-kaini?, Sar m-ari-kaili?, RukMn -kaili caught on thorns, RukMg -kvili caught by vines, Bik, Hlg ka:wil hang, Seb kawil-kawil hangnail.

PHN ta<sup>?</sup>pil, Kan sia-tapini<sup>?</sup> patch, Ami mi-tapil to patch, Bik taqpil to patch.

In regard to \*l in final position Tsuchida (1976:143) cited Tag kawil fish-hook in connection with \*kawil above and has found Dahl's suspicions confirmed by the discovery of Puy kawil-an fishhook. The Philippine words cited above seem to show a better semantic fit with the Formosan words than with the words for fishhook.

There are two instances that involve metathesis which confuses the issue as to whether a correspondence in final position is involved, though there appears no reason to doubt the cognation:

PHN [bp]enel or [bp]elen, Sai pelen deaf, Hlg bunul deaf, Mal binal  $temporarily\ hard\ of\ hearing$ . Whichever labial is original, the other is due to an analogical change.

PHN Zawil, Sar ma-sail-a?, RukTo ma?a-davili, Puy a-dawil, Btk, TbwK qa-lawid far. All non-Formosan words exhibit a metathesis (\*Z-l/l-Z).

Initial correspondences of the same type as the medial and final correspondences assigned above to \*1 seem to be numerically adequate:

PHN lansi?, Puy lansi? smell of burnt rice, Ilk lansi smell of certain fish, certain skin diseases, putrid blood, etc.

PHN la( $m\dot{\boldsymbol{+}}$ )lam, Sar ma-lalame?, Tso a-hmohmo accustomed, Png lamlam become accustomed.

PHN lekeC, Kan ma-ta-nekéce? sticky, Mal likat adhere.

PHN leklek, Puy -leklek, Itb leklek to tickle.

PHN lemek, Puy a-lmek fine, soft, Png an-lemek become soft, soften.

PAN libu?, limbu?, Paz libu? hedge, fence, AtySq libu? circle, enclosure, trap, Tag limbo moon halo, BM libu surroundings, Bar libu ring around sun or moon, mo-limbu sit in a circle, Fi ma-levu (? e/i: inexplicable, but see below) fish-weir enclosure.

PHN libu?, Kan niívu?, Sar livu?u?, RukBd líbu, RukMg libúu, RukTo libú, RukMn livu, Pai livu, Sai libu? wild pig's grass-lined den, Aty, Sed libu? den, nest, Biak niw pig's lair.

It is not at all unlikely that the last two comparisons belong to the same cognate set. They have been separated to provide ease in considering them either separately or together. The last comparison indicates that the regular reflex in eastern Indonesia may be n. Saw n+Bu nest looks as though it should also be included, but its initial consonant and first vowel offer serious difficulties; the Sawu word can more easily be associated with Numfor niy+w pig's lair. It is of course not unlikely that together they exemplify an uncontracted doublet of the last etymon above. It would however be most interesting if this uncontracted form might explain the aberrant vowel in Fi ma-levu.

There are two instances, both of which involve a PAN prefix \*qali-, in which it is difficult to decide whether the \*| should be regarded as initial or medial because the initial syllable is not reflected by many languages. The prefix appears in full in the following:

PHN qalibaŋbaŋ, Puy Halivaŋvaŋ, Seb qalibaŋbaŋ butterfly. The two instances are:

PHN (qa)lima[tT]ek, Kan ?animeték-a? (A: e/a) creek leech, Sar ?alimetek-a? (A: e/a) paddy leech, RukBd limáteke, RukTo limátake (A: a/e) mountain leech, RukMg lmátki, lmátke (Tsuchida) leech, RukMn limateke small ground leech, Pai limaček mountain leech, Puy limatek paddy leech, Tag lima:tik leech, Mer dimátika small leech.

PHN (qa)limiCaq, Kan niméca?e? paddy leech, Ami la-lintaq mountain leech, Isg alimta a kind of very large leech, Mal (h)alintah, lintah leech, Bar alinta leech.

In the following there appears to be good reason to reconstruct a doublet, one with initial \*1 and the other with initial \*n. Otherwise we must face the task of choosing between the about equally complicated possibilities of many independent instances of dissimilation and many instances of partial assimilation.

PHN luan, Sar ta-i-luane female pygmy deer, RukBd lúane cow, Blw, KlaG luwan carabao.

PHN nuaŋ, RukMg nuáŋə, RukTo nwáŋe, RukMn nuaŋe cow, Tha qnuwan deer, carabao, Paz nuaŋ carabao, cow, Ilk nuaŋ, Agta qɨnwaŋ, Atta nua:ŋ, BonG, Ifg, Ibl nuwaŋ, Isg nuwa:ŋ, ItgB nuwaŋ, KnkN, KlnKy nuwaŋ, KlnKl newaŋ carabao.

Kan ?i-núaŋe? female deer, BunS ha-nvaŋ, BunNC qa-nvaŋ deer, carabao can be associated with either reconstruction, since both Kanakanabu and Bunun merge \*l and \*n. Sai ha-nuan horse belongs here under a hypothesis that an assimilation (n/ŋ) occurred.

The evidence for a PHN luan is somewhat strengthened by the appearance of support in a number of defunct Formosan languages: Fav loan buffalo, Bab loan, Pap loan, luang, Hoa loan, loang cow, carabao, Sir louang ox. Although it might be said that \*nuan is somewhat favoured to be the older form by its slightly greater distribution, it is difficult to contemplate the limited distribution of the two cognate sets and the fact that either one can be derived easily from the etymon of the other without regarding them as evidence for a Proto-Formosan on one hand and for a closer relationship between such a Proto-Formosan and Proto-Philippine as continuing a Proto-Hesperonesian.

There seems to be general agreement that the initial correspondence assigned here to  $\star 1$  is valid. However Dahl has argued that this initial correspondence

should be assigned - under his symbol \*Da.L (Dahl 1976:74f and 1981:101ff) - to the same correspondence as the one that has been assigned here to \*N. His grounds appear to be (1) that the instances exemplifying the medial and final correspondences assigned by Tsuchida to \*I (under his then symbol \*L) were not convincing; (2) that the instances exemplifying the initial correspondences like those for medial and final \*N cited by Tsuchida could be explained as due to assimilation to a following nasal; and (3) that therefore the initial correspondence assigned to \*I was in complementary distribution with the medial and final correspondence assigned to \*N. Since we have introduced comparisons not cited in Tsuchida 1976, it is not clear how Dahl would view the problem now, particularly since some of the comparisons show non-Formosan cognates with laterals unassimilated to a following nasal.

Furthermore there is a small collection of comparisons with initial correspondences like the medial and final correspondences assigned to \*N which do not lend themselves easily to being explained as resulting from instances of assimilation:

PHN Na[tT]aD, RukTa latáDe, RukBd látaDe, RukMn latade, Sai latar outside, Bik na:tad front yard.

PHN NaCen, Kan naténe?, Sar latene?, RukBd lácene, RukMg lcéne, RukTo lacéne vegetables, RukMn lacene Solanum nigrum, Pai lacen, Ami laten, Ilk, Itb naten vegetables, Ivt naten Solanum nigrum, Bik natun taro, taro leaves.

PAN Nuka?, Kan nuuka?, Tso h?o-h?o, RukMg ma-lku-lkaa, Bun nuka? tumour, Ami luka? wound, Paz luka? scab, Sed lu-qih, lu-qah, Itb nuka wound, BM nuka skin eruption, scabies, Mal luka (l/n, see below), TBt luha, ma-luha (l/n, see below) lightly wounded, split apart, perforated, Paul nua itch, scabies, Sam manu?a wounded, To manuka be killed (of chief, sovereign).

With the first etymology immediately above might be associated the following words: Jav natar, latar, Snd latar yard, land around the house. However Bal natah yard suggests that Jav natar reflects  $*R_{34}$ . Snd latar could be explained from a \*lataR,, an etymon with initial \*| correlative to \*DataR, (Dempwolff 43) in the same way as \*lemlem dark (Dempwolff 95) is correlative with \*DeDem dark (Dempwolff 43). Jav latar might then be a Sundanese loanword. Although Snd latar would formally and semantically match the Rukai words - for Snd r is the outcome of \*D as well as \*R2 - the Bikol word has the advantage of being unambiquous. The further possibility that there may have been an interplay between a \*[IL]ataR, more or less homosemantic with a \*NataD is perhaps suggested by the association of Mal, Mad natar, Jav latar background, basic colour. Finally one must also consider the possibility that Snd, Jav latar result from a back formation from Snd pi-latar-an land on which a building stands, front yard, Jav pi-latar-an (large) open (fore) square which through a dissimilation (l-n/n-n) could be from the same \*p+-natar-an that is indicated by BalNoble p+-natar-an beside natar yard. Under the hypothesis of a dissimilation and back formation Jav, Snd latar could be associated with \*NataD. Jav natar likewise could be associated, indeed more directly, but Bal natah would remain problematic.

Since only Malay and Toba Batak exhibit initial l in the correspondence, it appears to be simplest to interpret them both as due to a dissimilation in just such a form as a \*ma-Nuka? which might have been the source of Toba ma-luha with luha resulting by the analogical change often called back formation. Malay luka could have resulted in the same way, but, if so, at an earlier time, since there is no occurrent \*ma-luka. In fact it is not unlikely that we might be dealing with a single instance of a dissimilation followed by a back formation that occurred in the last common proto-language of Malay and Toba.

Dahl (1981:105f) has suggested the words supporting the reconstruction of \*NaCen above could be associated with Dempwolff's \*laten nettle and offers the suggestion that the nettle is sometimes eaten as a vegetable. The English word nettle means a type of herb, and its young leaves are sometimes used in or as food, at least in Europe; on the other hand, all the cognate words of Dempwolff's \*zalaten/laten, so far as can be established, refer to a nettle tree, Laportea spp., whose leaves have never been reported to be eaten. On the basis of the Formosan evidence we can now reconstruct \*LaCen, SaiTa käh-Lasen, SaiTu ra-asen (from \*[zZ]a-LaCeη), Puy L-iη-aTen (M: η-n/n-η; A: n/l), Ami L-il-ateη nettle tree, Laportea pterostigma. The probable disconnection of the two etyma is favoured by the fact that Ivatan naten above is found beside Ivt haten (with h regularly for Dempwolff's I, here our \*L) a tree whose leaves on touch cause smarting pain and sores, since the latter can hardly be dissociated from Dempwolff's \*laten. One might consider the possibility of a common source of the two Ivatan words through, let us say, a dialectal partial assimilation of an early Ivatan or pre-Ivatan initial lateral to the final nasal with subsequent semantic specialisation of one of the resultant doublet members, but this solution seems unnecessarily complicated.

The possibility of the dissimilation of a nasal as well as the partial assimilation of a lateral in relation to a following nasal can lead to uncertainty in the interpretation of the following comparison:

PHN [IN]awuŋ, Pai lauŋ, BunNCS navuŋ shade, Sai, TBt lauŋ shadow, Mal nauŋ shadowing, shelter.

The purpose of this article has been to indicate that the claim that the reflexes assigned by Tsuchida to \*N and \*1 (= TS. \*L) can be regarded as being in complementary distribution faces rather strong opposing evidence. At the same time it should be noted that the area of nasals and laterals has begun to show complications that have not been dealt with here simply because the treatment would involve too many tangents. Naturally it remains possible that the collection of evidence presented here may ultimately receive another interpretation, but it hardly seems likely that the hypothesis of complementation will come to be justified. What seems clearly indicated is that careful investigation is called for to solidify our reconstructive hypotheses in this area.

In the course of the discussion we have presented reasons for believing that Proto-Austronesian had a distinction between a probably voiced lateral (\*|) and a flap (\*L), the latter articulation being found, thus far at any rate, only in the Formosan languages. The merger of \*| and \*N is found in all the Formosan languages with the apparent exception of Kavalan; the evidence for | from \*| in this language is meagre, being limited to the two words (bilan to count, talam to taste) cited above. Since there is little evidence that can be interpreted as favouring setting Kavalan up as a subgroup by itself, the merger of \*| and \*N can be regarded as evidence for a Proto-Formosan that had an isogloss separating merging and non-merging dialects, the latter continued only by Kavalan.

#### ADDENDUM!

To the above evidence for the distinction between \*1 and \*N the following can now be added:

\*balluku?, AtyMx baluku?, Kvl bnuqu  $winnowing\ basket$ , Bontok balluku  $small\ head-basket$ . The Kvl word suggests at least a partial merger of \*l with \*n.

\*lawlaw, Puy lawlaw, Tongan lolo oil.

#### ABBREVIATIONS OF LANGUAGE NAMES

AmF - Fataan Ami Ngj - Ngaju(-Dayak) Aty - Atayal Pai - Paiwan

AtyMb - Mabatoan Atayal PAN - Proto-Austronesian

AtyMx - Mayrinax Atayal Pap - Papora AtySq - Squliq Atayal Paul - Paulohi Bab - Babuza Paz - Pazeh

Bal - Balinese PHN - Proto-Hesperonesian

BalNoble - Noble Balinese (Dutch Png - Pangasinan "voornaam") Puy - Puyuma
Bar - Baree Ruk - Rukai
Bik - Bikol RukBd - Budai Rukai

BM - Bolaang Mongondow RukMg - Maga Rukai
Btk - Batak (Philippine) RukMn - Mantauran Rukai

Bun - Bunun RukTa - Tanan (Tainan, Dainan) Rukai

BunN - Northern Bunun RukTo - Tona Rukai
BunNC - Northern and Central Bunun Sai - Saisiat

Punc Couthorn Runum Rukun Sai - Saisiat

Buns - Southern Bunun SaiTa - Taai Saisiyat
Fav - Favorlang SaiTu - Tungho Saisiyat

Fi - Fiji Sam - Samoan Hoa - Hoanya Sar - Saaroa Hlg - Hiligaynon Bisayan Saw - Sawu

Ilk - Ilokano SblBt - Botolan Sambal

Isg - Isneg Seb - Sebu
Itb - Itbayat Sed - Sedig

ItgB - Binongan Itneg SedTn - Tongan Sediq

Ivt - IvatanSir - SirayaJav - JavaneseSL - Samar-Leyte Bisayan

Kan - Kanakanabu Snd - Sundanese
KlaG - Guinaang Kalinga Sng - Sangirese
KlnKl - Keleyqiq Kallahan Tag - Tagalog
KlnKy - Kayana Kallahan TBt - Toba Batak

KlnKy - Kayapa Kallahan TBt - Toba Batak Kmb - Kambera (Sumba) Tha - Thao

Mer - Merina WBM - Western Bukidnon Manobo

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# LINGUISTIC VARIATIONS OF DIFFERENT AGE GROUPS IN SOME FORMOSAN LANGUAGES

#### Paul Jen-kuei Li

#### INTRODUCTION¹

Many linguists work with only one informant for each dialect they investigate. The shortcoming of such a methodology is that a heterogeneous speech community is misrepresented as a completely homogeneous speech community. By so doing we are apt to miss extremely valuable information for historical linguistics. Because language may vary according to the speakers' social characteristics and to the social context, we ought to consult speakers of both sexes, different social classes, various age levels, formal and informal speech, etc. in order to get a more realistic picture of the speech community.

While doing field work on the Formosan languages, I have observed from time to time that in some of these languages, speakers of different age levels may have different sound systems. Many interesting examples of sound changes in progress can be drawn from the variations of speech forms exhibited in the speech of different age groups in some of the dialects.

Age certainly plays an important role in language structure and change. The linguistic variations of different age levels seem to have significant implications for historical linguistics. The following questions can be raised: (1) Do linguistic variations indicate that the language is in a state of change? In general, older speakers retain more archaic forms, whereas younger speakers tend to produce innovative forms. (2) Does the same group of languages or dialects have the same tendency and direction of change? (3) Is the overall direction of sound change towards simplification, as suggested by most historical linquists? (4) Is sound change phonetically abrupt, but lexically gradual, as first suggested by William Wang (1969)? (5) Is language change faster and thus more readily observable than the structuralists, such as Charles Hockett (1958: 384, 439-444; 1965), have assumed? It seems to me that all changes in progress can be observed through the variations of different age groups within the same dialect. (6) Can language change be explained as a process of language acquisition, as suggested by Noam Chomsky and Morris Halle (1962)? (7) Do all sounds change at the same rate of speed, or do different types of sounds change at different rates of speed? We need substantial empirical evidence from various languages to answer these questions positively or negatively. Formosan languages provide an excellent laboratory for such linguistic investigations.

I (Li 1982) have recently made an extensive study of the linguistic variations of different age groups in the Atayalic group. This study indicates that it may take up to 30 or 40 years to complete a change and that those speakers

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between the oldest whose speech shows no change and the youngest in whose speech the change has been completed are the ones whose speech best shows the process of change.

In this paper I shall try to show how various Formosan languages change through time, as manifested in the variations of different age groups. When considerable amounts of data become available, we shall be in a better position to answer the questions concerning language history raised above.

# 2. VARIATIONS OF DIFFERENT AGE GROUPS IN SOME FORMOSAN LANGUAGES

#### 2.1 The variants run in Mantauran

In the Mantauran dialect of Rukai, /r/ is gradually changing to /h/ as exhibited in the speech of different age levels; see Table 1 below.

Roughly speaking, Mantauran speakers in their 60s generally retain /r/ in all or most of the lexical forms, whereas speakers under age 40 or so have substituted /h/ for /r/ in all or most of the forms. But this varies from speaker to speaker. While a 65-year-old man named takanao (Liáng Jīn-chiāng² 🎖 逢 🏄 in Chinese) and a 60-year-old woman named ərəŋə (Liú Yiù-jr 片玉木丈 Chinese) retain all the /r/'s in their speech, a 61-year-old woman named dipolo (Lin Chun-jiang 林春 江 in Chinese) retains only 52% of the total number of the occurrences of /r/ investigated. A 53-year-old man named lagpao (Guan Chingjiāng 閣 涛 ¡工 in Chinese) and a 41-year-old man named takanao nəŋanə (Wú Wǔ-changed to /h/'s. Some speakers have free variation between /r/ and /h/ for some of the items. The data seem to indicate that female speakers are somewhat more conservative than male speakers. Some Mantauran women in their late 30s still retain /r/ in a few lexical forms, as shown in Table 1. Thus it is taking about 25 years to complete the change r > h in the whole speech community of Mantauran.

The two consonants, /r/ and /h/, are phonetically rather different. It seems a bit strange for /r/ to become /h/. However, I came across a 54-year-old man named arasə (Jin Mau-rung / in Chinese) who often produced a voiceless trill [r], which sounds like a phonetic transition [hr] between the voiced trill [r] and the voiceless glottal fricative [h]. Most older speakers produce the voiced trill [r].

The Mantauran speakers are aware of the sound change. The older speakers say that the "standard" pronunciation should be /r/ and that only "children", pronounce it as /h/. A 36-year-old woman named saidai (Liǔ Guèi-lán 🖁 🏄 🧗 in Chinese) who has both /r/ and /h/ in her speech said that the tone is more "emphatic" to pronounce /r/ instead of /h/.

The change r > h has been completed in another Rukai dialect, Labuan; see Li 1977:85. It is not clear when the change took place in the dialect.

A similar sound change has been observed in the \subsetatafari dialect of Thao, another Formosan language. Thao has two dialects, Barawbaw and [tafari. Barawbaw /r/ has become ∫tafari /h/ only before /i/, e.g. /lari:na/ > /lahi:na/ ears; it has become /y/ elsewhere, e.g. /fu:rad/ > /fu:yad/ moon, /sa:ran/ > /sa:yan/ road (see Li 1983).

Table 1: Variations of r∿h by different age levels in Mantauran³

	T.m65 ə.f60	<b>f</b> 59	T.A. m52	d. f61	A. m54	s. f36	L. f54	M. £37	T.m41 L.m53	
l. ravoko	r	r	r	r∿h	h	h	h	h	h	lime
2. masarəŋərə	r-r4	r-r	r-r	r- r	h-h	h-h	h-h	h-h	h-h	quiet
3. damarə	r	r	h	r	h	h	h	h	h	moon
4. tario	r	r	h	r	h	h	h	h	h	star
5. dakəralə	r	r	r	h	h	 h	h	h	h	river
	r	r	r'∿h <sup>5</sup>	r	h	h	h		h	flood
6. taradima								h		•
7. dədərə	r	r	h	r	h	h	h	h	h	thunder
8. siralo	r	r	r	r	h	h	r∿h	h	h	flint
9. <sup>9</sup> aisi <u>r</u> oka	r	r	r	h	h	r	h	h	h	orange
10. tuvoro	r	r	r	r	h	h	h	h	h	bamboo shoots
ll. ka <u>r</u> idaŋə	r	r	r	r	h	h	r∿h	h	h	pigeon peas
12. <sup>?</sup> ava <u>r</u> ə	r	r	h	r	ŗ	h	h	h	h	palm tree
13. tualili?or	r	r	h	r	r	h	h	h	h	rice gruel
14. valitoro	r	r	h	r	r	r	h	h	h	sticky rice
15. kiriti	r	r	r	h	r	h	h	h	h	burned rice
16. mayranə	r	r	r	h	h	r	h	<b>r</b> ∿(h)6	h	sweet potato
17. siri?i	r	r	r	h	h	r	h	h (,	h	stalk of taro
18. pirini	r	r	r	r	r	h	h	h	h	branch
19. karana:ə	r	-	r	r	r∿r	r	r	h	h	cogan grass
20. taramunahə	r	r	h	r	h	h	, h	h	h	pumpkin
The second secon		-								
21. ta <u>r</u> aŋavaə	r	г	h	r	ŗ	h	h	h	h	mushroom
22. <del>d</del> a <u>r</u> atai	r	r	r	r	h	h	r∿h	h	h	poisonous mushroo
23. ciŋi <u>r</u> i?i	r	r	r	h	h	h	h	h	h	sprout
24. voka <u>r</u> ə	r	r	r		r		h	h	h	plant <b>s</b> p.
25. tavika <u>r</u> a	r	r	h		ŗ		h	h	h	plant sp.
26. kərəmə	r	r	r	r				h	h	plant sp.
27. taraimu	r	r	r	h				h	h	plant sp.
28. tarana:ə	r	r	(r)	r	r	r	h	h	h	green onion
29. ka: <u>rar</u> a	r-r	r- r	r-r	h-h	r-r	h-h	{h-h r-r	h-h	h-h	pangolin
30. mavoroko	r	r	r	h	h	r	h	r∿h	h	monkey
31. ciara	r	r	۳Vh	r	h	r∿h	h	h	h	wing
32. <sup>?</sup> ora <sup>?</sup> oro	r-r	r- r	r- r	r-r	rvr	h-h	h-h	h-h	h-h	froq
33. korapaga	r	r	r	r	r∿r	۳۷h	h	h	h	toad
34. karadolo	r	r	r	h	rvr	h	h	h	h	egg
35. tarokoko	r	r	r	h	r	h	h	h	h	chicken
_	r	r	r	h	r∿r	r	h	h	h	bamboo partridge
36. tikoray		r	r	r		r	h	h	h.	sparrow
37. korologo	r				rvr					
38. tavo <u>rir</u> akici	r-r	r-r	r-r	r-r	{r-r h-r	h-h	h-h	h-h	h-h	swallow
39. <sup>?</sup> a <u>r</u> omama <u>r</u> a <sup>?</sup> ə	r-r	r-h	r-h	r-r	r-h	r-h	h-h	h-h	h-h	centipede
40. aəsə <u>r</u> əpə	r	r	h	h	h	r	h	h	h	moth
41. aəsə <u>rəl</u> ə	r	r	r	r	i∙∕h	h	r	h	h	tadpole
42. pa <u>likar</u> oŋaə	r	r	r	r	h	h	h	h	h	waist
43. cokonoro	r	h	h	h	h	h	h	h	h	head
44. karədəraə	r- r	r-r	r-h	h-h	h-h	h-h	h-h	h-h	h-h	black spots on baby head
45. sarəmə	r	r	r	r	r	۳۷h	r	_7	h	lower jaw
46. tolarə	r	r	r∿h	r	ĥ	r	h	h	h	throat
47. taəkəraə	r	r	r	r	r	h	h	h	h	armpit
48. voro	r	r	r	r	ř	h	h	h	h	small intestine
49. tatosərəkaə	r	r	r	r	h	h	h	h	h	large intestine
50. varaŋə	r	r	r	r	h	h	h	h	h	belly
· · · · ·		r	h	h	h	h	h	h	h	pregnant
51. o-si-varaŋə	r		11	п	n	11	11	- 11	- 11	pregnano

Table 1 (cont'd)

	T.m65 ə.f60	<del>d</del> . £59	T.A. m52	d. f61	A. m54	s. f36	L. <b>f</b> 54	M. £37	T.m41 L.m53	
52. paracogaa	r	r	r	r	h	h	h	h	h	lung
53. varonovonae	r	r	r	r	h	h	r∿h	h	h	internal organ
54. kavəcəraə	r	r	h	h	h	h	h	h	h	calf of leg
55. ərai	r	r	r	h	h	h	h	h	h	blood
56. racalə	r	r	r	r	h	h	h	h	h	scar
57. karimadərə	r-r		r-r	h-h	h-h		"	"	h-h	testicles
58. savarə	ŕ	r	r	'' h	'h	h	h	h	h	
59. marodaŋə	r	r	r	h	h	h	h =	h	h	young man
	r	r			h					old man
60. maLovə <u>r</u> ə	r	r	h	h		h	h	h	h	thin
61. raŋəɨə			r	h	h	h	h	h	h	charcoal
62. o- <u>r</u> itihitisi	r	r	r	h	h	h	h	h	h	spark
63. vari <del>l</del> oə	r	r	r	r	h	h	h	h	h	trousers
64. ata <u>r</u> a	r	h	h	h	h	r	h	h	h	ring
65. <u>r</u> omoko	r			h				h	h	fish-weir
66. vaka <u>r</u> ə	r	r	h	h	h	h	h	h	h	basket
67. sororo	r- r	r- r	r-r	r-r	h-h	h∸h	{h-h r-r	h-h	h-h	slate bed
68. osopərə	r	r	h	r	h	h	h	h	h	shrill
69. o-siraovo	r	r	r∿h	r	h	h	h	h	h	dance
70. macaviri	r	r	h	r	h	r	h	h	h	fight
71. mavario	r	r	r	r	h	h	h	h	h	quarrel
72. (o)k <u>or</u> oko <u>r</u> o	r- r	r-r	r-r	r-r	(r-r r-r	h-h	h-h	h-h	h-h	dig
73. marimoro	r- r	r	r	h-h	h-r	h	h-h	h-h	h-h	forget
74. odanara	r	r	r	h	h	 h	h	'h		aim at
75. ototoro	r	r	r	h	h	h	h	h	h	drip
76. otorjoro	r	r	r	h	h	h	h 'i	h	h	
77. <sup>9</sup> osario	r	r	h	h	h	h	h	h		peck
78. (o) dodoro	r	r	h		h	h	h		h	play
79. o-sarapa	r	r		r h	- h		h	h	h	push
80. (o)sisiri			r			h		h	h	suck
_	r	r	r	h	h	h	h	h	h	tear
81. oərəcə	r	r	h	h	h	h	h	h	h	tie
82. mavisarə	r	r <sub>.</sub>	h	h	h	h	h	h	h	break down
83. ma <u>r</u> amao	r	r∿h	h	h	ŗ	h	h	h	h	resemble
84. ma <u>ridar</u> ə	r-r	r- r	r-r	h-h	h-h	h÷h	h-h	h-h	h-h	fast
85. ma <u>r</u> ilai	r	r	r	h	h	h	h	h	h	skinny
86. <sup>9</sup> adirivaə	r	r	h	h	h	h	h	h	h	greedy
87. ma <u>r</u> avə <u>r</u> ə	r-r	r-r	r-r	h-h	h∸h	h-h	h-h	h-h	h-h	hарру
88. <sup>9</sup> inilavaraə	r	r	h	h	h	h	h	h	h	jealous
89. malavarə	r	r	h	h	h	r	h	h	h	admire
90. <sup>?</sup> araka:va <sup>?</sup> iikai	r	r	r	h	h	h	h	h	h	late
91. ma <sup>7</sup> osaravə	r	r	h	r	h	r	h	h	h	scattered
92. masorarə	r-r	r-r	r- r	r-r	h-h	h-h	h-h	h-h	h-h	smooth
93. toramoro	r-r	r- r	r-r	r-r	r-h	h-h	h-h	h≁h	h-h	serious
94. okasara	r	r	h	r∿h	h	h	h	h	h	sharp
95. <sup>9</sup> orokarə	r-r	r- r	r- r	r-r	r- <u>r</u>	r-h h-h	 { r-r h-h	h-h	h-h	loose
96. mavarə?ə	r	r	h	h	h	h	'' h	h	h	tired
97. a:na rə:a	r	r	h	h	h	 h	h	h	h	there
98. iriə	r	r	r	r	h	h	h	h	h	left
99. taroənəmə	r	r	r	r	h	h	h	h	h	six
No. of [r]:	114	106.5	78	59	31	18	8	1	0	
No. of [h]:	0	3.5	34	53	79	88	101	110	114	
of change:	0%	3%	30%	47%	72%	83%	93%	99%	100%	

# 2.2 The variants y∿x in Skikun

As stated in my earlier report (Li 1982:180), the devoicing of the word-final velar fricative  $[-\gamma]$  has been completed in both the Skikun and Mnawyan dialects of Atayal. The change  $\gamma > x$  in word-initial and medial position is taking place in Skikun. However, data was unavailable to show how the devoicing process was taking place. I have collected more adequate data since then, as given in Table 2 below.

Apparently it will take many more years to complete the devoicing of  $[\gamma]$  in the dialect. The 73-year-old woman named yayuc koyun shows in her speech that changes have occurred in 15% of the cases collected, while the 29-year-old woman named ya\u039un itay shows only 51% of change. To complicate the matter, there is no clear correlation between the age and percentage of change. Some older speakers may show even more change than some younger speakers, for instance, the 69-year-old woman named ipay naway and the 61-year-old man named pasan ukis show many more changes (72% and 76% respectively) than the 29-year-old woman and the 35-year-old man named yukan tuli? (51% and 66% respectively). Moreover, the 55-year-old woman named yayuc nakaw shows 91% of change. It is hard to predict the total number of years required to complete the change in the whole speech community of Skikun. The fluctuation between [\u0397] and [\u03b7] may be spread over a hundred years.

Table 2: The devoicing of initial and medial  $\boldsymbol{\gamma}$  in Skikun

		YK	HY	YS	MS	Ьs	PS	22	YT	SN	sИ	PN	Ϋ́	BW	YN	
		<b>f</b> 73	m49	m54	m56	m63	m64	f52	m37	m46	f69	m61	f31	m37	£58	
Initi																
1. γ	oŋ	Y	¥	Y	Y	Y	(¥	×	¥	Y	×	Y	Y	Y	Y	stream
2. γ	aya?	γ-γ	<b>y-</b> y	<b>Y</b> -Y	<b>Y</b> -Y	<b>Y</b> -Y	γ-×	<b>Y-</b> Y	ү-ү	x-x	<b>Y-Y</b>	γ-γ	x-x	x-x	x-x	custom
_	•	• •	• •	• •	• •	• •	(×-y	• •			• •	• •				
3. Y	amil	Y	¥	¥	¥	×	Y	×	γ∿×	Y	×	Y	Y	Y	×	root
4. y	hap	Y	Y	γ∿x	¥	γ∿x	×	Y	×	×	×	×	¥	×	×	seed
5. Y	lux	¥	Y	γ∿x	Υ	×	Υ	×	×	×	×	×	×	×	×	companion, togethe
6. Y	βaγan	×	x-Y	×-Y	×-Y	×-Å	×-Y	×-Y	×-Y	${x-x \choose x-x}$	×	×-×	×-×	×-×	×-×	summer
7. Y	iqu?	Υ	γ	×	×	×	Y	×	×	×	×	×	×	×	×	nit
8. Y	malu?	×	×	×	Y	×	×	×	×	Υ	×	×	×	Υ	×	sympathise
9. y		γ√x	Υ	Υ	×	×	×	×	×	×	×	×	×	×	×	banana
10. γι		Y-Y	{×-×	×-Y	x-x	×-1	${x-x \choose x-y}$	×-×	×-×	×-×	×-×	×-×	x-x	x-x	×-×	grind
11. Y	iyus	×	Ŷ	×	×	ү∿х	×	×	×	×	×	×	×	Y	×	intestines
12. y	laquy	Y	Y	×	×	×	×	×	×	×	×	×	-	×	×	female deer
	riyun	Ÿ	×	Υ	×	×	×	×	×	×	×	×	×	×	×	back of neck
14. y		Ÿ	×	×	×	×	×	×	×	Y	×	×	×	×	×	narrow
	βiyan	v∿x	×	×	γ∿×	×	×	Υ	×	×	×	×	×	×	×	evening
16. γ		·Y	Υ	×	·×	×	×	×	×	×	×	×	×	×	×	right side
17. Y		×	γ∿x	×	Υ	×	×	×	×	×	×	×	_	×	×	female (animal)
	laquŋ	Υ	×	×	×	×	×	×	×	×	×	×	×	×	×	pheasant
19. yr		Ý	×	×	×	×	×	×	×	×	×	×	×	×	X	bury
	laβaŋ	Ý	×	×	×	×	×	×	×	×	×	X	x	×	X	wide
21. Yı		×	×	×	Ŷ	×	×	×	×	×	×	×	×	×	×	duck
	maliq	×	×	×	×	×	×	×	×	Ŷ	×	×	×	×	×	tear
23. Y		v∿x	×	×	×	×	×	×	×	×	×	×	×	×	×	new
	suna?	×	×	×	x	×	×	×	×	×	×	×	×	×	×	breath
25. γr		×	×	×	×	×	×	×	×	×	×	×	×	×	×	ride
	matuk	×	×	×	×	×	×	×	×	×	×	×	×	×	×	peck
Media]		^	^	^	^	^	^	^	^	^	^	^	*	×	×	peck
27. sy	γaγay	<b>Y-</b> Y	<b>Y-Y</b>	<b>Y</b> -Y	<b>Y-Y</b>	<b>Y-Y</b>	Y-Y	<b>Y-Y</b>	<b>Y-</b> Y	<b>Y-</b> Y	<b>Y-Y</b>	<b>Y-</b> Y	Y-Y	<b>Y-</b> Y	<b>Y-</b> Y	separate, leave
28. k	inpyan	Y	Y	Y	Y	·Y	Y	Y	Υ.	·Y	Y	·×·	Υ.	_	×	widow
29. ya	ayih	Ÿ	Ÿ	Ÿ	Ÿ	Ÿ	Ÿ	Ÿ	Ŷ	×	Ÿ	Y	×		Υ	baby girl
30. my		Ÿ	Ŷ	Ŷ	Ÿ	Ý	Ŷ	Ÿ	Ŷ	¥	Ÿ	×	Y	×	×	painful
31. ci		Ý	Ý	Ŷ	Ý	Ŷ	Ý	Ŷ	Ý	Ŷ	Ý	Ŷ	×	×	×	tomorrow
																(cont'd over)

Table 2 (cont'd)

	YK f73	HY m49	YS m54	MS m56	P? m63	PS m64	?? f52	YT m37	SN m46	?N f69	PN m61	ү? f3l	BW m37	YN f58	
32. (m)kaya?												×	×	×	day after tomorrow
33. syalu?	¥	Y	Y	Y	Y	Y	Y	Y ×	Y ×	Y	Y		×	γ∿x	sympathise
34. rpayan	Y	Y	Y	Y	Y	Y	Y	γ^×	Ŷ	Y ×	Y	Y ×	×	λ <sub>√</sub> ×	whetstone
35. stnyan	¥	Y	Y	Y	Y	Y		Ϋ́	Y	×	γ γ∿×	×	γ∿x	×	privy
36. tyuyal	Y Y	Y Y	Y	Y Y	Y Y	¥	Y Y	γ√×	×	Ŷ	γ	×	×	×	three
37. mtkyil	Y	γ∿×	Y	Ϋ́	Y	Y	Ϋ́	×	Ŷ	Ý	×	Y	×	×	dizzy
38. payay	-	•	Y	Ŷ	Y	Y	Ý	Ŷ	×	×	Y	×	×	×	rice plant
39. Pimayal	Y	Y	Y		Y	¥	Ϋ́	Y	×	Ŷ	×	×	×	×	five
40. mayal	Y Y	Y	Y	Y	Y	Y	×	Y	×	Ý	×	×	×	Y	take
41. kyis	Y	Y	Ϋ́	Y	Y	Y	Ŷ	γ∿x	×	×	×	×	Y	×	hemp plant
42. kmuyus	Y	Y	Y	Y	Ý	Y	Ŷ	×	γ∿x	Y	×	×	×	×	shave
43. klyayan		Y	Y	Y	Y	γ∿×	Y	Ŷ	γ	×	×	×	×	×	noon
44. puya?	Y	Y	Y	Y	Y	Y	Y	×	Ý	×	×	×	×	×	navel
45. crayis	Y	Y	Ý	×	Y	Y	Ý	Y	×	×	Y	×	×	×	gaiter
46. myiyas	Y	Y	Y	Y	Y	Ŷ	Ŷ	Y	×	X	×	×	×	×	escape
47. <sup>?</sup> ayiq	Y	Y	Y	Y	Y	Ý	Ŷ	γ∿x	×	×	×	×	×	×	Miscanthus, thatch
48. ryyax	Y	Y	Y	Y	Y	Y	×	Y	×	×	×	×	×	×	mountain
49. wayi?	Y	Y	Y	Y	Ŷ	Ý	×	×	Υ	×	×	×	×	×	sun
50. βayah	Y	Y	Y	Y	Ŷ	Ϋ́	×	×	×	γ	×	×	×	×	charcoal
51. pyiyas	Y	Y	Y	Ŷ	Ŷ	Y	Y	×	×	×	×	×	×	×	Escape!
52. tylas	Y	Y	Y	Y	γ∿×	Ý	Y	×	×	×		×	×	×	waterfall (small)
53. tyliq	Y	Y	×	Y	γ∿x	Ϋ́	Ŷ	×	×	×	×	Y	×	×	waterfall (large)
54. pyatuk	Y	ı	Ŷ	Y	Y	γ∿x	Ŷ	×	Y	×	×	×		×	beak, woodpecker
55. βγisa?	Y	¥	Y	•	γ∿x	Y	Ŷ	-	Ŷ	×	×	×	_	×	reed of loom
56. qpuyu?	Ŷ	Ý	Ŷ	Y	Y	×	×	×	×	×	Y	×	×	×	hairwhorl
57. βγax	Ý	•	Ý	•	Ŷ	γ	Y	Y		×	×	×		×	testicles
58. layan	Ŷ	Y	Ŷ	Y	Ý	×	×	Ŷ	×	×	×	×	×	×	give up
59. tytap	Ý	Ý	×	×	Ŷ	×	×	×	×	Y	ү∿х	Y	×	×	fan
60. tyiyup	Y	Ŷ	Y	Y	×	×	Y	×	×	×	·×	×	×	×	sink
61. myop	Y	Y	Y	×	Y	Υ	×	×	×	×	×	×	×	×	share one cup
62. <sup>7</sup> uyiq	Ŷ	Ý	Ý		y∿x	γ∿×	×	×	×	у∿х	×	×	×	×	sinew
63. cmuyu?	Y	Y	Y	×	γ	×	×	×	×	×	X	X	×	×	pound rice
64. tytux	Y	×	×	Y	γ∿x	×	Y	×	×	X	×	×	×	×	earthquake
65. myiyaw	Y	×	×	×	×	¥	×	×	×	Y	×	×	×	×	lose
66. swayi?	Ý	×	Υ	×	×	×	×	×	×	×	×	×	×	×	kinship term
	-								20½	18 <sup>1</sup> 5	16	11	7 <del>1</del> 5	6	
No. of [γ]:	58½	481/2	46	423	411/2	39 31	34	23½	48 <sup>1</sup> 2	50½	16 53	56	/-չ 57-չ	64	
No. of [x]:	10½	19½	24	25½	281/2		36	45½	48 <del>3</del> 70%	_	53 77%		5/ <del>1</del> 2 89%	64 91%	
% of change:	15%	29%	34%	38%	41%	44%	51%	66%	/0*	73%	118	84%	098	918	

# 2.3 The variants b∿p and d∿t in Ishbukun

Bunun has two preglottalised voiced stops /b/ [7b] and /d/ [7d]. They are generally preserved in the word-initial and medial positions in all dialects. In the word- or syllable-final position, however, they are preserved only in the speech of older speakers in the Ishbukun dialect. Younger speakers tend to devoice them, as in Tables 3 and 4 below.

# 2.3.1 The variants bup

Table 3: Variations of DVD (T or L) in Ishbuk	iations of b∿p (f or t) in Ishbuku	f or t) in	(f	b∿p	of	Variations	3:	Table
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	?.f58 ?.m52	D. m48	?. <u>f38</u>	?. <u>f27</u>	s. <u>f23</u>	
1. kitŋab	Ь	Ь	Р	Ь	Ь	begin
2. ŋabŋab	Ь	b-b	ь <del>-</del> р	-	-	cliff
3. Xa <u>b</u>	Ь	Ь∿р	Р	-	-	shinbone
4. masubnuX	Ь	Ь∿р	Р	р	Ь	hate
5. Xatu <u>b</u>	Ь	b∿p	Р	P	р	trap
6. si(su) sua <u>b</u>	Ь	Ь∿р	Ь	Ь	f	yawn
7. laXai <u>b</u>	Ь	Ь	Ь	Ь∿р	Р	pass
8. (?a)?abnum	Ь	р	Р	t	Р	six (people)
9. ma <u>b</u> daX	Ь	р	-	Р	Р	rainstorm
10. minsunab	Ь	р	Р	Р	Р	burn
ll. misXaisła <u>b</u>	Ь	Р	Р	-	Р	not burn up
12. matuku <u>b</u>	Ь	Р	-	Р	-	cover
13. maŋa <u>b</u> dan	Ь	Ь	Ь	Р	р	wide
% of change:	0%	50%	66%	80%	80%	

The 13 lexical items listed in the table above are all the ones that I have found containing /b/ in word-final or syllable-final position in Ishbukun.

Speakers above age 50 retain /b/ in all lexical forms. In addition to the 58-year-old woman named ?isut (Wáng Ā-ping # ) in Chinese) and the 52-year-old man named ?adiman (Wǔ Wén-chuán /a in Chinese), I have also worked with a 65-year-old woman named lanus and a 74-year-old man named tulubus. They all retain /b/ in all the items. It should be noted that some younger speakers have not only devoiced /b/ to /p/, but have also changed it to /f/ or even to /t/. The occurrence of /t/ instead of /p/ in the form ?atnum six people as given by the 27-year-old woman named ?apin (Liù Ā-yuè \$ p p in Chinese) is due to assimilation to the following dental. Two younger speakers, toyu? (Sīz Shiáng-yún p poduced /f/ in the form sisusuab to yawn. Some younger speakers show the free variants bop in repetitions of the same lexical items.

I have found only one instance for the variants b∿? in the word-initial position in the Takituduh dialect. While the 56-year-old father named vatu? (Huáng Wàn-shēng 支 生 in Chinese) produced the form bitvaq thunder, the 27-year-old daughter named kaut (Huáng Lì-chūn 支 本 in Chinese) produced icvaq for the same item. All the four older speakers above age 30 I consulted gave initial /b-/, while the younger speakers under age 30 gave initial /?-/. They all come from the same village, Takiqatu².

#### 2.3.2 The variants dut

Parallel to the change -b > -p, there is also the change -d > -t, as exhibited in the speech of younger speakers of Ishbukun in Table 4 below.

	f58,65 m48,52	2. f35	s. f30	?. £27	s. f23	
1. Xud	d	d	d	d	d	drink
2. matanud	d	d	d	d	t	prop up
3. muslud	d	t	d	t	d	move over
4. masukud	d	d	t	t	d	close
5. liskud	d		d	d	t	stick
6. XudXud	d-d		d-d	d-d		front neck
7. masalad	d		t	d		sharpen
% of change:	0%	20%	25%	25%	40%	

Table 4: Variations of d∿t in Ishbukun<sup>10</sup>

# 2.4 The variants t∿c and d∿j in Toda

The changes from the dental stops /t, d/ to /c/  $[t\S]$  in the word-final position have been completed in all Sediq dialects; see Li 1981:254-255. The changes /t/ > /c/ and /d/ > /j/  $[d\S]$  are also taking place before /i/ or /y/ in some dialects, such as Toda and Toŋan, as manifested in the speech of younger speakers; see Li 1982:179. More adequate data have been collected for Toda, as shown in Tables 5 and 6 below.

Before /i/ or /y/, the change /d/ > /j/ applies to a few more lexical items than the change /t/ > /c/. In other words, the former change is going at a slightly higher rate of speed (from 37% to 11% of change) than the latter (from 16.7% to 9.5% of change). There is a clearer correlation between the age and percentage of change for the sound change /t/ > /c/ than that for the sound change /d/ > /j/. The difference in age is relatively small and not very obvious. Apparently the changes from dental stops to affricates are taking place very gradually and at this very slow rate over many decades; it may span more than a century.

Table 5: Palatalisation of /t/ before /i/ or /y/ in  $\mathsf{Toda}^{\,11}$ 

	R.B. <u>f73</u>	2.S. m72	W.S. m56	B.N. <u>f47</u>	В.Т. <u>m49</u>	S.B. <u>m25</u>	
l. sərtin	t	t	t	t	t	t	hormet
2. quti?	t	t	t	t	t	t	excrement
3. tiyu? bawa?	t	t	- t	t	t	t	forefinger
4. putin	t	t	t	t	t	t	knife
5. totin	t	t	t	t	t	t	steelyard
6. qmuti?	t	t	t	t	t	t	defecate
7. mtutin	t	t	t	t	t	t	fall, drop
8. tmutin	t	t	t	t	t	t	hit
9. mrutiq	t	t	t	t	t	t	muddy
10. mtilux	t	t	t	t	t	t	hot
11. mktina?	t	t	t	t	t	t	Bunun
12. kr <del>ə</del> t-i	t	t	t	t	t	t	Cut!
13. sbət-i	t	t	t	t	t	t	Beat!
14. rtrət-i	t	t	t	t	t	t	Rub, Grind!
15. tqut i?	t	t	t	t	t		fart
16. tyaqun	t	t	t	t∿c	t	t	crow
17. timu?	t	t	t	С	t	t	salt
18. tyadan	(t)	(t)	t	t	С	-	dragonfly
19. tiyux	С	t	t	С	С	С	small comb
20. hu:t-i	t	С	С	t	С	С	Suck!
21. qyu <u>t</u> -i	С	С	С	t	t∿c	С	Bite!
No. of [t]:	19	19	19	18.5	17.5	16	
No. of [c]:	2	2	2	2.5	3.5	3	
% of change:	9.5%	9.5%	9.5%	11.9%	16.7%	14.3%	

Table 6: Palatalisation of /d/ before /i/ in Toda

	R.B. <u>f73</u>	S.B. m25	?.S. m72	B.N. f47	B.T. m49	W.S. m56	
1. rŋədi?	d	d	d	d	d	d	small fly
2. dmidil	d	d	d	d	d	d	hold in hand
3. tudiq	d	d	d	d	d	d	drip
4. chədil	d	d	d	d	d	d	heavy
5. mdiras	d	d	d	d	d	d	shout
6. thədil	d		d	d	d		move dwelling
7. hmədil	d		d	d	d		move things
8. (d) <del>d</del> ima?	d	d	d	d∿j	d	d	bamboo
9. hŋəd−i	d	d	d	d	d	d∿j	Cook (vegetables)!
10. qhədin	d	d	d	d	d	j	hot spring
ll. tdiyun	d	d	j	d	d	d	wasp
12. qridil	d	d	ď∿j	d∿(j)	d	d	woman
13. qpudi?	d	d	d	d	d	j	earwax
14. mtədiyal	d	d	d	d	j	d	fight
15. qəndi?	j	d	d	d	d	d	long
16. tludin	d		d	d	j	d	middle finger
17. sədiq	d	d	ď∿j	d	d	j	person
18. ridi?	d	d	ď∿j	d	d	j	handle (of knife)
19. qdiraw	d	d	d	j	d∿j	d∿j	hawk
20. kdiyac	d	d	d	j	d∿j	ď∿j	cicada
21. ltud-i	d	d	j	d	d	j	Connect!
22. diyax	d	d	d	j	j	ď∿j	day
23. diyan	d	j	d	j	j	d	daytime
24. padiq	d	d	j	d∿j	d∿j	j	Chinese cabbage
25. budi?	d	j	j	d∿j	d	j	arrow
26. diran	j	j	d	j	j	d	dove
27. ka <u>d</u> i?	j	d	j	d∿j	j	j	fishnet
No. of [d]:	24	21	20.5	19.5	19.5	15	
No. of [j]:	3	3	6.5	7.5	7.5	10	
<pre>% of change:</pre>	11.1%	11.1%	24.1%	27.8%	27.8%	37%	

I checked with two Toda speakers, basaw temu? (m49) and bakan nawi? (f47), in both February 1980 and August 1983, and they both showed slight changes in producing the dentals. Basaw temu? showed the change /t/ > /c/ in the lexical form tiyux > ciyux comb and the change /d/ > /j/ in the lexical forms kadi > kaji fish net, diraŋ > jiraŋ dove, kdijac > kdijac  $\sim$  kjiyac cicada, padiq > padiq  $\sim$  pajiq Chinese cabbage. Surprisingly, bakan nawi? produced only cyaquŋ in 1980, yet she gave the free variants tyaquŋ  $\sim$  cyaquŋ crow in 1983, and she produced only the affricate /j/ in the lexical forms tjiyuŋ wasp, kaji and pajiq in 1980, yet she gave only the dental /d/ or the free variants  $/d/\sim /j/$  in the forms tdiyuŋ, kadi  $\sim$  kaji and padiq  $\sim$  pajiq in 1983. Her speech both in 1980 and in 1983 showed great fluctuation between the dentals and the affricates. It is possible, of course, that these variations did exist in basaw temu's speech in 1980 but did not turn up in the data I recorded.

#### 2.5 The variants rue and en0 in Tsou dialects

The main Tsou dialects are Tapangu, Tfuea and Duhtu (Tung 1964:2, Tsuchida 1976:83, Li 1978). The voiced retroflex continuant /r/ is retained only in the speech of the older speakers of Duhtu. It has become /e/, /y/ or zero, depending on the phonetic environments, in both Tapangu and Tfuea, as well as in the speech of younger speakers of Duhtu (Li 1978:281).

Tsuchida (1976:84-85) gave the following interesting sociolinguistic account concerning /r/ in Duhtu:

In the Duhtu village are found three types of speakers: (1) those who more or less constantly retain /r/; (2) those who do not have /r/...; (3) those who have free variants with and without /r/. Only a few of the speakers belong to the first type above and are mostly older than sixty years of age [in 1968]; they number probably less than ten. Although they pronounce /r/ consistently when asked to pronounce words in isolation, the /r/ sometimes does not appear when they tell a story .... The speakers who belong to type (2) are mostly women and those who are closely affiliated to Tfuea .... All the rest belong to type (3).

Later I found only one old man named avai tenayana (Jí Tài-píng ま太子 in Chinese, aged 74 in 1978), who still retained /r/ in his speech. It was difficult to consult him because of his poor health when I visited him again in 1982. Basically, then, /r/ has disappeared from Tsou by now.

There are some other speech variations exhibited by different age groups in the Tsou dialects. As it was stated in my earlier report (Li 1978:284):

Younger speakers of the Tapangu and Tfuea dialects tend to drop out the initial vowel /e/, which may have been historically derived from /r/ plus a vowel. For example, e'uho *leopard* in the older speakers' speech is 'uho in the younger speakers' speech. In fact, the latter may have both variants.

Further examples for the variant  $e^{\sqrt{\theta}}$  were listed in Li 1978:284. Unfortunately I did not consult speakers at various age levels then. This requires further investigation.

# 2.6 The variants -pv-k and -mv-ŋ in Skikun

Table 7: Variations of finals by different age groups in Skikun

(1)	SP f80	YK f7l	BM m65	m63	MW m50	PS m64	PN m6l	MS m56	YS m54	BW m37	SN m46	Υ? f31	?? f52	YN f58	YT m37	HY m49	WB m35	
1. qciyap	-p	- p	- p	- p	-p	-p	-p	-p	- p	-p	-p	- p	- p	- p	- p	- p	-k	opposite shore
2. <sup>?</sup> iyup	- p	-p	-p	-p	-p	-p	-p	-p	- p	-p	-p	-p	- p	- p	- p	- p	-k	Chinese goshowk
3. qatap	-p	-p	-p	-p	-p	-p	-p	-p	-p	- p	-p	-p	- p	- p	- p	- p	-k	scissors
4. tytap	<b>-</b> p	- p	- p	-p	-p	<b>-</b> p	-p	-p	-p	-p	-p	-p	- p	p∿k	- p	- p	-k	to fan
5. Yhap	<b>-</b> p	-p	- p	-p	-p	-p	-p	<b>-</b> p	<b>-</b> p	- p	-p	-p	- p	- p	- p	-k	-k	seed
6. pshup	-p	-p	-p	-p	-p	-p	-p	-p	-p	-p	-p	-p	-p	- p	-p	-k	-k	suck
7. qurip	<b>-</b> p	-p	-p	-p	-p	-p	-p	-p	-p	-p	-p	-k	- p	- p	-p	-k	-k	ginger pepper
8. tyiyup	-p	-p	- p	-p	-p	-p	-p	-p	-p	-p	-p	-p	-k	- p	- p	-k	-k	sink
9. hmap	- p	-p	- p	-p	-p	- p	- p	-p	- p	-p	p∿k	-p	- p	- p	-k	-k	-k	stab
10. qmuyup	-р	- p	-p	-p	-p	-p	-p	-p	- p	-p	-p	-p	-k	-k	-p	-k	-k	fold
ll. talap	- p	-p	- p	-p	-p	-p	-p	-k	- p	-p	- p	- p	p∿k	-k	-k	-p	-k	eaves
12. hmop	-p	- p	- p	- p	-p	-p	-p	-k	- p	- p	- p	- p	-k	-p	-k	-k	-k	do magic
13. qmalup	- p	-p	- p	-p	-p	- p	-p	-p	- p	- p	- p	- p	-k	-k	-k	-k	-k	hunt
14. myop	-р	- p	-p	- p	- p	- p	p∿k	- p	-p	- p	- p	p∿k	- p	-k	-k	-k	-k	share one cup
15. msuyap	- p	-p	-p	-p	-p	p∿k	-p	- p	-k	- p	- p	-p	- p	-k	-k	-k	-k	yawn
16. kmiyap	- p	- p	-p	- p	-p	-p	-p	- p	-p	- p	-k	-k	- p	-k	-k	-k	-k	catch
17. mnep	- p	-p	-p	- p	-p	- p	- p	- p	-k	-k	-p	p∿k	- p	-k	-k	-k	-k	to fish
18. miyup	- p	<b>-</b> p	-p	-p	<b>-</b> p	- p	-p	-p	- p	-k	enter							
of change	e: 0%	0%	0%	0%	0%	3%	3%	11%	11%	11%	14%	22%	31%	47%	50%	72%	100%	
																		(cont'd over

Table 7 (cont'd)

(2)	SP	Ьs	BM	SN	YK	YS	PS	BW	MS	HY	Ϋ́	MW	PN	YN	22	YT	WB	
	f80	m63	m65	m46	f73	m54	m64	m37	m56	m49	f31	m50	m61	f58	f52	m37	m35	
1. (q)qom	-m	-m	-m	-m	-m	- m	-m	-m	- m	-m	-m	-m	-m	-m	<b>−</b> ŋ	<b>-</b> ŋ	<b>−</b> ŋ	anteater
2. syam	-m	-m	-m	-m	m∿ŋ	- m	- m	- m	-m	-m	<b>−</b> ŋ	- m	– m	<b>−</b> ŋ	<b>−</b> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	pork
<ol><li>qmtam</li></ol>	-m	-m	-m	-m	-m	-m	m∿ŋ	-m	-m	-m	<b>−</b> ŋ	m∿ŋ	<b>−</b> Ŋ	<b>−</b> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	<b>−</b> ŋ	swallow
4. rom	-m	- m	- m	-m	- m	- m	- m	-m	- m	-m	<b>−</b> ŋ	<b>−</b> ŋ	<b>−</b> ŋ	<del>-</del> ŋ	<del>-</del> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	needle
5. qinam	-m	-m	-m	-m	-m	- m	-m	-m	- m	-m	<del>-</del> ŋ	<b>-</b> ŋ	<del>-</del> ŋ	<del>-</del> ŋ	<del>-</del> ŋ	<del>-</del> ŋ	<b>−</b> ŋ	peach
6. hmham	-m	-m	- m	-m	<b>-</b> ŋ	- m	m∿ŋ	- m	<del>-</del> ŋ	<b>-</b> ŋ	m∿ŋ	<b>−</b> ŋ	-ŋ	-m	<b>−</b> ŋ	-ŋ	<b>-</b> ŋ	grope
7.{mtlom	{ -m	{ -m	{ -m	{ -m	{ -m	{ -m	{ -m	{ -m	{ <b>-</b> 0	{ <b>-</b> 0	$\{_{\mathfrak{m}_{\mathcal{O}}\mathcal{O}}$	{ <b>-</b> ŋ	{ <b>-</b> ŋ	{ <b>-</b> ŋ	{ <sup>-ŋ</sup>	{ <sup>-ŋ</sup>	{ <sup>-ŋ</sup>	burn
mom	`-m	`-m	`-m	`-m	`-m	,-U	` <b>-</b> ŋ	`-m	U	`-ŋ	`-m	` <b>-</b> ŋ	` <b>-</b> ŋ	`-ŋ	`-ŋ	` <b>-</b> ŋ	` <b>-</b> ŋ	
8. yuhum	-m	m∿∪	-m	-m	<b>−</b> ŋ	- m	<b>−</b> ŋ	– m	– m	-m	<b>−</b> ŋ	<b>-</b> ŋ	<b>−</b> ŋ	<b>−</b> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	<b>−</b> Ŋ	gall
9. cmom	-m	-m	-m	- m	– m	<b>−</b> ŋ	<b>−</b> ŋ	- m	<b>-</b> ŋ	<b>−</b> ŋ	- m	<b>−</b> ე	<b>-</b> ŋ	<b>−</b> ŋ	<b>−</b> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	wipe
10. mktlium	-m	-m	-m	-m	- m	<b>−</b> 0	-m	<b>-</b> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	<b>−</b> ŋ	<b>−</b> 0	<b>-</b> ŋ	<b>-</b> ŋ	<b>−</b> ე	<b>-</b> ŋ	<b>−</b> ŋ	mn
ll. prahum	-m	-m	-m	<b>-</b> ŋ	- m	<b>-</b> ŋ	m∿ŋ	<b>-</b> ŋ	- m	<b>-</b> ŋ	<b>−</b> ŋ	<b>-</b> ŋ	<b>−</b> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	<b>−</b> ŋ	<b>−</b> ŋ	lips
12. tmalam	-m	-m	<b>-</b> ŋ	m∿ŋ	- m	- m	m∿ŋ	<b>−</b> ŋ	<b>−</b> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	<b>−</b> ŋ	<b>-</b> ŋ	<b>-</b> 0	taste
13. mnkum	-m	-m	- m	<b>-</b> ŋ	<b>-</b> ŋ	<b>-</b> ∂	m∿ŋ	<b>-</b> ŋ	<del>-</del> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	<b>-</b> ŋ	dark
% of change:	0%	4%	7%	18%	25%	32%	39%	39%	50%	57%	71%	82%	86%	86%	100%	100%	100%	

#### DISCUSSION OF THE SOUND CHANGES.

# 3.1 Different types of sound changes progress at different rates of speed

It is obvious that different types of sounds change at different rates of speed. Some sound changes, such as /r/ > /h/ in Mantauran, can be completed in less than 30 years, while other sound changes, such as  $/\gamma/$  > /x/ in Skikun, may span a century or more.

A distinction should be made between "change in apparent time" (distributions across age levels) and "change in real time" (comparison of records for different periods) (Labov 1980:253). In this paper I generally refer to change in apparent time. Since there are no earlier reports for many of the dialects I have studied, it is impossible to compare different periods of time for these dialects today. Since the same adult speakers show slight changes in their speech as they get older, it can be inferred that change in apparent time is somewhat shorter than change in real time.

A phonemic merger, either complete or partial, takes about 30 years in apparent time. For instance, /r/ has completely merged with /h/ in Mantauran in about 25 years, as seen in data of people roughly between the ages of 60 and 35; see Table 1 in section 2.1. The labial stop /-p/ has partially merged with the velar stop /-k/ only word finally in the Skikun dialect of Atayal in about 30 years (roughly between the ages of 62 and 32), and the labial nasal /-m/ has partially merged with the velar nasal /-ŋ/ word finally in about 40 years (roughly between the ages of 76 and 36); see Li 1982:169 and Table 7 in section 2.6. The voiced velar stop /g/ has partially merged with /-w/ after the vowels /a/ or /u/ in the Inago dialect of Sediq in about 30 years (between the ages of 69 and 38), and with /-y/ after the vowel /i/ in about 20 years (between the ages of 60 and 38); see Li 1982:174-176.

It takes a good number of years to complete the devoicing process of a certain consonant. For example, the devoicing of  $[\gamma]$  to [x] in Skikun is likely to span more than a hundred years (see section 2.2), and so is the devoicing of /-b, -d/ in Ishbukun (see section 2.3). The on-going change /-b/ > /-p/ was reported for the Mabatu and dialect of Atayal, in which no change was observed in the speech of speakers above age 70, and there is only less than 20% change in the speech of speakers in their 40s; see Li 1982:181.

Palatalisation also takes a long time. For example, /t/ > /c/ and /d/ > /j/ before /i/ or /y/ in Toda will be spread over a century; see section 2.4.

# 3.2 Factors responsible for sound change

Different factors may come into play in a certain sound change. Age is clearly the main determining factor for the following sound changes: /-p/ > /-k/, /-m/ > /-9/ in Skikun, /-g/ > /-w, -y/ in Inago, /r/ > /h/ in Mantauran, /r/ > /e, y/ or  $\emptyset$  in Duhtu. Sex is a minor factor in determining the speed of each of these sound changes.

However, different age levels do not indicate the direction and speed as clearly in the other types of sound change, such as the devoicing of the fricative  $/\gamma/$  > /x/ in Skikun, that of the stops /-b/ > /-p/ in Mabatu^an, /-b/ > /-p/ and /-d/ > /-t/ in Ishbukun, and the palatalisation /t/ > /c/, /d/ > /j/ in Toda. What factors, other than age and sex, are responsible for these sound changes? Could it be different styles of speech (such as formal and informal

speech, careful and casual speech), social classes, social context, or some other factors? Further study would be required before we could answer this question.

The style of speech is certainly an important factor in determining which variant will occur, at least in certain pairs of variants in Formosan languages. For example, /r/ is retained when the older Duhtu speakers pronounce words in isolation, but it sometimes disappears when they tell a story (Tsuchida 1976:84). Interestingly enough, the initial consonant /g-/ immediately preceding another consonant is generally lost when elicited in isolated words in the Squliq dialect of Atayal, but it may turn up in the Squliq texts that I have collected from the same informant, e.g. gmalu?  $\sim$  malu? to sympathise, gmluw  $\sim$  mluw to follow, to ricle (Li 1980:365). A Mantauran speaker said that the tone is more "emphatic" if words are pronounced with /r/ instead of /h/ (see section 2.1).

The data as given in this paper and in Li 1982 are based on the pronunciation of words in isolation. Most speakers were not told and were thus unaware of the kinds of things I was actually observing.

#### NOTES

- 1. This paper was written with the support of the National Science Council Grant NSC73-0301-H001-04, Republic of China and further with a research grant from Harvard-Yenching Institute.
- 2. The Chinese Romanisation was originally designed by Yuen Ren Chao and a few other members on the Committee for a Study of Chinese Romanisation, adopted and publicised by the Ministry of Education in 1928. However, it was not popularly accepted by the public mainly because of the complicated rules to indicate different tones. Hence, the Ministry of Education set up a new committee to revise the spelling in February 1984. The author was on the Committee. The revised spelling system is adopted in this paper.
- 3. In the following tables, the initials stand for the native names of the informants, followed by their sex and age.
- 4. When a certain sound that undergoes a sound change appears twice in a lexical form, it is separated by the hyphen '-'.
- 5. The tilde '∿' indicates free variation in the speech of the speaker. No attempt was made to record the frequency of each variant. Only the variant with extremely low frequency is put in parentheses.
- 6. See note 5 above.
- 7. When a certain item is not in the informant's vocabulary, it is indicated by '-'. When information is unavailable, it is left blank.
- 8. Most of the informants' names appeared in Li 1982:168,169. A few informants are added in Tables 2 and 7:

```
pasaŋ ʔukis (Lǐ Yǔng-shiúng * * Ait , m63); silan nokan (Chén Wén-jāng * * , m46); (Jāng Tzan-chéng * , m56); ʔipay ʔagiŋ (Lǐ Wén-yīng * , f52); Yabuŋ ʔitay (Yè Lì-huā * , m61).
```

9. The Ishbukun informants' names are:

```
?isut (Wáng Ā-bǐng 王 阿 汤 , f58);
?adiman (Wǔ Wén-chuán 伍 文 傳 , m52);
?akuan (Wǔ Ā-shīn 任 阿 新 , f35);
daXu? (Wáng Lián-shèng 王 連 勝 , m48);
?akuan (Shǐ Ā-fēng 史 阿 豊 , f38);
toyu? (Sz Shiáng-yún 司 祥 東 , m38);
saluŋ (Wáng Ā-gān 王 阿 甘 , f30);
?apin (Liǔ Ā-yuè 芳 阿 月 , f27);
saku? (Wǔ Shiòu-měi 伍 芳美 , f23).
```

- 10. All the informants listed in Table 4 are the same as the ones listed in Table 3 except Lagus (Liǔ  $\overline{A}$ -ju  $\delta$  ) in Chinese, f65).
- 11. The informants' names in Tables 5 and 6 are:

```
rabaw bawxi? (Jāng Wǔ-mèi 🎉 🎉, f73);
?awi? suyan (Jāng Tiān-tsiuán 🎉, m72);
walis suyan (Jāng Tiān-tsái);
bakan nawi? (Hú Ài-mèi 🎁 🎉, f47);
basaw temu? (Jāng Wén-wàng 🎉 🎉, m49);
suyan basaw (Jāng Shùn-fú
```

12. The Proto-Formosan (PFN) form for the item is \*likulaw leopard as attested in Atayal akl-i?, Sediq rkel-ic, Tsou (Duhtu dialect) r?uho, Kanavu ukunau, Saaroa lukulu, Rukai, Paiwan, Puyuma Likulaw, Thao rukdaw, Saisiat Loklaw, Kavalan Luqənaw, Ami Lukdaw. Hence the older speakers' speech retains the more archaic form in Tsou.

\*Author and editors thank Han Kartawinata for his calligraphy!

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#### LEXICAL DIFFUSION IN SANGIR

#### J.N. Sneddon

#### INTRODUCTION

In the Sangir language of northern Indonesia all non-nasal consonants were removed from word-final position through replacement by glottal stop or addition of the syllable  $9^{\circ}$ . <sup>1</sup>

Although the two processes were largely in complementary distribution in terms of the phonological environments in which they operated, this was not always the case and apparent irregularities present a difficulty for the traditional claim that sound change operates uniformly without exception. For instance, the syllable  $\theta$  was generally added to words ending in \*s, e.g. Proto-Austronesian (PAN) \*huRas became Sangir uhase? wash. But in some words \*s was replaced by ?, e.g. PAN \*nipis became Sangir nipi? thin. No phonological or other environmental factors can account for the different changes undergone by the two words above and, as is shown below, appeal to borrowing to account for such apparent irregularity, in this and many other instances, can be rejected.

This paper aims to show that (a) the two sound changes were competing methods by which final consonants were dealt with in Sangir, (b) both innovations spread gradually through the lexicon and (c) one innovation appeared first but was blocked from reaching the entire eligible lexicon by the other rule, this resulting in the apparent irregularity in the language today. The evidence presented also offers an explanation for the occurrence of doublets in Sangir, such as salu? river and saluha? gutter, riverbed, which both reflect PAN \*saluR, and dialect variation, such as Taruna dialect lewo? and Manganitu dialect lewoha? young coconut.

In this discussion evidence from some other languages needs to be considered. It is shown that not only were the changes the result of lexical diffusion in Sangir but that areal diffusion of the changes occurred also between Sangir and nearby languages which are also closely related to Sangir.

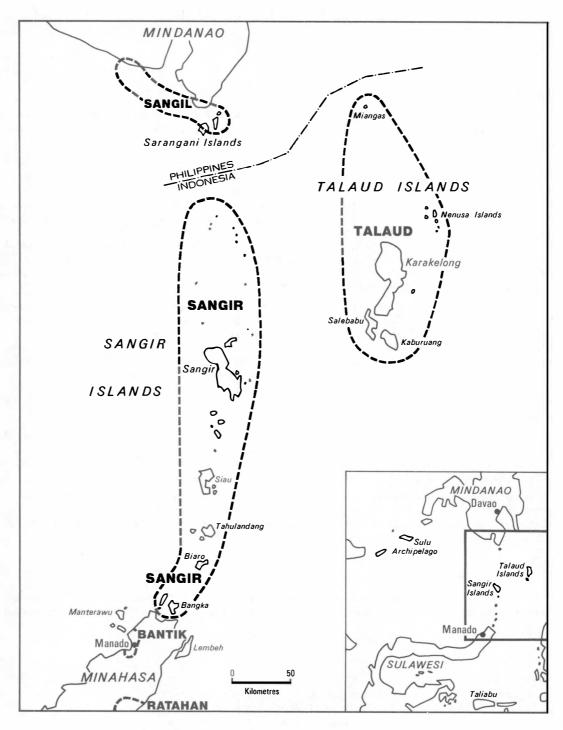
In general the languages most closely related to Sangir have been poorly documented to date. The paper therefore begins with some brief notes on the languages, before considering the historical changes which they have undergone.

# 2. THE SANGIRIC LANGUAGES

Sangir (San) belongs to a small group of Western Malayo-Polynesian languages, here called the Sangiric group, which probably link with the Philippine languages.

Paul Geraghty, Lois Carrington and S.A. Wurm, eds FOCAL II: papers from the Fourth International Conference on Austronesian Linguistics, 51-71. Pacific Linguistics, C-94, 1986.

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Map: The Sangiric languages and their localities

A study of the interrelationships of the Sangiric languages has recently been carried out, which includes a statement of their phonological histories and a reconstruction of their parent language, Proto-Sangiric (Sneddon 1984). Proto-Sangiric (PSan) forms cited here are from the list of lexical reconstructions given in that work.

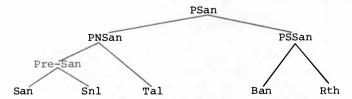
The Sangiric languages (see map, p. 52) are:

- (a) San, spoken by about 180,000 people in the Sangir (Sangihe) Archipelago, which stretches from north of the eastern tip of the North Sulawesi peninsula towards Mindanao. Unless otherwise stated, San forms in this study are from the Manganitu (Mang) dialect.
- (b) Sangil (Snl), spoken by about 10,000 people in coastal areas in the Cotabato and Davao provinces of Mindanao and also in the lower Sarangani peninsula and on the nearby Sarangani islands. In this study Snl forms are from the Sarangani dialect.<sup>3</sup>
- (c) Talaud (Tal), spoken in the Talaud Archipelago, north-east of the Sangir Archipelago, by about 30,000 people. Tal forms given here are from the Salibabu dialect.
- (d) Bantik (Ban), spoken in north-west Minahasa, the easternmost region of North Sulawesi, in about ten villages surrounding Manado, the provincial capital, and in several isolated villages further south.
- (e) Ratahan (Rth), spoken by about 20,000 people in south-east Minahasa. The name Bentenan was sometimes used in earlier literature for this language.

Of these languages, San is by far the best known to linguists, publications including Adriani's grammar (1893) and Steller and Aebersold's dictionary (1959). San has also been used in wider comparative studies, e.g. Reid (1971), Charles (1974), Mills (1981).

There is sufficient high-quality evidence (which is presented in Sneddon 1984) to establish that Ban and Rth form one branch of the Sangiric group, the South Sangiric subgroup, continuing Proto-South-Sangiric (PSSan), while San, Snl and Tal form another, the North Sangiric subgroup, continuing Proto-North-Sangiric (PNSan). Within the North Sangiric subgroup San and Snl link closely together and could be regarded as two dialect groups, each with its own subdialects, of a single language. Because of their close relationship and the frequent need to refer to them together the abbreviation San/Snl is often used below. The historical changes discussed in this paper occurred largely within the period of their shared history, a stage here called Pre-Sangir (Pre-San), following Maryott (1978).

The genetic relationships of the Sangiric languages can be represented as follows:



Rth is geographically isolated from the other Sangiric languages and did not share in any of the innovations which spread through them. It is probable that the Rth community moved to their present location soon after their split with Ban. The other Sangiric languages appear to have maintained close contact and a number of shared phonological characteristics are the result of areal spread. San and Tal are spoken on scattered island chains by predominantly fishing communities skilled in boating and there has always been a considerable amount of inter-island contact. San is spoken in at least one port in the Talaud islands (Team Fakultas Sastra Unsrat 1976-77). The Sangil people migrated to the Philippines from the Sangir Archipelago several hundred years ago (Maryott 1978) at which time they must have constituted simply another San dialect group. There has clearly been on-going contact between Ban and southern San communities and Ban shares a number of lexical items with the southern dialects Siau and Tahulandang (Thl) which are not found in the more northerly San dialects, such as Mang, Taruna and Tabukang, or in Snl. Also, there have long been Sangirese settlements on the Minahasan coast near the Ban speech area.

# TERMINOLOGY AND ABBREVIATIONS

Replacement of final consonants by  $^{?}$  is henceforth referred to as final consonant reduction, for which the abbreviation FCR is used. Any word-final consonant so affected is said to have reduced to  $^{?}$ . Thus the term 'reduction' for the purposes of this paper is clearly defined and distinguishes replacement of a final consonant by  $^{?}$  from other replacement or loss.

The additional or paragogic syllable,  $\theta$  in San but phonologically different in some other Sangiric languages, has also developed independently in a number of other Sulawesi languages. It occurs in Makassarese, where Mills has called it 'the echo-vowel + [q] sequence' (1975:74). Adriani refers to it as an 'unaccented final syllable' ('toonlooze eindlettergreep') for San (1893:37). Such terms are too cumbersome for continual use; for ease of description and because of its precise definition the term paragoge will henceforth be used. This follows Maryott (1977) who uses the term to refer to the phenomenon in Snl. Pei (1966) defines 'paragoge' as: "The addition of a sound, letter or syllable to the end of a word, without etymological justification ... and without change of meaning in the word." There appears to be no derivative of the term 'paragoge' which refers to the paragogic syllable itself. Because of the constant requirement in this paper to refer to the syllable itself the term 'paragoge' is here used to refer to this paragogic or additional syllable and not to the process of its formation. The process of paragoge, as defined by Pei, will be referred to as paragoge addition, abbreviated to PA.

#### THE DIACHRONIC CHANGES

# 4.1 Final consonant reduction

FCR occurred in all Sangiric languages except Tal. 7

In Rth \*t regularly reduced to ?, e.g. PSan \*əpat > Rth pa? four, PSan \*lanit > Rth lane? sky, PSan \*ikit > Rth iki? tie. No other consonants underwent reduction. It is possible that t-reduction occurred in PSSan, before its break-up into Ban and Rth. If so, then following the separation of Rth and its isolation from the other languages the change did not spread to other classes of sounds. Alternatively, t-reduction may have been an independent, parallel development in Rth after its separation from the other Sangiric languages. (Final consonant loss or reduction occurred separately in languages of various subgroups throughout Sulawesi.)

In Ban the voiceless stops \*p, \*t and \*k reduced to ?: PSan \*atup > Ban atu? roof, PSan \*tiap > Ban tia? count, PSan \*takut > Ban taku? afraid, PSan \*Ramut > Ban hamu? root, PSan \*utak > Ban uta? hair, PSan \*baluk > Ban balu? sell. 9 No other consonants reduced to ? in Ban. 10

Where FCR occurred in Rth and Ban the original consonant is not recovered before a suffix: Rth luwa?, Ban laba? to cross (river) (PSan \*labat)  $\rightarrow$  Rth luwa?en, Ban laba?en be crossed.

PSan voiceless stops reduced to ? in Pre-San, as reflected in San and Snl: PSan \*atup > San, Snl atu? roof; PSan \*ontap > San, Snl onta? bellows; PSan \*sepet > San, Snl sepe? carry under arm; PSan \*ikit > San, Snl iki? tie; PSan \*utak > San, Snl uta? hair; PSan \*manuk > San, Snl manu? fowl. There are some lexical items in modern San and Snl which have preserved p, t and k through paragoge addition; these are probably all borrowings (see Section 6 for discussion of these forms).

In Pre-San FCR also affected all other consonants other than nasals, i.e. voiced stops, \*s, \*l and \*R (reflected as h in San and r in Snl), but only in a limited number of lexical items (see detailed discussion, with examples, in Section 6).

In San and Snl word-final glottal stop, whether reflecting PSan \*? or reduction of some other consonant, is replaced by another consonant preceding a suffix; by t if the preceding consonant is velar and by k elsewhere: San, Snl tiu? blow (PSan \*tiup) + tiukan be blown; San, Snl taka? cover (PSan \*takap) + takaten be covered; San bohe? write (PSan \*boRet) + bohekan be written, Snl wore? make designs or decorations + worekan be designed.

There are a number of words in which the original consonant is retained before a fossilised suffix. It might appear at first that in these words the original consonant is recovered preceding suffixation. However, Maryott (personal communication) explains these forms:

There is a small class of words that may at first appear to have realisations other than the expected k or t. A more careful investigation reveals these forms to be artifacts of an obsolete, non-productive system explainable on historical rather than descriptive grounds. Some of these forms have counterparts, often with a shift in meaning, in the productive system.

His examples include San, Snl katatikilan sleeping loft, bed (a form reflecting earlier \*tikil sleep with fossilised affixation) besides katatikitan any place on which one sleeps (a derivative of modern tiki?) and San, Snl sədapen west, place where sun sets (reflecting earlier \*sədap to set (of sun), go down with fossilised suffix) besides sədaken be put down into something (modern səda? + -en). Other examples are San gəliran be presented (reflecting earlier \*gəlid give + \*-an) as well as gəlikan be presented (a derivative of modern gəli?) and kakinasen small plate from which fish or meat is eaten (reflecting earlier \*kinas fish + \*-en), cf. modern kina?

#### 4.2 Paragoge addition

The paragoge occurs in all languages except Rth, although it differs somewhat from language to language.

In Tal the paragogic vowel is a, occurring on all words which previously ended in a consonant other than \*?. (The original nature of the paragoge is discussed in the appendix.) The consonant preceding the paragoge is usually doubled, e.g. atuppa roof (PSan \*atup), inassa fish (PSan \*kinas), lanitta sky (PSan \*lanit). It is not geminate if the preceding syllable contains a geminate consonant, e.g. annuma six (PSan \*ənum), a nasal-stop cluster, e.g. sandaka lean (PSan \*sandeR) or z, e.g. uzasa wash (PSan \*uRas).

The paragoge does not occur on words which earlier had final  $^{?}$ , either because  $^{?}$  resisted the addition of the paragoge, as it did in Pre-San and Ban, or because PA developed subsequent to the historical loss of  $^{?}$ . Where the paragoge now occurs after  $^{?}$  in Tal,  $^{?}$  reflects an earlier  $^{*}$ k, e.g. uta $^{?}$ a hair < Pre-Tal  $^{*}$ utakka (PSan  $^{*}$ utak), zusu $^{?}$ a rib < Pre-Tal  $^{*}$ zusukka (PSan  $^{*}$ Rusuk).  $^{11}$ 

In San and Snl the paragoge is  $\theta$ ?: San uhase?, Snl urase? wash (PSan \*uRas); San, Snl likude? back (PSan \*likud); San linuhe?, Snl rinure? earthquake (PSan \*linuR); San ehabe?, Snl rabe? sharpen (PSan \*eRab); San begele?, Snl wegele? deaf (PSan \*begel).

In the Thl dialect of San the paragoge is  $i^2$ , e.g. likuri $^2$  back (cf. Mang likudə $^2$ ), Thl wiwihi $^2$  lip (cf. Mang biwihə $^2$ ).

In Ban the paragoge is  $V^2$ , where V assimilates to the preceding vowel: uhasa? wash (PSan \*uRas), dakele? many (PSan \*dakel), tanisi? cry (PSan \*tanis), sokolo? cough (PSan \*sekol), likudu? back (PSan \*likud).

In present-day San, Snl and Ban the paragoge occurs on all words which would otherwise end in a consonant other than ? (whether original or the result of FCR) or a nasal.

In San, Snl, Ban and Tal the paragoge is lost if there is a suffix beginning with a vowel. Thus, San uhase?, Snl urase?, Ban uhase?, Tal uzasa wash with the passive suffix become respectively uhasen, urasen, uhasen, uzasanna be washed.

#### 5. AREAL DIFFUSION

Neither FCR nor PA occurred in a language ancestral to all the Sangiric languages; Tal does not reflect FCR and Rth does not reflect PA.

It is important to establish that neither FCR nor PA occurred in a language ancestral to San/Snl and Ban. Evidence is here given that Ban had split from the North Sangiric languages before either change appeared and consequently their occurrence in both Ban and San/Snl must be the result of areal spread.

The North Sangiric languages reflect metathesis of word-final \*s and a preceding \*t. In Pre-San the resulting final \*t later reduced to ?. Metathesis was regular where \*t was the consonant in the syllable immediately preceding final \*s, as in the first two examples below. The change sometimes also occurred when \*t was separated from final \*s by two syllables, most examples recorded being of two-syllable words, with metathesis of initial \*t and final \*s, as in the second two examples below. This metathesis (MET) did not occur in Ban and Rth:

PSan	San	Snl	Tal	Ban	Rth	
*bitis	bisi?	wisi?	bisitta	bitisi?	witis	calf
*Ratus	hasu?	rasu?	zasutta	hatusu?	-	hundred
*taŋis	saŋi?	saŋi?	saŋitta	taŋisi?	taŋis	cry
*tages	sage?	sahe?	sahatta	tagese?	tahis	reef

MET is one of the strongest pieces of phonological evidence available for subgrouping the Sangiric languages, showing that San, Snl and Tal share a parent language not ancestral to Ban and Rth.

As Tal does not reflect FCR it must have split from San/Snl before FCR occurred. Since s-t metathesis is reflected in all three North Sangiric languages it follows that the occurrence of MET predated FCR (in fact, MET could not have occurred if final \*t had already reduced to ?).

Since Ban does not reflect MET it, and Rth, had split from the North Sangiric languages before MET, and consequently before FCR, had occurred. Thus MET provides the important information that FCR did not occur in a language ancestral to Pre-San and Ban but that the innovation spread geographically subsequent to their period of common development.

The occurrence of PA in Ban and San/Snl must also be the result of areal spread. First, Ban and Rth form a subgroup but PA does not occur in Rth. Therefore its occurrence in both Ban and San/Snl cannot be the result of direct inheritance from a period of common development. Secondly, as is shown below, PA must have appeared in Ban and Pre-San chronologically later than FCR, which was itself the result of areal diffusion.

Also the occurrence of PA in San/Snl and Tal must be the result of areal spread rather than a shared inheritance from PNSan. As FCR occurred in Pre-San but not in Tal then it developed after the language split. Consequently, since PA appeared in Pre-San later than FCR, it also must have developed after the languages split.

Although the place of origin of the two innovations cannot be established some assumptions can be made. Barrack (1978:5) writes: "If we find evidence of lexical diffusion" [where an innovation is spreading through the lexicons of a number of contiguous dialects] "then we should expect the innovation to appear with greatest consistency in that dialect closest to the point of origin." He points out that there is no necessary reason why this should be so but that "the expectation of a higher degree of diffusion in dialects closest to the point of origin is corroborated by empirical investigation."

PA affected the whole of the Tal lexicon. It continued to operate in Ban and San/Snl after FCR and displaced it as the method for removing consonants from word-final position. It is unlikely that either of these languages would have displaced one successful method for dealing with final consonants by another innovation unless under external influence. Thus it is most probable PA began in the Tal-speaking area.

FCR clearly did not first appear in the Tal-speaking area as it did not operate there. FCR spread through more of the lexicon in San/Snl than in Ban, operating on consonants other than voiceless stops, and thus probably first began in the San/Snl speech area.

# 6. LEXICAL DIFFUSION

Chen (1972:468-469) writes:

Sound change does not operate on the lexicon en bloc and instantaneously or according to a uniform schedule; rather, it spreads itself gradually across the lexicon, and operates on words or groups thereof one after another ... this gradual spread of phonological change from morpheme to morpheme has become known under the name of 'lexical diffusion'.

He also states (p.468):

A phonological change can gradually extend its domain by extending its phonological environment. Thus a narrowly conditioned sound change with the initial shape as (1) may extend its phonological condition successively as (2,3...) and finally become an unconditioned change (n):

(1) 
$$X \rightarrow Y/C_1$$
  
(2)  $X \rightarrow Y/C_{1,2}$   
(3)  $X \rightarrow Y/C_{1,2,3}$   
:  
(n)  $X \rightarrow Y$ 

It sometimes happens that as a phonological rule diffuses through the lexicon, another rule appears which begins to operate on the same items, blocking the spread of the first rule. Chen and Wang (1975:256) write:

A phonological innovation may turn out to be ultimately regular, i.e. to affect all relevant lexical items, given the time to complete its course. But more often than linguists have thought, a phonological rule peters out towards the end of its life span, or is thwarted by another rule competing for the same lexemes.

The hypothesis put forward here is that FCR and PA were competing methods by which final consonants were dealt with in the Sangiric languages. According to this hypothesis the two processes began in different localities and spread through the contiguous speech communities (areal diffusion) and gradually through the lexicon in various localities (lexical diffusion).

In Pre-San and Ban FCR was the first rule to affect final consonants, operating on voiceless stops. All final voiceless stops underwent FCR in Ban and possibly also in Pre-San, although a few may not have, as is further discussed below.

It is possible that FCR originally operated only on \*t. If t-reduction in Rth was not a separate development then this was certainly the case:

However, this cannot be established and the rule in any case extended to the other voiceless stops in Ban and Pre-San. This is referred to as phase 1 in the operation of FCR:

When the process of PA reached Ban final voiceless stops, but no other sounds, had undergone FCR. PA then operated on all remaining final oral consonants (examples given below, this paragraph). But in Pre-San almost every other final oral consonant was affected by FCR in some words. The only consonant for which no examples have been recorded for the Pre-San period is b. Although b-reduction did occur in some words there are dialect differences and changes affecting this sound may have been late (see Section 7). Examples of the reduction of other consonants are: San, Snl gəli? give, cf. Ban gilidi?, Rth hilir (PSan \*gəlid); San həbo? slip into hole or mud, cf. Rth uwoh (PSan \*Rəbog); San rene? rotten, cf. Tal zenessa, Rth enes (PSan \*Renes); San, Snl apu? lime, cf. Tal apukka, Ban apuhu? (PSan \*apuR); San kulu? breadfruit, cf. Tal ulukka, Ban kuhuhu? (PSan \*kuluR); San beŋko? bent, cf. Tal beŋkola, Ban beŋkolo?, Rth weŋkol (PSan \*beŋkol); San, Snl tiki? sleep, cf. Tal ti?illa, Rth tikil (PSan \*tikil).

It is possible that FCR extended its domain to some classes of sounds before others; for instance, \*b may have been affected later than some other sounds. But this cannot be clearly determined and since FCR eventually affected all oral consonants it is suitable here to recognise a second, general phase in its operation:

Thus FCR began to affect classes of consonants other than voiceless stops in Pre-San, but it was stopped before it could spread to more than a limited number of lexical items ending in such consonants. $^{12}$ 

There is evidence that FCR and PA overlapped in time of operation in Pre-San, as discussed below. Chen (1972:478-479) talks of sound changes in overlapping time relation with each other. He illustrates this with two rules, R7 and R8:

R7 
$$a \rightarrow b / \underline{c}$$
R8  $c \rightarrow d$ 

and writes:

If ... some ac sequences emerged as bd and some as ad then the divergent developments of ac forms would reflect a stage in historical change where two rules (R7, R8) overlapped in time and were simultaneously applicable to the same set of lexical items, with the result that whereas some lexical items underwent the changes in the order of R7-8, some other lexical items followed the reverse order of events. In this latter case R8 does not destroy the phonological environment of the input to R7 in all instances, but in some only. This is so because neither the diachronic ordering of R7-8 nor its reverse, R8-7, can account for the coexistence of both ad and bd forms.

It is proposed here that such overlapping of FCR and PA is partly responsible for the present-day variation in San and Snl. Chen's R7 and R8 can be equated with FCR and PA respectively for San/Snl:

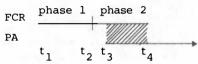
FCR: 
$$C > ? / _ \emptyset \#$$

PA:  $\emptyset > ə? / C _ \#$ 

where C is any consonant except a nasal or ?. 13

The PA rule as expressed above is conditioned where Chen's R8 is not. The restriction on C is necessary since the PA rule could not operate on the output of the FCR rule, the sequence \*\*?ə? not being possible. Where PA applied first FCR could not operate on its output since the condition for the change to C, that it be in word-final position, no longer obtained.

This mutual interference of the rules applied of course only during the period of their overlapping. FCR was the first rule to operate in Pre-San, completing phase 1 (this is assumed for the moment). Soon after phase 2 began PA also began to operate. Thus the changes were in competition for the remaining eligible lexicon until FCR petered out, leaving PA as the only rule still operating on final consonants. This can be depicted as in Diagram 1:



t<sub>1</sub>: FCR phase 1 begins

ta: FCR phase 1 is complete and phase 2 begins

t<sub>2</sub>: PA begins

t,: FCR phase 2 ends

Hatching indicates the period during which the two rules were in competition

# Diagram 1

This implies that PA became the 'favoured' method by which final consonants were dealt with in San/Snl, 'winning out' over FCR. Evidence for this comes from changes to borrowings in San and Snl. Almost all borrowed words ending in a consonant underwent PA; very few in which the final consonant underwent FCR have been identified. San, Snl uba? monkey is apparently from a southern Mindanao language, where the word is widely distributed as ubal. Occurrence of b, for regular w, and the absence of cognates in the other Sangiric languages point to borrowing. It is possible that this was a very early borrowing, predating FCR and subsequently undergoing FCR when phase 2 of its operation began, as did a number of inherited words ending in \*1.

There are only a few identified borrowings in which a voiceless stop reduced to ? in San: aŋka? strike up a song from Malay (Mal) aŋkat, bebe? duck from Mal bebek, biaŋgu? beard, with unexplained initial b, but Siau dialect diaŋgu? from Mal jaŋgut. Northern San (Taruna, Tabukang) and Snl əta? winnow is a borrowing (cf. Cotabato Manobo ətap, Sarangani Manobo ʌtap) beside Mang taə? and forms in the other Sangiric languages reflecting PSan \*taəp.

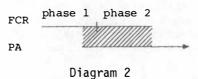
Final consonants, both voiceless stops and other classes, reduced to  $\ref{eq:consonants}$  in a few other borrowed words but all these words have doublets which retained the final consonant by means of PA (see Section 7).

With the exception of the few recorded cases referred to above, known borrowed words ending in a consonant took the paragoge in San. 15 Most significantly this included voiceless stops. Borrowings which took the paragoge after a voiceless stop include: San harapa?, Snl halapa? hope from Mal harap; San sədapa? delicious from Mal sədap (cf. San səda? set (of sun) < PSan \*sədap); San kuata? strong from Mal kuat; San umata? human from Arabic via Mal umat; San poroka? fork from Dutch vork; San balaka? beam from Dutch balk; Snl utuka? brain from a Mindanao language (cf. Samal, Mansaka, Tagbanwa gutuk).

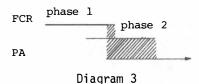
A number of words in San and Snl which have retained final p, t and k, taking PA instead of FCR, cannot be identified as borrowings. But the probability is that all such items were borrowed, entering the language after PA had replaced FCR as the method for dealing with final consonants. Of 112 items in Steller and Aebersold's dictionary ending in voiceless stop + ə? (where groups such as kənditə, kinditə, kunditə, thrifty are counted only once) 31 can be identified as borrowings from Mal (often from Arabic or another language via Mal) and 35 from Dutch. Steller and Aebersold (1959) identify one as a borrowing from Chinese and one from Tidore. Of the remaining 44 items eight have vowel a in the environment aC tə?#, e.g. bakatə? darkness, kalatə? curse. It was a regular rule in PSan that PAN \*a was replaced by \*e before a final alveolar or dental consonant if the preceding vowel was also \*a, separated from it by one consonant, e.g. PAN \*baRat > PSan \*baRet wind, PAN \*Zalan > PSan \*dalen road, PAN \*palaj > PSan \*paled palm. Thus these items are identified as borrowings by having a instead of e in the final syllable. Eight items have intervocalic voiced stops instead of corresponding continuants, b instead of w, d instead of r (l in Snl), g instead of g (h in Snl), e.g. obote? pride, udupe? conscientious, paguta? fastidious. Voiced stops became continuants intervocalically in PNSan except after \*a and these eight items can be regarded as borrowings. them, uagata? kind of spirit; on quiet nights its loud steps can be heard outside, contains both a instead of e and intervocalic g, both features identifying it as a borrowing (cf. the inherited form in San bahe? wind < PAN \*baRat).

Thus of the 112 items in Steller and Aebersold ending in voiceless stop +  $\theta^{7}$  only 29 cannot be identified as borrowings on the available evidence. However, the fact that none of these has known cognates in the other Sangiric languages, apart from Snl, lends strong weight to the likelihood that they are also borrowings.

Nevertheless, the possibility must be left open that some of them are inherited from PSan. If this is so then the only explanation is that PA reached the Pre-San speech community before FCR had spread to the entire eligible lexicon in its first phase. In that case the period in which the two rules overlapped would have begun before phase 1 of FCR was complete so that there would have been a period in which the two rules were in competition for those lexical items still retaining final voiceless stops. If this were the case then Diagram 1 would have to be modified as in Diagram 2:



Considering that PA became the preferred method for removing consonants from word-final position it seems unlikely phase 2 of FCR would even have begun if PA was already in operation. What seems more probable is that, if PA did overlap with phase 1 of FCR, the second, general phase of FCR began while phase 1 was still running its course (that is, consonants other than voiceless stops began to be replaced by glottal stop before this process had affected all voiceless stops). If so the overlap of the two rules can be represented as in Diagram 3:



Nevertheless, as mentioned above, the possibility is strong that all words ending in voiceless stop + paragoge were later borrowings and that phase 1 of FCR was completed before PA commenced in Pre-San, as represented in Diagram 1.

Diagram 1 shows PA continuing after FCR phase 2 had ceased to operate, evidence for this coming from borrowings, as mentioned above. There are no recorded cases of recent borrowings with final consonants undergoing FCR; all have undergone PA, which is thus still in operation. Examples of recent borrowings in San are: pəlatə? gramophone record from Dutch (grammofoon-)plaat, səpaltə? asphalt, tərakə? truck, listrikə? electric, konsolə? consul, motorə? motorboat (information from K. Maryott, personal communication).

Although most, and probably all, words ending in a voiceless stop which took the paragoge in San were borrowed, many words ending in a consonant other than a voiceless stop which underwent FCR must have been inherited. It is important to establish this here because it could otherwise be argued that in San/Snl, as in Ban, all voiceless stops underwent FCR while all other consonants were retained through PA, the 'irregular' forms being treated as borrowings. Such an approach would have the advantage of imposing regularity of sound change on the language and the need to appeal to lexical diffusion would be obviated.

Charles (1974:463) refers to San laha? pound rice again to get it white as problematic because the expected form would be \*\*lahasa? (Proto-Philippine \*DeRqas). However, the evidence is convincing that some San words in which a previous final s was replaced by ? are directly inherited and need not be regarded as problematic. The list of PSan reconstructions in Sneddon 1984 contains 30 items with final \*s to which the paragoge was added in San but only three which underwent s-reduction and one case of doublets. The items undergoing FCR are San hene?, Snl rene? rotten, cf. Tal zenessa, Rth enes (PSan \*Renes); San, Snl kina? fish, cf. Tal inassa, Ban kinasa?, Rth kinas (PSan \*kinas); San, Snl nipi? thin, cf. Ban nipisi?, Rth nipis (PSan \*nipis). San also has the pair bahi?, bahisa? line, stripe, cf. Ban bihisi? (PSan \*baRis), which are treated in Section 7. (Other words which underwent s-reduction in San, such as laha? mentioned above, have no known cognates in South Sangiric languages and consequently have not been assigned PSan etyma.)

Although only a small percentage of inherited words with final \*s underwent FCR in San there are objections to any assumption that these were actually borrowings. First, with one known exception in San (ibəla? devil from Mal (Arabic) iblis) and one in Snl (kaləta? money from Mal (Arabic) kərtas), all identified borrowings with final s underwent PA, such as San malasə? lazy from Mal malas, San galasə? glass from Dutch glas. Even the two known exceptions have doublets in which s was preserved by PA (see Section 7). Thus the absence of a paragoge itself cannot be used as evidence that a word was borrowed.

The difficulty with assuming borrowing can be seen if we look at particular cases of s-reduction, for instance the item San, Snl kina? fish. Related forms occur in the other Sangiric languages but have not been recorded for other languages which are geographically close (although cognates occur in some Borneo languages - R. Blust, personal communication); therefore borrowing from an

external source would be difficult to maintain. If the word was borrowed from another Sangiric language then there are several problems: (a) Since Ban kinasa?, Tal inassa, Rth kinas attest to PSan \*kinas, this item must have been replaced in Pre-San by another word, only to be borrowed again later, a not impossible but nevertheless unlikely situation. (b) If it was borrowed before FCR and PA operated it would have been borrowed from Ban or Tal as \*kinas. But there is no reason why such a borrowed word would undergo FCR while inherited words did not. (c) If it was borrowed after the operation of PA it would have been borrowed as a form with the paragoge. Further, the word kakinasen small plate from which one eats fish or meat, with fossilised affixation, attests to the earlier occurrence of \*kinas in Pre-San.

The same arguments apply to the other words which have cognates in other Sangiric languages ending in s: hene? rotten and nipi? thin. Thus the application of FCR, instead of PA, to such words cannot be accounted for in terms of borrowing. It must therefore be recognised that these were directly inherited words, reflecting PSan \*kinas, \*Renes and \*nipis. It follows that some words with final \*s in Pre-San did undergo FCR rather than the more common PA.

The case of s-reduction has been used to show that FCR affected some words ending in consonants other than voiceless stops before PA blocked it from spreading further through the lexicon, a situation illustrated in Diagram 1 above. The same arguments can be applied to words ending in other consonants as well.

# 7. DIALECT DIFFERENCES AND DOUBLETS

The theory of lexical diffusion accounts for dialect differences within San/Snl in the treatment of final consonants. Hsieh (1977:168) points out that a sound change "will continue to proceed at a different speed and influence the lexical items in a different order in one dialect than in another."

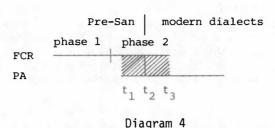
In its second phase FCR affected certain lexical items in some San/Snl dialects but not in others. Thus at a certain time a lexical item underwent FCR in dialect A but not in dialect B. PA was prevented from affecting the item in dialect A by the earlier application there of FCR but it operated on the item in dialect B thereby in that dialect blocking FCR.

Some examples of dialect differences in San are: Siau inti?, Mang intile? stretch; Siau biu?, Mang biule? despise; Siau kalumbia?, Mang kalumbiage? twisted; southern dialects lela?, Mang lelabe? bald patch; Mang tuma?, southern dialects tumade? crush; Mang embe?, Siau embehe? bleat; Taruna lewo?, Mang lewohe? young coconut; Tabukang sehi?, Mang sehide? chicken lice; Taruna, Tabukang ulu?, Mang uluge? massage by rubbing; dialect (unspecified in Steller and Aebersold) eti?, Mang etibe? back pain.

Differences between San (Manganitu dialect) and Snl (Sarangani dialect) include: San bisula?, Snl wisu? boil; San dompola?, Snl dompo? fuel a fire; <sup>17</sup> San apida?, Snl api? immediately after; San maririha?, Snl madidi? yellow; San aha? clouds on horizon outlining land below, Snl araba? cloud cap on mountain; San hunu? small fire, Snl la-runusa? bonfire; San kuni?, Snl kunida? turmeric; San sia? yell, scream, Snl siada? cry (of infant).

Such dialect variation may be quite limited. Steller and Aebersold list only 19 cases of doublets where the members are identified as belonging to different dialects of San. One reason for this could be that the modern dialects began to emerge from Pre-San only when the changes discussed here were well under

way. 18 If this was so then most items would be similarly reflected in all dialects of San/Snl and this does appear to be the case. Assuming that situation, Diagram 1 can be modified as in Diagram 4 to indicate the period of the emergence of modern dialects:



Before  $t_1$  only FCR was in operation; after overtaking all final voiceless stops it began to act on other classes of consonants. From  $t_1$  until  $t_2$  FCR and PA were in competition, each affecting some items ending in consonants; these items are reflected in the same way in all modern dialects of San/Snl. From  $t_2$  to  $t_3$  the competition continued but following different schedules in different dialects. It was during this period that the dialectally different forms emerged. At  $t_3$  FCR ceased to operate;  $t_3$  could of course have been a different real time in different dialects.

Steller and Aebersold list a number of doublets in San where one results from FCR and the other from PA and where the two forms are not identified as occurring in separate dialects. Examples are: bəhi?, bəhisə? line, stripe; poŋgo?, poŋgolə? broken off; disi?, disihə? stand firm; kəmi?, kəmihə? silent; lau², laugə? mix; həŋko², həŋkodə? fear; gono², gonobə? stalk; əlo toto², əlo totobə? easily moved to tears. 19

The theory of lexical diffusion, which recognises the possibility of the overlapping in time of competing changes, can also offer an explanation for this variation. Hsieh (1977:163) points out that as a change spreads through the lexicon each item affected undergoes a period of synchronic variation between the old and new form.

At time  $t_1$  the first item  $a_1$  begins to change by acquiring an alternative form  $b_1$  but at the same time maintaining the old form  $a_1$  .... At time  $t_2$  the old form  $a_1$  in the synchronic variation is dropped and the new form  $b_1$  is retained. At this time, another item  $a_2$  acquires the synchronic variation  $a_2 {}^{\wedge} b_2$  ...."

We can imagine a situation where, for instance, \*pongo! broken of f was affected by FCR. It underwent a period of synchronic variation \*pongo!  $^{\circ}$  \*pongo?. Then, before the next stage, loss of variant \*pongo! and retention of pongo? alone, could occur, PA operated on the variant \*pongo!, producing pongole?.

Doublets occur among a few borrowed forms and they can likewise be explained, e.g. pingu?, pingure? thimble from Dutch vinger; salado?, salodage? slovenly from Dutch slordig. In the case of ibela?, hibeluse? devil from Arabic via Mal iblis, phonological differences between the two forms suggest they may have been separately borrowed rather than the result of the above-described processes operating on a single borrowing.

Sometimes differences in meaning also suggest separate borrowing of doublets, e.g. San, Snl tampa? place as well as Snl tampate? tomb, shrine (of deceased royalty or holy man) from Mal tampat and San karatase?, Snl kalatase? paper as well as Snl kalata? money from Arabic via Mal kartas. In these cases the form with consonant reduction is likely to be the older borrowing.

Steller and Aebersold note four sets of doublets in San where a previous \*t has undergone FCR in one member and PA in the other, two sets being borrowings from identifiable sources, ina? and inate? remember from Mal inat, pupu (with irregular t-loss rather than reduction) and pupute? crowbar from Dutch koevoet, one set, sahagi? and sahagite? charm for accomplishing a job quickly, almost certainly a borrowing because of irregular intervocalic g, though from an unknown source, and one set, amba? and ambate? adorn, not identifiable as a borrowing.

Three items with earlier final voiceless stops are known where San and Snl reflect different processes (although semantic differences suggest two of these might not be directly related): San kuku?, San sa-kukutə? kind of sea fish; San suri? recall dimly, Snl sulitə? learn; San bilu? oblique, slanting, Snl bilukə? to tack (of sailboat).

Although such variation involving final voiceless stops occurs in very few items it must be accounted for. The only explanations that can be offered at present are that competition between the two processed did operate marginally during the first phase of FCR, as in Diagram 3 (although if so far more evidence than this would be expected) or that all the items are borrowings, as seems likely, and that they were borrowed during the second phase of FCR, that process competing with PA for these forms as it did for forms ending in other consonants.

#### 8. CONCLUSION

The apparent irregularity in the way consonants were removed from word-final position in San presents difficulties for any explanation based on the traditional view that phonological change is instantaneous and knows no exception.

On the other hand, the theory of lexical diffusion can account for the development of two different changes, FCR and PA, in the same phonological environments.

The occurrence of doublets in San, where one is the result of FCR and the other of PA operating on a common parent form, cannot be accounted for by traditional explanations of diachronic sound change. Borrowing, so frequently appealed to in the case of difficult phonological problems, offers no explanation since both clearly inherited forms with cognates in the other Sangiric languages and forms which are positively identified as borrowings have resulted in such doublets.

Nor can dialect mixture be seriously considered. Although Steller and Aebersold do not always identify dialect differences, sufficient information is available to show, as illustrated in Section 7, that dialect variation is random. The phenomenon of doublets which occurs in Mang is almost certainly a feature of the other dialects as well.

The theory of lexical diffusion offers an explanation for the origin of such pairs by recognising the possibility of one rule affecting a word while it was undergoing a period of synchronic variation between the original form and the form produced by the other rule.

The evidence presented above supports the hypothesis that FCR first operated in Pre-San but before it could spread to the entire eligible lexicon it was blocked by PA. FCR apparently did not come to a sudden stop with the arrival of PA to the San/Snl speech area but rather there was a period of competitive overlap, as represented in Diagram 4, the occurrence of doublets and dialect variation strongly supporting this.

Finally PA established itself as the preferred method for removing consonants from word-final position. PA is still in operation, with borrowings now undergoing PA rather than FCR to conform with the phonological pattern of the lanquage.

The theory of lexical diffusion accounts for forms like San/Snl nipi? thin, for expected \*\*nipisə? (PAN \*nipis), and apu? lime, for expected \*\*apuhə? (PAN \*qapuR). Some Austronesianists, using evidence from San in comparative studies, have regarded some forms as problematic or as borrowings because of such variation. This paper, while offering supporting evidence for the theory of lexical diffusion, shows that some apparently irregular forms in San are in fact directly inherited and can be employed with confidence in comparative studies.

#### APPENDIX

The paragoge is phonologically different in Ban, Tal and San/Snl. However, it is argued here that it was originally \*0 in all languages.

In Tal the paragoge could not originally have been \*a, as it is today. Since it is most unlikely that geminate consonants occurred word finally it can be assumed that PA chronologically preceded the development of doubled consonants. If the paragoge were originally \*a there would be no way to explain why doubling occurred to the consonant preceding the paragoge, e.g. lanitta sky < PSan \*lanit, but not before a where this reflects PSan \*a, e.g. mata eye < PSan \*mata. Consequently the paragoge must have been a vowel other than \*a. The same argument can be used against its having been \*e, \*i, \*o or \*u. However, the vowel of the paragoge could well have been \*ə.

Schwa occurred in PSan in all but final syllables. PSan \*ə was replaced by a in Tal, the following consonant having become geminate: PSan \*əpat > Pre-Tal \*əppata > Tal appata four, PSan \*bəli > Pre-Tal \*bəlli > Tal bəlli buy. It can be established that consonant gemination occurred prior to changes to \*ə for the same reason that gemination occurred prior to the paragoge becoming a, namely, that gemination does not occur following a where it reflects PSan \*a.

In Ban \*0 no longer occurs, having regularly assimilated to the following vowel: PSan \*solet > Ban sele? insert, PSan \*boka > Ban baka split, PSan \*lono > Ban lono smooth. The shape of the paragoge in Ban can be accounted for if we assume the same process of vowel assimilation applied to it, i.e. if the paragoge was originally \*0? then 0 assimilated to the closest, in this case preceding, vowel: PSan \*kinas > Pre-Ban \*kinaso? > Ban kinasa? fish, PSan \*apuR > Pre-Ban \*apuho? > Ban apuhu? lime, PSan \*komis > Pre-Ban \*komiso? > Ban kimisi? squeeze.

There is one San dialect, Tahulandang, in which the paragoge is not  $\theta$ ? but i?, e.g. tiŋari? correct (cf. Mang təŋadə?), tuluhi? egg (cf. Mang təluhə?). In Thl, as in Tal and Ban, previous \* $\theta$  has been replaced by other vowels in all

positions (as in the two examples above). Thus in Th1 also the vowel of the paragoge can be accounted for in terms of replacement of earlier \*a.

In all languages except Tal the paragoge ends with ?. In Tal final \*? was lost, e.g. PSan \*kento? > Tal ento limp, PSan \*Ramu? > Tal zamu red. It can therefore be taken that the paragoge originally ended in glottal stop which was later lost by regular rule in Tal.

Thus there is good evidence that the paragoge was originally \*ə? in all languages. It would be natural that when the rule of PA spread from Tal (see Section 5) it was adopted in the other languages in the same phonetic shape, later variation occurring with the replacement of \*ə in all positions in Tal, Ban and Thl.

## NOTES

- 1. I wish to thank Robert Blust and David Zorc for their helpful comments on an earlier draft. I am also very grateful to Kenneth Maryott who patiently answered questions on Sangil, providing much-needed information on that language. I also express my thanks to Professor Peter Worsley, Department of Indonesian and Malayan Studies, The University of Sydney, who provided the facilities which allowed me to commence work on this paper during a brief visiting fellowship in his department.
- 2. Charles (1974) believes, on lexical evidence, that San lies outside the Philippine group. On the other hand, Walton (1979), also using lexical evidence, finds San to be a first-order branch of Southern Philippine, one of the two first-order branches of the Philippine group. In a painstaking comparative study Zorc (1986) presents strong lexical evidence for recognising the Sangiric languages as a subgroup of the Philippine or Eastern Hesperonesian languages.
- 3. Maryott has recently begun to refer to Sangir as Sangihé, representing [saniha?], the indigenous name in Manganitu and Tabukang dialects, and to Sangil as Sangiré, representing the indigenous name [sanira?]. Not only could this be confusing to linguists but the name [sanira?] is also used by some Sangirese to designate their own language, i.e. in dialects such as Taruna where r corresponds to Manganitu and Tabukang h. In this work the better known, and less confusing, names Sangir and Sangil are used.
- 4. A lexicostatistical comparison gives San (Manganitu) and Snl (Sarangani) a cognate percentage of 82. Walton (1979) finds them to share 90% of their basic vocabulary, basing his study on material in Reid 1971 for which the San list was drawn from the Tabukang dialect as spoken by immigrants in Mindanao.
- 5. Two instances, apart from those considered in this paper, are the replacement of all final nasals by  $\eta$  in San, Snl and Ban, e.g. San ənu $\eta$ , Snl, Ban nu $\eta$  six < PSan \*ənum, and replacement of medial y by 1 in San and Tal, e.g. San kalu, Tal əlu wood < PSan \*kayu.
- 6. Danie (1981) contains maps showing the areas of San settlement in northern Minahasa.

- 7. Adriani (1911:4,5) notes that the Tal dialects on the remote northern islands of Nanusa and Miangas exhibit FCR rather than PA. He provides only a half dozen examples and he and Steller (1913:4) provide a few examples from the far north Essang dialect which suggest it too may have undergone FCR. But since all the Essang examples and most of those for the other two dialects involve reflexes of \*R, which has diachronically undergone a number of unique changes in Tal, the position is far from clear. Until more information is available these dialects cannot be further considered here.
- 8. Rth has ala? fetch for expected \*\*alap (PSan \*alap) but no assumptions can be made on the basis of one known occurrence of p-reduction, which must be left 'unexplained'.
- 9. Five Ban words have been recorded which end in voiceless stop + paragoge. These are all borrowings: pehete? bat (borrowed from an adjacent Minahasan language, without cognates in the other Sangiric languages), kulata? fungus (borrowed from a Minahasan language or Malay, without Sangiric cognates except in Rth which also borrowed the word), bebeke? duck (borrowed from Malay), pungutu? stunted (with irregular g following n, instead of regular k see Sneddon 1984:47), uagata? strong wind (with irregular g instead of h and occurrence of a before t see p.61 of text for a discussion of this change in the Sangiric languages cf. PSan \*baRet west wind). These forms undoubtedly entered the language after FCR had run its course and when PA was operating on all remaining final oral consonants.
- 10. Ban tiki sleep (PSan \*tikil) may be an exception. But although San, Snl tiki? results from 1-reduction the absence of glottal stop in Ban suggests independent loss of \*1, not associated with FCR.
- 11. The change \*k, \*kk > ? occurred subsequent to PA, i.e. the paragoge was added to \*k, not to ?. This is established by items such as ba?isa tie in a bundle < PSan \*bəkis. The consonant before the paragoge was not doubled where the preceding consonant was doubled. As s in ba?isa is single the preceding consonant was double at the time of paragoge addition. Therefore the word was earlier \*bakkisa. The geminate \*kk blocked doubling of s but later became ?. Therefore the rule \*k(k) > ? occurred later than paragoge addition. The replacement of \*k by ? in Tal occurred in all positions in the word (since glottal stop is interpreted as non-phonemic in initial position the change there is regarded as \*k > Ø) and is therefore not a case of final consonant reduction.
- For the majority of words ending in ? in San and Snl it is not possible to determine the original final consonant. This can only be done in cases where the consonant is preserved before a fossilised suffix (see Section 4.1) or where external cognates have been discovered. Most words ending in ? whose original final consonants have been identified are fairly common words with known cognates and it is certain there are others, as yet unidentified, which earlier ended in a consonant other than a voiceless stop. Since only a small number of basic vocabulary items previously ending with oral consonants other than voiceless stops underwent FCR it is very likely that only a very limited percentage of the entire eligible lexicon was so affected. In the list of PSan reconstructions in Sneddon 1984 (which includes only items with known reflexes in Rth and/or Ban as well as in North Sangiric languages) there are 128 items ending in oral consonants other than voiceless stops which have San reflexes which took the paragoge and only 16 with San reflexes undergoing FCR, as well as three doublets (which are discussed in Section 7).

- 13. The FCR rule would not normally be written with  $\emptyset$  before #. This is done here so that the symbol  $\emptyset$  can be used in both rules to highlight the similarity of the processes involved here to those described by Chen.
- 14. It is possible that borrowings from Mal with ? for expected t in fact come from a Mal dialect where final t had been replaced by ? or where, as in Manado Malay, final \*t was lost, cf. Manado Mal aŋka lift (Mal aŋkat), tampa place (Mal təmpat), iŋa remember (Mal iŋat). San often added final ? to borrowed words ending in a vowel (see Sneddon 1984:52) and San words such as aŋka?, tampa? (see text p.65) and iŋa? (see text p.65) could thus be borrowings from Manado Mal, as are nene? grandmother (Manado Mal nene) and tete? grandfather (Manado Mal tete).
- 15. Information on Snl is far from complete and no suggestion is made that other forms do not occur in that language.
- 16. San and Snl sometimes retained voiced stops morpheme initially after a fossilised prefix, e.g. San kadadəmahə?, Snl kadadəmarə? evening star (from a root \*dəmaR), though not regularly. In Siau dialect (for which available information is insufficient to allow a phonological study) voiced stops sometimes occur intervocalically in inherited words, e.g. labo? big, cf. Mang lawo? many.
- 17. In Snl \*l was lost preceding the paragoge and following a back or low vowel.
   The vowel preceding \*! was also lost if unstressed, e.g. Snl pundə? paddle
   < PSan \*pundal, Snl kapə? ship < earlier \*kapalə?, cf. San kapalə? (from
   Mal kapal). Such post-PA !-loss would have resulted in Snl \*\*wisə?,
   \*\*dompə? The forms wisu? and dompo? thus result from the final \*! having
   undergone FCR.</pre>
- 18. Another reason for apparently limited dialect variation is incompleteness of information in Steller and Aebersold. This is essentially a dictionary of the Manganitu dialect and information on other dialects is only irregularly given.
- Steller and Aebersold give 68 doublets which have either identical meanings (48) or very similar meanings (20). Borrowed or probably borrowed forms are not counted here, nor are pairs whose meanings are not at least very similar. It cannot automatically be assumed that where there are such doublets the one with final ? represents reduction of the same final consonant as occurs in the other. There are numerous examples in San of doublets with different final consonants, e.g. əngehə?, əngesə? noise of flowing water; latuba?, latuga? joke; lokaba?, lokasa? bract. There could well have been doublets with different final consonants where one underwent PA and the other FCR. If we look at Malay we see pairs such as latup, latus explode. If this pair had occurred in Pre-San the likely reflexes would be \*\*lətu? and \*\*lətusə?, giving the appearance of two forms reflecting one etymon. Steller and Aebersold sometimes cross-reference forms which are not from the one etymon, e.g. ona? fishscales and onasa? cuttings, peelings, where the former actually reflects PSan \*onap. Nevertheless, considering the number of doublets the probability is that there are many which reflect a single etymon which underwent both FCR and PA according to the process described here; for instance salu? river and saluha? gutter, riverbed both clearly reflect PAN \*saluR waters.

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## THE SAMOSIR DIALECT OF TOBA-BATAK

J.P. Sarumpaet

## INTRODUCTION¹

Samosir is an island on beautiful Lake Toba in North Sumatra. It is 520sq. km in area, covering almost half of the 1300sq.km of the lake, and is situated  $2^{\circ}35$ 'N,  $98^{\circ}48$ 'E. The length of the island is about 43km and the widest part is about 20km. The highest peak on the ridge that runs in the middle of the island rises to 1,630m, whereas the lake itself is 91lm above sea level.

Administratively, Samosir covers five subregencies (kecamatan), four on the island and one on the mainland. On the island we have the subregencies of Pangururan, Simanindo, Onanrunggu and Palipi, whereas on the mainland we have the subregency of Harian Boho (see Map). The population is 126,696, grouped in 21,396 households (Hutajulu 1982:30).

Just west of the principal town of Pangururan is Mount Pusukbuhit (2,005m), at the western foot of which are the villages of Sagala and Limbong. According to tradition, the secluded and secure valley between Limbong and Sagala was called Sianjur Sagala Limbong Mulana. It was here that the first Batak settlement was established in the distant past, and it was from here that people dispersed over the centuries to form the various Batak ethnic subgroups of Karo, Pakpak-Dairi, Simalungun, Toba and Angkola-Mandailing (Joustra 1910:25; Hoetagaloeng 1926:22; Parlindungan 1964:19; Parkin 1978:10-11; Sinaga 1981:189-224).

From time immemorial, Lake Toba and Samosir have always occupied a magical and revered place in the belief system of the Bataks, and until they were first sighted by Europeans in the 19th century, they were also a fascination for 'the white-eyed people' (si bontar mata) from across the seas. A great debate arose as to who the first European was to lay eyes on this mysterious lake (Pleyte 1895). Lake Toba was and to a great extent still is 'the sacred lake of the Bataks' (Braasem 1951).

## 2. THE BATAK LANGUAGES

It was customary to refer to the languages spoken by the Bataks of Sumatra as 'dialects' (Van der Tuuk 1864:iv; Schreiber 1874:8; Joustra 1910:10; Voorhoeve 1955:14; Nababan 1981:1). They were the 'dialects' of Karo, Pakpak-Dairi, Simalungun, Toba and Angkola-Mandailing. The differences in phonology and vocabulary, however, dictate that they should be regarded as individual languages.

Paul Geraghty, Lois Carrington and S.A. Wurm, eds FOCAL II: papers from the Fourth International Conference on Austronesian Linquistics, 73-86. Pacific Linquistics, C-94, 1986.

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North Sumatra, with the Samosir dialect area

Hence the current custom - as is also followed by this writer - of naming them respectively Karo-Batak, Pakpak and Dairi-Batak, Simalungun-Batak, Toba-Batak, and Angkola and Mandailing-Batak.

The linguistic divisions roughly coincide with the current administrative divisions of the area: Karo-Batak is primarily spoken in the Karo regency (kabupaten Karo), Pakpak and Dairi-Batak in the Dairi regency, Simalungun-Batak in the Simalungun regency, Toba-Batak in the North-Tapanuli (Tapanuli Utara) regency and Angkola and Mandailing-Batak in the South-Tapanuli (Tapanuli Selatan) regency (see Map).

## 3. THE TOBA-BATAK LANGUAGE

The Toba-Batak language, then, is primarily spoken in the regency of North Tapanuli. North Tapanuli has as its capital Tarutung, which is situated about 48 kilometres from Balige. It is in this regency that one can hear Toba-Batak spoken in its less impure form.

Throughout Indonesia, wherever a Toba-Batak settles at the present time, he may still speak Toba-Batak at least to his wife, if she is also a Batak. Depending on circumstances, such as the number of Toba-Bataks living in his immediate environment, or the availability of services conducted in that language in his local church, he may also on occasion speak that language to his children. Over the years, however, as his children grow up in an Indonesian, rather than a Toba-Batak environment, he may have to be contented with the use of Indonesian (or in the case of a Jakarta resident, of Jakartese, and that one not too pure either) with his family. For obvious reasons, a Toba-Batak male married to a non-Toba-Batak female would normally speak Indonesian at home.

## 4. THE SAMOSIR DIALECT

Within Toba-Batak, we can distinguish one geographical dialect, namely the Samosir Dialect. There are other dialects distinguished by speakers of the language, but the differences are mainly slight differences in phonology. 8

In this paper, I propose to discuss the characteristics of the Samosir Dialect (SAM). As the data show, the difference between it and Standard Toba-Batak (STB) is almost entirely lexical. There is hardly any syntactical difference between the two.

The material upon which this paper is based comprises recordings made in the field in late 1982, complemented by a few items which have appeared in written form in some dictionaries.  $^9$ 

The recordings consist of the speech of people who lived in out-of-the way villages, whether on the mainland side of the lake, or on Samosir Island itself. They are not elicited responses, but natural conversations in markets, jetties and eating places. The speakers were mostly women, between 25 and 50 years of age, who at most would have finished third grade in the local village primary school. Thus their speech was less adulterated by Standard Toba-Batak as spoken by those with more schooling, nor by Indonesian as spoken by those who had travelled farther away from Samosir.

It is interesting to note in the recordings how a conversation was conducted by three persons with different backgrounds. The first person lived in one of the villages in South Samosir and spoke the less adulterated Samosir Dialect. This means to say that she used most of the lexical items listed in the Appendix below. The second person had lived in the subregency capital of Pangururan all her life and had also finished Junior Secondary School. She spoke more or less standard Toba-Batak. The third person was a younger one who had been to Jakarta to visit relatives and who had stayed and worked in the provincial capital, Medan, for a year or two before returning to her native area. This person's speech was quite a mixture of Indonesian and Standard Toba-Batak; the Samosir Dialect was not represented at all in the mixture!

## 5. MARGINALITY AND ISOLATION

A look at the geographical position of Samosir shows that the language community we are concerned with here is situated right at the top margin of the Toba-Batak linguistic area: bounded to the north and north-east by the Simalungun language, to the north-west by the Karo language and to the west by the Pakpak-Dairi language.

Samosir was the last section of the Toba-Batak area to enter the mainstream of political and cultural life in Indonesia throughout its history. It was the last to be conquered by the Dutch colonial government, and it was also the area into which non-traditional religion, i.e. Christianity or Islam, came last. Even today, the Huria Kristen Batak Protestan, the largest of the Batak churches, still has one department in its central board specifically concerned with missionary work among those people in Samosir who still embrace the old traditional religion. The rest of North Tapanuli has not needed missionary work among followers of the old religion since the beginning of this century. 10

## 6. NORTH AND SOUTH SAMOSIR

Samosir can be divided into North and South Samosir by drawing a straight line from Pangururan on the west coast to Lontung on the east coast as an imaginary boundary (see Map). Linguistically, this line is also significant. The data show that North Samosir is indeed different from South Samosir, with Pangururan as the largest town on the island occupying a rather marginal position. The villages in the subregency of Harian Boho have the linguistic characteristics of South Samosir.

Contrary to the predictions of some informants, 11 the data show that the Samosir Dialect is the same in both North and South Samosir, except for a few lexical items which are asterisked in the Appendix below. Indeed, fewer people in North Samosir speak the Samosir Dialect, and those who do, speak a more adulterated form of it. The exposed position of North Samosir to the outside world is certainly a determining factor here. Note, for example, the words atas above and kansang peanut, which do not occur in our data from South Samosir. The first must have come from Malay into everyday usage in North Samosir, although the word was apparently widely used in Toba-Batak last century (Schreiber 1874:8). Today, it is more often noticed in Toba-Batak proper names, as in Siatasbarita, a mountain ridge near Tarutung, and in Haunatas (< Hau na atas), the name of a village east of Laguboti. Kansang would be very difficult to pronounce for inhabitants

of remote villages, as originally, Toba-Batak has no initial k and this phoneme is represented by ha in the Toba-Batak script. The word kansang is now common among urbanised young people when speaking Toba-Batak. hu jai and huju ai are borrowings from the neighbouring Simalungun language.

In South Samosir itself, it was noticeable that people from the mainland villages of Sihotang, Tamba and Sabulan spoke a purer form of the Samosir Dialect than those living across on the island. Isolation is certainly a determining factor here, as the villagers, in order to venture into the wider world of Sumatra and beyond, can only go through Pangururan, Balige or Porsea. Passage to the west, if it exists at all, is only in the form of narrow footpaths up the steep mountain range which has Mount Uludarat (2172m) as the highest peak.

Thus the Samosir Dialect is primarily spoken south of Pangururan (and to a certain extent around Pangururan itself): in Rianiate, Simbolon, Palipi, Nainggolan and Onanrunggu - to mention just the larger villages - on the south-western and south coast of the island, and also in the villages across from there on the mainland, namely the villages of Sagala, Limbong, Harian Boho, Sihotang, Tamba and Sabulan. 12

It would be safe to speculate that since the only access to the mainland villages of Sihotang, Tamba and Sabulan is by crossing the lake, the Samosir Dialect will remain in use longer there.

## 7. OLD BATAK, THE SAMOSIR DIALECT (SAM) AND STANDARD TOBA-BATAK (STB)

If we accept the tradition that the Samosir area, especially the valley to the west of Mount Pusukbuhit, was the original settlement of the Batak, we can reasonably assume that SAM forms are older than STB forms. One might even speculate that the SAM vocabulary of today – except for the obvious loanwords from Malay or Indonesian such as kaling – contains remnants of Old Batak, the parent language of all the Batak languages of today.

The following characteristics can be seen in the Samosir Dialect when compared with Standard Toba-Batak. The standard orthography is used here. (See Appendix below for the complete data and for a guide to pronunciation.)

## 1. Zero Initial Consonant in SAM

The consonants missing in SAM are d, t, n and p.

The most frequent occurrence is the non-existence of the consonant d in SAM for both the preposition di for; at; with and the passive prefix di-: SAM i imana: STB di ibana for him/her; SAM i au: STB di ahu with me; for me; SAM i dia: STB di dia where?; SAM i si: STB di si there; SAM i on: STB di son here; SAM iallang: STB diallang eaten; SAM ipingkir: STB dipingkir thought; SAM ilean: STB dilean given; SAM itingkir; STB ditingkir peeped at; seen.

We also have SAM ambai (now also a variant in STB): STB tambai to increase; SAM asida : STB nasida they; SAM iallangna : STB niallangna eaten by him; SAM inna : STB ninna  $he/she\ says$ ; SAM unga : STB nunga; SAM intor : STB pintor immediately. 13

#### 2. Zero Medial Consonant in SAM

The missing medial consonants are d, j and r.

SAM aong : STB adong there is; SAM jai : STB jadi so; therefore; SAM ni on : STB ndi on this one; SAM gijang : STB ginjang (pronounced gijjan) above; SAM nai : STB nari still; more.

#### 3. SAM h : STB t

SAM hu : STB tu to; towards; SAM hu bagas : STB tu bagasan towards the inside; SAM hu on : STB tu son this way; SAM hu sai : STB tu sadu that way; SAM hu san : STB tu san that way; SAM hu toru : STB tu toru downstairs; downward; SAM hu gijang : STB tu ginjang upwards.

SAM huju must be paired with STB tuju  $aiming\ at$  as in SAM huju an : STB dompak an  $in\ that\ direction$ .

4. Zero Suffix in SAM

SAM bagas : STB bagasan inside.

5. SAM h : STB p<sup>13</sup>

SAM hainte : STB painte wait!

6. Short Form in SAM

SAM a : STB nunga already.

7. SAM k : STB t

SAM ake : STB ate I say  $\dots$ ; SAM eka : STB beta, which colloquially is often pronounced eta let's go.

8. SAM m : STB b

SAM imana : STB ibana he; she

9. SAM 1 : STB ng

SAM jagul : STB jagung maize

10. SAM i : STB e

SAM kaling: STB kaleng tin; measure of about 16kg of rice.

11. SAM r : STB d

SAM ndara : STB ndada not.

12. SAM e : STB d

SAM nei : STB ndi this one here.

13. SAM n : STB d

SAM nungkon ni i : STB dungkon ni i apart from that; and then; by the way ...

14. Final ng dropped in SAM

SAM onde : STB ondeng the aforementioned.

15. an infix in SAM

SAM hanami : STB hami we (excl.).

16. Vowel Transposition in SAM

SAM haroa; horoa : STB huroha (colloquially pronounced huroa) would it be?; SAM de : STB do emphatic particle.

17. Consonant Transposition in SAM

SAM mana : STB nama only.

18. Contraction and Simple Forms in SAM

There is contraction in the SAM variants SAM huju ai > hu jai : STB tu san that way; i son > i on here : STB di son here and of SAM ni i > simply ni of it; of that and of SAM ni on > non. Simplification is evident in SAM lak : STB laos just; simply and SAM lok : STB loas  $let\ it\ be$ .

19. SAM t : STB r

SAM anta (pronounced atta): STB arta property; wealth; possession.

20. Longer form in SAM

SAM i sinon : STB di si over there; SAM musengani : STB muse then; later on.

#### 8. FURTHER RESEARCH

The use of small regional languages is on the decline in Indonesia, mainly because it is too costly to mount television or even radio programmes using those languages. The school curriculum is also so crowded already, that the teaching of regional languages is almost impossible in many provinces. In the case of Toba-Batak, we can still expect it to continue to be used in church services - albeit in a diluted or contaminated form - at least in the Toba-Batak area. 14

But what would be the chances for survival of a dialect like that of Samosir?

It is unfortunate that we have no data to show us the dialectal situation in Samosir in the past, to enable us to compare the data in this paper with them. Informants gave this writer anecdotal evidence that from the 1930s to the 1940s SAM was still very widely used.

It would be useful to conduct another investigation around 1992, to see to what extent the data in this paper have been lost to posterity.

## NOTES

- I am indebted to my principal informants, Mr D. Sarumpaet of Pematang Siantar, Mr P.A.S. Sibarani of Padang Sidempuan and Mr S. Sarumpaet of Jakarta for their invaluable help. They lived in various parts of Samosir before the war.
- 2. The island of Samosir was originally not an island, but a peninsula connected to the mainland by a low and swampy isthmus at the foot of Mount Pusukbuhit. Sailing vessels had to be dragged by men across the isthmus in order to

proceed north or south on the western strait. It became an island when a canal (henceforth called Tanoponggol) was constructed in 1906 on the isthmus to facilitate lake transport. This canal had almost silted up in the 1960s and the 1970s, and at the time this study was conducted, heavy earth-moving equipment was being used to widen and deepen it, as part of the provincial government's development project. The length of the canal is about one kilometre.

3. This system of referring to the Batak languages as languages rather than dialects is now the general practice in the academic departments of the tertiary institutions in North Sumatra, as indicated in the titles of the theses submitted to and passed by them.

However, if one defines dialects as languages which are mutually intelligible, one can certainly say that Toba-Batak and Angkola and Mandailing-Batak are actually two dialects of the same language.

4. The regency of Central Tapanuli (Tapanuli Tengah) is in a marginal position. Many Toba-Batak live there, as do many Angkola-Mandailing. There is also a Sibolga Dialect, which is a dialect of Malay, spoken there by the original inhabitants.

Some people make a clear distinction between Angkola and Mandailing, thus adding a sixth to the five ethnic subgroups. Linguistically at least, they can be regarded as one unit. See the title of Siregar 1977. The periodical Bona Bulu, published in Jakarta by an association of people from Angkola-Mandailing, now uses the term bahasa Tapanuli Selatan (the language of South Tapanuli).

- 5. Because Tapanuli is not very fertile, and its economy has always been rather backward, it has always been the ideal among Batak families to help their children to advance as far as possible in life through education. Anakkonhi do hamoraon di ahu My children are my wealth is a famous line from a very popular Batak song. This means that most Bataks have had to acquire education and make a living away from Tapanuli. Since the beginning of the century Bataks have migrated to Simalungun and East Sumatra (Cunningham 1958 passim). There was only one Junior Secondary School in the whole of Tapanuli, and only one Senior Secondary School in the whole of Sumatra before 1943. The latter was a five-year Hogere Burger School for Europeans, which accepted only a few Indonesians. Hence the great financial sacrifice on the part of parents to send their children to Java before the war.
- 6. In the early 1960s, the small Toba-Batak Christian community in Salatiga in Central Java decided that services be conducted alternately in Toba-Batak and Indonesian. The majority of the members were staff and students of Satya Wacana Christian University in that town, which included several from Nias! It is interesting (or sad?) to note that by the late 1970s services were already completely Indonesian.
- 7. This inevitable code-mixing has also crept into the formal language used in sermons. In a forty-minute sermon in a church in Medan, thus not far from the centre of Toba-Batak culture, this writer noted the use of some 18 Indonesian words which would have been substituted with Toba-Batak terms by another preacher who was more careful or whose linguistic ability was greater. As it turned out, the preacher had his postgraduate training in the United States, and had just returned from a five-year stay in Europe, where he worked for one of the international church agencies.

- 8. Nababan (1981:1-2) mentioned the dialects subdialects to him of Toba Holbung, Humbang, Silindung and Hurlang.
- 9. The Samosir Dialect was usually treated by lexicographers of Toba-Batak (Hariara n.d.; Warneck 1977) on an equal footing with the languages of Pakpak and Dairi, Karo, Simalungun and Angkola and Mandailing, as can be seen in the way the Samosir Dialect was entered in their dictionaries. The actual number of lexical items in SAM, however, was always low.
- 10. See Siahaan 1982 and Hutabarat 1982 on the mass baptism of 59 people in February and another 88 people in March 1982 in the hills of the subregency of Pangururan. In the 1980 census, however, no followers of the traditional religion were reported in the regency of North Tapanuli. There were 21,620 Muslims, 21,862 Roman Catholics, 563,969 other Christians (i.e. Protestants), 173 Hindus and 378 Buddhists (Biro Pusat Statistik, Kantor Statistik Propinsi Sumatera Utara 1981:13).
- 11. Some informants who lived in South Samosir went as far as to say that people in North Samosir did not speak the Samosir Dialect at all.
- 12. Whereas Janjiraja is rather marginal, Bakara, Muara and Meat are right outside the Samosir Dialect area. The last three are accessible overland from the south-west, although villagers prefer to use lake transport to go to the markets of Balige, Pangururan and Porsea. There is one word which is peculiar to Muara, namely tian: STB sian from. This word was already noted by Van der Tuuk, and was found on the royal seal of Sisingamangaraja XII.
- 13. Van der Tuuk (1864:11) noted that some people living near Lake Toba were unable to pronounce the plosive p. In most cases, however, people would not have had difficulty in pronouncing very common words such as pudi back; rear; api fire and gotap broken, and indeed the name of the sacred Mount Pusukbuhit, for which there are no specific forms in the Samosir Dialect. Compare also with Intimate Toba-Batak in Sarumpaet 1982:22-33.
- 14. See, however, note 6 above. A motion was put in the 1981 meeting of the General Synod of the Huria Kristen Batak Protestan that the hymnal of the church be translated into Indonesian as a matter of urgency, because more and more churches had felt the need to conduct services in the Indonesian language rather than in Toba-Batak (Huria Kristen Batak Protestan 1981:269).

The periodical *Immanuel*, the official organ of the Huria Kristen Batak Protestan, which has appeared uninterrupted – except during the Japanese occupation – for 94 years, now also contains more and more articles written in Indonesian.

On the other hand, this writer is pleased to note that the language used in the lyrics of popular Batak songs is on the whole pure Standard Toba Batak, although the spelling in the leaflets accompanying the cassettes may make one shudder. These songs are most popular - often sung by non-Bataks who obviously must have gone through a lengthy period of elocution training - throughout Indonesia, and new songs continue to be written. We may be witnessing here a lively and more lasting genre of a regional literature.

## **APPENDIX**

#### Pronunciation:

Medial consonant clusters, and those across word-boundaries, are pronounced as indicated between square brackets: mb [bb] as in ambai; mp [pp] as in jumpa; ngk [kk] as in tungkan and ndang koro; ngn [ $\eta\eta$ ] as in iallangna; ngp [kp] as in ndang poro; nd [dd] as in onde and han dia; nj [jj] as in tinjang and han jolo; np [pp] as in han pudi; ns [ss] as in kansang; nt [tt] as in anta.

The n is silent in ndara whereas the  $\eta$  is doubled in unga.

\* = Lexical items peculiar to North Samosir.

Samosir Dialect	Standard Toba-Batak	English
a abe	nunga eda	already (for a woman) brother's wife; husband's sister
age ai* ni ai* tungkan ai* ake? alea! ambai anta antirha aong asida atas*	agia an ndi an tungkan an ate?; atehe? ale! tambai arta gadong hau adong nasida ginjang	whatever that that one a little bit that way I say I say to increase property; wealth cassava there is they; he/she (polite) above
bagas bo! boras bue; mamue	bagasan ba! parbue anak; maranak	inside I say fruit to have young (of animals)
de duatna; duatni	do duansa	(emphatic particle) both
eka eta	beta beta	let's go let's go
gijang	ginjang	above
hainte han han dia han jolo han pudi hanami hanima harbue haroa horoa hu hu atas* hu bagas hu gijang	painte sian sian dia sian jolo sian pudi hami hamuna dahanon huroha tu tu ginjang tu bagasan tu ginjang	wait! from from where from the front from behind we(excl.) you(pl.) husked rice would it be would it be to (prep.) upwards towards the inside upwards

Samosir Dialect	Standard Toba-Batak	English
hu jai*	tu san	that way
hu on	tu son	this way
hu sai*	tu san	that way
hu san	tu san	that way
hu toru	tu toru	downstairs; downward
huju	dompak	in the direction of
huju ai*	dompak an	that way
huju an	dompak an	that way
i? i	e?; beha?; i do? di	(confirmatory question tag) for
i imana	di ibana	for him/her
ī	di	at; with
i au	di ahu	with me; for me
i dia	di dia	where?
i on	di son	here
isi	di si	there
isinon	di si	there
i son	di son	here
i-	di-	(passive prefix)
iallang	diallang	eaten
iallangna	niallangna	eaten by him
ibahen	dibahen	done
i boan	diboan	brought
ibuat	dibuat	taken
idok	didok	said; mentioned
ipangkulingi	dipangkulingi	spoken to
ipingkir	dipingkir	thought
ilean	dilean	given
itingkir	ditingkir	peeped at; seen
imana	ibana	he/she
inna	ninna	he/she said; he/she did thus
intor	pintor	immediately
TITLOT	Pilitoi	inneatatety
jagul	jagung	maize
jai	jadi	so; therefore
jumpa	dapot	found
kaling	kaleng	tin; measure of about 16kg of rice
kansang*	hansang	peanut
koro	dope	yet
ndang koro	ndang dope	not yet
ndding Koro		
lak	laos	just; simply
lok	loas	let it be
mabe	masak	ripe
mana	nama	only
meser	meret; morot	shifted
musengani	muse	then; later on
masengani	mage	
nai	nari	still; more
nda ra	ndada	not
nei	ndi	this one here
ni	ndi	this one here
ni	ni i	of it

Samosir Dialect	Standard Toba-Batak	English
nion non nungaeng nungon ni muse nung ke	ndi on ndi on nuaeng dungkon ni i muse dung pe	this one this one now and then after that; only then
on	son	here
i on	di son	here
onde	ondeng	the aforementioned
poro	dope	yet
ndang poro	ndang dope	not yet
songonari*	saonnari	now
suntol	sungkot	stuck (of objects)
tea; manea	antan; mangantan	to try the weight of
tiak	teor	to urinate
tindang	jongjong	to stand
tinjang	jongjong	to stand
tonggor; manonggor	tondur; mainondur	to watch (a performance)
unga	nunga	already
uruk	dolok	hill; high ground

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# THE BARRIER ISLAND LANGUAGES IN THE AUSTRONESIAN LANGUAGE FAMILY

#### Bernd Nothofer

## 1. QUANTITATIVE AND QUALITATIVE EVIDENCE AS BASIS FOR SUBGROUPING ARGUMENTS

Subgrouping arguments can be based on quantitative or on qualitative evidence. Quantitative evidence consists of the statistical study of the vocabularies of languages. Qualitative evidence consists of the collection of exclusively shared innovations. As we will see below, some scholars appeal to both quantitative and qualitative evidence in determining subrelationships, giving preference to qualitative evidence whenever it conflicts with quantitative evidence. The fact that there exists a conflict between these two kinds of evidence shows that we have to question either the assumptions of lexicostatistics or of the comparative method. Blust (1981) irrefutably disproves one of the fundamental assumptions of current lexicostatistical theory, namely that basic vocabulary gets replaced at a rate which is constant for all languages at all times. Blust observes retention percentages from 58.5% to 15.8% in his sample of 55 languages and dialects. It therefore appears that only qualitative evidence represents a reliable basis for the determination of subrelationship.

## 2. AUSTRONESIAN SUBGROUPING AND THE POSITION OF THE BARRIER ISLAND LANGUAGES IN THE AUSTRONESIAN LANGUAGE FAMILY

Only few scholars who have dealt with the subgrouping of the Austronesian language family included the Barrier island languages in their study. The first one was Brandstetter who concluded that Nias was most closely related to Malagasy. This hypothesis was rejected by Lafeber (1922:57-58) who also recognised "strange phonetic agreements" between Malagasy and Nias "which also appear in other Barrier islands such as the occurrence of the sequence ndr (as reflex of \*nD or \*nd - BN), of f (as a dialect of Enggano) as reflex of \*p and of h (as in Enggano, Toba and Mandailing) as reflex of \*k". Lafeber argued that "the Malagasy vocabulary is much closer to the Malay lexicon than to that of Nias". He claimed that the vocabulary of "Batak-Gayo" has many agreements with that of "Nias - Simalur -Mentawai - Enggano". Unfortunately, he gave only two examples: 2 TBt. sada, Ga. södö, sara, Ni. sara, Me. sara, Sim. sara one; TBt. toru below and its cognates in Gayo and the Barrier islands. However, Lafeber never fulfilled his promise to present further lexical evidence for his hypothesis, since the announced second volume of his book in which this evidence was to be given never appeared in print.

Paul Geraghty, Lois Carrington and S.A. Wurm, eds FOCAL II: papers from the Fourth International Conference on Austronesian Linguistics, 87-109. Pacific Linguistics, C-94, 1986.

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In his analysis of Mentawai Adriani (1928) reached the conclusion that "one cannot say that the language of the Mentawai islands does not seem to be in its place in its environment. Mentawai is a language which - regarding its geographical position - has no strange character".

Adriani quoted Jonker (1918) who wrote an article on Mentawai for the Encyclopaedie van Nederlandsch Oost-Indië:

Mentawai is certainly related to Nias, but it is notably different, which is due in part to the fact that its sound system has been retained more completely; the difference in the lexicon is very big. Generally, Mentawai words make a strange impression; many items of general vocabulary must have been lost and replaced by others.

In the Atlas van tropisch Nederland Esser (1938) presented a single-page classification of the languages of the then Netherlands East Indies. He recognised 17 groups of AN languages. One of these is the "Sumatra" group which consists of Aceh, Gayo, Batak dialects, Minangkabau, (Lubu), Malay, Middle Malay, Rejang-Lebong, Lampung, Simalur, Nias, (Sichule), Mentawai, Enggano, Loncong, Lom, Orang Laut.

Neither in his Grammatischer Abriß des Enggano (1940) nor in his *Untersuchungen über die Laut-*, *Wort- und Satzlehre des Nias* (1937) did Kähler comment on the relationships of these languages to other AN languages. However, in the introduction to his unpublished Grammatik der Simalursprache (n.d., probably written in the late 1930s), Kähler wrote that "the Simalur vocabulary contains such a clearly recognisable Celebes-Philippine substratum that a formerly close contact between Simalur and this northern language group is certain". In the fifth section of his manuscript which is entitled "Borrowings in Simalur and their implications" Kähler lists what he treats as loans from 1) Gayo, Aceh; 2) Minangkabau, Batak dialects; 3) Sundanese, Javanese; 4) Celebes and Philippine languages; 5) Borneo languages; 6) languages in the east of the archipelago. Since the largest body of evidence was accumulated for the Celebes and Philippine languages, Kähler drew the conclusion that

... a formerly close connection between the inhabitants of these areas seems certain. This common vocabulary cannot be treated as single borrowings, since they consist partly of the oddest words. Simalur shares the possession of a linquistic substratum originating from the northern language group of Indonesia with other dialects on the islands on the west coast of Sumatra (Sichule, Nias, Mentawai, Enggano), although Nias has more words and Mentawai mostly different words which originate from the Celebes group. This original substratum in the lexicon of Simalur was later superimposed by a Sumatran layer. ... In my opinion, the settlement of Simalur (and of the other Barrier islands) cannot have taken place via Sumatra, because those words which appear in the island languages and which originate from this northern group (Celebes-Philippines) do not exist in dialects of Sumatra, although some of them have a lexicographically mixed character.

Before commenting on Kähler's hypothesis, I would like to describe a work which was written by Willms (1955), a student of Kähler. In his analysis of Mentawai Willms compiled lists of what he treated as borrowings from languages

of Celebes and Sumatra. He furthermore has a list of so-called Mentawai borrowings from Nias and Simalur.

Both Kähler and Willms automatically treated all those Simalur and Mentawai words that do not reflect a PAN etymon reconstructed by Dempwolff (1934-1938) as borrowings from one of the languages in which a related form occurs. For example, Willms reconstructed a form \*aRam in order to account for KBt. aram, TBt., Angk. orom, Me. om to resist. Instead of treating Me. om as a cognate, which actually points to a reconstruction with \*R (and not \*r), he treated it as a borrowing from the Batak languages and argued that "Mentawai had contact with Batak before the sound change \*R > Batak languages r occurred".

Neither Kähler nor Willms considers the possibility that the words which Barrier island languages seem to share exclusively with each other, with Sumatran or with Sulawesi-Philippine languages might reflect an etymon of their respective last common proto-language. There can be no doubt that particularly in the case of words which seem to be shared exclusively by a Barrier island language and neighbouring Barrier island languages or by a Barrier island language and Sumatran languages there in fact exists a borrowing relationship. This is a more difficult argument in the case of the many words listed by Kähler and Willms which appear to be shared exclusively by Barrier island and Sulawesi-Philippine languages.

If we interpret these as reflections of etyma of an earlier common protolanguage which is not PAN, one might indeed argue that these two language groups have an exclusively shared history. Although a close examination of the lists compiled by the two German scholars shows that in a considerable number of cases either the forms or the meanings are too different to allow a treatment as cognates or there exist cognates in non-Barrier island and non-Sulawesi-Philippine languages, there remain some interesting comparisons which could be treated as lending support to such an argument.

Salzner (1960) who wrote the Sprachenatlas des Indopazifischen Raumes included the Barrier island languages in his so-called "Sumatra group" of southwest Indonesian languages. This group is almost identical with that of Esser (1938). It contains Aceh, Gayo, Batak languages, Minangkabau, Malay, Rejang-Lebong, Middle Malay, Lampung, Lom = Mapor, Basa Loncong, Simalur, Nias, Mentawai, Enggano, and Samsam.

In 1965 Dyen published his A lexicostatistical classification of the Austronesian languages. In this study the Austronesian language family is divided into 40 first-order subgroups. Most of them are located in western Melanesia and adjacent areas. We also find one in northern Formosa and another one on Enggano. Blust (1981:13) commented on these results as follows:

The existence of lexicostatistically-defined first-order subgroups in more than one widely separated area must - if the percentages accurately reflect the historical order of splits - be explained on a hypothesis of migration. Given Dyen's methodological assumptions and the reported percentages it would appear simplest to explain the location of the Atayalic Subfamily and Enggano as a result of several migrations from western Melanesia which resulted in long-distance settlements to the north and west. However, Dyen did not adopt such a hypothesis. Instead, in the case of Enggano he attempted (p.56) to find intermediate percentages that link this language with other languages of western Indonesia. An examination of lists for Enggano's northern neighbours

Mentawai and Nias (neither of which was considered in the classification proper) failed to provide such intermediate percentages. Dyen admits that the explanation for the low cognate percentages connecting Enggano, Mentawai and Nias with each other and with other AN languages is not clear. Nonetheless he believes "... it is likely that these languages will ultimately prove to be closely related to the languages of western Indonesia by a non-lexicostatistical argument. This is suggested by the appearance of Mentawai buluk, Nias bulu leaf corresponding to Toba Batak bulun leaf (cf. the almost universal cognates of Tagalog da:hon leaf), Mentawai ka-baga, Nias bacha in corresponding to Toba Batak di-bagas-in in, Mentawai unat root corresponding to Toba Batak urat root (cf. the widespread cognates meaning vein, tendon), and of Nias f-al-ea lie down corresponding to Toba Batak peak lie down."

In footnote 8 of this article Blust demonstrated that Dyen's qualitative evidence does not always hold. Cognates of the forms for leaf are widespread in the Philippine languages and a reconstruction \*bulun foliage had already been proposed by Dempwolff. Similarly, forms which continue \*uRat vein, tendon in the meaning root occur not only in Mentawai and Toba-Batak but also in many Borneo languages (e.g. Maloh urat vein, root).

Furthermore, cognates of the Mentawai, Nias and Toba-Batak forms for in also occur in Philippine languages (e.g. Tag. sa-balas (inland =) north-west) and also in this case a reconstruction was in fact proposed by Dempwolff (\*bajas interior).

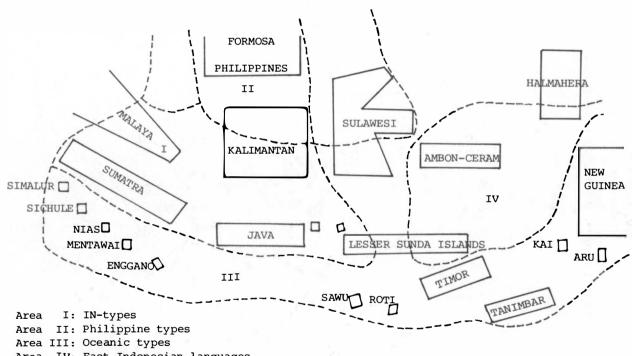
Blust did not attempt to subgroup the Barrier island languages although he wrote in the footnote cited above: "Although I am entirely in sympathy with Dyen's attempts to link Enggano, Mentawai and Nias with other languages of western Indonesia ...".

Capell (1982) argued that

Enggano is not an Austronesian language from the point of view of its vocabulary and its grammar ... Enggano is structurally sui generis; ... it does not have Melanesian traits as for example Mentawai ... Enggano is a remnant of these pre-IN languages, which indeed has IN borrowings, but remains non-Austronesian.

Finally, Capell arrived at a distinction of four language-types in Indonesia. The arguments for these distinctions and for the grouping of the Barrier island languages as being members of the Oceanic type remain unclear to me. Capell drew the following diagrammatic map (1982:15):

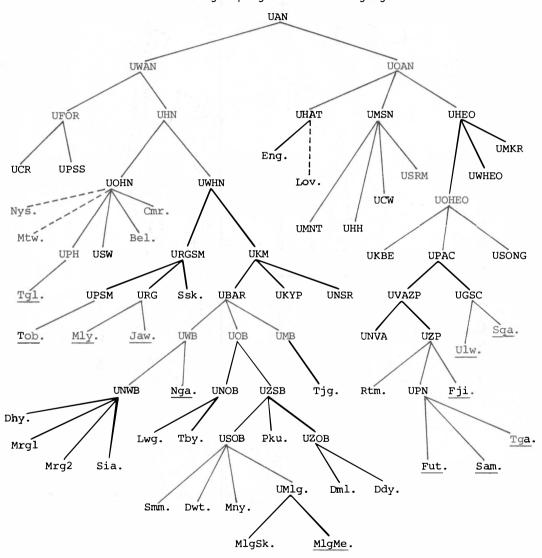
## A location diagram of the Indonesian area



IV: East Indonesian languages

The most recent attempt at a subgrouping of the Barrier island languages is Mahdi's manuscript "Morphophonologische Besonderheiten und historische Phonologie des Malagasy" which I received in April 1984. Mahdi divides the AN languages into two primary groups: 1) Proto-West-Austronesian and 2) Proto-East-Austronesian. Nias and Mentawai belong to 1) and Enggano to 2) (see Mahdi's tree-configuration).

Mahdi's subgrouping of the AN languages



List of language and dialect abbreviations used in Mahdi's diagram (language names in English, here):

Bel	Belau (Palau)	GSC	Nggela-San Cristobal
Cmr	Chamorro	HAT	Hartanic
Ddy	Dusun-Dejah	HEO	Heonesic
Dhy	Dohoi	HH	Halmaheran
Dml	Dusun-Malang	HN	Hesperonesian
Dwt	Dusun-Witu	KBE	Kimbe
Eng	Enggano	KM	Kalimantanic
Fji	Fijian	KYP	Kayan-Punan
Fut	Futuna	MB	Mahakam-Barito
Jaw	Javanese	MKR	Micronesian (Kern)
Lov	Lovaia	MNT	Molucco-Nusatenggaric
Lwq	Lawangan	MSN	Mesonesian
MlgMe	•	NOB	North-east Barito
MlqSk	Sakala'va (Malagasy)	NSR	North Sarawakian
Mly	Malay	NVA	North Vanuatuan
Mny	Ma'anjan	NWB	North-west Barito
Mrql	Murung 1 (Hudson 1967)	OAN	East Austronesian
Mrg2	Murung 2 (Hudson 1967)	OB	East Barito
Mtw	Mentawai	OHEO	East Heonesic
Nga	Ngadju	OHN	East Hesperonesian
Nys	Nias	PAC	Pacific
Pku	Paku	PH	Philippines
Rtm	Rotuma	PN	Polynesian
Sam	Samoan	PSM	Paleo-Sumatran
Sia	Siang	PSS	Paiwano-Saisiat
Smm	Samihim	RG	Urangic
Sqa	Sa'a	RGSM	Urango-Sumatran
Ssk	Sasak	SOB	South-east Barito
Tby	Taboyan	SONG	South-east New Guinean
Tga	Tongan	SRM	Sarmic
Tql	Tagalog	SW	Sulawesic
Тj́g	Tundjung	U	Ur- (= Proto-)
Tob	Toba-Batak	VAZP	Vanuatan-Central Pacific
Ulw	Ulawa	WAN	West Austronesian
AA	Austroasiatic (non-AN)	WB	West Barito
AN	Austronesian	WHEO	West Heonesic
BAR	Barito	WHN	West Hesperonesian
CR	Tsou-Rukai	ZOB	Central East Barito
CW	Cenderawasih (Geelvink)	ZP	Central Pacific
FOR	Formosan	ZSB	Central South Barito

The family tree shows that Nias and Mentawai directly continue Proto-East Hesperonesian just as do Proto-Philippine, Proto-Sulawesi, Palau and Chamorro. Proto-East Hesperonesian and Proto-West Hesperonesian directly continue Proto-Hesperonesian which together with Proto-Formosan is a daughter language of Proto-West Austronesian. Enggano and Lovaia (East Timor) are grouped as daughter languages of Proto-Hartanic which in turn directly continues Proto-East Austronesian.

Mahdi (n.d.:58) comments on his subgrouping by writing that

... the Philippines and parts of west and central Indonesia were inhabited by peoples speaking East Austronesian languages. Because they were superseded by West Austronesian languages most of their languages were either lost or are preserved only as substratum, e.g. in the languages of the islands off the coast of west Sumatra, in the Batak dialects of Sumatra, in the Aeta dialects and some other idioms of the Philippines, Sulawesi and Nusa Tenggara. It is significant that these idioms often have reflexes of \*qa(R)[C]a as the word for man .... For the time being, I will assume that the languages which were here lost form a separate subdivision of the East Austronesian group, the proto-language of which I will call Proto-Hartanic. It is indeed possible that Enggano might be regarded as a direct daughter language of Proto-Hartanic. The same possibly also holds for Lovaia.

To comment on Mahdi's last point first: he probably considers Enggano and Lovaia as belonging to the same subgroup, because in both languages \*t, \*C > k and \*s > t.

Mahdi's subgrouping seems to agree partly with the hypotheses put forth by Kähler, Willms and maybe Capell. I assume that the grouping of the Barrier island languages with the Sulawesi-Philippine and/or the Oceanic languages is based on the observation that there exists a number of etyma which have cognates only in these languages. However, this observation is only of relevance for subgrouping, if the etyma whose cognates have this distribution are innovations. There is, however, no good reason to believe that e.g. \*qa(R)[C]a has replaced a form that represented the same meaning in PAN.

## 3. COMPETING VIEWS ON THE HISTORY OF MENTAWAI CULTURE

The Swiss anthropologist Schefold who wrote various articles on the religion of Mentawai (1972, 1976) maintained in his book *Speelgoed voor de zielen* (1979: 13) that

... according to anthropological and linguistic studies the people of Mentawai are closely related to the non-islamised tribes (the Batak) on Sumatra. This supports the hypothesis that the first Mentawai people came from Sumatra. The time of this arrival can only be given approximately. The people of Mentawai do not know how to work metal, they have no knowledge of rice-planting or weaving. Their culture must therefore be older than the bronze age.

In another article (1979:201) Schefold claimed that "metal working and riceplanting came to west and central Indonesia at the same time, but *after* a neolithical Austronesian migration which also influenced eastern Indonesia". Furthermore, Schefold (1979:13) arqued that

... there are also elements lacking in Mentawai which one can ascribe to the late neolithicum on the basis of the situation in Polynesia: the society is egalitarian, there are no chiefs; the Mentawai people do not know the erection of megaliths. The Mentawai islands represent an early tradition in the neolithicum.

It is interesting to note that Marschall (1966) regarded the Mentawai culture as recessive which secondarily gave up metal-working, rice-planting and weaving. Marschall's hypothesis supports Blust's reconstructions of PAN etyma for metal, rice and weaving.

## 4. QUALITATIVE EVIDENCE FOR A BARRIER ISLAND-BATAK SUBGROUP

In the following pages I will show 1) that strong qualitative evidence can be adduced in support of a Barrier island-Batak subgroup and 2) that this subgroup contains all Barrier island languages, perhaps including Enggano. Because of the lack of data it is difficult to provide substantial evidence for grouping Enggano with these languages.

The evidence will consist of exclusively shared phonological and lexical innovations. Exclusively shared phonological innovations are insufficient for the establishment of a subgroup, since the number of possible sound changes is rather limited compared to the number of possible lexical changes. It follows that identical sound changes which occur in geographically distant languages or language clusters cannot be taken alone as evidence for an exclusively shared history of these languages. It is for this reason that e.g. the occurrence of g as reflex of \*j in two geographically distant language groups such as the Barrier island-Batak group and the Philippine group is interpreted as two separate innovations for the time being. Further evidence, be it grammatical, lexical or semantic, has to be adduced. If we based our analysis on phonological innovations alone, Enggano would probably be subgrouped with a language such as Douru (spoken in the Central District of Papua): \*t > En., Dou. k; \*k > En., Dou.  $\emptyset$ ; \*s > En., Dou. t; \* $\eta$  > En. h, Dou.  $\emptyset$ .

The material for the island languages consists mostly of grammars and dictionaries written by Kähler (1937, 1940, 1959, 1961, 1975). Other important information appears in Morris 1900 and Zainuddin HR Lenggang 1978 for Mentawai and Sundermann 1905 for Nias. None of these works contains reliable material on the phonology of the languages examined. Toba-Batak material is taken from van der Tuuk 1971 and Warneck 1906. During two fieldtrips to Mentawai I collected Swadesh lists for Mentawai dialects. For Nias I was sent Swadesh lists of six dialects by German missionaries. These lists were used in a lexicostatistical calculation of the cognate percentages among Mentawai and Nias dialects respectively. The results for Mentawai are listed in Table 1:

	Simatalu	Terekan	Sikabaluan	Saxaliow	Sikakap	Sipora
Simalegi	69	74	70	57	62	58
Simatalu		71	71	65	62	61
Terekan			71	58	57	57
Sikabaluan				60	61	60
Saxaliow					56	61
Sikakap						95

Table 1: Lexicostatistical percentages among the Mentawai dialects

There is relatively little dialect variation among the dialects of Nias (cognate percentage about 80%). As we can see from Table 1 this also holds for the dialects of the southern Mentawai islands. The dialects of Siberut however are very different from each other (cognate percentages varying between 71% and 57%) and from the south Mentawai dialects (cognate percentages varying between 62% and 57%).

## 4.1 The phoneme inventories of the languages under investigation

The most difficult part of the phonemic analysis of the island languages is Kähler's treatment of the vowels represented by the symbols  $\vartheta$ ,  $\ddot{0}$ ,  $\ddot{0}$ ,  $\ddot{0}$ ,  $\ddot{0}$ ,  $\ddot{0}$ . It appears that  $\ddot{0}$ ,  $\ddot{0}$ ,  $\ddot{0}$ ,  $\ddot{0}$ , are phonetically  $[\dot{+}]$ . Another problematic symbol is Kähler's  $\dot{x}$  which appears to be  $[\varsigma]$ . In languages which also have [x],  $[\varsigma]$  and [x] seem to be in complementary distribution.

## 4.1.1 The Simalur phoneme inventory

Simalur has the following seven vowel phonemes according to Kähler:

Nasal vowels are in free variation with their corresponding oral vowels. They only occur very rarely and only in the environment of nasal consonants.

Simalur has the following consonant phonemes:

The phoneme /x/ has the allophones [x] and [c]. The latter occurs in the environment of /i/, /i/ or /e/.

## 4.1.2 The Sichule phoneme inventory

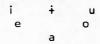
The vowel phonemes of Sichule are, according to Kähler:

The consonant phonemes are:

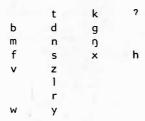
Again, the phoneme /x/ has the allophones [x] and [c]. /b d g/ in final position are realised as unreleased stops.

## 4.1.3 The Nias phoneme inventory

Nias has six vowel phonemes:



Its consonant phonemes are:



## 4.1.4 The Mentawai phoneme inventory

Mentawai has the following five vowel phonemes:



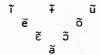
The consonant phonemes are:

## 4.1.5 The Enggano phoneme inventory

The vowel phonemes of Enggano are:



According to  $\ddot{\text{Ka}}$ hler each oral vowel phoneme has a corresponding nasal vowel phoneme:



In his Simalur and Sichule dictionaries  $K\ddot{a}$ hler does not distinguish between e and E or between o and o.

The number of consonant phonemes depends on the dialect:

The phonemes in parentheses only appear in the southern dialects.

## 4.1.6 The Toba-Batak phoneme inventory

The vowel phonemes are:

Toba-Batak has 14 consonant phonemes:

## 4.2 Phonological history of the languages under investigation

We will not give a full account of the phonological history of each of the languages from reconstructed material. Instead we will present a table which consists of a general overview of the PAN phonemes and their reflexes in the six languages (Table 2).

## 4.3 Phonological innovations and irregularities shared among the six languages

In this section we will deal 1) with the phonological innovations and 2) with the phonological irregularities which are shared among Simalur, Sichule, Nias, Mentawai, Enggano and Toba-Batak.

		1		. Thomorogical chang			
PAN	Mentawai	Nias	Toba-Batak	Simalur	I	Enggano	Sichule
a	a	a,o	a	a		( <del>a</del> )	a
i	i,e	i,e	i,e	i		(۲)	i,e
u	и,о	u,o	u,o	u		<b>(</b> 2)	u,o
ə	e,o	÷,o	0	ə, <del>i</del>		'రె','వె'	ə,ö, <del>i</del>
Ь	b-/m -bp	bvØ			(b) p-	-pØ	b-/ffØ
d	rrt	drØ		d-/rd-/-r	(?)	?	d(?)(?)
D	rrt	d-/rrØ	ddr	d-/rr	r d-/r-	-dØ	d-/11n(?)
ZZ-	r	r	d	dr-	r-	-d- *	d1-
zz-	j	Z	j	jj-		?	?
g	g(?)(?)	ggØ	ggk		(?)	?	gg-
-jj	-gu	-xØ	-gk	-h-/-x	(g)/?	-ç-/-Ø-/-hØ	-xØ
R	Ø	Ø	r	1/Ø		Ø	Ø
h	Ø	h-/Ø -h-/-ØØ		Ø		Ø	Ø
q	Ø	h-/Ø -h-/-Ø+ -Ø			(h) h-	-ØØ	Øh-/-ØØ
yyay	(?)je	ууе	(?)Øe	(?)e	ae (?)-	-(?)ae	(?)e-/-y-? -ae
-əy	-ey	-е		-	ae	-(?)	-е
-uy	-uy/-i				oe	-(?)	-i
k	kk?		hhk	Ø-/k?-/-k-/-Ø -	(g) $ ^{7}-/k-/0-$	-ØØ	??Ø
cc-	S	s(?)-	S	S		?	?
1	111V	110		1		-1-/-r-/-dØ	11n(?)
r	rrrV	rrØ		r	(?)-	-dØ	11(?)
m	mmm /-p		m	m	b-	-m-/-bØ	mmØ
n	nnn /-t		n	n	(?)	-d-/-rØ	nnØ
ññ-	(?)n-	n	n	n n	(4)	-n-	(?) -n-
ŋ	ŋŋŋ /-k		ŋ		?. (?)	-h-/-Ø(?)	nnØ   ffØ
P	ppm /-p		P		(b) p-	-p-/-bØ -kØ	
t	ttn /-t	ttØ	t		(d) k-	-kØ	ttØ
TT-	t		t	tt-		,	•
S	ssØ	ssØ wwo/-ö	8 ØØo	S	k-	-kØ	
wwaw	bb- ∫-au	wwo/-o	ØØo	(?)w	ao b-	-b(?)	Øw <del>i</del> ,ao (?)
	1-ou						
	(-eu	-8	-0		(0)	(2)	-(?)
-9w	-eu	-0	-0	-	(?)	-(?)	-(1)

Table 2: Phonological changes

## 4.3.1 Shared phonological innovations

COMPT AND	D DIPHTHONG	CHILLO

PAN	SIMALUR	SICHULE	NIAS	MENTAWAI	ENGGANO	TOBA-BATAK	
1. *e	ə, <del>i</del>	ə,ö, <del>i</del>	i		°€,	0	
Examples: *telu *(b)eli	təlu,təlo bəli	tölu b <del>i</del> li	tilu bili=ili	(telu)	³akoru e-odi <i>price</i>	tolu boli <i>bride</i>	three buy
<pre>2. *e after *R Example:</pre>	е				( <sub>ẽ</sub> )	price	
*Sa-ReZan	aeran	(ola)	(ora)	(orat)	e-hẽã	(ardan)	ladder, staircase
<pre>3. *e before *j Example:</pre>	0			0			
*qunej	unog	(un÷)	(hun <del>i</del> )	unou		(unok)	marrow
4. *-ay Examples:	ae	ae			ae		
*kuday	kudae		(kude, gude-gude)	(ore)	e- <sup>9</sup> orae		basket made of bamboo
*baday	badae	badae	(bade)				storm
VOWEL MERGERS							
1. *au		<del>i</del> u	<del>i</del> u	eu			
*eu		<del>i</del> ū	<del>i</del> u	eu			
Examples:							
*Zauq	(dao)	a-d <del>i</del> u	a-r <del>i</del> u	a-reu	,	(dao)	far
*behew	(fo)	b†u	b <del>i</del> u	beu	(upau)	(bau)	smell
2. *a before *-k	and *-n	0	0				
*e before *-k	•	0	0				
Examples:		_	_				
*anak		n-ono	n-ono		(e-ara)	(anak) son	child
*ma(n)yaŋ	(maean)	mõẽõ	moyo	(mañaŋ)	(2 2. 27	(=::=::,	hawk
*qutek	(uta?)	uto	uto	(ute) head		(utok-utok)	brain, marrow
*laten	(lalatəŋ)	lato	lato	(lalatek)		laton	stinging nettle
<pre>3. *a in the env     of o (&gt; *a)</pre>		0	0				
*e in the env of o (> *e)	rironment	0	0				

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PAN	SIMALUR	SICHULE	NIAS	MENTAWAI	ENGGANO	TOBA-BATAK	
Examples: *layaR *anak *DeŋeR	(laeal)	loyo n-ono lorjo	loyo n-ono roijo	lajo		rear	sail child hear
of *R contraction	environment	0	O	0			
Examples:	( )		-				
*paRi	(ali)	foi	foi	poi			stingray
*qabaRaH *Ratus	(bala) (latus)	bo otu	bo otu	bo otu		abara	shoulder hundred
*DegeR	(Tatas)	Ιοιρο	rono	o cu			hear
*timbeR	(tebəl)	. 6. 16	simbo	timbo	(e-ipo)		smoke
*Sa-ReZan	(aeran)	ola	ora	orat	(e-hea)	(ardan)	ladder
*eRem	(12,11,			om		orom	resist
*baRaH	(bala,fala)	bo (nait <del>i</del> )	bo(galit <del>i</del> )	bo			heat, red
CONSONANT SHI	FTS						
1. *-j-				g		g	
1. )	x,h,Ø	×	×	x(Pagai)	ç,h,Ø	9	
Examples:	A,11,12	^	^	A(Luguz)	7,,-		
*Sua(n)ji	axi,ahi	axi	axi	bagi	(ãhãi)	aŋgi	younger brother
*sijem	sixəm	ixöm	six <del>i</del>	sigep	e-kiço	75	ant
2. *-ñ-		n	n	n	n	n	
Example:	n	. "	. ")			n	
*peñu	əno	f <del>i</del> nu	f <del>i</del> nu	penu-ŋ	e²ũnũ²ũnũ	ponu	sea-turtle
	Ono			peria ij		Polita	
3. *-C		Ø (?)	Ø		Ø		
Example:				, , , ,		( .)	
*xe(m)pat	(ad)	<del>i</del> fa	<del>i</del> fa	(epat)	<sup>7</sup> a-opa	(opat)	four
4. *-b-	f	f					
Example:							
*tabeq	taf <del>i</del> (x)	taf <del>i</del>	(tav <del>i</del> )	(tabe)		(tabo)	fat

PAN	SIMALUR	SICHULE	NIAS	MENTAWAI	ENGGANO	TOBA-BATAK	
5. *pp-		f	f				
Examples: *pitu *lapaR	(itu) (lahal)	fitu olofo	fitu lofo	(pitu)		(pitu)	seven hungry
6. *-n[dD]- Examples:			ndr	ndr			
*lan[dD]aw *tanduk	(tadu?)		tandru	landrou	(e-kadu²u)	(lando) tanduk	limb, length horn
7. *R Examples:		Ø	Ø	Ø	Ø		
*layaR *Rumaq	(laeal) (luma)	loyo	loyo omo	lajo uma	e-uba	rear (ruma)	sail house
CONSONANT MERGER	હ						
1. *ñ *n Examples:	n n	n n	n n	n n		n n	
*peñu *bunuq	əno bunu,funu	f <del>i</del> nu bunu	f <del>i</del> nu bunu	penu-ŋ munu	(e?ũnũ?ũnũ) (pudu)	ponu bunu	sea-turtle kill
2. *-Z- *-D-	r r	1	r r	r r	?	d d	
Examples: *Sa-ReZan	aeran	ola	ora	orat	(e-hẽã)	ardan	ladder, staircase
*peDem	iri?	m <del>i</del> li	m <del>i</del> r <del>i</del>	merem		podom	sleep
3. *c- *s- Examples:	s s	?	S S	s s	?	s s	
*cimcim *caremin	sincim sərəmen	()	sŧrŧmi	sipsip		sormin	ring mirror
*sawa	sawa	(awa)	sawa	sawa 		sa	snake
4. *Z *1 *D *1 *d *1 Examples:		11 11 11	11 11 11	11 11 11			
*zalan *Dilaq *dalij	(dalan,ralan) (dila) (dalig)	lala lela lali	lala lela lali	lalan vulva lila	(e-dio) (e-nãnĩ)	(dalan) dila	path tongue root

## 4.3.2 Shared phonological irregularities

Another kind of phonological material which can also be taken as evidence for subgrouping is shared irregularities in phonological development. The first list contains irregularities which appear to be exclusively shared by Barrier island languages and the second list those which appear to be exclusively shared by at least one Barrier island language and Toba-Batak. Sometimes forms from other Sumatran languages are cited.

```
List 1: Phonological irregularities shared by Barrier island languages
```

```
*pulaw > Sim., Sich. ulao, Ni. hulo island (*q- instead of *p)
*lanaw > Sim. nali, Sich. nali-nalao, Ni. nali-nali fly (metathesis)
*betun > Ni. motu kind of wood, Me. metuk kind of bamboo (*m instead of *b)
*uRat > Sich. g-uno vein, tendon, Me. unat vein, tendon, root (unexpected not)
*Cuqelan/Cuqelan > Sich., Ni. tila bone (*e instead of *o)
*beli > Ni. ili buy, En. e-odi price (loss of *b)
```

List 2: Phonological irregularities shared by Barrier island languages and Toba-Batak (and/or other Sumatran languages)

```
*lalej > *lanej > Ni. nalö (< *nalej), Me. si-linau (< *si-linaj < *silenaj), TBt. lanok, KBt. lanen fly
*bibiR > Me. bibo, KBt. biber lip (*e instead of *i)
*Rejan > Me. ogdag wooden stick to work coconut, TBt. ordan planting-stick, Mal. rejan break up with a crowbar (metathesis of *Re)
```

## 4.4 Lexical innovations shared among the six languages under investigation

The lexical evidence for a group consisting of the Barrier island languages and Toba-Batak is divided into two lists. Again, the first list contains lexical items which appear to be exclusively shared by Barrier island languages and the second list contains those which appear to be exclusively shared by at least one Barrier island language and Toba-Batak. Sometimes items from other Sumatran languages are cited.

```
List 1: Lexical innovations shared by Barrier island languages
```

```
Ni. la-lau to braid, plait, twist, Me. lai to wrap, wind, tie
Ni. xiti harita young green beans, Me. gette kind of keladi (taro)
Ni. havo, Me. abo bunch of bananas
Ni. alito, Me. alito fire
Ni. si-baya brother of mother, Me. baja brother of father
Ni. hilua skin-disease, Me. belua leprosy
Ni. bute, Me. butet pointed end of a plant
Ni. hili-hili uncertain, unsteady, Me. ele perhaps
Ni. gogo, Me. gugu lower back
Ni. kalamba, Me. kalabba big boat
Ni. dege approach, Me. legere closeness
Ni. mii, Me. moi to come
Ni. fili, Me. palau castrate
Ni. savi, Me. sabau trespass against
Ni. tundra glass-pearl, Me. tuda big, long pearl
```

Ni. a-huli, Me. ma-ulau early in the morning

Ni. lave female, Me. labai aunt, elderly woman

```
Ni. balatu working knife, Me. balatu
Ni. huno, Me. enun-an path
Ni. vaha, Me. ban horm
Ni. ajulo, Me. ajolou egg
Ni. momo, Me. meme loose
Ni. lulu upper end, bed-head, Me. lulu to guide, lead
Sim., Sich. maila, Me. meira sea-fish which causes poisoning
Sim. la-toru?, Sich. la-tolo?i, Me. turu-turu alang-alang
Sim. maeaŋ, Sich. mõeõ hawk, Ni. moyo kind of eagle, Me. mañaŋ eagle
Sim. safut-i, Ni. savu, Me. sabu-i to wipe off
Sich. falt, Ni. fart, Me. pare coconut greaves [left over after oil extraction]
Sim. əpa, Me. matat kepa, En. e-aro?opa armpit
Sim. ategagan, Me. teregaga, En. e-kahaha scorpion
Sim. bai?, Me. bai just, perhaps
Sim. inti?, S.-Me. ta-iti broken
Sim. katuko, Me. katuka kind of tree
Sim. koku? cohabitate, S.-Me. koko husband, wife
Sim. -ma<sup>?</sup>i, Me. -mai our(excl.)
Sim. e-nawan right side, En. e-daba the right one
Sim. sibix, Sich. imbi, Ni. simbi chin
Sim. əlis, Sich. əli?, Ni. di gnat
Sim. xexe, kexe, Sich. xexe, Ni. haxi stalk, stem
Sim. bawa, faba, Sich. bawa, Ni. bava moon, month
Sim. bati? chicken enclosure below house, Sich. bati, Ni. bati house
Sim. tɨmba-tɨmba palate, Sich. tɨmba-tɨmba, Ni. tɨmba lower chin
Sim. tolog, Sich. a-tuli, Ni. a-tuli upright
Sim., Sich., Ni. tete back
Sim. lahan-laxan, Sich. i-laxa, S.-Ni. salaxa-laxa guts, heart, stomach
Sim., Sich. lixi house, Ni. liqu hut
Sim. axisi, ahisi, Sich. axii, Ni. hisi furious
Sim. sono fatu, Sich. ono, Ni. sono kind of fish
Sim. fupub, Sich. a-fufu, Ni. fufu to reduce to small pieces
Sim. at+, Sich. fat+, Ni. fat+ price
Sim. daluag, Sich. lalua, Ni. lalua sole, inner part
Sim. sixt, Sich. ixt, Ni. sixt to observe
Sim. fusa, Ni. busa to peel
Sim. anan, S.-Ni. hana why
Sim. afasix, S.-Ni. abaso to burn
Sim., Ni. sini-sini kind of plant
Sim., Ni. siga bamboo as a tool
Sim. tifol, Ni. tibo-?; to expose
Sim. abon, Ni. m-ambu smith, anvil
Sim. iwan, Ni. i iwa kind of grass
Sim. tifa, Ni. tiva basket made of pandanus leaves
Sich. maft, Ni. mavt small wild palm
Sich. ufe look, S.-Ni. uve eye
Sich. uhu, Ni. susu to string
Sim. kəlin, Sich. gɨli river-mussel
Sim. lamon, Sich. lamo sprouting coconut
Sim. kasa = hasa, Sich. xaha work, feast
Sim. tenen, Sich. tini torch, match
Sim. ku = ko, Sich. o-xoxo kernel, pit
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List 2: Lexical innovations shared by Barrier island languages and Toba-Batak (and/or other Sumatran languages)

Sim. tebəl, Ni. simbo, Me. ti(m)bo, En. e-ipo, KBt. simbər smoke

Sim. a-təlu, Sich., Ni. tou, TBt. toru, DaP. təruh, Ga. tuyuh under, below

Sim. sara, Sich. ala, Ni., Me. sara, TBt., Angk., KBt. sada, Ga. sara one

Sim. alae, ale, Ni. le, Me. alei, TBt., Angk., DaP., KBt. ale-ale companion, friend

Sim. la<sup>2</sup>un, Ni. la<sup>2</sup>o, Me. lakut, Ga. lakun brother- or sister-in-law

Sim. dəlog, Sich. lɨlɨ, Me. leleu hill, forest, TBt., Angk. dolok, KBt. dələŋ mountain, Ac. rölöŋ cliff

Me. ekem, TBt. ehem to clear one's throat

Me. eket, TBt. a-l-hot sap

Me. elak, TBt. holan space between

Me. bukat, TBt. bo-r-gat, bu-r-gat uproot

S.-Me. gude banana, TBt. an-gunde-a banana in the language of the medium

Me. pulege, TBt. pulogos kind of rattan

Me. sapo, TBt. sapu spotted, stained

Me. ulup to blow, TBt. u-l-tup to shoot with blowpipe

Me. a-kula flesh, KBt. kula body, skin

Me. laje, TBt. le, KBt. lahe hungry

Me. ale, TBt., Angk., DaP. ale oh

Me. belek, TBt. bolon to fall

Me. lunun, DaP. lunu be sad, look for revenge

Me. nitnit mosquito, TBt. nitnit moth

Me. landrou limbs, TBt. lando length, KBt. ma-lando long as of bamboo sections, fingers

Me. om, TBt., Angk. orom, KBt. ərəm to resist

Me. oppat pull out (from a sheath), TBt. uppat, Angk. umpat to pull out

Me. pasi subterraneous vertical root, TBt., Angk. pasi cone, Ga. pasi pointed end, pin, peg

Me. suruk-at pregnant, DaP. surun foetus

Me. saraina brother, KBt. sanina brother of a man, sister of a woman, Angk. mar-sadaina have one mother, Ga. sar-inö brother, sister

Me. sokat, TBt. sogot next day

Me. ale, alei, Lamp. salai afterbirth

Me. kuruk, TBt., Mand. hunduk, Ga. kuku? back, to lie with one's back towards

Sim. bəŋi?, Sich. bɨŋgi, Ni. bɨgi, KBt., Ga. bəŋkik bat

Sim. munkoi, Sich. munkui, Ni. mugu, Ga. munkus, Ac. munkueh kind of small fish

Sim. ankix, Ni. ago, TBt., Mand. ango, KBt. angeh to smell, kiss

Sim., Sich., Ni. dalu-dalu, Ga. dadalu kind of plant

Sim. ima mali(x), Sich. imamali, Ni. mali-mali, Mal. mamali kind of tree

Sim. sain, Ni. sai, Min. saien fang, Angk. sain tooth of a horse

Sim. aban, Ni. mu-hombo, TBt. haban, Lamp. humaban to fly

Sim. olen, Ni. hole-hole, Min. olen sloping

Sim. dan, ran, Ni. a-ra, TBt., Mand. dan duration, long

Sim. tafa, Ni. taba, TBt., Angk. taba, KBt. tabah to cut, root out

Sim. alafae, Ni. alawe, Lamp. kalabay, MMl. kalaway female (animal)

Sim. pato, Ni. fato, Angk. pato hatchet

Sim. tidao pray for, Ni. sindro-a idol, Ga. tiro to ask for

Ni. f-al-ea, TBt. p-eak to lie down

Ni. tuo, TBt. tura-tura to sting

Ni. bexu, TBt. begu spirit

Ni. belu, TBt. sidan belu name of a spirit

Ni. fa-biko, TBt. pa-biha to open

Ni. duru-duru, TBt. dolo kind of shrub

Sim. təpi(x) piece, Sich. a-təpi a little, Ni. a-tɨfi broken off, En. e-kopi Sim. tifa, Ni. tova basket made of pandanus leaves Sim. arin, Ga., Ac. aren barb on a spear Sim. riri, TBt., Angk. didi, KBt. ridi, Ga. niri to bathe Sim. bain, KBt. bahin, Ga. böin ginger Sim. balun, falun, Sich. mbalun, TBt. sibarun heron Sim., Sich. bantae, Min. bantai flesh, meat Sim. beregan, Sich. belegan, Ac. brigan yard on a sailing boat Sim. kaol, xaol, haol, TBt., Angk. gaol banana Sim. gəməto, Ga. gəməto, Ac. gömöto wasp Sim. hunsa<sup>?</sup>, xunxa<sup>?</sup>, KBt. kunsa, Ga. kunsö, Ac. gunsa dry measure Sim. lagan, Sich. ilaxan, Angk., Ac. lagan kind of tree Sim. abui, Min. abuih to cook in water Sim. borun, Sich. olun, Ac. buron demon, spirit of a dead person Sim. ana?, Sich. g-ana?, Ga. anas prepared betel Sim., Angk. nali, KBt., Ga. nalih, Ac. naleh rice measure Sim. saeam bano, Ga. sayam, Angk. sayom, TBt. saem to bring back to harmony

## 4.5 Semantic innovations shared among the six languages under investigation

Further evidence for our subgrouping hypothesis is found in the following lists of semantic innovations which appear to be exclusively shared.

List 1: Semantic innovations shared by Barrier island languages

Sich. falt, Ni. balt, Me. bale to borrow (< \*bales to repay)
Sim. bano, En. e-pado placenta (< \*banua land, settlement)

Sim. dabis, Ga. döbös, Ac. daböeh ware, article

List 2: Semantic innovations shared by Barrier island languages and Toba-Batak (or other Sumatran languages)

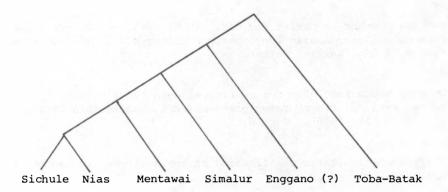
Me. ulou, TBt. ulok snake (< \*qulej worm, maggot)
Me. tuktuk, TBt., KBt. t-ar-utuŋ Durian (< \*tu(ŋ)tuŋ spinous animal)
Me. paola, poula, TBt., KBt., DaP., Ga. pola sugarpalm (P-Minahassa \*pola sugarcane)

4.6 Phonological irregularity and semantic innovation shared by Barrier island languages and Toba-Batak (and/or other Sumatran languages)

Sim. ləkao dry season, Sich. lɨxɨ heat which follows rain, Ni. lɨxɨ clear (of weather), Me. ma-legeu warm, dry (of weather), TBt., Angk. logo, KBt. ləgo dry (of weather) (< \*qalejaw day)

## 4.7 Internal relationships of the Barrier island-Batak group

Considering the number of phonological innovations exclusively shared among members of the Barrier island-Batak group one might suggest the following tentative internal subgrouping:



#### NOTES

- This is a slightly revised version of a paper presented at the Fourth International Conference on Austronesian Linguistics at Suva in 1984. I thankfully acknowledge the helpful comments of Robert A. Blust, David Zorc and S. Adelaar.
- 2. Abbreviations used in the body of the paper: Ac. = Achinese, Angk. = Angkola-Batak, DaP. = Dairi Pakpak, Dou. = Douru, En. = Enggano, Ga. = Gayo, KBt. = Karo-Batak, Lamp. = Lampung, Mand. = Mandailing, Min. = Minangkabau, Me. = Mentawai, MMl. = Middle Malay, Ni. = Nias, Sich. = Sichule, Sim. = Simalur, TBt. = Toba-Batak.
- 3. The linguistic evidence for metal, rice and weaving is discussed in Blust 1976.

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# SOME PROBLEMS OF DIACHRONIC TYPOLOGY OF THE MALAYO-JAVANIC LANGUAGES

A.K. Ogloblin

The aim of the present paper is to summarise data on the historical morphophonology of some languages of the Java-Sumatra-Malacca Peninsula area (see Wurm and Hattori 1983, especially maps 38 and 39) and to analyse these data from the point of view of areal typology. The languages under observation are mainly Malay (Mal), Sundanese (Snd), Javanese (Jav), and Madurese (Mad), and in part also Acehnese (Ac) and Minangkabau (Mkb). We shall term these the Malayo-Javanic languages without ascribing to the term any strictly genetic meaning. 1

In analogy to synchronic typology we can assume the aim of diachronic typology to be the classification of languages according to types of structural changes and, further, the establishment of logical relationships between those changes. If such a problem is limited areally, we are often able to present different typological classes of languages as successive stages of a single process or of a certain continuing historical trend (see for instance Sharadzenidze 1982:44). Thus, Yakhontov (1971) writes of the "Sinitic" languages of China and South-East Asia: "The development of all isolating syllabic languages takes one and the same direction ... we ... can trace a common trend, a common direction, comparing analogous changes taking place in different, often unrelated languages". According to these common trends the author subdivides the Sinitic languages into archaic, middle (intermediate) and late, depending upon root morpheme changes, presence of tones, composition as a kind of word-formation, etc., with a class not necessarily comprising languages co-existing in time, for example Khmer and Old Chinese as members of the archaic class. Another example of this is Hutterer's classification of the Germanic languages (Hutterer 1970). He divides all modern languages of this group, from Icelandic to Afrikaans, into five classes placed along a "modern-archaic" axis, and here again, one typological class may include languages of different epochs, as for instance Icelandic and Old English.

It has been pointed out, concerning the Austronesian languages, that the greatest number of archaisms is to be found in the north of the area over which they are spread, including Taiwan and the Philippines (Sirk 1978). Dahl and other authors present the PAN verb system as very close to that of the Philippine languages (Dahl 1976). The Malayo-Javanic languages thus become included in a wide zone of structural innovations. It is also interesting to mention in this context that, as had already been observed in the 19th century, Old Javanese shows a greater likeness, materially and structurally, to the Philippine languages than does modern Javanese. For example, the infixes (infix-prefixes) -in-, -um-, characteristic of the Philippine languages, are productive in Old Javanese (the

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second of them occurs in the sparse Old Malay inscriptions, too) but have either disappeared or lost their productivity in the modern languages. A characteristic Philippine trait - insertion of the infix into the prefix: paka- - p-in-aka- is known in Old Javanese, but has disappeared in New Javanese (together with the prefix paka-).

One of the reasons for innovation in the Austronesian family, just as in other languages of the world, is contact with languages of different structure. One can name as examples Cham and the other AN languages of Indochina existing surrounded by isolating languages unrelated to them genetically, the languages of East Indonesia with a supposed non-AN ("Papuan") substratum, Malagasy with a Bantu substratum. Language contacts, however, present a great number of various linguistic situations that can have very different consequences in evolution. The contact may possibly sometimes accelerate a trend in the inner structure of the system. This may be the explanation of parallel development in languages that are remote territorially but close typologically. The importance of the problem of distinguishing between internal and external factors of development of a language system and between both these factors and the universal factor of the functional improvement of a language is obvious, and does not need any comment.

As for classification markers (distinctive features), they can be more or less close to the features of a global or universal typological classification, depending partly on the language level taken for observation. Ye.M. Wolf, summing up her comparative study of the Ibero-Romanic languages, wrote: "Typological features worked out in general typology cannot be used for languages so close structurally" (Wolf 1970:246). Indeed, from the point of view of "macrotypology" such languages fall into one and the same class, inside which division is carried out on a different basis from the delineation of the class itself. But in morphophonology areal classification features are, on the whole, less diversified. Some of the features given below concern the Malayo-Javanic group as a whole and are of general significance.

## CHANGES IN THE MORPHEME SYLLABIC STRUCTURE

In morpheme syllabic structure the Malayo-Javanic languages are classed together as languages with a predominance of disyllabic morphemes and are subdivided by the proportion of monosyllabic ones. Sundanese, according to Fokker (1953:22), has no less than 80% disyllabic morphemes. In Madurese the situation seems to be much the same. Javanese has 85% (Uhlenbeck 1949:23) and Indonesian 87% (Shchuko 1973:174) disyllables. Disyllabism is, judging from the Dempwolffian PAN root stock, where it amounts to 96% (Gonda 1950:323), a historically stable characteristic of AN languages.

There are few monosyllabic morphemes (less than 5%) in Javanese, Indonesian, Sundanese and Madurese (for the first two, see Uhlenbeck 1948 and Shchuko 1973). Zubkova (1977:213) notes significant alternation of the non-typical monosyllables with the typical disyllables in Indonesian: the latter are formed by means of a prothetic vowel /ə/: /guŋ/ - /əguŋ/ gong. This prothesis is characteristic, too, of other languages of Java: Mad əjjham /əcc^c^m/ - Mal /jam/,² /əppət/ - Dutch pot pot, vase, Snd /ərəs/ - Dutch roos rose, Jav (variants) /əlɛr/ - /lɛr/ north. Another way of disyllabisation may be "splitting" of a vowel, its distribution into two syllables with a consonant element inserted: Snd /gɔ?ɔŋ/ - Jav /gɔŋ/ gong, Snd /sa²at/ - Jav /a-sat/ dry, Mad /rɔ?əm/ - OJav rum aromatic, Mkb Ruhum -

Ar rum Byzantium; Turkey, Ac teu'ot, Mad /tɔ?ɔt/, cf. Mal lu-tut knee and ber-t-el-ut kneel (see Fokker 1953:40-41; Toorn 1891:ix; Cowan 1948:432).

In Old Javanese there occur not infrequently monosyllables that are reconstructed as PAN disyllables and have undergone vowel contraction OJav doh < PAN \*d'auh far, OJav \*woh < PAN \*buah fruit, OJav bot < PAN \*bəyat weight. Later these monosyllables turned again into disyllables (being either replaced or retained as variants), so that a portion of Javanese vocabulary has undergone a cyclic process from disyllable to monosyllable and back to disyllable. The reverse disyllabisation is treated in considerable detail by J. De Casparis. The main role here belonged to prothesis of the vowels /ə/, /i/, /u/, affixation, reduplication and morpheme merger with subsequent lexicalisation of the derived disyllable: woh - /uwoh/ fruit,  $t\bar{u}t$  - /ətot/ follow, srah - /pasrah/ give away, gya haste - /gege/ hasten, hurry, tan wruh - /tamboh/ ignorant of. "Where influence of phonetic laws threatened the disyllable structure" - the author says - "the speakers have found ways of restoring it" (Casparis 1947:76).

Monosyllables derived from former disyllables are also numerous in Acehnese and in the Malay Peninsular dialects. In Acehnese, as Cowan points out, a considerable part of the word stock comprises monosyllables corresponding to disyllables in cognate languages: Ac thon - TM tahun year, Ac tron - TM turun descend, Ac ba - TM bawa carry (Cowan 1974:200-203). In the Peninsular Malay dialects monosyllabisation has been pointed out by different authors (see data in Ismail 1973).

In Acehnese and the Malay dialects monosyllabisation is probably caused by contact. The considerable material and structural similarity of Acehnese to the Mon-Khmer languages, treated in detail by Cowan, led to supposing a Mon-Khmer substratum, though the author tends rather to stress the contact of Acehnese with Malay. In western Malaysia monosyllabisation is most prominent in the areas of contact with Thai and, in the past, with the Mon-Khmer languages (see also Ogloblin 1983b). It is difficult to find an explanation for the monosyllabisation found in the Old Javanese vocabulary; it is possible that in ancient times here too some contact took place, traces of which are lost in history.

## SYNCHRONIC VARIATION OF MORPHEME SYLLABIC STRUCTURE

Reduction of the syllabic structure of meaningful elements in fluent speech probably exists in all languages, but rules within this trend are not universal for languages of different types. In the languages under discussion three types of such general rules are known.

- 1. Shortening to the final syllable: Snd lamun mun, Mad /lamon/ /mon/ if, In /satu/ /tu/ one, /ibu/ /bu/ mother, Jav /maju/ /ju/ forward, /podo/ /do/ the same, no matter (Uhlenbeck 1949:65), Mls /apə/ /pə/ what?
- 2. Contraction of contiguous vowels or vowels divided by a fricative sonant (sonoric continuant): Jav /duwe/ /de/ have, /mau/ /mu/ lately (Uhlenbeck 1949:59), Snd /naha/ /na/ (Fokker 1953:22); here belongs, also, the diphthongisation of a disyllabic vowel group: In /mau/ /mɔu̯/ wish, intend, /məmulai/ /məmulai/ begin (Zubkova 1977:215).
- 3. Omission of a vowel with retention of the preceding consonant: In /beri/ -/bri/ give, Ulu Muar /tɔpi/ /tpi/ edge, tɔmpayan/ /tmpayan/ jug (Hendon 1966: 109-110).

The first of these rules sets apart Peninsular Malay (dialects and Malaysian) where shortening is widespread. The second rule is practically unexplored. The third rule has a very clear diachronic projection, making it possible to divide the languages under study according to the stage of development of this alternation. Four such stages can be set out, including the initial and the final ones, where alternations are nearly or completely non-existent.

STAGE 1: Alternation with a material zero practically does not occur: OJav, Snd and Mad. In the OJav vocabulary there are few variants: geremus - gremus scratch. In the texts the vowel /ə/ was often omitted, but these omissions, judging by their correspondence to the rules of metrical versification, did not reflect pronunciation (Kern 1871:2). In literary Snd and Mad alternation occurs under the influence of borrowing from Jav, which is especially evident in the Western Mad dialect, closer to Jav than the literary language: Mad /carɛta/ - /crɛta/ story, Snd /karana/ - /krana/ cause. The Snd explanatory dictionary (Satjadibrata 1950) often gives reference to the full-vocalised variant.

STAGE 2: In antepenultime the non-neutral vowels /a/, /i/, /u/ alternate with the neutral shorter /ə/, and the neutral vowel in antepenultime and penultime alternates with zero: Jav and Mal, except for some Peninsular dialects: Jav nagara /nagoro/ - /nagoro/ (royal) city, /sinuhon/ - /sanuhon/ ruler, potentate, /gumagos/ - /gamagos/ smart (see, with different transcription, Uhlenbeck 1949:14), /məlaku/ - /mlaku/ walk, /gaməlan/ - /gamlan/ gamelan (Horne 1961:xxix), In /kalimat/ - /kalimat/ sentence, phrase (Alieva et al 1972:29), /pərau/ - /prau/ boat, Mls /dərama/ - /drama/ (the second variant is considered more correct at present). Because of the complex character of this distinctive feature it permits scope for exploring in greater detail than is considered here.

STAGE 3: Other non-neutral vowels alternate with the zero. This can be observed in the Ulu Muar Peninsular dialect of Malay:  $/sak\iota t/ill - /sk\iota t$  ati/off (Hendon 1966:109-110) (the latter variants with special intonation).

STAGE 4: Alternation is absent: the former zero is now simply a boundary between abutting consonants. To this stage belongs Acehnese, and among the Peninsular Malay dialects, the speech of the inner regions of Kedah: thong - TM tahun year (Ismail 1973:76) (examples are sparse).

#### ASYLLABIC MORPHEMES

Morphemes containing no syllabic elements, that is practically vowels, are extremely few in the Malayo-Javanic languages. They all are function (auxiliary) morphemes, and most of them have two allomorphs – a syllabic and an asyllabic one, sometimes one of them being stylistically marked: either the syllabic one is bookish, as Jav aN-, or the asyllabic one is colloquial, as In N-, cf. mukul (= N-pukul) instead of the literary memukul (= /məN-pukul) beat. From the point of view of typology asyllabism of relational morphemes seems to be more important than that of derivational ones: the latter often are unproductive, and the corresponding derivates are more or less simplified. Among the relational morphemes, the Old Javanese article /ŋ/ should be noted and the actor person markers found in some texts (Teselkin 1963:45 and 59). These morphemes have disappeared in New Javanese. On the other hand, unlike Old Javanese aN-, in the languages of Java and partly in Malay dialects a verbal prefix N- is used,

realised either segmentally – as one of the nasal sonants – or appearing as a distinctive feature of nasality in a consonant, belonging in a way by fusion both to the prefix and the root or base: Mad /ŋ-ɔwan/ herd(v.), Jav /n-doŋɛŋ/  $tell\ a\ fairy-tale$ , compare Mad /maca/ – /N-bʌca/ read, Jav /nrimɔ/ – /N-trimɔ/ submit. In TM and literary In and Mls there are no asyllabic relational morphemes; for the Peninsular dialects data are few: we can point out a pronounanaphoric element /n/ in Ulu Muar and a proclitic morpheme /s/ one in Kedah in the position before a root-vowel: /saRi/  $one\ day$  from /aRi/ day. In Snd and Mad asyllabic morphemes besides the prefix N- are unknown, at least not productive ones, except the /-n/ allomorph of the suffix /an/ in Mad: /kCibʌ/ carry - /bʌn-kCibʌn/ burden.

All in all the feature of morpheme asyllabism is difficult to use for diachronic classification of the languages under observation. However, it has great importance for general typology. As Kasevich (1983) shows, absence of asyllabic morphemes is characteristic of syllabic languages. Thus, according to this feature, the Malayo-Javanic languages prove to be close to the syllabic type.

## SEGMENTAL STRUCTURE OF MORPHEME AND SYLLABLE

Under this heading fall the features of a) initial consonant clusters in morphemes and syllables, b) consonant membership in the final position, c) intervocalic consonant clusters in a morpheme. The first feature is connected with the variability of syllabic structure discussed above. Omission of the vowel leads, as can be seen in the examples given above, to formation of initial consonant clusters. In Snd and Mad the tendency to avoid such initial groups is stronger than the tendency towards disyllabism, compare mutation of loanwords: Mad /kalebun/ - Jav /kliwon/ headman, Snd Parasman - Dutch Fransman Frenchman. In Javanese the picture is reversed. Already in Old Javanese initial groups of consonants in loanwords as a rule did not assimilate, though in the original root stock such groups were utterly or nearly non-existent. Later the OJav trisyllables, losing the first vowel before the liquids /r/, /l/, formed NJav disyllables, losing the first vowel before the liquids /r/, /l/, formed NJav disyllables, losing the first vowel before the liquids /r/, /l/, formed NJav disyllables, losing the first vowel before the liquids /r/, /l/, formed NJav disyllables, losing the first vowel before the liquids /r/, /l/, formed NJav disyllables, losing the first vowel before the liquids /r/, /l/, formed NJav disyllables, losing the first vowel before the liquids /r/, /l/, formed NJav disyllables, losing the first vowel before the liquids /r/, /l/, formed NJav disyllables, losing the first vowel before the liquids /r/, /l/, formed NJav disyllables, losing the first vowel before the liquids /r/, /l/, formed NJav disyllables, losing the first vowel before the liquids /r/, /l/, formed NJav disyllables, losing the first vowel before the liquids /r/, /l/, formed NJav disyllables, losing the first vowel before the liquids /r/, /l/, formed NJav disyllables, losing the first vowel before the liquids /r/, /l/, formed NJav disyllables, /l/lables: kalambi - /klambi/ coat, shirt, kulimis - /klimes/ smooth, slippery, kirincing - /krincen/ ringing. In Malay at an early stage, as evidenced by loanwords of the type of seloka poetic maxim - Sanskrit cloka an epic metre, initial consonant clusters were avoided, whereas in Indonesian and the Jakartan dialect they are normal (Grijns 1981). In Malaysian such groups are, as we may observe, also quite frequent, and codified by the latest spelling rules as well. They alternate regularly with segments containing a vowel, usually /ə/. The same is to be found in the Peninsular dialects, with the main variant being one either with or without the vowel. In Acehnese consonant clusters at the beginning of a morpheme are quite numerous and varied.

The feature of limitation of consonantism at the end of the morpheme gives three typological classes.

- 1. Old Jav and Snd, where voiced consonants are possible not only in the anlaut, but also in the auslaut (compare Tagalog).
- 2. Mal, Jav and Mad. In the former voiced consonants in final position are found only rarely in loanwords before the initial vowel of a suffix: /jawab-an/ answer (variant /jawapan/). In Madurese final voiced sounds also do not occur; final aspirates appear regularly before the suffixed vowel: /totop<sup>C</sup>-i/ close(v.) In Javanese pronouncing aspirates in this position is obsolete (Uhlenbeck 1949:42-43). Still final consonant position puts Mad close to OJav and Snd, as Mad voiced

consonants can occur in the inlaut: /kəd/di?/ worry (in rare cases also possible in In with juxtaposition of different stops: /ab/dul/ (compare Stokhof 1980:43).

3. Acehnese and Malay Peninsular dialects, where the majority of consonants do not occur finally. Sometimes a sound absent in auslaut may be restored before a suffix: Ulu Muar tapih filter(v.) - tapis-an filter(n.) (Hendon 1966:24). Such cases are caused mostly by contact with the literary language (compare further comments on Mkb).

As for the inlaut of a morpheme, we can look for a complex feature of "the degree of variability in possible intervocalic consonant groups". But practical use of this feature is complicated by the fact that standard languages have a great number of rare consonant combinations in non-assimilated or partly assimilated loanwords; e.g. in Mad the cluster /str/ is found in two or three words only, as éstré woman (polite style) < Sanskrit strT. Excluding loanwords, two directions of innovations can be outlined: towards the increase and the decrease of diversity in intervocalic clusters. The first tendency is observable in Mls (standard and especially dialects). The Kelantan dialect has lost the homorganic groups "nasal sonant - voiceless stop": Kel laca - TM lancar fluent, rapid (Asmah 1977). In most Peninsular dialects and in the prevailing pronunciation of standard Mls, omission of the TM -r at the end of a syllable simplifies the corresponding intervocalic combinations: /kaja/ - TM kerja work. The second direction of innovation - towards a greater diversity of intervocalic groups - is characteristic of Mad. Intervocalic gemination is - at least for a considerable part of the vocabulary - an innovation; compare loanwords: Mad pojjha < Skr puja reverence, Mad nabbhi < Ar nabi? prophet, Mad rassa < Skr rasa emotion, Mad raddhin < Jay raden (a title of nobility). Besides that, Mad has developed combinations "nasal stop - aspirate": tengghi, cf. Mal /tingi/ high.

Jav Old and New, Snd and In are intermediate with respect to this feature, though a more detailed study reveals distinctions between them. Ac and Mkb are close to the Peninsular type, as intervocalic clusters are avoided here: Ac meuseukin, Mkb misikin or mikin - Mal miskin poor (from Ar).

## SUPRASEGMENTAL PHONOLOGY

The data for classification within the Malayo-Javanic group according to this feature are rather insufficient; however, the situation among other related languages is interesting. Unlike such languages as Tagalog, Buginese or Toba Batak, it is typical of a morpheme or word in Malayo-Javanic languages to have no phonologically relevant prosodic or other phenomena, including word stress. A possible exception may be the nasal assimilation of vowels in Snd discovered by Robins (1957), that seems to distinguish different morpheme structures (though minimal pairs are not found). In other languages suprasegmental elements serve to segment the text (Trubetskoy's delimitative function). They include vowel harmony with respect to tongue height (closure) in In, possibly also in Jav and Snd. This harmony is limited to the root morpheme (on In see Emeis 1955 and Zubkova 1970). In contrast to this in Mad there is a progressive assimilation of vowels with respect to closure, involving not only the root morpheme but also the suffix (Stevens 1968). Absence of stress with a phonological function must be an innovation of the Malayo-Javanic languages as a whole; appearance of such stress as an innovation in the Philippine and other cognate languages is less probable.

As for delimitative stress, it does not seem to be quite the same in the different languages of the group. In particular, diphthongisation of vowels in the final syllable in Mkb, Peninsular Mal and Ac may, according to some authors, Cowan among them, be linked with stress movement (delimitative, not phonological) to this syllable. For all these languages it coincides with elimination of the first syllable in part of the vocabulary (see above; on Mkb see Kähler 1965).

## TENDENCY OF COINCIDENCE OF MORPHEMIC AND SYLLABIC BOUNDARIES

Total coincidence of the two sorts of boundaries is a law of syllabic languages such as, for instance, Chinese or Burmese. Historical changes in correspondence between syllable and morpheme boundaries mean either a drift towards syllabic type, if boundary coincidence increases, or away from it, if this coincidence becomes more rare. In the phonological typology laid out by Kasevich (1983) some Indonesian languages are classified as asyllabic ones with syllabic features. Their distinction from the syllabic languages is resyllabisation, i.e. the shift of syllabic boundaries against morphemic ones: the consonant of one morpheme falls into the same syllable as the vowel of another: In /minum/ drink (v.) - /minu/m-an/ drink(n.). In syllabic languages resyllabisation can never occur.

In the Malayo-Javanic languages resyllabisation may be prefixal, infixal and suffixal, according to the morphemes that the preceding consonant and the following vowel belong to: prefix and root, as in In /ikut/  $follow - /pe/\eta-i/kut/follower$ ; root, infix and root again, as OJav tulis write - t-i/n-u/lis written; root and suffix (In example above).

The sphere of resyllabisation is accordingly narrowed, other things being equal, if a language loses the productivity or decreases the number of 1) infixes with initial vowel and/or final consonant, 2) prefixes with final consonants, 3) suffixes with initial vowels, 4) roots with final consonants, 5) roots with initial vowels. Here syllabic ("no shorter than a syllable") morphemes are meant, and if allomorphs exist, the ones that count are those that take part in affixation.

All the listed phenomena occur in the Malayo-Javanic languages.

- 1. Infixes of the VC type are productive in OJav and Snd: Snd /bodo/ stupid one /b-ar-odo/ stupid ones (Pavlenko 1965:39). In NJav the infix -um- is unproductive, and -in- belongs to bookish style. Infixes are unproductive in both Mal and Mad, but judging by isolated examples from ancient epigraphy and some simplified morphemes, the infix -um- existed in Old Mal too.
- 2. The OJav prefix aN- is reflected in NJav N- (except in bookish style, cf. above). In TM, In and Mls the final nasal sound of the prefix /məN-/ passes into the next syllable: /asah/ sharpen, grind /mə/ŋ-a/sah/ (active form). In Ulu Muar and Mkb in the position before the root vowel the nasal element is generally dropped: Ulu Muar /mɔasah/, Mkb /maaja/ teach TM /mə/ŋ-a/jar/.
- 3. The suffixes with initial vowel -i and -an in the Mal dialects are less productive than in literary Mal. In Ac all suffixes have disappeared. In Jav the suffix /ake/ is often pronounced /ke/, and sometimes spelt ke: nerusake neruske continue, nguntungake nguntungke be favourable.
- 4. Final TM -r and -l are lost in Mkb and in some Peninsular dialects; in some of the latter, other consonants as well. Thus, TM ambi/l-an something taken

corresponds to Mkb /ambie/an/ tree with leaves torn off, TM mengai/r-i irrigate - Mkb maaje/i/ (yet the final -r or -l of the root is often restored before a suffix; the same occurs in Peninsular Mal). In Mad part of the former voiceless root finals are replaced by a glottal stop that does not take part in resyllabisation: Mad /tɔrɔ?/ - Mal /turu/t-i/ follow. We seem to have the same syllabification in Jav, where initial glottalised plosive is not generally found: /tinda?/an/ step. The same, evidently, in Mkb: /lauj?/an/ ocean - TM /lau/t-an/.

5. Replacing the root with initial vowel by one with initial consonant occurred in the history of Jav with the loss of initial vowel in trisyllables: /yuyu/ < ayuyu crab, /tomo/ < Skr uttama highest, supreme (sometimes with variants retained). In Mad a number of vowel-initial roots take a formant k-: oca' /oca'/ speech - /skoca'/ to be spoken.

The facts listed above are evidence that at an early stage of Jav and Mal resyllabisation, leading to discrepancy of morpheme and syllable boundaries, was more common than at present. In Ac, Mal Peninsular and Mkb the factors for resyllabisation are comparatively weakened, and Snd, as in other respects, is more conservative, being closer to OJav. In Mad, gemination before a vowel-initial suffix is a sort of step back towards the OJav type, where such gemination is presumably a relic of a formerly regular phenomenon, e.g. k-in-on/n-akan is ordered (root kon to order), p-in-agěh/h-akan is affirmed from the root gěh firm (examples from a 10th century inscription (see Sarkar 1972:61)). The second consonant of the geminate belongs to the root, but falls into the same syllable as the suffix vowel. In Mad this is regular: /toron/ descend - /toron/n-akci/lower, cause to descend. The general tendency of the languages discussed with regard to this feature is towards the syllabic type.

## CONCLUSION

Tendencies towards shortening (reducing) of the morpheme syllabic structure, towards coincidence of syllabic and morphemic boundaries, towards contrastiveness of consonantism in different positions in morpheme and syllable, a smaller number of asyllabic morphemes (and their alternating with syllabic ones), absence of phonological stress - all this brings the Malayo-Javanic languages closer to the syllabic and isolating languages of mainland South-East Asia. Nor is this contradicted by the formation of new initial consonant clusters in morpheme and syllable - such clusters are noted in the archaic "Sinitic" languages, but disappear at a later stage.

Measured against the common tendencies of the Malayo-Javanic group, more archaic and more advanced languages in that group may be pointed out. The archaic ones, along with the chronologically early OJav (and Old Mal) comprise Snd and, to a slightly lesser extent, Mad. The morphophonological innovations of Mad - increased complexity of intervocalic clusters, including gemination, have a peculiar "archaising" quality. To the more progressive ones belong Ac and the Peninsular dialects of Mal. Literary Mal (In and Mls) and Jav are intermediate as to the general tendencies of the group. Mkb is evidently very close to the advanced group (if not a member of it).

If the general tendencies of the "progressive (advanced)" languages are strongly marked, that may be the effect of contact with a language of isolating and syllabic (or close to syllabic) type. For a language like Jav that has no such contacts areal "long range" effect may be suggested, or we can take the view that contacts simply accelerate the innate evolutionary inertia of the group. These conclusions and assumptions may find confirmation through investigation of data from other languages of the same group and other language levels.

#### NOTES

1. The Malayo-Javanic group of languages as a genetic unit comprising the first four of the languages listed above is established in Nothofer 1975. The genetic limitations of the group based mostly on the strength of lexicostatistics are criticised in Blust 1981. I am inclined to give the term "Malayo-Javanic" rather a broad interpretation. Of all the varieties of Malay, with a total number of about 15 million speakers, we shall consider the traditional Malay (TM) of medieval literature, Indonesian (In) and Malaysian (Mls) as two modern forms of literary Malay, and the Peninsular dialects. Minangkabau (5.6 million, West Sumatra), judging by its Arabic script that could not have originated before the 16th century, must have become differentiated from Malay at a comparatively recent date. The number of speakers of the languages of Java and Madura is: Jav 67 million, Snd 19 million, Mad 8.7 million, of Ac in North Sumatra 2.2 million as estimated for the end of the 1970s in Bruk 1981 (other figures above are from the same source).

A survey of contacts in the Javanese languages area is contained in Ogloblin 1983a.

2. In the Javanese explanatory dictionary (Poerwadarminta 1931) are found frequent references from a disyllable with /ə/ to the monosyllable, the latter being evidently a more literary variant, sometimes also more close to the source language word. In Madurese the prothesis /ə/ is followed by gemination of the initial consonant of the monosyllable.

Here and further  $\boldsymbol{c}$  and  $\boldsymbol{j}$  mean palatal stops,  $\boldsymbol{y}$  means palatal sonant (fricative).

- For a contrary view see C. Snouck-Hurgronje: "An ordinary Acehnese not living by the sea-coast, and even most port-residents know hardly a word of Malay" (Snouck 1892:14).
- See, e.g. Ras 1968. Nothofer (1975) projects gemination into "Proto-Malayo-Javanic". For some ideas on the origin of Mad gemination see Dahl 1981.

## **ADDENDUM**

Of course, the problem of initial consonantal clusters in OJav deserves special investigation. Still the new dictionary that I shall be completing after having finished this paper, gives many instances of CC... groups being referred to CVCV... entries. See Zoetmulder 1982.

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## EASTERN SERAM: A SUBGROUPING ARGUMENT

James T. Collins

## O. INTRODUCTION

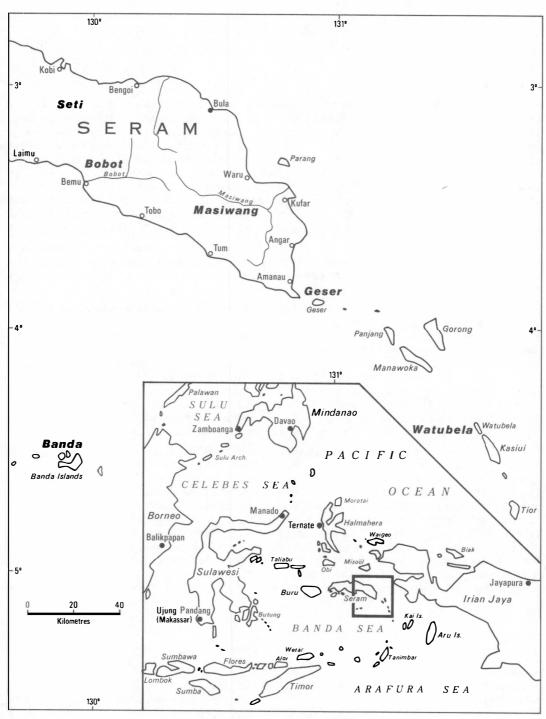
In a recent report on language research in the Moluccas (Collins 1982b), a proposal for subgrouping the languages of eastern Seram was sketched in an outline form. Within the broad scope of that survey, only a few facts could be noted; so on this occasion I would like to flesh out that outline with more data and a tighter analysis. The task is a very straightforward one: namely subgrouping closely related but hitherto poorly documented languages. The results sharply contrast with the atomistic theories proposed by earlier authors who attempted detailed subgrouping of these languages. Rather, this recent research supports the overview that the Moluccan languages are all interrelated, a position taken in different forms both by Dyen (1965) and Blust (1982 and elsewhere).

If we refer to the map below, it is apparent that, even by East Indonesian standards, East Seram is remote, far from even the modest bustle of Ambon, the provincial capital, and not even near the more frequented parts of West New Guinea.

It is no wonder then that very little linguistic research has ever been undertaken there. In this century, only two other writers have attempted language subgrouping in this area. E. Stresemann, working with some 19th century wordlists (Ludeking 1868, Wallace 1869, Boot 1893) and vocabularies collected before 1916 by his colleagues K. Deninger and O. Tauern, dealt with East Seram in his major attempt at Moluccan language classification (1927). About 50 years later, Chlenov (1969, 1976), drawing chiefly on the same materials used by Stresemann as well as one additional wordlist collected by himself and his wife during their 1960s fieldwork, proposed a classification of these languages which differs from Stresemann's in many significant respects.

It is somewhat surprising that these two authors using essentially the same material should have reached conclusions which are quite different. Of course, there is a difference in approach. Stresemann established a taxonomy based on a few linguistic characteristics, while Chlenov's classification is based on lexicostatistical computations. Still, the major factor underlying the disagreement is the lack of information at their disposal. The very paucity and unreliability of the data must necessarily yield multiple, tenuous interpretations. The subgrouping presented in this paper however is based on a new, far greater data base. The six languages discussed here are represented by vocabularies and recordings collected by a single fieldworker over a period of two years. The data are drawn from, in one case, all the known dialects of the language in question, and

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Map 1: Eastern Seram

in the other cases from at least three or four dialects of each language. It is hoped that this more representative data base will yield a more reliable subgrouping theory.

The six languages are: Seti, Bobot, Masiwang, Geser, Watubela and Banda. Earlier authors assigned these languages a number of different names and were equally vague about their geographical distribution. Consequently a few remarks about the location and names of these languages are in order (refer to Map).

Seti is a language of the interior of Seram, east of the Isai mountain range and north of the Bobot and Masiwang rivers. Most Seti-speaking villages are now established on either coast of Seram, the westernmost being Seti itself now located on the north coast as one of Wahai's ancillary villages. These Seti-speaking villages include Liambata, Kobi and Benggoi mentioned by other authors.

The Bobot language is spoken in villages along the Bobot river, at its mouth and all along the southern coast of Seram roughly parallel to the river. Stresemann refers to one of these coastal villages, Hatumeten, while Chlenov deals with another, Batuasa. These are both dialects of Bobot.

Masiwang was, until recently, spoken along the length of the great Masiwang river but now it is mostly confined to a string of hamlets north-west of the river's estuary. There is also a very recent resettlement on the south coast of Seram near the Tum river. This language, formerly called Bonfia, has until now only been known through a wordlist collected 90 years ago by a Catholic missionary (Cocq d'Armandville 1901).

Geser, the far-flung language of traders, slavers, sailors and fishermen, is spoken in all but the southernmost Seran Laut islands, all along the north and south coasts of Seram as far west as Hoti and Siloham in the north and is reportedly even spoken in some villages of south-west New Guinea (R. Walker, personal communication). Stresemann referred to this largely as "Seran Laut". Closely related to it is the Watubela language spoken on Watubela island in the southern part of the Seran Laut group.

The Banda language is no longer spoken in its homeland islands; refugees from the genocide of 1621 fled to Kei Besar in the Kei islands, 400 km to the south-east (see Map), where they established the two surviving Banda-speaking villages, Eli and Elat. Based on a 19th century wordlist (Van Eijbergen 1865) Stresemann discussed this language as "Eli-Elat".

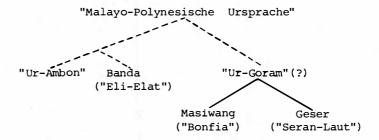
By obtaining a rough geographic notion of range and proximity of these six languages it is possible to understand their interrelatedness. Having identified the languages discussed by Stresemann and Chlenov and established a joint nomenclature for them, it will be easier to study the theories presented by them and to compare those contrasting theories with the proposals set forth here.

## 1. THE PROBLEM

In the first modern attempt to classify the languages of Central Maluku, Stresemann (1927) proposed a subgroup which he called "Ur-Ambon". He included in this subgroup all the indigenous languages of Buru and Seram and the adjacent islands except Masiwang in East Seram, Geser and Watubela in the Seran Laut islands, and the Banda language. In order to ascertain the boundaries of his Ur-Ambon group, he dealt with these 'peripheral' languages in appendices to his book, sketching sound correspondences of each with Ur-Ambon; he suggests that

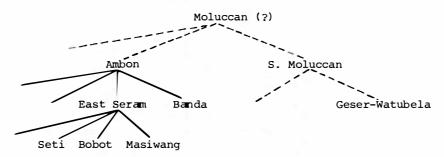
Masiwang and Geser are closely related to each other; indeed he proposes an "Ur-Goram" subgroup from which both are descended. About Ur-Goram he says that it is closer to Proto-Austronesian ("Malayo-Polynesische Ursprache") than Ur-Ambon. The conservative nature of Ur-Goram constitutes the most important difference between it and Ur-Ambon. In contrast, he writes that the Banda language is the language most closely related to Ur-Ambon because there exists a great similarity between the two which indicates earlier common developments.

If we summarise Stresemann's conclusions we reconstruct a family tree of the following shape:  $^{9}$ 



Note that Stresemann emphatically includes Seti ("Liambata, Kobi, Benggoi") and Bobot ("Hatumeten") in "Ur-Ambon", just as he explicitly excludes Masiwang and Geser.

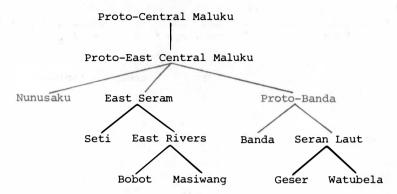
Chlenov (1969, 1976), in sharp contrast to Stresemann, includes Masiwang as well as Bobot and Seti, in the East Seram subgroups of his "Ambon" group. Banda forms a separate subgroup within the same Ambon group. Like Stresemann he assigns Geser and Watubela to the Geser group which he says belongs to the South Moluccan subfamily. This yields a diagram such as: 10



A comparison of the respective theories of Chlenov and Stresemann shows their agreement in placing Geser in a group only related to the languages of Seram ("Ambon", "Ur-Ambon") in a distant, unspecified way. Similarly there is a parallel between the two in assigning Banda a close relationship to the languages of Seram. The most striking point of disagreement is the allocation of Masiwang, Stresemann grouping it with Geser but Chlenov insisting on its close relationship to the other East Seram languages.

In this paper, comparative evidence is offered in strong support of Chlenov's allocation of Masiwang to a group of East Seram languages. The details of the interrelationship of Masiwang, Bobot and Seti with each other are presented as well. However, exception is taken to both Chlenov's and Stresemann's exclusion

of Geser from a Central Maluku subgroup. Indeed, there is evidence that Banda and Geser are closely related to each other and thus sharply distinguished from the languages of South Maluku. A tentative family tree is outlined below:



## 2. PROTO-EAST SERAM

Three languages of easternmost Seram display a number of shared innovations which distinguish them both from the languages to the west as well as from those to the south-east (Collins 1982b). Furthermore, they fail to display a number of the innovations characteristic of the language subgroup of West and Central Seram, elsewhere identified as Nunusaku (Collins 1981). For these reasons, Seti, Bobot and Masiwang are grouped together as a major branch of Proto-East Central Maluku: East Seram.

Like other descendants of Proto-East Central Maluku, the East Seram subgroup displays merger of \*d, \*D, \*z and \*Z, truncation of diphthongs ending in front off-glides (\*ay>a, \*uy>u), coalescence of the diphthong \*iw to i as well as all the innovations common to all the languages of Central Maluku (Collins 1981). Unlike the Nunusaku languages, however, Proto-East Seram did not merge \*R with the reflex of \*l. Note the following examples:  $^{12}$ 

PAN		Seti	Bobot	Masiwang
*Rumaq	rope	(ufaiYa)	uma	suma
*daRəq		laha	lawa	lasa
*(zZ)uRi		luila	-lui-	lusi-n
*waRəj		wehela	wawat	wasat
*tiRəm	oyster	tehena	tilan	-
*bulan	moon	(umala)	vulan	hulan
*təlu	three	tol	tolu	toli
*walu	eight	wal	walu	wali
*bulu	body hair	fula?a	-vul̃i-n	hulhuli-n

Although all three East Seram languages display I as the reflex of \*I, reflexes of \*R are distinct from \*I. This contrasts with all Nunusaku languages. In Masiwang the reflex of \*R is s (perhaps through devoicing of  $[\gamma]$  to [x] and then [s]). In Bobot, with some exceptions,  $^{13}$  \*R became w (again via  $[\gamma]$ , presumably); this w was lost when preceded or followed by [u]. In Seti, the correspondence is more problematic. But it appears that \*R underwent a conditioned split. Before high vowels \*R>I (\*(zZ)uRi>Iuila, \*Rusuk>Iusu, etc.) but before non-high

vowels \*R>h (\*daRəq>laha, \*waRəj>wehela, 14 etc.). The conclusion is that \*R was retained in Proto-East Seram as a sound ([ $\gamma$ ], perhaps) distinct from \*1 whereas in Nunusaku \*l and \*R merged.

The Seti, Bobot and Masiwang languages, which do not undergo this merger of \*1 and \*R display a number of shared innovations which distinguish them from the languages of Nunusaku. First, \*\*d (from \*d/D and \*z/Z), \*1 and \*j merge to 1. For example:

PAN		Seti	Bobot	Masiwang
*qa(zZ)ay *Rəzan <sup>15</sup> *Zalan *DuSa *daRəq	road two blood	alam olam lala-m lua laha	y-alan y-olan lolan lua lawa	y-alan w-olan lolon lua lasa
*daqun *bulu *bulan *lima *pija *Suaji *ŋajan	leaf body hair moon five how many younger sibling name	lanna fula?a (umala) lima hila (aifa) nalan-a	ai-lan -vuli-n vulan lima fila walin -nalan	lan hulhuli-n hulan lima hila wali-n nalan

In Collins 1983, the retention of \*j and \*\*d as distinct reflexes in Nunusaku is demonstrated; in that branch \*l merged with \*R.

A second innovation shared by the descendants of Proto-East Seram is the treatment of penultimate \*ə. In all three languages, \*ə>o/\_CV(C)#

*təlu	three	tol	tol	toli
*pənuq	full	bonu	bonu	bom
*dərjəR	hear	(bulai)	a-vloka	loka
*kəDəŋ	stand	kolo	a-kolan	kolan
*Rəzan	stairway	olam	y-olan	w-olan
*qatəluR	egg	tolla	toli-n	toli-n
*baqəRu	new	folla	voi	hos i
*qapəju	gall	(hoe?a)	foli	holi

The Nunusaku languages (Collins 1983) are reconstructed with a retention of  $\ast 9$  as  $\vartheta$  in this position.

Third, while Nunusaku is reconstructed with k as the reflex of \*k in initial and medial position, Proto-East Seram is reconstructed with zero as the reflex of \*k in those positions on the basis of evidence such as:

*kaSiw	wood	ai-a	ai	ai
*kasaw	rafter	asa-?a	asa-	asa
*kami	we(II)	ami	am	i m-
*kulit <sup>16</sup>	skin	inta	kurit	uhi t
*takut	fear	mu-ta	m-tait	ka-toit
*ikan	fish	en-a	ian	ian
*sakay	ascend	-	sa:	sa:
*(t)a(ŋ)kaw	steal	-	ma-na:	(kah leit)

Although the three descendants of Proto-East Seram do not agree on the phonetic shape of the reflex of \*\*nd, d is tentatively reconstructed, based on the occurrence of [d] in Bobot. Masiwang and Seti display r but this r must have occurred after the shift of \*R to s and h/l respectively, as discussed above. <sup>17</sup>

In sharp contrast to the languages of Nunusaku, Proto-East Seram must be reconstructed with a retention of  $*\eta$  in medial positions. Both Bobot and Masiwang display [k] as the reflex of  $*\eta$ . In Seti the reconstruction is a problem because so few cognates containing  $*\eta$  occur. Two display n while one shows k. The partial (?) shift of  $*\eta$  to n must have occurred after Seti split from Bobot-Masiwang. For example:

PAN		Seti	Bobot	Masiwang
*dəŋəR	hear	(bulai)	a-vloka	loka
*taŋis *laŋit	weep sku	tatan (lea)	takit lakit	rakit lakit
*naŋuy	swim	nak	nakų	(ahás)
*taliŋa		tinam	talika-n	talikan
*aŋin *laŋau	wind k.o. fly	(simala)	yakin laka	yakin -
raijau	r.u. jug		Idka	

Bobot-Masiwang is further distinguished from Seti in the treatment of \*0 in the final syllable. Note:

*kəDəŋ	stand	kolo	kolan	kolan
*(ma)-qitəm	black	metena	mametan	metan
*waRəj	rope	wehe la	wawa t	wasat
*qatəp	thatch	ata lanna	yata	-
*daRəq	blood	l aha	l awa	lasa
*Daləm	interior	fallal	lala	lalan
*dəŋəR	hear	(bulai)	a-vloka	loka

In Seti, \*ə assimilates to the vowel of the preceding syllable. In Bobot-Masiwang \*ə>a/ (C)#.

Another characteristic which distinguishes the descendants of Proto-East Seram is the treatment of the ancient noun marker \*si (Collins 1982a). All three languages display its retention as y- in certain words. For example:

```
*aku I ya-?a ya ya-?aho
*Sasaŋ gill in-yasan yasan yasan
*kambat^{18} wound il-yabat yabat yabat
```

However in many other words only Bobot and Masiwang display y-.

*qa(zZ)ay	jaw	ala-m	yalan	yalan
*qatəp	thatch	ata lanna	yata	(ilan)
*ama	father	ama-i	yama-n	(baba)
*aŋin	wind	(simala)	yakin	yakin
*asu	dog	(inawa)	yasu	yai 19

It is possible that these initial ys were lost in Seti but retained in Bobot and Masiwang.

An alternative analysis would propose that in Proto-East Seram y- (from \*si) was affixed only to certain words, such as \*aku, \*Sasaŋ, etc. After the split of

Seti and East Rivers, the East Rivers branch attached the affix to other words with initial a but Seti did not. At a still later stage, after Masiwang and Bobot had split, Bobot innovated further by affixing y- to other words which had previously been marked with w- $^{20}$  or zero. Note:

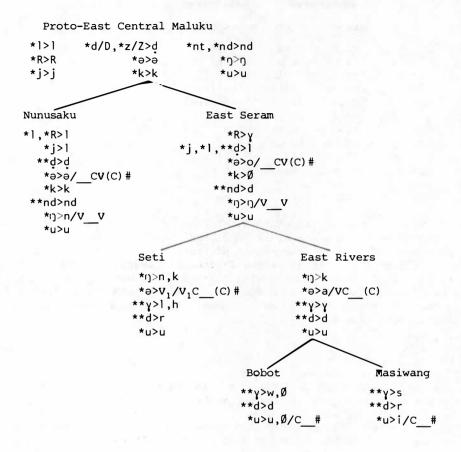
*aqi	leg	wai-na	yai-n	wai
	stairway	ola	yolan	wolan
*qiSu <sup>21</sup>	shark	woi <sup>22</sup>	yo i	woi

With regard to the presence or absence of y- it is difficult to determine with certainty which is the retention and which the innovation. But the pattern indicated by these petrified morphosyntactic markers is clear: Seti must have split from Bobot and Masiwang. These data only confirm other evidence of this split (i.e. treatment of \*\(\gamma\), \*\(\sigma\), etc.). Some of the differences that distinguish Bobot and Masiwang have been mentioned above. We repeat here two of the most important:

Another difference occurs in the treatment of final \*u. In Masiwang \*u fronts to i; subsequent metathesis occurs when the originally preceding consonant is [n]. In Bobot, however, \*u is devoiced or lost (usually after voiced continuants). 24 For example:

PAN		Bobot	Masiwang
*batu *ma-putiq *təlu *TukTuk *bunuq *tunu	stone white three pound kill burn	vatų babutų tol dutų vun dun	hati buti toli tuti huin tuin
" Lullu	Duit	duli	Luiii

Based on materials presented in this section, then, the relationship of the descendants of East Seram to each other and to Proto-East Central Maluku may be represented as follows:



#### PROTO-BANDA

There are two sizeable island groups off the south coast of East Seram. Banda islands lie more or less due south while the Seran-Laut islands extend south-eastward. The three indigenous languages of these islands are Banda, Geser and Watubela. They display a number of shared innovations with both Nunusaku and Proto-East Seram. Thus, despite earlier classifications which exclude one or all of these languages from the Central Maluku subgroup, these three languages are considered here descendants of Proto-East Central Maluku. Furthermore, there is strong evidence that the language of Banda is more closely related to the lanquages of Seran Laut than has been earlier suggested. Both Chlenov and Stresemann exclude the possibility of classifying Seran Laut and Banda together but in the subgrouping proposed here Seran Laut and Banda are grouped together as descendants of a single branch of Proto-East Central Maluku, here called Proto-Banda. The innovations characteristic of Proto-East Central Maluku, discussed in section 2, are found in the descendants of Proto-Banda. PAN \*d, \*D, \*z and \*Z have merged; the treatments of proto-diphthongs \*ay, \*uy and \*iw also parallel the Proto-East Central Maluku pattern. 25 In contrast to other branches of Proto-East Central Maluku, however, Proto-Banda is reconstructed with an innovative merger of \*\*d (from \*d/D, \*z/Z) with \*R; this reflex, \*\*r, was distinct from \*j and \*l.

PAN		Banda	Geser	Watubela
*Rumaq	house	rumo	ruma	lumak
*daRəq	blood	raro-	rara	lalak
*(zZ)uRi	thom	riri-	ruri	luli
*waRəj	rope	warot	(tali)	(tali)
*qaZay	jaw	ara-	ar	(kakelan)
*Rəzan	stairway	eren	roran	lalon
*Du\$a	two	ruo	ro-ti	lua
*daqun	leaf	ran-o	ru	lue?
*pija	how many	ilo	fis	fihi
*ŋajan	name	nalan	ŋasan	ŋahan
*bulan	moon	<b>⊕ulan</b>	ulan	ulan
*lima	five	limo	lim	lima

In both Banda and Geser the reflex of \*d/D, \*z/Z and \*R is [r]; this r shifted later to [l] in Watubela. The merger of \*d/D, \*z/Z and \*R is, thus, considered an innovation shared by all the descendants of Proto-Banda. Note that the reflex of \*j is distinct from this r in all three descendant languages. Geser displays [s] as the reflex of \*j (thus, merging with \*s); Watubela has shifted all s's to [h]. As noted above Watubela has merged an earlier r (from \*d/D, \*z/Z and \*R) with \*l. In Banda \*j merges with \*l as l. Geser alone retains the original three-way distinction; that is:

It is precisely this joint treatment of this series of voiced apicals which justifies the positing of a Proto-Banda subgroup. Note that in Nunusaku \*R and \*l merged but \*d and \*j did not; while in Proto-East Seram it was \*\*d, \*j and \*l which merged but not \*R. Although the contemporary reflexes vary (due to later shifts and mergers), the pattern displayed in Banda, Geser and Watubela is the same.

Numerous innovations, however, distinguish Banda from the Seran Laut branch, consisting of Geser and Watubela. First, we mention again the shift of \*j to l in Banda which contrasts with the merger of \*j and \*s in Seran Laut. Second, the merger of \* $\eta$  with \*n in Banda contrasts with the retention of \* $\eta$  as  $[\eta]$  in initial and medial positions in both Watubela and Geser. For example:

PAN		Banda	Geser	Watubela
*naŋuy	hear	nano <sup>26</sup>	nagu	(gaha)
*taŋis		(ndaut)	tagis	ntaŋi
*təliŋa		tilu-	tiliga	telŋa
*dəŋəR		(motan)	rogan	doŋan
*saŋa	branch	sana-	saŋ	(lifa)
*aŋin	wind	anin	aŋin	aŋin
*ŋajan	name	nalan	ŋasan	ŋahan

With only one exception (lagit, an apparent loanword, perhaps from Malay),  $*\eta>n$  in Banda.<sup>27</sup> In all cases Geser and Watubela retain  $[\eta]$ .

Third, in Banda \*ə became [o] in final position but [e] in penultimate position. The few exceptions seem to be conditioned by assimilation. In Geser and Watubela the split is between [a] in final position and [o] in the penultimate syllable. For example:

PAN		Banda	Geser	Watubela
PAN  *qatəp *daRəq *waRəj *ma+qitəm *Daləm *ənəm *dəŋəR *təlu *pənuq *ma+qəti	thatch blood rope black interior six hear three full ebb	Banda atop raro- warot metmetén <sup>28</sup> raron nemu <sup>30</sup> (motan) telu mbunu meti	Geser (barém) rara (tali) metan lomin <sup>29</sup> onan ronan tolu (lome) moti	Watubela kataf lalak (tali) maketan lomi onon donan tolu (lomi-n) mkoti
*qatəluR *qapəju *baqəRu	egg gall new	tuluru elu-n ferferu-ŋo	tolu foli wou-wou	katlu feli-n oku-oku

Fourth, the treatment of the labial stops distinguishes Banda from the descendants of Seran Laut. Note:

PAN		Banda	Geser	Watubela
*qapəju *paRi *xapuy *qatəp *bəRsay *batu *busuR *bubu *əmpu *(ma)+putiq **kambat	gall rayfish fire thatch paddle stone bow trap grandparent white wound	elu-n ari au atop fese fatu Φusur ΦuΦu umbo noito-no ambat	foli fari afi (barem) wosa watu usu u: (tata) futi abat	feli-n fali afi kataf woho watu uhul u: (tata) buti? (skin fungus) abat

These data can be summarised as follows:

> Seran Laut b

Fifth, the treatment of the reflexes of prenasalised labials noted above is paralleled by the treatment of prenasalised apicals. For example:

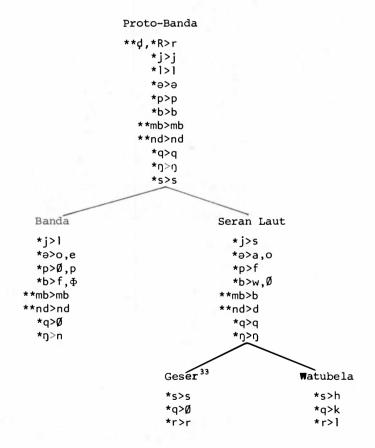
PAN		Banda	Geser	Watubela
*punti	banana	(kula)	fudi	fudi
*ma+tunu		ndunu	(tunu)	dunu
*diŋdiŋ	cold	rindin	(rifi)	lidin
*DaRat	land	ndara-k <sup>31</sup>	(nodi)	(na-kodi)

In Banda \*nt and \*nd merged as the cluster [nd] but in Seran Laut \*\*nd (from \*nt and \*nd) has become [d].

Sixth, Watubela preserves a distinct reflex of \*q in all positions.  $^{32}$  Although Geser has lost \*q, we reconstruct it in Seran Laut but not in Banda. Note:

PAN		Banda	Geser	Watubela
*qatəluR	00	tuluru	tolu	katlu
*qatəp	thatch	atop	(barém)	kataf
*ma+qəti	ebb	met i	moti	mkoti
*baqəRu	new	ferferu-	wou-wou	oku-oku
*Rumaq	house	rumo	ruma	lumak
*daRəq	blood	raro	rara	lalak

Based on the evidence presented here we reconstruct a family tree for Proto-Banda below:



#### CONCLUDING REMARKS

This paper proposes a classification of six languages of eastern Seram and the adjacent islands. The subgrouping is based on shared phonological innovations, a traditional, if beleaguered, approach. The analysis proposed here divides the six languages into two groups, East Seram and Proto-Banda, both of which are sister languages descended from Proto-East Central Maluku. The interrelationships of the languages within each of these subgroups has been worked out and tentative family trees have been proposed.

Clearly, this classification is an initial step in the long process of refining knowledge and theories about these languages. Not only do we need more information about the languages but furthermore we need more comprehensive methodological approaches which rest on morphological as well as phonological innovations and which are more sharply aware of the sociocultural factors, indeed the sociolinguistic history of the area.

Nonetheless, even this rudimentary sifting of evidence suggests a theory of classification which can be challenged and tested. It is apparent now that the chief differences between most of these languages and those further to the west occur because the eastern Seram languages are characterised by retentions of sounds and sound patterns which have been largely lost in western Seram. The identification of these retentions, \*q, \* $\eta$ , \*j, \*j, \*j, \*j, \*mb/\*nd, is important in two ways.

First, it provides a picture of Proto-Central Maluku's phonological system which is strikingly different from the one proposed in Stresemann 1927. It is precisely these differences from Stresemann's model which yield a Proto-Central Maluku phonological system which is richer, more complete and much more similar to the system proposed for Proto-Austronesian. Proto-Central Maluku, then, can be considered much more conservative than a study of only western Seram languages would indicate.

Second, in Collins 1983 certain hypotheses were set forth regarding the reconstruction of Proto-Central Maluku \*q, \*j and \*a. These theories were based on sound correspondences in some languages of western Seram and the somewhat complex arguments needed to interpret them. The data set forth in the present paper provide strong support for those hypotheses and justify the interpretation proposed there.

Certainly some aspects of the subgrouping theory presented in this paper are stronger than others. The close relationships of Bobot to Masiwang and of Geser to Watubela seem sufficiently clear. But, for example, the relationship of Seti to Bobot and Masiwang requires closer scrutiny. A more detailed comparison of Seti to the languages immediately westward, in particular variants of Manusela, is an obvious project for future research. The collection of a larger body of information regarding Seti dialects is also imperative. The relationship of some languages, for example Salas, to other variants of Seti is none too clear, largely because the amount and quality of the data collected are far from satisfactory.

Indeed, perhaps this attempt at subgrouping the languages of eastern Seram has been premature. One would have preferred that detailed phonetic sketches, grammatical outlines and extensive vocabularies for each of the languages compared were prepared first, before subgrouping proceeded. Attempting classification on the basis of a few hundred or even a few thousand words is not a model approach for comparative linguistic research. So, this paper is presented as a starting point, a testable theory, a flawed beginning. But a beginning has been made.

## **NOTES**

- 1. The research upon which this and earlier papers are based was conducted under the auspices of Lembaga Ilmu Pengetahuan Indonesia; the support and advice offered by the staff there is gratefully acknowledged here. The provincial government of Maluku and its officers fully cooperated in my efforts to collect data. Furthermore, I owe a special word of thanks to F. Berhitu and his family who were my hosts in Geser and to A. and L. Rumra of Elat who assisted me in collecting information about the Banda language, now spoken on Kei Besar. But my research would not have been possible without the generosity and hospitality of the many people in Maluku who helped, tolerated and befriended me. A partial list of informants is included in Collins 1983. My thanks also go to Sergei Ignashev for his assistance with the Russian materials and several obscure bibliographical references.
- Stresemann (1927:2) acknowledges that Deninger collected the wordlist for Hatumeten, which was his chief source for the language named Bobot in the present paper. Tauern also sent him information about Liambata and other East Seram languages.
- 3. Chlenov (1976:226) reports that he recorded a 507 word vocabulary and 36 sentences of a variant of Gorom (called Geser here). The material was collected from a native of Amapbabaty, Amarwawatu (?) but apparently Amarwatu on the south-easternmost cape of Pulau Gorom Laut. In addition to the materials at Stresemann's disposal, Chlenov also referred to several other wordlists including Miklucho-Maclay 1950, 1951 (based on that Ukrainian ethnologist's visit to south-east Seram in 1874; see Cowan 1957: 290), Rosenberg 1878, Riedel 1886 and Ribbe 1892.
- The data collection took place during my doctoral field work, September 1977 to August 1979.
- 5. The Banda language apparently survived in only two variants, Eli and Elat, on Pulau Kei Besar. See note 8 for an explanation.
- 6. Stresemann's information on this language group seems to have been drawn from Cocq d'Armandville 1901 and Riedel 1886, but S. Müller and N.N. Miklucho-Maclay are mentioned as well (Stresemann 1927:199).
- 7. Riedel's (1886) information, though scattered and sparse, is fairly reliable, but Wallace's "Matabello" wordlist (1869) appears to be from a less conservative dialect of Kesui Island, just south-east of Watubela Island proper. It seems that Wallace did visit Muslim Watubela (which he called "Kisiwoi" (1869:279)) but the wordlist he published was collected from pagans on Kesui (which he called "Uta").
- 8. For an account in English about the murderous depopulation of the Banda Islands see Hanna 1978. (Apparently Coen, the Governor General, was simply following a decision made as early as 1615 in Holland by the directors of the VOC; refer to Hyma 1953:11.) Their flight and resettlement on Kei Besar was well known (Van Eijbergen 1865); indeed it is reported as early as 1656 (Leupe 1875).
- 9. The details of the relationships among Ur-Ambon, Eli-Elat, Ur-Goram and PAN ("Malayo-Polynesische Ursprache") are not clear.

- 10. Note that the dendrograms summarising the conclusions of both Chlenov and Stresemann were designed by this writer. Every attempt has been made to avoid error and distortion but of course it may be misleading to diagram verbal explanations, especially those based on lexicostatistical computations.
- 11. Some of the details of the subgrouping theory implied here are presented in Collins 1981, 1982b, 1983.
- 12. Reconstructions used here are largely drawn from Wurm and Wilson 1975; however, PAN \*e, a central vowel, is written a to avoid confusion with midfront vowels in some languages of Maluku.
- 13. Many of these exceptions are the names of sea creatures, for example, oyster in the preceding list. Because the Bobot peoples were probably originally people of the interior (along the Bobot River), these sea creature names may be loanwords.
- 14. There are other irregularities in these two examples.
- 15. The abbreviated reconstruction \*Rəzan, rather than \*SaRəzan appears here.
- 16. The Seti reflex displays metathesis followed by syncope and subsequent assimilation; that is \*\*ulita > iluta > ilta > inta. The Bobot reflex unexpectedly displays a k.
- 17. There are at least two other minor innovations which the three descendants of Proto-East Seram appear to share. After the loss of \*q, petrified prefix \*ma- before i in \*ma-qitem black yielded me-, note:

PAN Seti Bobot Masiwang \*ma+qitem metena ma-metan metan

Furthermore, in the numeral series, the reflex of \*ənəm in all three languages displays o: Seti noi, Bobot nom, Masiwang nom. In Seti, this would be the expected reflex but in the others it is not: a shared irregularity?

- 18. This is not a Proto-Austronesian reconstruction but, as the double asterisk indicates, a lexeme tentatively reconstructed for Proto-Central Maluku.
- 19. The loss of \*s is unexplained here.
- 20. These cases of w- are thought to be reflexes of the Proto-Austronesian article \*u (Collins 1982a).
- 21. The reflex of \*qiSu in the Central Maluku languages will be treated in a forthcoming note.
- 22. This lexeme was not collected in Seti village but in Salas. In six other dialects of Seti, the form varies. On the south coast, Seti-speaking villages display forms influenced by neighbouring languages. Uluhahan, for example, has wokan, clearly a borrowing from the East Littoral languages which border it. In Lesa and Adabai, both within easy walking distance from Atiahu, where a dialectal variant of Bobot is spoken, forms which are similar to Bobot occur (yoyam, yoya respectively). On the north coast, Benggoi and Kobi (tentatively considered variants of Seti) display wuyam and woia. Salas (probably a Seti dialect) shows woi but here, too, proximity to Masiwang-speaking villages may be a factor.
- 23. Note, for example, the distribution of Seti waha fire compared to Bobot yafV and Masiwang yah.

24. Note that in Bobot vovoi new is an apparent exception. Furthermore, in the Atiahu variant of Bobot -u is lost except when following [h]. Note the following comparisons between Atiahu and Werinama on opposite banks of the Bobot (though each is some kilometres away from the river).

PAN	Atiahu	Werinama
*batu <i>stone</i>	hat	vat
*təbu <i>sugarcane</i>	tohon	tov
*bubu f <i>ish trap</i>	huhu	VuV

Apparently then Bobot (if we are to account for the Atiahu reflexes) retained final [u] when preceded by [ $\beta$ ]. After [ $\beta$ ] became [v] in most Bobot dialects that u was lost too. In Atiahu  $\beta$ h and -u was retained.

- 25. There do however, appear to be some problems with the reflexes of  $\star uy$  in Geser.
- 26. In Banda nano wade neck deep, not swim.
- 27. Note the error in Collins 1982b:121. "Banda retains \* $\eta$  as  $[\eta]$ " should read "Geser retains \* $\eta$  as  $[\eta]$ ".
- 28. The stress on the final syllable suggests that a suffixed genitive marker
  -ni ∿ -in has changed the expected final a to e, i.e. metmetanni > metmetenni
  > metmetén.
- 29. The addition of -i(n) occurred before the shift of \* $\theta$  to o, a.
- 30. The Banda form is an exception.
- 31. \*DaRat shore, land (not sea) with a presumed verbal affix \*ma, yields ndara-k go aground in Banda.
- 32. There are a few exceptions. Note, for example No.180 of the appended comparative wordlist. One would predict lauk as the reflex of \*Zauq far, distance. In this case, perhaps lau far has merged semantically with lau seaward, across the sea.
- 33. Some dialects of Geser display additional innovations. In Pulau Panjang, for example, [f], the reflex of \*p, has moved to [h]; \*k shifted to [?] whereas it is retained in Geser. In Kiandarat word-final \*u shifted to [i] and was metathesised, yielding forms like [wait-a] stone < \*batu, [suis-a] < \*susu breast, etc.

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# APPENDIX:

# A comparative wordlist of the languages of Eastern Seram

In the following pages the six languages discussed in this paper are represented by a standard 200 word list (as revised by R.A. Blust). Because materials were collected for more than one variant of each of the languages, it has been somewhat difficult to organise these lists. In the end, one variant was selected for each language; occasionally material was not available for the selected variant so in those cases the data was supplied from another variant. So unless otherwise stated the data presented below come from the following sources:

Seti: Seti village
Bobot: Werinama
Masiwang: Kota Baru
Geser: Selor
Watubela: Efa
Banda: Elat

With regard to the phonetic notation, stress is penultimate unless otherwise marked. A dash at the beginning or end of a word indicates that it usually appears with person marker. The notation  $_{\circ}$  (written below a vowel) indicates devoicing; V indicates an unspecified devoiced vowel. A semicolon between entries means that more than one word recurs with roughly the same meaning. A slash between two words indicates that these forms appear in morphologically complementary patterns. Parentheses around the initial letter have the same meaning; for example in Seti kai and ai are morphological variants in an inflected verb system; so we write (k)ai. A plus sign within a word indicates a morphologically complex construction. Some predictable phonetic alternations are not represented in these lists; for example, in Banda /f/ always appears as  $[\Phi]$  before round vowels but only f is written in the list.

To reduce the number of notes to the Appendix, letters have been written behind a word that is not taken from one of the six dialects mentioned above. The letter initial in parentheses after the word is the first letter of the dialect from which the word has been taken. The codes are as follows: For Seti, (A): Adabai; for Bobot, (N): Naiyaba, (T): Tobo; for Masiwang, (D): Dawang; for Geser, (G): Geser, (K): Kilmuri, (P): Pulau Panjang; for Watubela, (K): Keldor; and for Banda, (E): Eli.

	Seti	Bobot	Masiwang	Geser	Watubela	Banda
1. arm	ima-	-niman	hasan	ma	ma- /	lima-
<ol><li>left</li></ol>	i ba <sup>y</sup> a	avet	kabalit	kubalit	balit	fitar
3. right	fiti fita	kamuin	lalait	kuanan	mela	ratu
4. leg	wai-	-yain	wai	ke	kwe-	ai-
5. walk	rak/tak	ko	uha	tangi	fana	mbo
6. path	lalam	lolan	lolon	lalano (K)	-	erén
7. come	forok	slot	bot i	ratan	elat	omá
8. stir	bui	butar	hutan	futar	futal	mbutor
9. swim	nak	nakų	ahás	nagu	gaha	nanol
0. dirty	kailoa (A)	fofifofi	ba <sup>2</sup> obo <sup>2</sup>	galotak (κ)	muden	monjón
11. dust	kaitaha lafna	lav	ubas	ubas	uban	ofin
l2. skin	inta	-kurit	uhit	likit	kalikit	kilit
13. back	sisa-	-kotan	karan	kotan	kotoni-	miri-
14. belly	tai-2	-tian	tuan	toa	kabu-	tia-
	lúil-a	-luin	lusin		luli	riri
15. bone				rur i		
6. intestine	tua-na	-vituan	tuan walan	utuk	utka-	tain kambun
7. liver	lali-;ata³	-yatan	yatan	at	lamno-	raro-
8. breast	susa	-susin	susin	susu	susu	susu-
19. shoulder	mabala- (A)	-vayayan	malat	wakir	wawa-	fara-
20. know	mane	vaneta	kalelan	ruk	lunam	mbo+kaiko
21. think	bisna	fikir	ita	hi'ir (P)	-	m+fikir
22. fear	muta	mtait	katoit	matakut	matakut	mbo+takunia-
23. blood	láha	-lawa	lasa	rara	lalak	rara-
24. head	ulu-" (A)	-ulin katin (N)	ulin	lu	kulu-	ulu-
25. neck	solo-	-yonin	wosan	totol an	alko-	enu-
26. hair	elfua	-ulin	ulin lan	uk	uka	fuk-
27. nose	ninu-	-ilin	ninin	50	hu-	nilu-
28. breathe	bo'o nawa (A)	-mes i	manowa	fai ŋak	fail uwak	mbilik nuwa-
29. sniff	mala	-bufmauk	mulit	fimusi	kuŋah i	mbok fau
30. mouth	furu-	vivin; vudin	uban	lo 😘	hili	suo-
31. tooth	nes i -	-nifa(n)	nihan	ŋisik	nifo	ni-
32. tonque	lela-	-melin	l eha?	kel	lama	me-
33. laugh	mama l	mli	bal i?	malif	mumlifa	mbo+malik
34. weep	tatán (A)	takit	rakit	tanis (G)	ntani	ndaut
35. vomit	momu t a	-lua	lua?	luak	luak	luako
6. spit	fito/bito	beba	batof	ginisuk	mukanihuk	mbifiru
37. eat	(k)ai	ken	kan	nga fana	golat	mban
38. chew	lamtak (A)	mama	-	mama (G)	mamak	mamo
39. cook	bakalai (A)	-masa	hakatuin	masak	mahak	mososak
10. drink	(k)unu	nin¥	nimin	inu	minu	-inu
11. bite	(k)oto	kat	kasat	karat	qukut	-iki
12. suck	sus;mo	moit;sosa	komoan		•	mbuk <sup>5</sup>
13. ear	tina-	talikan	talikan	bus;sorat	bus;busa	
14. hear	bulai	bloka	loka	tilina	telga-	tilu-
		matan		ronan	dogan	motan
15. eye	mata (k) oto		mata :	mata	mata -:-:2	mata-
16. see	(k) ote	bla	ita	mekun	sini?	-ito
17. yawn	(k) amowo	mamawa	mumáh	maflaba	ma:f	nuak (E)
18. sleep	(k) ina	mto] V	nima .	kifit	gena	muturu
49. lie down	keme luhu	burburin	nima kanowa	bula-bula	bula bula	muturu mbolo
50. dream	mihin (A)	mnif	maníh	manifi (G)	-mifi	ndososuo

		Seti	Bobot	Masiwang	Geser	Watubela	Banda
51.	sit	huttue/buttue	duwat	tuat	matoran	sabóu	metet
52.	stand	(k)010	kolan	kolan	mariri	mlili?	meliri
53.	person	mansia	mansia	mansia	mančia	mansia	mančia
	man	mulaláina	muana	masanan	urana	mankana	morana
	шотап	hifnáina	vina	baroha	wawina	hilala	meifino
	child	vana?a	vana	o <sup>2</sup> on	anak	ananak	anako
	husband	mulaláina	sawan	masanan <sup>6</sup>	rana	mankana <sup>7</sup>	tau- morana
	wife	fifnáina/sawa-	sawan	baroha	wawina	hilala	tau- meifin
	mother	inai	inan	ina	nina	nina	ina-9
	father	amai	yaman;baba	baba	baba	yai	ama-9
	house	ufai <sup>y</sup> a	uma	suma	ruma	lumak	rumo
	roof	ata lanna	yata	i l an	barém	kataf	atop
	name	nalana	nalan	nalan			na l'an
		na ana rindak/dindak			ŋasan	ŋahan	
	say	ai wehela	dula	lian	kuk	mamán tali	lia-
	rope		wawat	han wasat	tali (G)		warot
	tie	lahe	nafit	tes	wokas	deta	lakot
	sew	labe	·bakasoma	tula	firait	famlait	mborait
	needle	lóusa	lalayan (N) (T)	lalain	lalan	lalan	bilin
	hunt	ila;usir	vakave la	ukikia	leus;musir	lela	rasté
_	shoot (bow)	hana	bana	hana	fanak	fanak	mbano
71.	stab	luk	devat	toi?	tewat	tulak	ndusuk
72.	strike	fele/bele	biat	lait	sakur	<u> </u>	ndukul
73.	steal	kamana (A)	mana:	kahleit	fakaleus (G)	komanaka	mbo+naka
74.	kill	humata/bumata	vunV	huin	tara <sup>10</sup>	bunuk	mbo+kota
75.	dead	mata (A)	mata	mata	mata	-mata	mata-
76.	alive	ninawa	meka (N)	lihilihan	liu	mliu	nunuli
77.	scratch	(k) íala	kwas	kasa	fikori	qoli?	mbo+skakir
78.	cut	fita/bita	da:	tasa	lait	mlait	mbutu
	wood	ai-a	ai	ai	kai	kai	uno
-	split	bolo	bat	bola ha?as	tola	bakas	mbo+fkila
	sharp	moi	kat	moit	matarin	matarin	mout
	dull	koko	nona	babukit	baba	-kat i	tutal
	work	-	dei	-	-	garja	mbuno
	plant	so <sup>7</sup> ak	bakatáu	toi?	tauk	daun	ndano
	choose	liike (A)	badali	pili	filik (G)	fie	mbili
	grow	abot (A)	me		-	-	mbotuk
	swollen	boto	bivawa	boka	bulu	-bulul	ndofaro
	squeeze	kisa?at	lefa	DUKA		dindis	ndembet
	hold				singatan (K)	-	
		(k)opla	doman	sit	dahan	gudi?	ndakan
	dig	(k) ali	kalV	kais	kayk	galik	mbaéro
	buy	safe (A)	balat	has	fas	sawal	mba so
	open,	sire (A)	balak	sia?	salik	bad i l	mbende
	pound	dut (A)	dut V; dau	tut i	tutu	dutu	ndutu
	throw	tafa/rafa	dut 🦞	toi?	fola	dulak	ndutu
	fall	buk	mnav	rei?	ngek	dakadokit	monafu
	dog	inawa	yasV	yai	kafuna	kofuna	asu
	bird	manua	miova	ohas	manuk	manuk	manuk
	egg	tolla	tolin	tolin	tolu	katlú	tulur
	feather	ful ?a	vulin (T)	hulhulin	wiliu	walu-	fulu-n
.00	wing	ifale-na	yeun	hi an	waki	waliunie	foro

	Seti	Bobot	Masiwang	Geser	Watubela	Banda
101. fly	tif/rif	div	rihi	tiu	dekan	ndifu
102. rat	ulá:fa (A)	milava	i laha	kalufa (G)	-	folafa
103. flesh	isi	-isin	sama?	sisia	hai	is i -
04. fat	mina (A)	- i ak	mina? (D)	wodal 11 (G)	babal 11	loloi-
105. tail	etna	efat	lekan	kaku	sku	keto-
106. snake	ula (A)	bufin	ula	tekis	utolok	nia <sup>12</sup>
107. <i>ωον</i> τη	sinalowa	urat <sup>13</sup> (T)	bat kutin	ena kutu	kuwatawatal	tambir luano
108. louse	fatana	kutin (N)	taniman	kutu	kutu	kutu
.09. mosquito	uhua (A)	umanis	-	umis (G)	umus	lokumut
10. spider	lawa lawa (A)	-		gafas (G)	la:k	lau
11. fish	ena (A)	ian	ian	ikan	ikan	ikan
112. rotten	fáuna (A)	koban	ma <sup>7</sup> obon		-	fau-
				ŋgofak		
113. branch	sanna	ai yakan	saksakan	saŋ	lifa	sana-
14. leaf	lanna	ai lan	han lan	ru	l ue?	rano
115. root	tap la	ai wa <sup>7</sup> at	tami t	akar	akal	wakat
116. flower	kof la	ai futin	dulan husin	fus	fuha	saur
17. fruit	fona-	ai vuan	huan	woi	oke?	fuan
ll8. grass	ai fafuta	fofV	hanulin	imak	egegil	furun
119. earth	ka i taha	yena	bata	ena	tana	tambir;nonain
20. stone	fat∀a	vatV	hati	watu	watu	fatu
121. sand	enna	yena	bes	ena	kena wo	nui
22. water	waya	wai	way	ar	al	war
123. flow	abán	bait	roi?	tauk	-	ηľok
124. sea	taisá	tasi	tasi?	tasik	tahit	tasik
l25. salt	táisa	_	_	-	_	morosan
126. lake	torwa	tifV	balabalan	louk <sup>14</sup>	kahar	kual
127. forest	ai dimana	ai Îalan	latan	imak;liba	ehi lome	mur wasi
128. sku	leá	lakit	lakit	lanit	lanit	lanit
129. <i>moon</i>	umala	vulan	hulan	ulan	ulan	fulan
30. star	oha	toin	i I vówas	futuin (G)	tokul	fotuon
31. cloud	vafta	yofan (N) (T)	lak tain	agin tái (G)	lagit ni tái	anin rano;awa
32. mist	isa?a	siwan (N)	suhan	sawan	sawan	fut
132. misi 133. rain	roá	ulan	roti	uran	kadama	uren
134. thunder	dóla (A)	dola		dudun	dudun	
			lolas			nunur namár (
135. lightning	héfna (A)	kalak	yamyamat	tinitik	kanitik	ndatarák (E)
36. wind	simala	yakin	yakin	anin	anin	anin
137. blow	(k)uma	nu:	hosúf	utun	mufa?	mbu+furuko
138. hot	hasa-	bafanat	bobit	mfanas	kuan	wanato
.39. cold	mori	ba <sup>9</sup> idik	sirin	rifi	lidin	rindin
140. dry	malafu	mama ì a	momala	kirimas	-maha	ŋelekár
141. wet	boro	loba loban	mareman	kiribotan	nakabetan	Jinár
142. heavy	meféla (A)	biat	tonit	maleman	kaleman	fafot;fafót
143. fire	waha	yafV	yah	afi	afi	au
144. burn	tutki (A)	dun; lut	tuin	tunu	dunu	ndurin
145. smoke	foyona	numik	yah kuba?	kubuŋ	afi kubun	foko
146. ash	kalafuá	lav	bala hua? tain	au tai	kamtái	aftai
147. black	metena	mametan	metan	metan	maketan	metemeten
148. white	put a	babutY	but i	futi	mfutik	noitigo
149. red	lalahana	dadau	kasahan	mera	gilala	moro-moro
150. yellow	rarakata	unin	si?init	kunin	kun i n	kunil (E)

	Seti	Bobot	Masiwang	Geser	Watubela	Banda
151. green	koko l ona	i <b>j</b> o	biri biri	biri (K)	uŋ-uŋ	kairanranok
152. small	mattik	fofin yana	koŋgon	tutuin	sikit ha	donuro
153. big	boto-	ayak	isa	bobak	leha	ra
154. short	kes kes	wetuwetik	karokat	kubut	towó1	noitík
155. long	kóila	otak	wotat	malás	malá:	naru
156. thin	marni	minifit	manihit	banifis	manifi	munit
157. thick	futulu	vitól	kuta?	batolu	batolu	karát
158. narrow	kene?at	kofa-kofat	koru-korup	korok	mokot	ndeték
159. wide	ferehana	tiban	tiha?	qalawa	qalawal	lafár
160. ill	mala?u	mayaut	kali?	masinat	mahigat	nelet
161. shu	muka i	mayan	malih	-	-	momai
162. old	mutua	mi tua	ronaman	matu:;ual	-mtuka	motua-
163. new	folla	vovoi	hosihosin	wouwou	oku-oku	feferún
164. good	holo	kamuin <sup>15</sup>	hia	babán;gafin	baik; fi	bobai-
164. goda 165. bad	lal sa?a	kajahatan	lalan sa	garata (P)	Daik, II	Yahat;setar
166. <i>true</i>	leke	mam	katoti			mololo
166. true 167. night	kahana (A)			katotu	moson dodan	
	bot i	mamenin	mesat	garan		monjia
168. day		matabot	roman	dodan	dodan	refok
169. year	naha	na:	nala?	ŋarak	ŋarak	taun
170. when	bothila	bot fila	rom hila	dodan fis	dodon fihi	refilo
171. hide	ubafun (A)	basavunin	tainunin	wunin	bunin	mbo+moroko
172. ascend	sa <sup>?</sup> a (A)	sa:	sa:	saka	haka	pjaka;leyar
173. at	ni .	vi.	ra (?)	bua	bo	wa
174. inside	loi fallal	vilalan	ramuli lalan	bua lomin	bo lomi	wa rarón
175. above	-	lete	leta	ata	ata	tutuno
176. below	-	na val	l aho	wawa (?)(G)	loaka	fofán
177. this	anín	li	mehéi	ira	ne	i:
178. that	ama?un	lin	mahó∿nasó	ira	ine	iko
179. near	hikut	wetuwetik	kebkebat	ranik; rapat	lan-lani	run ík
180. far	kóila	otak	wotat	rau	lau	rau
181. where	n i amba	matawaya (N) (T)	yataúa	na-iŋgi	kotnáu	mbe
182. I	ya <sup>?</sup> a/ya	ya	ya <sup>?</sup> aho	aku	ak	ak/-g(u)
183. you	au/u	ana	ais3	kau	ka	ka/-m(u)
184. he/she	ia/ei	ia; i	isə	na;i	i	i/-n(o)
185(a) we+2	i ta	i ta		kita	kita	kito/-na
185(b) we-2	ami	am	msoa	kam	kami	kam/-mam
186. you(pl.)	om	em	imahoa	kumu	kemiu	kem/-mi
187. theu	sia/si	sia	lelsoavlelso	sidi	ila	si/-ni
188. what	esa	safa	nahain	la	afa	ansá
189. who	sia	sema	isi	sei	heha	se: sain
190. different	sáikin	vilul (N)	hosin	wown	haulot	fasiri
191. all	sefu	yalik (N)	ohi	ababis	nusik	abisi
192. and	tu	dua	tama	tura	hel	ndofon
193. if	kalu	Ø	kalu	Ø	Ø	naku
194. how	hoba	dawaya	ha?aí	manlá	ufanafa	genbe
195. no	te?ula/tewa/te	sakali	eéyi	tei	tei?	ta
196. count	neke	(rekin)	re? in	re <sup>?</sup> en (P)	reyn (K)	neke
197. one	esa	san	ain a	sa cii (r)	ha	sa
198. two	nlo	lua	ain lua	roti	lua	ruo
199. three	ntol	tol	ain toli	tolu	tolu	telu
200. four	hata	fet	ain fat	fat	fata	at

# NOTES TO THE APPENDIX

- 1. wade in neck deep water.
- 2. (A) and other dialects have tua- for 14 and tai for 16.
- In (A) as well as Salas ata-refers to animal liver; ata was not recorded in Seti itself.
- 4. In Seti fufu-, which was recorded for 24, may refer to the top of the head only.
- 5. of cigarettes.
- 6. But we note hiyowo wed and basowan son-in-law.
- 7. Note fakhá: to wed.
- 8. Here sawa- is taken from the (A) data.
- 9. Reference terms only.
- 10. Note, however, nunu to extinguish a lamp.
- 11. Both Geser (P) and Watabela have gul grease.
- 12. In Elat [pia] seems to be a variant pronunciation.
- 13. intestinal worm.
- 14. tidal pool.
- 15. See no.3 right hand.

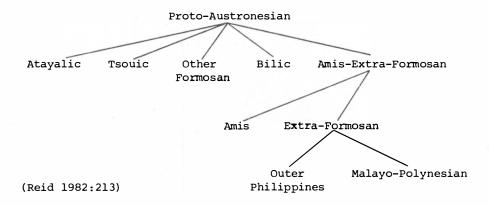
# THE GENETIC RELATIONSHIPS OF PHILIPPINE LANGUAGES

# R. David Zorc

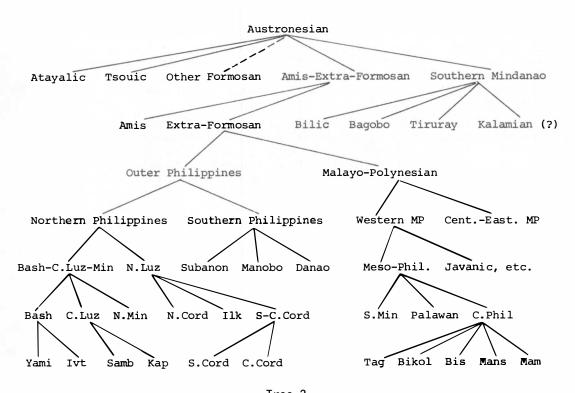
# BACKGROUND

At TICAL (the Third International Conference on Austronesian Linguistics, Bali, 1982) Reid challenged the assumption that most, if not all, of the languages spoken in the Philippine archipelago descend from an immediate protolanguage (PPH). The evidence he presented suggested that those languages which share in nasal infixation into root words ( $*CV_{\Omega}CV[C]$ ) form a subgroup of AN - the northern Philippine and Bilic languages were shown not to have \*nC cognates of PMP etyma and were thus excluded from the subgroup (Reid 1982:204,211ff). Reid therefore assigns to nasal infixation the status of a highly qualitative phonological innovation, which subgroups Central and some other Philippine languages with Malay/Indonesian and Oceanic languages. He tentatively drew Tree 1 (1982: 213) and Tree 2, which delineates the various Philippine subgroups (p.c.). An analysis of the latter tree reveals a genetic chasm between Tiruray and Manobo, Kalamian and Palawan, or Bisayan and Ilokano. Tagalog is portrayed as closer to Malay than to Bontok, Cebuano to Fijian than to Kapampangan, and Sambal to Amis than to Mamanwa. A corollary to this hypothesis is that all of the exclusively shared agreements amongst Ph languages are "the effects of thousands of years of language convergence" (p.c., Reid to Ruhlen, 27.8.1982) or, those that are genuine must be relics or retentions attributable to PAN or pre-PMP. These genetic implications must be tested.

It is my purpose here to show that there are a compelling number of lexical and other innovations that substantiate a Western Austronesian node more traditionally thought of as "Proto-Philippine". Because this subgroup has a high order of diversity, and due to the propensity and natural probability for lexical replacement, not all groups continue to share all innovations. Indeed, as my lexical study has continued over the past 15 years, I have been impressed by innovations that skip over micro- or lower-level subgroup boundaries and yet delineate the same macro-subgroup established by widespread innovations. These selective innovations do not fit a convergence hypothesis, and hence form an integral part of my paper.



Tree 1



Tree 2
Austronesian high-order subgrouping (Reid, 21.11.1981)

## PROCEDURES FOLLOWED

- (a) I began this study by organising a looseleaf edition of McFarland 1977 on the basis of the number of etyma and uniques, e.g. M5 brain shows only cognates of PPH \*hútək brain, M19 only PPH \*dílaq tongue these were assigned a code 1- $\emptyset$  (one etymon, no uniques). M36 has cognates of PPH \*kukúh fingermail, but KnkS has a unique, kiwí this was assigned a code 1-1 (one etymon, one unique). This was continued until my last entry was M282 lie (falsehood)  $\emptyset$ -22 (no [widespread] etymon, 22 uniques [see 3.7 below]).
- (b) I then compared these with data in Reid 1971 and Yap 1977, making a card for each reconstruction that could be assigned to "PPH" or any higher level up to PAN. Examples of the resultant cards are:

```
eye PAN *maCá > PPH *matá Cha oho(s)

M- 07 [1-1-Ø] Sina bulíga?

Y-253 [1-1-4] CCrN *?atá ?Bot mulátot

R- 96 Mn *bərən Tas ?igh?a

B- 45 [12345]

D- 03 [77.2%]

F-206 [1+3?]

Tree: PAN > PPH > PNP/PSP

Note: continuance into all major subgroups.
```

```
fire PAN *Sapúy > PPH *hapúy
                                   Cha fuwego
                                   Mam gabok
                   NMg *baRah
M-159 [1-\emptyset-\emptyset]
                                   Tagx?apóy<SLz
Y- 20 [1-5-2]
                 Bs+Bk *kalávu
                    Mk *?atulun
B-143 [12345]
D- 46 [15.05%]
                   IB1 *lipo[]
F- 88 [1+4?]
                    Sn *putu
R-112 [1+1+1] Gor+Mon *súluq
Tree: PAN > PPH > PNP/PSP
Note: SPh intrusions with multiple replacements
(except Pl,Kl,SMg,Sb,Dn,Mb,OBl,Mn)
```

```
left PAN *ka+wiRi > PPH *ka+wiRi
                                        Cha iskierda
                      Iv *g(a)-uri
                                        Gad dawi
M-32[1-5-4]
                     NCr *dimiRí
                                        Btd wodhon
Y-171 [1-5+-5]
                Ilk+CCr *kå(N)+tigid
                                        Bot <sup>?</sup>úki
                                        Sblxwiri
                     CCr *7 iqid
R-161
B- 02 [1235]
                     SPh *()-iban
                                       Sina háyin
D- 91 [8.17%]
                 CPh/SPh *waláh
                                        KpmXkayli?
                                        TtbXka?mbiri
                 Gor+Mon *kələ+wiRi
F-383 [1+4+]
                                        Tsw kolo?di
```

Tree: PAN > PPH > PNP/PSP

Note: multiple replacements in several major subgroups, such that there are selective retentions in Cas,Mlw, Png(NPh) and Sn,Mn(SPh).

```
tongue PAN *Səma
"lick" PHF *dilaq > PPN *dilaq tongue
M-19[1-\emptyset-\emptyset]
                     PPH *dilag
Y - 97 [1-\emptyset-2]
                     Cha lenwa
R-323
B- 32 [13]
                     Ilt limut
D- 31 [25.8%]
                      Fm *li[d]am
F-239 [2+5?]
Tree: PAN *Səma > PPH Ø (loss); PHF *dilaq
lick > PHN tongue (sem.shift) > PPH > PNP/PSP
Note: continuance into all major subgroups after
semantic shift, with apparent loss of PAN etymon.
```

By this method, the earliest known etymologies were established, and subgroups that differed from these in form or meaning were set up. The code was expanded to show: PPH/PAN etyma - subgroup etyma - uniques and loans.

- (c) I went through all the etymologies reconstructed for discrete Ph subgroups to verify and/or expand the list of proposed innovations. There is a slight imbalance in these results. Studies by Sneddon of Minahasan (1978) and Sangiric (1984) or by Reid of Central Cordilleran (1974) employ quite a large data base, while other works [e.g. by Allison of Danaw (1979), by Gallman of Mansakan (1979), or by Thiessen of Palawanic (1981)] are limited to the SIL 372-meaning list. Hence, number of innovations should not be taken as indicative (at this stage) of historical conjectures (e.g. time-depth of separation, status as an "innovating" language, etc.) beyond the subgrouping hypotheses presented.
- (d) I checked all posited innovations against all available AN reconstructions, withdrawing those that can currently be proven to be relics (selective retentions). Further research will undoubtedly reduce the various lists. However, my own research is still far from complete and more candidates will be forthcoming. Some innovations may well be shifted upwards (e.g. PPH < PHN or PMP), but could still be of value unless they can be established at the PAN level.

Scholars' continuing additions to AN etymologies (and Blust is to be commended in particular for his untiring efforts) increase our corpus of reconstructions to beyond double that of Dempwolff's time. Although few have been given in support of specific subgroups, the time is nigh when many of them could be. Of Blust's 443 "Austronesian etymologies II" (n.d.), only 50 were cause for withdrawal from or upwards revision of my entire list (but rarely more than three for any proposed subgroup within the entire Philippine family); a few are now put forward as PHN innovations (Table 3), along the criteria outlined below (in section 4).

#### METHODOLOGICAL PRELIMINARIES 2.

Although there are problems involved in the isolation of lexical innovations, there are means of dealing with them. I outlined and applied certain precautionary measures (Zorc 1977:234f) and refined them (Zorc 1982:313f) as follows.

2.1 Limit forms to basic vocabulary and avoid items of trade or culture that could freely pass from one language to another

I have almost exclusively confined this study to the basic meanings found in McFarland 1977, Reid 1971, Yap 1977, Ferrell 1969 and Ray 1911, and checked these against my own data files and the data and reconstructions published by Dempwolff, Dyen, Blust, Tsuchida, Nothofer, Sneddon, Mills, et alii. [Forms considered non-basic or cultural are marked with a code "6" in the tables; see also section 4.]

2.2 Dismiss forms with phonological irregularities

That is, not in conformity with the standard reflexes worked out for a given language, e.g. h in a language that loses \*H or \*S, r in a language where \*R > g, \*R > y, \*d/\*D > d, etc. Note that morphophonemic changes (e.g. metathesis, syncope, assimilation, etc.) are not taken as phonological irregularities, and may be treated as innovations (e.g. Mn+Sn \*leRe? neck < PHF \*liqeR) provided that any such systematic changes cannot be counted more than once. That is, one lexical item may be included as representative of the phenomenon establishing a phonological (rather than lexical or semantic) innovation, e.g. Bs \*Cl < PHN \*lC; Sn \*s...t < PMP \*t...s, Buh -wa- < PAN \*-a(qSH?Ø)u-, etc.

2.3 Reconstruct, wherever possible, an etymon for a given meaning at the earliest possible stage

For example: blood was PAN \*Da:Raq, so PSP \*duRúq or SMb \*dipanug blood are well-established innovations (but see 2.5). The 49 reconstructions in Blust 1981a (coded "12345") that have cognates in all major AN subgroups or the 51 meanings in Dyen, James and Cole 1967 that have a retention rate above 14% were considered highest in quality, and were coded "1" in the tables (viz: highly qualitative innovations). Where other etyma can be established with confidence (in both form and meaning) at the PMP or PAN level, and appear to have been replaced, they were coded "5" in the tables (see 4).

2.4 Consider the character and quality of each proposed innovation, including its geographical and linguistic distribution, potential spread, etc.

While it is difficult to distinguish a common from a spread innovation, and, in the case of conservative phonemes to isolate a borrowing, linguistic geography (such as McFarland 1977) greatly assists in showing how forms may stay within or creep over proposed subgroup boundaries.

In determining the quality of an innovation, several criteria will be discussed in section 4. Two, however, are noteworthy. Dyen, James and Cole (1967: 168) suggest that meanings of lower productivity (or low retention rates) contribute more information than those with high retention rates. The common retention of PAN \*limá five (Dl - first on Dyen's list) or PAN \*maCá (D3) indicates little more than that the languages compared are Austronesian. But, the sharing of Iv \*<um>tək by Ivt and Itb, or of Mk \*bəlləŋ by Mansakan dialects in the meaning play (D196) or of IMb \*hagsil or Iv \*rukməl in the meaning cold (D183) should be highly informative and therefore indicative of subgrouping. However, I have

noted that since these meanings are highly subject to replacement, they are equally highly susceptible to borrowing. In evaluating such innovations, critical judgments become necessary.

More important are those meanings that have a high probability of retention but are nonetheless replaced. These appear to yield highly qualitative innovations, such as Mn \*bərən eye (D3), Bk \*gədə²án or Iv \*liman die (D7), or Bs+Bk \*kaláyu, Mk \*atulun, IBl \*lipo[], Sn \*putuŋ fire (D46).

# 2.5 Determine if the innovation is a formal or semantic one, and if the change could happen independently

Often an old form can be established as having changed meaning (PMP \*båRåq to swell (as abscess) > SBs \*bagå? thick, PHF \*båRaH embers > Iv red, NMg fire, PHN \*síDaŋ blinded by glaring light > SMg day). Note that each of these semantic shifts involves the replacement of well-established etyma: PMP \*(ma)kapál thick, PMP \*ma-iRaq red, PAN \*Sapúy fire, PAN \*qal(a)jaw day respectively. New forms have also been coined from previously unknown material (e.g. NCr \*busáli abscess, PHN \*lú(n) tuq cook), while others may be the result of reshaping (e.g. PPH \*bulbul feather < ?PMP \*bul(u)-bul(u), PHN \*laqlu pestle > PHF \*qaSəlu). When the same innovation has happened independently [Ilk nala-bága red, Bon ballaan red yam :: Iv red (above), or Tsw baha fire :: NMg fire (above)] further evaluation, including a re-look at the semantic assignment of the etymon, is necessary. This process could involve either rejection of the candidate(s) or a devaluation of the quality assigned.

Even after applying these measures, any proposed innovation may be a relic lost everywhere else, or as yet undiscovered in another language. However, as the *number* of such candidates increases, there is a strong probability that the majority will survive even protracted research for outside cognates. I take heart in the fact that of the 85 innovations posited by Reid (1974) to establish the CCr subgroup (or groups within CCr), only 18 need qualification or revision, e.g. ten may have been borrowed by neighbouring Kly, Kyp, Ibl, or Gad groups. Meanwhile, my own research has uncovered additional forms that bring the CCr total to 98; these will be published in subgroup-specific studies continuing the present paper.

# TERMINOLOGY

# 3.1 Highly retentive cognates

Highly retentive cognates are found in most (if not all) major subgroups under discussion. Examples amongst Ph and AN languages include: PAN \*Zálan road, path, PAN \*qaCáy liver, PAN \*súsu breast, PAN \*matákut to fear, PAN \*Cáŋis to cry, PAN \*ká²ən eat, PAN \*ŋájan name, PAN \*búlaN moon, PAN \*quZáN rain, etc.

# 3.2 Selective retention cognates

Selective retention cognates are limited to a single subgroup (that can be established along comparative arguments) but are then found in distantly related languages. For example, WBs \*dahí? forehead < PAN \*daqiS is limited to all

members of the proposed WBs subgroup, yet no cognate has been found in any other Ph language researched to date. Other interesting examples include:

```
Dn+Mb *?atin perspiration < PMP *atin [Blust 1980:#24]
Dn *nipay snake, serpent < PMP *nipay [Blust 1981a:#106]
Iv *sayap to fly < PHN *sayap wing [Blust n.d.:#355]
Bl *?ikon tail < PMP *i(n)kun [Blust 1980:#167]
PSP *qəbəl smoke (Mk,Sb,Dn,Mb,Bl,Pon,Tsw) < PHF *qəbəl [Tsuchida 1976]
PNP *dana span [8 inches] < PMP *dana [Blust 1980:#442]
```

I have over a hundred additional examples in my files. Such etyma would not be allowed in the strictest applications of the comparative method because they are clearly retentions (not innovations). Nevertheless, (a) they serve as a synchronic isogloss around the proposed group in many observed instances, and (b) their retention amidst heavy pressure for innovation (as evidenced by replacement in the other groups) cannot be adequately explained but surely reflects some historically relevant phenomenon. I have not included these intentionally here, but numerous discoveries of similar forms will probably be forthcoming from the candidates in the various tables. However, each list in toto could still be used as a subgroup identifier for newly discovered speech varieties with some assurance of success. [See, for example, that in Zorc 1972:125-128 for WBs, or Zorc 1977:269-276 for Warayan and CBs.] This mixture of synchronic and diachronic material for subgrouping purposes is not without precedent: lexicostatistics counts the sum of retentions and shared innovations without distinguishing between them, and yet is of some value in formulating a subgrouping argument - especially if it coincides with the results of other methods.

# 3.3 Widespread innovation (w)

Cognates are limited to numerous language groups representing the most diverse nodes of a proposed tree, e.g. Table 1.

# 3.4 Selective innovation (s)

Cognates are found in only a few language groups that are geographically and genetically diverse, representing distinct nodes of a proposed tree, e.g. Table 2. Because of the distance (temporal and spatial) between the language groups involved, I can not see how a hypothesis of borrowing or convergence can be put forward apart from the proto-language immediately shared by those groups. The only other alternative is that the etyma in question are selective retentions, which may be proven by the discovery of outside cognates. I feel reasonably confident that a sufficient quantity will stand the test of time and continued research.

# 3.5 Contact innovation (c)

Cognates are found in two (or more) genetically distinct languages and are the result of common innovation after contact between the groups. Tag pawis and Kpm pawas clearly reflect a SLz innovation \*pawas sweat, but do not serve to subgroup these languages together. Such developments help establish the degree of convergence between languages and must make the researcher chary of

positing selective innovations when the distribution of forms will not otherwise warrant such reconstruction, viz: a genuine cognate between Kpm and Tag would normally yield a PPH etymon. See Pallesen 1977 for examples of Sama-Tsg convergence.

# 3.6 Borrowed innovation (b)

Cognates are found in genetically distinct languages, but irregularity of form or distribution suggests in a straightforward manner that one speech variety has borrowed from another. Ntg, Agy tambek fat (expected \*\*tambe?) must be loans from Bs \*tambek because of the irregular reflex for \*k (> Kl  $\emptyset$ ) and the additional evidence of Kal linuk fat (which is probably itself a Kl innovation replacing PPH \*taba?). Since virtually all Mb languages retain reflexes of PAN \*Sapúy fire, Dbw káyu and Ags kæðu? must be loans from a SBs dialect of Bk+Bs \*kæláyu fire. Such judgments must be made explicit, since they are open to criticism. Pallesen (1978:92f) was quite correct in his comments on my treatment of several Bs innovations:

When, however, a large number of putative exclusively shared innovations are demonstrated to have cognates outside the subgroup, then the boundaries of the subgroup are very much in question .... It is undoubtedly valid to identify similar forms in language B as borrowings from language A which is known to be influential, but *not* if the forms themselves are the main evidence of the influence of language B.

Exclusion of all of the forms Pallesen cites would have resulted in a more "airtight" Bs group, but would have been tantamount to "sweeping the problems under the rug". As it is, it is probably best to list all data, facts, and hypotheses so that scholars may productively engage in debate.

# 3.7 Uniques (u)

Forms are limited to a single speech variety (or dialect group). Such forms do not enter into this survey, but it is noteworthy that genuine uniques are not nearly as numerous as they at first appear. Many are selective retentions. Thus, Ilt posit blind is cognate with Bin posit < PHN \*posit [Blust n.d.: #278], Ilt pandok star with Miri fatak star (and possibly Mon pandok spot, speck < PHN \*pandok star; speck (of light) [Blust n.d.: #260]). Ilt tambian five is a semantic innovation from PHN \*sa+n- one + \*bilan count - nor is Ilt unique in having a quinary numbering system [Dahl 1981a:fn.5, via Blust]. Sneddon (p.c.) has encouraged me to treat San and Snl as a single witness since they form a dialect chain; r or h reflexes < \*R are evidenced in dialects of each.

# 4. CRITERIA: TYPE, QUALITY, AND NUMBER OF INNOVATIONS

It may seen a commonplace, but it is an often overlooked fact that every innovation means something. Interpreting each innovation requires isolating the types outlined above: widespread, selective, contact, or borrowed. Furthermore, the quality of an innovation must be assessed. I suggest the following measures as a rule of thumb to weigh the innovations proposed in the various tables. The

ordering should be considered as *relative* (not absolute), so that the higher the rating, the higher the *overall* quality, e.g. 1 is better than 5, but it does not follow that 3 is *necessarily* better than 4, etc.

- 1 Replaces a well established PAN or PMP form in a highly-retentive meaning. [See 2.4 and 3.1.]
- 2 Formal innovation (e.g. a change in or addition of morphological material) not attested outside the group.
- 3 Semantic innovation (i.e. the form may be quite old, but a definite shift in meaning has occurred replacing the etymon most closely established as having that meaning). [See 2.5.]
- 4 A phonological or morphophonemic innovation. [See 2.2.]
- 5 Replaces an earlier (PAN, PMP, PHN) form, but in a meaning of low retention rate or with a high probability of replacement. [See 2.4.]
- 6 Although an item of trade or culture, distribution suggests a special kind of innovation is involved (e.g. semantic shift, prehistorical contact, etc.).
- 7 Currently known distribution suggests innovational status, but continued research is required to establish this, viz: "none of the above".

Reactions from several colleagues have made it clear that these seven categories are perhaps better characterised as kinds of innovations to which further judgments concerning quality must be added, e.g. H (high) ... L (low). I agree that a complex morphophonemic innovation such as cluster metathesis (4H) is of greater significance than a mildly deviant semantic shift (3L) or the addition of common affixes such as \*si or \*i [name markers] to pronominal stems (2L). Even if this requires "going back to the drawing board", scholars should attempt to rate the quality of proposed innovations and make their own criteria explicit.

Quantity, while relative to the state of current research, must support any subgrouping hypothesis. It would be remarkable indeed if a genuine subgroup left its evidence in one linguistic area (e.g. phonology) but not in any other (e.g. lexicon or grammar). The subgrouping proposed by Reid (1982) based on nasal infixation (or the lack thereof) suffers by its singularity and the lack of additional supporting evidence. The appearance of diverse cognates of \* $\eta$ C forms could be a selective retention, a contact innovation (Ml/In influence in the central and southern Ph has been strong – see Wolff 1976 for numerous examples), or a borrowed innovation. Conversely, the non-appearance of cognate \* $\eta$ C forms could be the result of independent loss, or complex parallel developments (in Formosa and in the northern Ph or Bilic). In any event, failure to share in an innovation is not of itself proof of exclusion from a subgroup.

The origin and status of \*ŋC forms needs further study and evaluation. Of the 22 forms cited from Bontok which do not show a medial nasal cluster (Reid 1982:205f), only four have CPh and SPh cognates which unequivocally reflect a nasal (\*ampil favour one person over another, \*dampilas cliff, \*kempit press, clamp, \*kindat wink, open up eyes) whereas eight have not been observed in these latter groups with any nasal (\*apu grandparent/child, \*ma-hátaq unripe, \*hútek brain, \*lútuq cook, \*tábun cover up, \*tahép winnow, \*típun assemble, \*túbuq grow). Thus, CPh and SPh languages are intermediate on a cline between heavy nasal infixation (Oc/Ml) and little to nil nasal infixation (NPh/Fm). Note that

NPh languages do have nasal clusters in etyma that are not likely to be loans: PNP \*andu long (by syncope < \*anaduq), PNP \*hiŋpis thin, Iv+NCr \*tuŋduq point, ICS \*suŋbat answer, NCr \*siŋpət good, kind, Ilt pəndək star (see 3.7). Nasal infixation (or its loss) is far from being established as a highly significant qualitative innovation.

# 5. THE EVIDENCE FOR A PHILIPPINE SUBGROUP

The number of exclusively shared lexical innovations that I have gathered thus far suggests that the languages of the Philippine archipelago (exclusive of the Sama-Bajaw group) form a single AN subgroup. This "Philippine" (or a less geo-politically prejudicial label of *Eastern Hesperonesian*) Group includes Yami (of Botel Tobago Island, within the Bashiic/Ivatanic subgroup of NPh) and the languages of northern Celebes (including Minahasan, Sangiric, Mongondow, and Gorontalic within SPh).

Widespread innovations in support of this group are presented in Table 1, and selective innovations in Table 2. Constraints of both time and space have not permitted the inclusion of the data (which can be found in the sources cited), but languages or subgroups that have cognates of the etyma under consideration are listed. The format adopted gives the following information:

- etymon number
- type of innovation (widespread, selective, etc.)
- quality [kind] of innovation, using a numerical code (section 4)
- level of reconstruction (e.g. PPH, PHN)
- reconstructed shape
- semantic assignment
- data sources (McFarland, Reid, Yap, Ferrell, Blust, etc.)
- subgroups or languages that have cognates
- (irregularities of any kind) [e.g. (+Isg) = form probably borrowed by Isneg.]
- languages reflecting semantic shifts are put after a semicolon, along with the meaning [e.g. ;Akl stop = the cognate means stop in Akl]
- [any additional information]

I am reluctant to draw a tree at this stage and feel that Ph developments were more like amoebic colonisations than absolute splits. In general, I subscribe to the tree drawn by McFarland (1980:62) for the upper nodes (viz: PHN > PPH > PNP/PSP) and to that drawn by Reid (included herein as Tree 2) for the lower nodes (e.g. NPh includes [Iv+SLz+NMg] + [NCr+ICS]; SPh would include Bl+[Mb+Dn+Sb][CPh+SMg+Pl+Kl],Mon, Gor, Sn+Mn).

Because Reid's hypothesis puts Bilic and the NPh languages closer to Formosan than to Malayo-Polynesian languages, I include Table 3 to show the affinity of all Ph languages to Western Austronesian (PHN), i.e. the subgroup of next highest order.

# FUTURE DIRECTIONS

This paper represents the first edition of a study intended to bring together evidence (published and unpublished) for each Philippine micro-subgroup. The macro-subgroup (PPH or Proto-Eastern Hesperonesian) is dealt with here.

Future publications will deal with Proto-Northern Philippine and Proto-Southern Philippine, and each lower-order proto-language. An Index to all of the proposed tables is included herewith. Scholars who wish to receive a copy of lists or tables prior to their eventual publication should contact me concerning those they require.

I appreciate that most of the points and arguments discussed here will need careful study and analysis. To scholars embarking on subgroup specific studies, I would recommend the consideration of what I have said about evaluating innovations, and the methods I have adopted here concerning type, quality, and number. I am keen to hear from colleagues about their problems and experiences in this area, and to receive critiques (whether positive or negative) about my suggestions and methodology.

I wish to acknowledge with the deepest gratitude the many positive and helpful suggestions received from Paul Black, Bob Blust, Prof. Dyen, Mat Charles, Andy Pawley, Laurie Reid, and Jim Sneddon, which have been incorporated throughout this study.

# NOTE

1. To examine the geographic location of the subgroups, the reader is referred to the two major atlases, McFarland 1980, and Wurm and Hattori 1983, maps 31-34.

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# COMPREHENSIVE LIST OF ABBREVIATIONS USED

Note: Abbreviations of language names are followed by the most common name for that language and then by an indication of the subgroup within which that language falls (in parentheses).

Abk	Abaknon (Sml)	Bol	Bolinaw (Sbl/SLz)
Abr	Aborlan-Tagbanwa (NP1)	Bon	Bontok (CCr)
Ach	Acehnese	Bot	Botolan (Sbl/SLz)
Ags	Agusan (EMb/IMb)	Br	found in Borneo
Agta	Agta (NCr)	Bs	Bisayan group (CPh/SPh)
Agy	Agutaynen (K1)	Btd	Batad (Ifg/CCr)
Ak1	Aklanon (WBs)	Btk	Batak (NP1)
Alc	Alcantaranon (WBs)	Btŋ	Batangan (NMg)
Alŋ	Alangan (NMg)	Bty	Bantayan (Ban/Bs)
Amd	Amduntug-Kallahan (SCr)	Bug	Buginese (SSw)
Amq	Amganad (Ifg/CCr)	Buh	Buhid=Buid (SMg)
Ami	Ami(s) (Fm)	Buhi	Buhi (IBk)
AN	Austronesian	Bun	Bunun-Isbukun (Fm)
Apy	Apayao (NCr)	But	Butuanon (SBs)
	Arosi (Oc)	Byn	Bayninan (Ifg/CCr)
Ata	Ata (CMb/IMb)	Cam	Camotes (CBs)
Ati	Inati of Panay	Cap	Capiznon (CBs)
Atta	Atta (NCr)	Car	Caraga (Mk)
Aty	Atayal (Fm)	Cas	Casiguran-Dumagat (NCr?)
В	Blust's publications	CBk	Coastal Bikol subgroup (Bk/CPh)
Baj	Bajaw (Sml)	CBs	Central Bisayan subgroup (Bs/CPh)
Ban	Bantu'anon (Bs)	CCr	Central Cordilleran subgroup (NPh)
Bgb	Bagobo (SMb) [not Gia]	Ceb	Cebuano (Bs)
Bik	Bikol (usually Naga)	Ch	Chinese
Bin	Bintulu (NSr)	ChaC	Cavite-Chabacano [Sp creole]
Вj	Bandjarese-Malay	ChaZ	Zamboanga-Chabacano [Sp creole]
Bk	Bikol subgroup (CPh/SPh)	Chm	Chamorro
Bkd	Binukid (NMb)	CLz	Central Luzon feature
Bl	Bilic subgroup (SPh)	CMM	Central Mindanao Manobo =
Bl	Balinese		Kiriyenteken (WMb/IMb)
	Blit (SMb)	CPh	
	Bulalakawnon (WBs)	Cr	Cordilleran (NPh)
	Balangaw (CCr)	CSC	CCr and SCr subgroup (ICS/NPh)
	Bantik (SSn)	D	Dyen publication
Bŋg		D*	Dempwolff reconstruction
Boh	Boholano (Ceb/Bs)	Dav	Davaweño (Mk)

## Abbreviations (cont'd)

Ivt Ivatan (Iv)

Iwk I'wak (SCr) Doublet Jaun Jaun-Jaun (SBs) Dbw Dibabawon (EMb/IMb) J-M Jama-Mapun (Sml) Dή Disjunct Javanese (modern) Dn Danao subgroup (SPh) Kag Kagayanen (NMb) Dtg Datagnon = Ratagnon (WBs) Kal Kalamian (K1) EMb Eastern Manobo (IMb) Kam Kamayo (Mk) F Ferrell publication Kan Kantilan (Sur/SBs) Fi Fijian (Oc) Kan Kanakanabu (Fm) Formosan Fm Kar Karaw (SCr) Futuna (Oc) Gad Gaddang (NCr) Kaw Kawayan (Hil/CCr) Kawi Kawi (OJv literary lg) GiaB Baquio-Giangan (B1) GiaS Sirih-Giangan (B1) Kay Kayan KB Karo-Batak GiaT Tagakpan-Giangan (B1) Kbs Kabasagan (Mk) Gim Gimeras (Kin/WBs) K-C Kalamansig Cotabato Manobo (SMb) Gor Gorontalo (SPh) Kdz Kadazan Gub Gubat (CBs) Kel Bario Kelabit (NSr) Guh Guhang (Ifg/CCr) Kia Kiangan (Ifg/CCr) Ham Hamtikon (Kin/WBs) Kin Kinaray'a (WBs) Han Hanunoo (SMg) Han Hanglulu Kallahan (SCr) K1 Kalamianic subgroup (SPh) Kla Kalinga (CCr) Hig Higaonon (NMb) Klg Kalagan (Mk) Hil Hiligaynon = Ilonggo (CBs) Kln Kalanguya-Kallahan (SCr) Hin Hinaray'a (Kin/WBs) Kls Kalasan-Kallahan (SCr) Hok Hokkien Chinese Kly Keley'i'-Kallahan (SCr) Hov Hova = Malagasy ex Dempwolff Kmq Kinamigin (NMb) Iban (Sea Dayak) Ib Kml Kamalignon (IBk) Iba Iba (Sbl/SLz) KnkN Kankanay-north (CCr) Ibg Ibanag (NCr) KnkS Kankanay-south (CCr) IBk Inland Bikol subgroup Kor Koronadal (IB1/B1) Ibl Inibaloi (SCr) Kpm Kapampangan (SLz) IBl Inner Bilic subgroup Kuy Kuyonon (WBs) IC Ilk and CCr subgroup Kyp Kayapa-Kallahan (SCr) ICC (Inner (=Nuclear) Central Lan Lanao (Dn) Cordilleran subgroup Leg Legazpi-Bikol (IBk) ICS Ilk and CCr and SCr subgroup Lib Libon (IBk) Ifg Ifugao subgroup (CCr) Ilk Ilokano Liv Livunganen (WMb/IMb) Lok Looknon (WBs) Iln Ilianen (WMb/IMb) Lm Lampung (Way Lima dialect) Ilongot (SCr) Lub Lubang-Tagalog (Tg/CPh) Inland Manobo subgroup (Mb/SPh) Luba Luba (CCr) (includes: EMb,CMb,WMb) McFarland (1977) data M In Indonesian (M) metathesis has occurred Ira Irava (NCr) Mam Mamanwa (Mk/CPh) Iri Iriga (IBk) Man Manabo (CCr) Irn Iranun (Dn) Mar Maranao (Dn) Iry Iraya (NMg) Isq Isneg (NCr) Mas Masbateno (CBs) Manobo subgroup (SPh) Isi Isinai (CCr) Md Madurese Ism Isamal (Mk) Itb Itbayaten (Iv) Mdr Mandar (SSw) Mdy Mandaya (Mk) Itq Itneq (CCr) Mex Mexican Spanish Itawis (NCr) Bashiic/Ivatanic subgroup (NPh) Mg Mangyan (Mindoro languages) Mgd Magindanao (Dn)

# Abbreviations (cont'd)

ADDI	eviations (cont.d)			
Mk	Mansakan subgroup (CPh/SPh)		PMJ	Proto-Malayo-Javanic
Mkb	Minangkabau (Malay)		PMK	Proto-Mansakan
Mkr	Makassarese (SSw)		PMN	Proto-Minahasan
Ml	Malay(sian)		PMP	Proto-Malayo-Polynesian
Mlg	Malagasy (data from Dahl)		PNC	Proto-North Cordilleran
Mlw	Malaweg (NCr)		Png	Pangasinan
Mol	Molbog (SP1)		PNP	Proto-Northern Philippine
Mon	_		PNS	Proto-North Sarawak
Mn	Minahasan subgroup		POC	Proto-Oceanic
Mr	Murik		Pon	Ponosakan (Mon/SPh)
M-S	Matig Salug (CMb/IMb)		Port	Portuguese
	Mansaka (Mk/CPh)		PPH	Proto-Philippine
	Munngello-Kallahan (SCr)			Proto-Polynesian
	Nabasnon (WBs)			Proto-Sama-Bajaw
	Naga (CBk)		PSF	Proto-South Formosan
_	Naturalis (SBs)			
	North Central Cordilleran		PSP	Proto-Southern Philippine
1100	subgroup (CCr/ICS/NPh)		Puy	Puyuma
NCr			PWI	Proto-West Indonesian
	Ngaju-Dayak		R	Reid (1971) data
NMb			R*	Reid (1974) data (CCr)
NMq			RD	Rungus Dusun
_	Northern Philippine subgroup		Rej	Rejang Melanau
NPI			R-K	Rajah Kabunsuan (EMb/IMb)
N-S	Northern-Samar (CBs)		Rom	Romblomanon (CBs)
			Rth	
NSn	3			Ratahan = Bentenan (SSn) Rukai (Fm)
NSr	3 1		Ruk	
Ntg	3		Sa	Sa'a (Oc)
OBl			Sai	Saisiyat-Tungho dialect (Fm)
Obo				Saisiyat-Taai dialect (Fm)
Oc	Oceanic		San	Sangirese (NSn)
_	Odionganon (Ban/Bs)		Sar	Sarangani (SMb)
	Old Javanese		Sar	Sarangani (IB1)
Pai	Paiwan (Fm)		Sas	Sasak
Pal			Sb	Subanon subgroup (SPh)
	Pandan (Bk)		Sbl	Sambalic subgroup (SLz/NPh)
	Pandan (Kin/WBs)		SBs	South Bisayan subgroup
	Proto-Austronesian		Sbt	Sibutu (Sml)
	Pazeh (Fm)		SCr	Southern Cordilleran subgroup
	Proto-Bisayan		Sd -	Sundanese
	personal communication		Sed	1 1 1
PCP			Sem	Semirara (WBs)
PDN	Proto-Danao		Sgh	Singhi
PFM	Proto-Formosan		Sia	
Ph	Philippine		Sib	Sibalenhon (Ban/Bs)
PHF	Proto-Hesperonesian and Form		Sin	Sindangan-Subanon (Sb)
PHN	Proto-Hesperonesian = Western	1		Sina-una (SLz)
	Austronesian		Skt	Sanskrit
PIN	Proto-Indonesian		S-L	Samar-Leyte (= Waray) (CBs)
Pl	Palawanic		SLz	Southern Luzon subgroup (NPh)
Pl	Palau		Sm	Samoan (Oc)
	Palun-Mapun (Sml)		SMb	Southern Manobo subgroup
PMB	Proto-Manobo		Smg	San Miguel (Mk)

#### Abbreviations (cont'd)

SMg South Mangyan subgroup (SPh) Tak Tagakaolo (Klg/Mk) Sama/Samal subgroup Tha Thao (Fm) Sangiric subgroup Sn Tic Ticao (Mas/CBs) Snl Sangil (NSn) Tigwa (CMb/IMb) Soc Siocon-Subanon (Sb/SPh) Tina Tina (Sbl/SLz) Sor Sorsogon (CBs) Tiruray (B1) Sp Spanish Tkd Takituduh-Bunun (Fm) SPh Southern Philippine = Sulic TM Timugon-Murut SPl South Palawan subgroup Tmb Tombulu (Mn) Sro Saaroa (Fm) To Tongan (Oc) SSw South Sulawesi Tse Tonsea (Mn) Sugl Sugodnon-1 (Inati of Panay) Tsq Tausug (SBs) Sug2 Sugodnon-2 (Inati of Panay) Tso Tsou (Fm) Sur Surigaonon (SBs) TSW Tonsawang = Tombatu (Mn) Ttb Tontemboan = Tompakewa (Mn) Tag Tagalog (usually Manila dialect) TagM Marinduque Tagalog Ubo Ubo (Tbl/Bl) TagS Southern (Batangas) Tagalog UJ Uma Juman TAG [See: Ferrell 1969] Umr Umirey-Dumagat (NCr?) Tal Talaud = Talodda (NSn) Vir Virac (CBk) Tas Tasaday (SMb) WBM Western Bukidnon (WMb) Tau Taubuid (SMg) WBs West Bisayan subgroup Toba-Batak War Waray (S-L/CBs) Tbl Tboli = Tagabili (Bl) WMb Western Manobo subgroup (IMb) Tbw Tagabawa (SMb) Y Yap (1977) data Tdn Tondano (Minahasan) Yak Yakan (Sml) Tdy Tadyawan = Balaban (NMg) Yami Yami (Iv)

# TABLE 1: PROTO-PHILIPPINE INNOVATIONS - WIDESPREAD

Tagalic; Tagalog subgroup

Τq

Ol. w6 PPH \*?abaká hemp (MRY) Iv,NCr,Ilk(CCr)SCr,SLz,CPh,Pl,Kl,Sb,Dn,Mb(Tir)

Yog Yogad (NCr)

- 02. w7 PPH \*qinit heat (of sun) (MRY) Ilk,CCr,Han sun;CPh,Pl,Kl,Sb,Mb,Bl; Mk sweat, Amg boil, Isg reheat
- 03. w2 PPH \*baŋa? earthenware vessel (MRY) [PHF \*b<al>aŋa?] NPh cooking pot Iv,NCr,Ilk,CCr,SCr; SPh water jar CPh,Sb+SLz
- 04. w7 PPH \*baybay shore (BMRYZ) CPh sand, SPh shore, NPh sea [Blust 1970:#36 reconstructs \*baSay bank, shore, including Kayan bahei, Kenyah bai, but has since abandoned this etymology (p.c.); the Br forms derive from a monosyllabic stem (\*bay/\*b<aR>ay) and indicate a possible PHN or pre-PPH etymon on which the Ph doubled monosyllable is based]
- 05. wl PPH \*bulbul feather; post-pubescent hair (BMRSY) Iv, NCr, SLz, NMg, SMg, CPh, Pl, Kl, Sb, Dn, Mb, Mon, Mn+Sml; +Br
- 06. w5 PPH \*dakél many; big (BMRSY) big NCr,Ilk,CCr,Tg,Buh,Mb,Tir,Sn,Mn; many CCr,SCr,Kpm,Bk,Pl,Sb,Dn,Mb
- 07. w4 PPH \*dayúq far (MRY) NCr,Ilk,SLz,CPh,Sb,Moŋ,Bl [Note variety of shapes ultimately derivable from a PMP \*diauq, e.g. Ml jauh, CLz \*ha-dawíq, and alternate prefixes, \*ha- [measure] vs \*ma- [adj]]

# Table 1 (cont'd)

- 08. b5 PPH \*Rúyud pull/drag-along (MRY) Ilk(+CCr,SCr,SLz),CPh,Pl,Han,Sb,Dn,Mb,
  Mon,Sn+Sml
- 09. w7 PPH \*hilut massage (MRY) NCr,Ilk,CCr,SCr,SLz,CPh,Han,Pl,Kl,Dn,Mb
- 10. w5 PPH \*hiwa? cut, slice (MRSY) NCr,Ilk,SLz,CPh,(Mon)Sn,Mn
- 11. w6 PPH \*lanka? jackfruit (MZ) [PHN \*nanka?] Ilk,CCr,SCr,SLz,CPh,Mar,Gor
- 12. w7 PPH \*lújan ride; load (MSZ) NCr, Ilk, CCr, SCr, Sbl, CPh, Dn, Mb, Bl, Sn
- 13. w5 PPH \*pasu? hot; burn(ed) (BMRSY) (IV)NCr(Ilk)CPh,Mon,Gor,Mn
- 14. w5 PPH \*pəRsah boil/abscess (MRY) [PHN \*piRsah] CCr,SCr,Bs,Mk,Kl,Sb,Dn
- 15. w7 PPH \*punas to wipe (BMRY) Iv, NCr, Ilk, CCr, SCr, Sbl, Bk, Tq, Sb, Dn, Mb; Chm
- 16. w3 PPH \*sáliw buy/sell (MRY) Iv, NCr(Ilk), SCr, SLz, Buh, Sb, Mon, Gor
- 17. w5 PPH \*i+sədá'? fish (BMRSY) NCr,NMg,Han,CPh,Pl,Sb,Dn,NMb,Moŋ,Mn; food eaten with rice NCr,Ilk,CCr(SCr),Bs,Moŋ; +Borneo
- 18. w4 PPH \*siám nine (MRYZ) Iv,NCr,Ilk,CCr,SCr,SLz,NMg,SMg,CPh,Pl,Kl,Sb,NMb,Bl,Mon+Sml,Kdz (also several Bornean lgs) [pos PHN]
- 19. w7 PPH \*sújud fine-tooth comb (RYZ) Iv,NCr,Ilk,CCr,NMg,Buh,CPh,Pl(Kl)Dn(Mb),
  Bl
- 20. w2 PPH \*taRa+qinép dream (MRY) Iv,Ncr,Ilk,SLz,NMg,SMg,CPh,Pl,Kl,Sb,Dn,Mb,Mon
- 21. w7 PPH \*tan?aw look-(far) (MYZ) NCr,Ilk(CCr \*tam?aw),CPh,Dn,NMb,Mon
- 22. w7 PPH \*tawaR call (MSYZ) (Iv)NCr(Ilk)SCr,CPh(Abr,Kl),Sb,Dn,Mb,Bl,Mn+Sml
- 23. w5 PPH \*tulúd push (BMRY) CCr,SCr,Sbl,CPh(Btk)Kl,Dn,Mb,Tbl,Mon,Tsw,San+Sml

# TABLE 2: PROTO-PHILIPPINE INNOVATIONS - SELECTIVE

- 24. s2 PPH \*qa?jun nose (MYZ) Ilk,SLz,Kl (SPl \*ədun)
- 25. s4 PPH \*[h]a-ndu *long* (MRSY) CCr, Sbl; Sn \*nandu [PAN \*a-naduq (B); Kayan aro? may invalidate, but the Ph cognates show syncope, the Kayan loss of the entire first syllable]
- 26. s3 PPH \*a+núh what? (MRY) Agta, Luba, Man, Itg, CPh (Mon, Gor \*ə+nu) [PHN \*anúh whatchamacallit; Reid treats the NPh forms as loans (p.c.)]
- 27. s4 PPH \*?anúk chicken (MRY) NCr,Bl [PMP \*manúk bird; this could be the result of independent/parallel development (Reid, p.c.)]
- 28. s5 PPH \*7 ánas face; forehead (MRY) Knk, Ifg, Kly, SMg, Sb, Bl
- 29. s7 PPH \*? atuban front (MZ) NCr, Bk, Bs, Han, Mb
- 30. s7 PPH \*?ələk sound of snoring/choking (MRYZ) Bik, Png laugh; Kl, Iry, Sbl, Ifg sleep, Akl choke
- 31. s7 PPH \*?idáu [snake] (MRY) NCr, Han, Iry, K1 [Reid (p.c.) suggests forms meaning omen bird (e.g. Bon ?idəw may also be related]
- 32. s5 PPH \*7 Rit nit (MRY) CCr (also: \*kilit), SarMb delouse

## Table 2 (cont'd)

- 33. s7 PPH \*'in+də[gR] stand (MRY) NCr wait; Mk,Sb [Blust (p.c.) relates to Ml injak step, tread < \*inzeg, but other Ph evidence (Ilk takdər) suggests a monosyllabic stem \*dəR, also noted by Reid (p.c.)]
- 34. sl PPH \*7 pus tail (BMRSYZ) Iv, NCr, Ilk, CCr, SPl, Mog, Mn
- 35. sl PPH \*?itu? dog (MRY) Atta, Sin, Tir; WMb puppy (Ivt citu?, Ibg kítu?)
- 36. s7 PPH \*?udu[] medicine, charm (MRSY) NCr, Ilt, Sn
- 37. sl PPH \*?ŭŋa? child (MRYZ) CCr(Kly,Kyp)NMg,Buh,Akl,Rom (Bl \*?əŋa?)
- 38. s2 PPH \*?u+piá good (RY) Ilt,S-L(M),Ntg,WMb
- 39. s7 PPH \*-úsin charcoal (MRY) CCr,Bl
- 40. s7 PPH \*bak+bak frog (ERMY) Cas, CCr, Dn, Mb [possibly onomatopoetic]
- 41. s7 PPH \*basul blame (MZ) Ilk(+Isg), CCr, CPh, Mb
- 42. s7 PPH \*buqál leg-joint (ERYZ) Bl,Mb knee; WBs,Bk heel, Tag,Ceb ankle, Cas back part of knee [Dj: Bot bo°á < Sbl \*bu°ad (M#60) heel]
- 43. s2 PPH \*bu-báhi woman (GRY) Kla,Mk [Note: Blw bubá<sup>?</sup>e shows a regular development of \*a>u/b-b, see Blw bub<sup>?</sup>á tooth < \*baqbaq (Reid, p.c.)][G = Gallman]
- 44. sl PPH \*da?gun year (MRYZ) NCr,NMg,Han,WBs,Dn
- 45. s7 PPH \*daqtaR floor (MRY) Iv,NCr,CCr,SCr,Pl,Kl
- 46. s4 PPH \*daRəm needle (MRY) Iv,Sbl,Mk(EMb),WBs [PAN \*ZáRum]
- 47. s6 PPH \*dananan pillow (MRY) Png,Bl(K-C)
- 48. s7 PPH \*dayaw praise/honour (LSZ) NCr,Ilk(Png),Bs,Sn,Mn
- 49. s5 PPH \*Ridu? earthquake (RSY) (Bon gidó), PMN \*ehdo? [The development of \*R > Bon g is irregular, and indicative of a loan, but no source language can be determined]
- 50. s6 PPH \*Rutay hemp (RY) Cas, IB1, Sn
- 51. s7 PPH \*hábuŋ shelter (MRYZ) Iv,Ilk,CCr,SCr,Tag,Mar
- 52. s5 PPH \*hənit laugh (RYZ) Itb, Ilt, WBM
- 53. s7 PPH \*húRay stop; wait (MRYZ) Cas,Ilk,CCr wait; Akl stop [Pai pasuay post-pone, procrastinate < Dj \*pa-Suay spend-time, cf. Bs pahúway relax]
- 54. s5 PPH \*ka-Rabi?iH yesterday; last night (BMRSY) NCr(Kla),Tg,Mk,Abr,Dn,Sn [Both \*ka- [past time] and \*Rabi?iH night trace to PAN, but this particular combination in the meanings cited has thus far only been found in the Philippines]
- 55. s7 PPH \*kalasan forest (MRY) Ifg,SCr,Bkd
- 56. s2 PPH \*ka+yu you(pl.) (MRY) NCr,Ilk,CCr(Ibl,Png)Kpm(+Tag),Mb \*kiyu (A) [The combination of both elements is unique to the Philippines]
- 57. s7 PPH \*kəRáŋ scab (SZ) Ilk,SBs,Mar,Tir,Gor,Mn; Db: SPh \*kəRán WBs,Han,Moŋ [Blust n.d.:#159 PHN \*kuRan, but Han is cognate with this form; Iban kurai hard, rough patches of skin (Scott), mottled, of skin (Richards) < \*kúray]
- 58. s5 PPH \*kələp night; dark (PRYZ) Cas,S-L,Ati night, Mlw,Itw dark [cf. Iv \*-a'ləp night]

#### Table 2 (cont'd)

- 59. s7 PPH \*kúRun [cogon-grass] Imperata cylindrica (BSZ) (Bon,Sgd gólon), Tag, Btk,Han,Mn
- 60. s5 PPH \*kunəm cloud (MRY) NCr,Pl(Thiessen),Kl
- 61. s5 PPH \*ləbaq to swell; abscess (MRY) Kia, Png, Kpm(Tag), Mar, Mb
- 62. s7 PPH \*lipéd to hide (RY) Isg, Kuy
- 63. s5 PPH \*lúsi? penis (MRYZ) Ilt,Bon,Knk lúsi, GiaS luhi? [Note also: Han pu-sli?, Bol luspí?]
- 64. s2 PPH \*ma- one unit (e.g. 10, 100, 1000) (MRY) NCr,Sbl,Mk,Sn [Possibly a parallel development (Reid, p.c.)]
- 65. s2 PPH \*n-atáy dead (MRY) NCr,Ilk,CCr(Kyp,Kly)Bot(Tag)Sn [Possibly the reduction of PAN \*(m<i)n>áCáy, cf. Puy mianaTay, Ivt,Cas,Kpm,Mar]
- 66. s7 PPH \*nisnis wipe; brush (RSY) Iv, NCr, Mn
- 67. s7 PPH \*ŋa<sup>?</sup>ŋa<sup>?</sup> betel chew (MRSY) Bot,Png,Alŋ,Iri,Tag,Mn [If SLz < Tag, then may shift to SPh innovation]
- 68. s5 PPH \*nitit black (MRY) NCr(>Ilk,Kla,Bol),CCr,Sbl,Kuy [Db: PPH \*nit()nit dark Knk,CPh]
- 69. s5 PPH \*pag?un [turtle] (MRY) Ilk,CCr,Sbl,Tag,Mam
- 70. s7 PPH \*pantaR sand (RY) Knk, Kly, Iln [Db: PSP \*pantad]
- 71. s5 PPH \*pawikan [turtie] (BMRSYZ) Cas,Ilk,Png,CPh,Han,Pl,Kl,Mar,Tir,Sn,Mn
- 72. s5 PPH \*pitək mud (MRY) Ilk, CCr, SCr, Bl [Db/Dj: PMP \*pitak (Blust n.d.: #291)]
- 73. s7 PPH \*pú<sup>7</sup>əj thigh; knee (MRY) CCr,SCr knee, Kpm,EMb,Klg,IBl thigh, Mk buttocks
- 74. s7 PPH \*pulaw hunt (at night) (SYZ) Ivt,Bkd hunt, Akl,Han stay up late, Mn wake, get up
- 75. s7 PPH \*putut short; cut off (MSZ) (Ivt fracture); Ilk, Man, Bon, Itg, Yog offspring, Kia, Bon, Png, Kpm, Sn cut-off, CPh, Mn short (person)
- 76. s7 PPH \*sa?(ə)gəb fetch water (MYZ) NCr(CCr)CPh,Dn (Sb \*sigəb)
- 77. s7 PPH \*sak(ə)du fetch water (MYZ) NCr,Ilk,CCr,Kpm,Mb
- 78. s4 PPH \*sa-siám nine (MRY) Ivt, Ata [\*C<sub>1</sub>a- reduplication is probably PAN, but the shape \*siám is here considered an innovation, see #18]
- 79. s5 PPH \*sə?it thorn (MRY) NCr, Ilk, Itg, Man, Luba, Tg, Bs
- 80. S5 PPH \*səki foot, leg (MRYZ) NCr, CCr, Bs, Tir
- 81. s7 PPH \*səjəb burn (MRSY) Gad,CCr (Sn \*soRob; Mon turub)
- 82. s7 PPH \*səlaR big (MRSY) Sbl(Ifg,Blw),SBs,Soc(Dn)Mn
- 83. s2 PPH \*si<sup>a</sup>k I (MRY) Ilk, Png, Ilt, Mb [Ilk si<sup>a</sup>kó-n I ... already suggests these derive from \*si-ak(ú) (Reid, p.c.)]
- 84. s2 PPH \*sa(?)kən I (MRY) Ibg,Cas,CCr,Mar
- 85. s2 PPH \*si-kami we(excl.) (MRY) NCr,Png,Ilt,Tina,Mb [Possible parallel
  development]

#### Table 2 (cont'd)

- 86. s7 PPH \*sukay comb; delouse Ilk search, Bon, Sgd put decoration in hair, SBs, Mk, Pl delouse
- 87. s5 PPH \*taRənək *mosquito* (RSY) Ilt,Mk,Dn,Mb,Sn [If Ilt tənnək not cognate (e.g. < \*Cənək *pierce*), then shift to SPh]
- 88. s7 PPH \*taRad to wait (ERY) Png, SBs, Mk (Soc) Mb, Tir
- 89. s5 PPH \*tanud thread; needle (MRYZ) Kla,Isi,Yog needle, Ceb,Tsg,Dn(>Mb,KorBl) thread
- 90. s5 PPH \*təm+təm to burn (RYZ) Ilk, Mb; Bl \*təm
- 91. sl PPH \*ti?ris urine (MYZ) Png, Bk, Tdy; Han stinging secretion of a millipede
- 92. s7 PPH \*tinén look-for/hunt (MRY) NCr, Tag, Mb
- 93. s5 PPH \*tuqlid straight (MRY) SLz, Han, CPh, Kl, Mgd, Mb, Snl, Mon; +Br?
- 94. s7 PPH \*tubáR answer (MRY) Isg, Bot, SBs, Mk (NMb), Abr, Kl [Isg tubág pos < NPh \*t<u(m>a)báR, Bot db təbáy; pos only SPh]
- 95. s5 PPH \*tudul give (MPRYZ) Iv, Kl, Ati
- 96. s2 PPH \*tulduq to point (MRSY) NCr(Ilk)Kpm,CPh,Kl,Mn [Shift to "index-finger" common]
- 97. s7 PPH \*wak+wak crow Cas(Png), Bkd, Mam [cf. PHN \*uak crow; Bs \*wakwak witch; probably onomatopoetic]
- 98. s? PPH \*dik() lam night (RY) Agta hiklam, Tbw dikilum

# TABLE 3: PROTO-HESPERONESIAN INNOVATIONS - THE PHILIPPINE :: WESTERN AUSTRONESIAN CONNECTION

- 01. s5 PHN \*qa?dun sit (BRTYZ) P1,K1; Mny maharun [Blust 1973:#242]
- 02. s6 PHN \*a(m)bək mat (BMRY) NCr,CCr,SCr; Beta ambok [Blust 1980:#1]
- 03. s7 PHN \*qajən charcoal (DSYZ) NMq, Ib, Ml, Jv, TB, KB(etc.); Mn soot
- 04. s2 PHN \*a+ti [deictic: 3] (BRYZ) Pl,Kl,NMg,SMg; UJ,Busan,Malagasy [Blust n.d.: #23]
- 05. s5 PHN \*ðdóg back (anatomical) (BMRY) NCr,CCr,Bik; Sgh [Blust 1973:#253]
- 06. s5 PHN \*a+mis sweet (BMRSY) NCr, Mb, Sb, Bl, Sn, Tdn; Mr, UJ, Bar [Blust n.d.: #92]
- 07. s4 PHN \*ə+súŋ mortar (BRY) Bl; UJ, Bukit [Blust 1980:#127]
- 08. s5 PHN \*ikej cough (BMRY) NCr, NP1; Bintulu [Blust 1973:#247]
- 09. s7 PHN \*ilu orphan (BEMZ) Bs,Bk,Han,Mb; Iban iru [Blust 1970:#337][E = Elkins]
- 10. s4 PHN \*iném drink (BERYZ) WBs,Mk,Kl,Dn,Mb,Tir; KB,Bal,Sas,Rej [Blust n.d.: #109]
- 11. s4 PHN \*ipen tooth (DRSYZ) WBs, Tsg, Tag, Kpm, Mn; TB ipon
- 12. s3 PHN \*quntu tooth (generic) (NRYZ) WBs,Mk; Snd,Jv [Nothofer 1975:38]

## Table 3 (cont'd)

- 13. w6 PHN \*qutan debt (DMRY) [widespread, possibly Ph < In/Ml]
- 14. w7 PHN \*bálu widow (DMRY) [widespread Ph; Ach, Ib, Ml, OJv, etc.]
- 15. w7 PHN \*bálun provisions (things rolled-up for journey) (DMSZ) w Ph/In
- 16. s5 PHN \*batúk cough (ADNRYZ) S-L,Iry(Aln), Dn,WMb; PMJ [Nothofer 1975:124]
- 17. b7 PHN \*báyaD pay (NMRSYZ) [widespread Ph; but note PSn \*baeR, PMJ \*bayar [Nothofer 1975:143], hence pos PHN \*báyaR]
- 18. s4 PHN \*běkén (BMRYZ) [negator of nominals] NCr,CCr,Yami,NBs,Tsg,IBk,Han, K-C,Tir [neg]; NP1,Ke1,Kapuas other, different [Blust 1980:#52]
- 19. s7 PHN \*běŋėR deaf(ened); dumb (BMRYZ) NCr,Bk; Mkb,Bal [Blust n.d.:#49]
- 20. s7 PHN \*bihaR allow (to live); alive (CMS) NPh,Pal,Buh,Mar,Mon,Sn alive; Ml biar permit
- 21. s7 PHN \*búliR cluster of fruit (e.g. ear of grain; bunch of bananas) (DMNZ) NPh,CPh,Mar bunch; PMJ ear of grain [Nothofer 1975:127]
- 22. sl PHN \*bulún leaf (DMRY) NPh; TB, Mlg [cf. SPh medicine]
- 23. s7 PHN \*buŋət angry (BMRY) CCr,SCr,Mar,WBM; Kay;OJv(M) [Blust 1980:#81]
- 24. s5 PHN \*burin charcoal (RSYZ) Tir,Mn,Sn; Sml/Tsg; NgD burin
- 26. s7 PHN \*buya? see; look-for (RY) Ivt,Ilk,Rth; Sas,Mkr [Mills 1981:#62]
- 27. s6 PHN \*búyu<sup>?</sup> betel-leaf (BRYZ) Bs,Bk,Mk,Pl,Kl; Tabun, Balait [Blust 1973: #92]
- 28. s5 PHN \*dðRáŋ red (BMRTY) NCr,SCr,SPl; Limbaŋ, Boloŋan [Blust n.d.:#78; note Ml jəraŋ slow heating/toasting over an open fire and PanBk,Vir dugáŋ, Msk,Klg ma-gdaŋ dry pos < PHN \*zəRáŋ]
- 29. s2 PHN \*di(y)a? [deictic: 2/3] (BERY) Mb,Sin(Tir); Ib [Blust 1970:#152]
- 30. w5 PHN \*díRus bathe (DMNRY) Iv,NCr,Ilk,Bs,Bk,Mb,Bl; PMJ,TB [Nothofer 1975: 165]
- 31. w3 PHN \*dilaq tongue (DMRSYZ) PPH; M1(M), Ib, TB, etc. [Note: PAN \*Səma tongue, PHF \*dilaq lick contrast PFM \*li[d]am tongue]
- 32. sl PHN \*dúdu? breast (BRYZ) Mas,But,Tsg,Bik,Sb,NPl;(Sml),Wolio [Blust 1980: #108] [cf. Bl \*tutu? breast; PMJ \*zuzu? feed]
- 33. s7 PHN \*gak+gak *crow* [probably onomatopoeic] (DRY) Kl; Ml,Jv,Mlg [also: Abr <sup>?</sup>u+gak]
- 34. s7 PHN \*Rawə́d betel-leaf (BMRYZ) NCr,Ilk,CCr,SCr,NMg; Lepu-Pohun auat <awət> [Blust n.d.:#330]
- 35. b7 PHN \*Ríbu thousand (DMRYZ) [widespread as \*ríbu in most Ph < Ml/In; NB: Mar ngibo, Sb \*nibu, Sml ibu, Jv ewu]
- 37. s7 PHN \*ha(n)di? / db: \*həndi? no, not (BMRYZ) NCr,CCr,Bik,Bkd; Bukit, Gondan / SCr,Tag,WBs,IBk,Kl,Sb; Penudjaq, Mamben [Blust n.d.:#401]

# Table 3 (cont'd)

- 38. s7 PHN \*haRəZan ladder, staircase (DMS; Hendon) PPH; PMN \*ahdan; NgD həjan
- 39. s6 PHN \*hasək dibble (BMRSY) CCr,SCr,CPh,Tbl,Sn; Taboyan, Lawangan, Dusun-Dejah [Blust 1973:#292]
- 40. s5 PHN \*hawak body (DMNRSYZ) CCr,Bk,Mn,Sn; PMJ [Note: more widespread cognates with semantic shift to waist or trunk]
- 41. b6 PHN \*kam()din goat (BDYZ) [Widespread cognates of \*kambin and \*kandin, see Blust 1980:#173]
- 42. s7 PHN \*kawa'? spider (BMRYZ) Gad, Yog, CCr, Ibl, Kyp, Kly, Sb, Dbw, Mdy; M1, B1 [Blust n.d.: #134; note reduplication and other formatives]
- 43. w7 PHN \*kiday eyebrow (BMRYZ) Iv,NCr,Ilk,CCr,SCr,Sbl,Kpm,CPh,Pl(Kl)Sb,Dn(Mb), Kiput kira:y [Blust 1972b:#12]
- 44. s7 PHN \*kut+kut scratch (with claws) (BMSZ) Ilk,CCr,CPh,Dn,Mb; Ib,Ml [Blust 1970:#221; note semantic shifts + dig or grate]
- 45. s4 PHN \*laqlu pestle (BMRYZ) Ifg, Ibl, Kly, Kyp, Bot, Pl; KB [Blust 1980:#253]
- 46. s7 PHN \*lá(m)+pis cut-thin (DRYZ) Agta, Cas thin, CPh; TB, Mlg, Jv, Ml
- 47. s5 PHN \*layan to fly (DRY) Han, Mb, Tir(Bl); Sml; TB, Jv, Ml, NgD
- 48. w6 PHN \*lěnáh Sesamum indicum (DMSZ) NCr,Ilk,CCr,Ibl,Sbl,CPh,Han,Dn,Mb,Tir; TB,Ib,Ml,Jv,NgD [Dempwolff cites Oceanic cognates in the meaning saffron, viz: different semantics]
- 49. s5 PHN \*lə(m)páD to fly (BMRYZ) Sbl,CPh,Kl; Ml [Blust 1970:#247]
- 50. s7 PHN \*le(n)tiq thunder storm (BRYZ) Bs,Mk(Tag),Han,Sb,Dn,Bl; LgT,Bug [Blust n.d.:#196]
- 51. s5 PHN \*lin?ət sweat (BMRYZ) NCr,Ilk,CCr,SCr,Pl,Tir,Tbl; Mlg [Blust 1980: #283] [Dj: \*rin(a)?ət (Z) Aln,Iry rina?ət, Sml lino?ot, Jv kə/rinət]
- 52. s7 PHN \*luluj shin (DMRSYZ) Ifq,Sb,Tbl knee; (Ilk,Png),Bs(Tag),Mn;NgD
- 53. s5 PHN \*lú(n) tuq cook (DMRSYZ) NCr,Ilk(CCr)SCr,Sbl,Kpm,CPh,Pl,Kl,Dn,Mb,Mon,Mn; NgD
- 54. w6 PHN \*naŋka<sup>?</sup> jackfruit (DMNZ) (Ivt)NCr,Ilk,CBs,Tsg,Tag,Bik;Ib,Ml,Snd,Mad, TB [Note: Kpm yaŋka<sup>?</sup> would appear to indicate \*ñaŋka<sup>?</sup>] [See: PPH \*laŋka<sup>?</sup>, Table 1, #11]
- 55. s7 PHN \*pagər fence; enclosure (DS) Mn; Jv,Ml,NgD,Mlg
- 56. s7 PHN \*pahid to wipe (BRYZ) Bs, Bk, Tg, Pl, Kl (NMb), Gor; Kel [Blust 1970: #290]
- 57. s5 PHN \*pálaj palm (of hand) (DMRSY) (NCr,Ilk,CCr),Sina,Sbl,Kpm,CPh,Pl,Kl,Sb,Dn,Mb,Gor,Bl,Sn,Mn,Gor; Sml,TB,Mlg
- 58. s7 PHN \*pali? cut, wound (BRSY) Bs,Agy,Sb,Dn,Mb,Tir,Mon,Gor,Mn; Muka [Blust 1980:#333]
- 59. s7 PHN \*pa+saqan carry on shoulder (DMSYZ) CCr,Kia(Kpm),CPh,Kl,Gor,Mn;(TB)Ml [Note: Kly,Aln shoulder]
- 60. s6 PHN \*padək husk (of rice) (BRY) NP1; Kiput,Lon-Semado [Blust n.d.: #259]
- 61. s7 PHN \*pəkət stick(y) (BMRY) CCr,SCr,Mar,WBM: Ml [Blust 1973:#232]
- 62. s5 PHN \*pəra dry (DS) Mn,TB,Jv

Table 3 (cont'd)

- 63. s7 PHN \*piRsah abscess (BRYZ) Bk,Mb,Bl; Ib,Dalat [Blust n.d.:#288]
- 64. s5 PHN \*pipi cheek (DNRSY) Bkd, Bl, Sn, Mn; Jv, Ml, NgD, Mlg
- 65. s7 PHN \*púdut to pick (up) (BCSZ) CPh,Dn,Mb,Tir,Mon,Mn; Miri [Blust n.d.:#302]
- 66. s7 PHN \*sa(m)báw soup, broth (BMRYZ) (Cas,Ilt)Sbl,Kpm,CPh,Pl,Kl,Sb,Dn,Mb,Sn; LqA, LqSan, Ml [Blust n.d.:#338]
- 67. s7 PHN \*sawah [snake: python] (DRSYZ) CPh,Pl,Mn,Rth; Ib,Ml,Jv,TB,KB,Sml
- 68. s7 PHN \*sáyaw dance (BMZ) Ilk,Png,Kia,CPh,Mb,Dn; LgLabid; Uma leap; PSS [Blust n.d.:#356; Mills 1975:820]
- 69. s7 PHN \*səjəm ant (BMSZ;Mills) NCr,IBk,Moŋ,Pon,Mn; PSS [Mills 1975:821; db PHN \*si(n)jəm Blust n.d.:#371]
- 70. sl PHN \*sulu fingernail (BCESYZ) NMg finger; Mb,Mn; Busaŋ, Murik,Aŋ-Batak [Blust 1973:#97]
- 71. w5 PHN \*tabé? fat (DMRSTY) Iv,NCr,Ilk,CCr,SCr,Sbl,Kpm,Mk,Tg,Bk,Pl,Han,Sin,Mb,Bl,Gor,Sn,Mn; TB,Mlg
- 72. s7 PHN \*táma? hit the target; correct (DMZ) Ilk suitable, CPh,Kl,Han; Jv,Ml, Ib,Ach [Disassociated from Arabic tamma complete]
- 73. s7 PHN \*təy+təy bridge (DEMSZ) CCr ladder, NCr,Sbl,Kpm,CPh,Dn,Mb,Mn,Tir,Mon; Ml titian, Mlg tetezana
- 74. s5 PHN \*təntən see; look-at (BRSY) Mb, Dn, Tir, Mn; Ib [Blust 1972b: #111]
- 75. s4 PHN \*tuqlan bone (BCDMRY) Iv,NCr,Ilk(CCr)Ilt,IBk,Pl; Sml,Rj,Ml,Ach, etc. [cf. PAN \*tuqəlaN]
- 76. s5 PHN \*tuquh right (side) (DMYZ) Bk, Bs(Kl); Snd
- 77. s5 PHN \*tuquR dry (CNRYZ) Sina,Kpm(>Tag),Pl; Sml; Ib tu:r, Snd tuhur [Nothofer 1975:68 associates with PMP \*tuquD to stand, but the Ph evidence suggests a separate etymon]
- 78. s4 PHN \*uRsa deer (BMRY) NCr,Ilk,CCr,SCr,Sbl(Iv); TB [Db: \*Rusa Blust 1970: #367; see PSP \*?usá]
- 79. s7 PHN \*sayap wing (BFMRY) Iv to fly; Ib,Ml wing [Blust n.d.:#355]

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# A GENETIC GROUPING OF OCEANIC LANGUAGES IN BOUGAINVILLE AND THE WESTERN SOLOMONS

#### Malcolm Ross

#### INTRODUCTION

We take as our starting point for this paper the establishment by Tryon and Hackman (1983:56-64) of a Western Solomons grouping of Oceanic languages, a grouping which includes the Oceanic languages of the Shortlands, Choiseul, the New Georgia group and Santa Ysabel (except Mbughotu) (see Map 1).

The eastern boundary of this group is clearly defined by the fact that the Western Solomons languages have merged Proto-Oceanic \*d and \*R, but (except Mono-Alu of the Shortlands) reflect Proto-Oceanic \*l as a separate phoneme, whilst the languages of the South-East Solomons grouping (Pawley 1972; Tryon and Hackman 1983:65-66) have merged Proto-Oceanic \*l and \*R, but reflect Proto-Oceanic \*d as a separate phoneme.

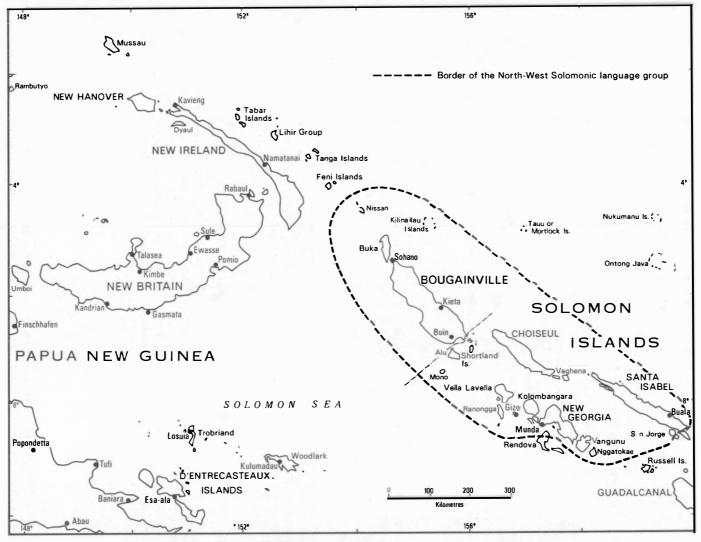
The western boundary of the Western Solomons grouping is not indicated by Tryon and Hackman, as their survey stops at the political boundary of the Solomon Islands. The westernmost language of the Western Solomons grouping, however, Mono-Alu of the Shortland Islands, clearly belongs to the Bougainville group (Ross 1982), whilst the major shared innovation of the Western Solomons languages, the merger of \*d and \*R, is also common to many of the Oceanic languages of Papua New Guinea (Milke 1965). The Western Solomons grouping is therefore open-ended at its western end, and the purpose of this paper is to explore its relationships with languages of the islands to its north-west, namely Bougainville and its outliers. We propose that the Oceanic languages of Tryon and Hackman's Western Solomons grouping, i.e. of the Shortlands, Choiseul, the New Georgia group and Santa Ysabel, and those of Bougainville, Buka and Nissan (Green) Islands form a single grouping, which we will call North-West Solomonic.<sup>2</sup>

### 2. THE NORTH-WEST SOLOMONIC GROUP: SHARED INNOVATIONS

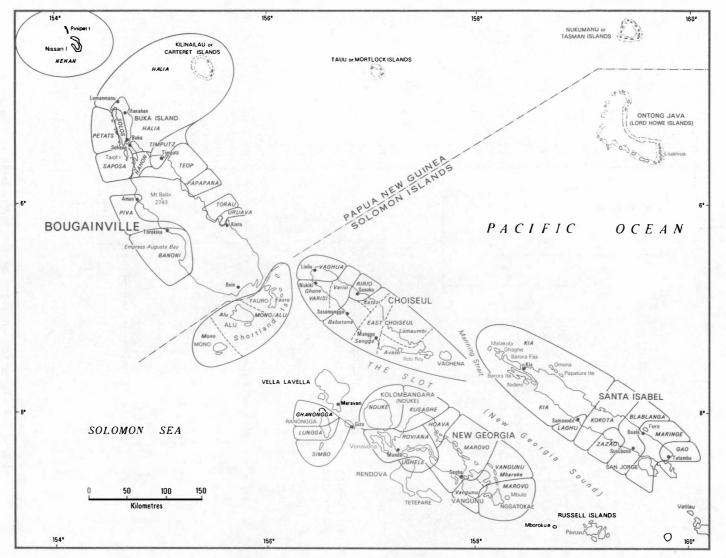
The languages of the North-West Solomons group<sup>3</sup> are shown on Map 2 and are listed in Figure 2-1. Information on the location, naming, and internal subgrouping of these languages is given for Bougainville by Ross (1982) and for the Shortlands, Choiseul, the New Georgia group and Ysabel by Tryon and Hackman (1983). The languages of this group reflect the following innovations (a table of sound correspondences is contained in the appendix):

Paul Geraghty, Lois Carrington and S.A. Wurm, eds FOCAL II: papers from the Fourth International Conference on Austronesian Linguistics, 175-200. Pacific Linguistics, C-94, 1986.

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Map 1: Location of the North-West Solomonic group of Oceanic languages



Map 2: Oceanic languages of the North-West Solomonic group

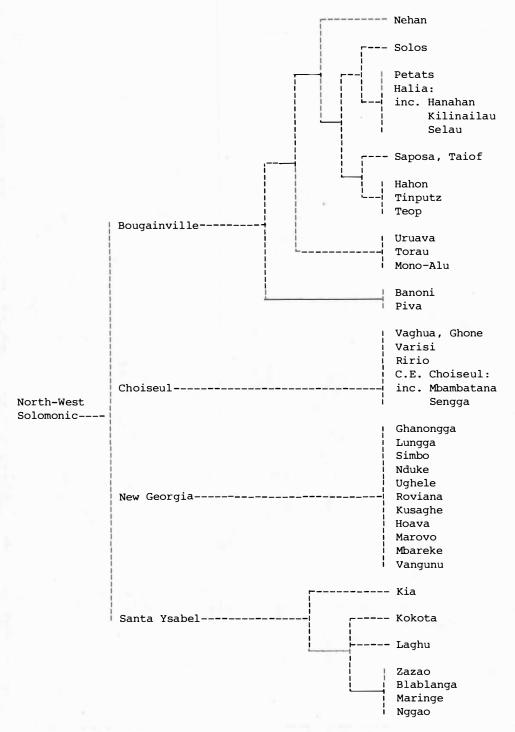


Figure 2-1: Oceanic languages of the North-West Solomons

- A. !OC \*d and \*R have merged as !NS \*r;
- B. !OC \*nd and \*nt have merged as !NS \*d;
- C. !OC \*s and \*j have merged as !NS \*s;
- D. !OC \*k has split into !NS \*k and !NS \*g;
- E. !OC \*p has split into !NS \*p and !NS \*v;
- F. !OC word-final \*-q has become the stop !NS \*-k (whereas !OC initial and medial \*q has merged with !NS \*g (< !OC \*k) or has been lost).
- G. !OC \*w has been lost.
- H. All languages except Ririo reflect a !NS echo vowel added after !OC word-final consonants.
- I. Languages (except Nehan) which retain reflexes of the non-third person !OC disjunctive pronouns have an accreted !NS \*r- before the initial vowel of each earlier vowel-initial pronoun.

Each of these innovations  $^{5}$  is briefly discussed and, where necessary, illustrated below.

## 2.1 The merger of !OC \*d and \*R

Innovation A, the merger of !OC \*d and \*R as !NS \*r is illustrated in the examples below:  $^{6}\,$ 

(1) !OC \*dani daytime > !NS \*rani

Bougainville: Nehan (ma-)rin, Solos nan, Petats len, Haku lan, Selau ran, Taiof nain, Banoni nam, Uruava rani, Torau rare, Mono-Alu lale

Choiseul: Vaghua rane, Varisi rani, Ririo ren, Mbambatana rani, Sengga rani

New Georgia: Lungga, Nduke, Roviana, Hoava, Vangunu rane

Ysabel: Kokota nare<sup>a</sup>, Ghove, Maringe (na-)rane

aProbable metathesis.

#### (2) !OC \*dua two > !NS \*rua

Bougainville: Nehan (to-)ri(-ki), Solos nu, Petats (hu-)lu, Haku (to-)l, Selau (i-)ra, Taiof (fua-)n, Papapana nu²a(-ta), Banoni (too-)m, Piva (to-)nua, Uruava rua, Torau (a-)rua, Mono-Alu (e-)lua

Choiseul: Vaghua (ka-)rua, Varisi (ka-)rua, Ririo (ke-)r, Mbambatana (ke-)re, Sengga (ke-)ri

New Georgia: Lungga (ka-)ru, Nduke (ko-)ri, Roviana, Hoava, Vangunu (ka-)rua Ysabel: Kia (pa-)lu(-gu), Kokota, Laghu (pa-)lu

### (3) !OC \*tugud stand > !NS \*tuguru

Bougainville: Nehan, Selau tur, Taiof tu-tun, Hahon cun, Tinputz, Teop sun, Papapana toonu, Banoni cigom, Piva cugonu

New Georgia: Lungga, Nduke turu

(4) !OC \*Ruma(q) house > !NS \*ruma

Bougainville: Solos, Taiof, Banoni, Mono-Alu numa, Petats, Haku luma, Selau, Uruava, Torau ruma

New Georgia: Lungga ruma, Nduke ru-ruma chest

Ysabel: Maringe ruma inside

(5) !OC \*logoR hear > !NS \*logoro

Bougainville: Nehan logor, Solos, Taiof nogon, Petats logon, Hako logolo, Selau rogono, Papapana, Banoni, Piva nogono, Uruava (ba-)ror(-i), Mono-Alu nogo

Choiseul: Vaghua ŋoŋoro, Lungga noŋoro

New Georgia: Lungga nonoro

(6) !OC \*[qa]paRa shoulder > !NS \*vara

Bougainville: Haku hala-hala, Selau war-wara, Torau ara, Mono-Alu hala

New Georgia: Lungga, Nduke, Roviana vara

Ysabel: Laghu fara

# 2.2 The merger of !OC \*nd and \*nt

Innovation B, the merger of !OC \*nd and \*nt as !NS \*d rests on an interpretation of the data which differs somewhat from Tryon and Hackman's (1983:56). They found that items in their data corpus which are usually reconstructible with !OC \*nd (e.g. !OC \*ndaun leaf) reflect this apparent \*nd in the same way as !OC \*d and \*R, and they therefore took it that !OC \*nd had merged with \*d and \*R in Western Solomons languages. There is, however, in our corpus, a small number of items showing a correspondence set which requires the reconstruction of !NS \*d, evidently reflecting !OC \*nd and \*nt (as distinct from !NS \*r, which reflects !OC \*d and \*R). We take it that Tryon and Hackman's putative \*nd items reflect !OC oral-grade \*d (i.e. !OC \*daun leaf). Examples of !NS \*d are:

(7) !OC \*mu[n]di back > !NS \*mudi

Bougainville: Nehan mudi, Petats, Haku muru, Selau, Banoni muri, Tinputz puri $^{\rm a}$ , Teop buri $^{\rm a}$ , Mono-Alu muri-muri later

New Georgia: Nduke, Roviana mudi

These forms and Proto-South-East Solomonic \*buri reflect a !OC doublet \*mpu[n]di.

(8) !OC \*mandinding cold > !NS \*madiding Bougainville: Haku mariring

(9) !OC \*kandoRa phalanger > !NS \*kadora

New Georgia: Lungga gadora

Ysabel: Maringe khadara

(10) !OC \*punti banana > !NS \*vudi

Bougainville: <sup>a</sup> Solos hut, Petats hur, Selau wur, Taiof fur, Teop vuri, Uruava vudi, Torau udi

New Georgia: Lungga vudi

<sup>a</sup>All Bougainville reflexes mean (banana) ripe<sup>7</sup>

(11) !OC \*-nti[a] their > !NS \*-di[a]

Bougainville: Solos, Petats, Teop, Banoni -ri, Haku -re, Selau, Taiof -r, Piva, Mono-Alu -ria, Uruava -di, Torau -dia

New Georgia: Lungga -di, Nduke -di-ria, Roviana, Hoava -dia, Vangunu -di-eri-kia

Ysabel: Kia, Kokota, Laghu, Blablanga, Ghove, Maringe -di

## 2.3 The merger of !OC \*s and \*j

Innovation C, the merger of !OC \*s and \*j, is common to much of Oceania (but has not occurred in certain Admiralty Islands languages, where Proto-Austronesian \*j is retained as a separate phoneme (Blust 1978), nor in some Fijian communalects (Geraghty, this volume)). Since the value of this merger as a subgrouping criterion is consequently low, it is not illustrated here.

# 2.4 The split of !OC \*k

The important feature of Innovation D, the split of !OC \*k into !NS \*k and \*g, is that, for the large majority of items containing !OC \*k, daughter-languages in the North-West Solomonic region agree in reflecting either !NS \*k or !NS \*g in a given item - we do not encounter a haphazard mixture of \*k- and \*g-reflexes. This is illustrated below:

!OC \*k- > !NS \*k-:

(12) !OC \*k[a,u](n) supe  $rat > !NS *ku(s,j)u^a$ 

Bougainville: Nehan kih, Solos, Sealau, Taiof, Banoni kiso, Hahon kuso, Teop kuho

Choiseul: Vaghua köj, Varisi kuzu, Ririo kuj

Ysabel: Maringe na-kusi

<sup>a</sup>Unexpected loss of !OC -pe.

(13) !OC \*kai one > !NS \*kai, \*kai-sa

Bougainville: Uruava ka-a, Torau ka-sa, Mono-Alu ka-la

Choiseul: Vaghua, Varisi ka-la, Ririo ki-ki, Mbambatana ka-ke, Sengga kö-ke

New Georgia: Nduke ke-ka, Roviana, Hoava ke-ke, Vangunu me-ka

Ysabel: Kia kai-ke-gu, Kokota kai-ke-u, Laghu kai-ke, Blablanga kai-sa, Ghove, Maringe ka-ha

!OC \*-k- > !NS \*-k-:

(14) !OC \*mpaku cheek > !NS \*baku

Bougainville head: Solos bak, Petats, Haku baku

Ysabel: Kia bako

!OC \*-k- > !NS \*q-:

(15) !OC \*kani eat > !NS \*qani

Bougainville: Nehan, Tinputz en, Solos h-en, Petats n-en, Haku n-an, Taiof ain, Hahon an-an, Teop an, Papapana, Torau ani, Uruava ana, Mono-Alu aan

New Georgia: Lungga ga-gani, Roviana gani-gani

Ysabel: Kia gani-ni

(16) !OC \*kutu louse > !NS \*gutu

Bougainville: Nehan ut, Solos pe-h-ut, Petats pi-h-ut, Haku wutu, Selau wut, Teop us, Papapana ut-auno, Piva qucu, Uruava, Mono-Alu utu

Choiseul: Vaghua gec, Varisi utu, Ririo v-uc, Mbambatana v-utu, Sengga v-otu

New Georgia: Nduke v-utu, Roviana, Hoava gutu, Vangunu utu

Ysabel: Kia, Laghu gutu, Kokota, Blablanga gutu

!OC \*-k- > !NS\*-q-:

(17) !OC \*ikan fish > !NS \*igana

Bougainville: Nehan, Taiof ian, Solos iean, Petats, Tinputz ien, Haku, Hahon, Teop, Papapana, Uruava, Mono-Alu iana, Selau iena, Piva v-igana, Torau iala

Choiseul: Vaghua, Varisi iŋana

New Georgia: Lungga, Nduke, Roviana, Hoava igana, Vangunu ihana

an for expected g

(18) !OC \*matakut fear >!NS \*matagutu

Bougainville: Solos ratout<sup>a</sup>, Petats matout, Haku matutu, Selau matut

New Georgia: Lungga matagu, Roviana matagutu

Ysabel: Blablanga, Ghove, Maringe mhagu

ar- unexplained.

In North-West Solomonic, !OC \*k is far more frequently reflected as !NS \*g than !NS \*k, and of the items reflecting !NS \*k, the large majority are instances of initial \*k. This is consistent with the hypothesis that, at some stage prior to North-West Solomonic, a process changing !OC \*k to \*g had got under way, but been arrested before it had spread to all potential candidates in the lexicon. Since it is predictable that this change would affect medial \*k before initial \*k, the pattern of the data is consistent with the hypothesis.

It could well be argued that the presence of \*k items is due to borrowing, and there is indeed a small sprinkling of items in which languages do not agree in their reflex of !OC \*k. Thus in the case of !OC \*mpakiwa shark below, it is

probable that the reflexes in example (19) reflect an early borrowing, since the \*-u- of !NS \*bakua-i possibly reflects !OC \*-w-, which is normally lost in Proto-North-West Solomonic, whilst the reflexes in example (20) are expected forms:

(19) !OC \*mpakiwa shark > !NS \*bakua-i

Bougainville: Nehan bakue, Solos bake, Haku baki, Teop, Uruava baku-baku, Banoni bakuo, Mono-Alu ba<sup>2</sup>oi

Choiseul: Vaghua bakui, Varisi bakuai, Ririo bo?ove

(20) !OC \*mpakiwa shark > !NS \*bagea

New Georgia: Lungga bagea

Ysabel: Kia, Kokota, Maringe bae-su

However, the distribution of the two reflexes of !OC \*k and the fact that a similar distribution is found both to the north-west (in New Ireland) and to the south-east (in South-East Solomonic; cf. Levy n.d.) makes it unlikely that borrowing has played any recent major role in producing two reflexes of !OC \*k. Indeed, to the north-west, k- and g-reflexes occur in much the same items as they do in the North-West Solomonic languages.

## 2.5 The split of !OC \*p

Innovation E, the split of !OC \*p into !NS \*p and \*v, is the labial equivalent of innovation D, and is illustrated below:

!OC \*p- > !NS \*p-:

(21) !OC \*posi squeeze > !NS \*po(z,j)i
 Bougainville: Nehan pos, Solos pot, Petats, Haku, Selau, Taiof poc, Teop pos
 Ysabel: Ghove boji, Maringe poji

(22) !OC \*pusi break wind > !NS \*pusi

Bougainville: Petats pus buttocks, Haku pisi break wind, Tinputz pih, Teop pihi

Choiseul: Mbambatana pusu

New Georgia: Roviana pisi

(23) !OC \*patu round object > !NS \*patu

Bougainville head: Taiof, Papapana, Uruava patu, Hahon pac, Tinputz, Teop pasu, Torau pau

Choiseul seed:  $^{\mathsf{a}}$  Vaghua pacə, Varisi patu-ru, Ririo puce, Mbambatana p $\ddot{\mathsf{o}}\mathsf{t}\dot{\mathsf{i}}$ , Sengga pətu

New Georgia seed: a Vangunu patu

Ysabel head: Kia, Kokota pau, Blablanga, Ghove, Maringe phau

<sup>a</sup>A nasal-grade form, !OC \*mpatu, !NS \*batu, is reflected in Choiseul with the meaning head or chieftain, and in New Georgia with the meaning head.

(24) !OC \*pujaq foam > !NS \*puzaka

Bougainville: Haku posa, Teop poha

Choiseul: Mbambatana pusaka

New Georgia: Roviana puzaka

!OC \*p- > !NS \*v-:

(see also example (6))

(25) !OC \*pitu seven > !NS \*vitu

Bougainville: Nehan (to-)witi, Solos hit, Petats (to-)hiet, Haku (to-)hiti, Taiof fit, Uruava uitu, Torau tu, Mono-Alu hitu

Choiseul: Vaghua (ka-)vuc, Varisi (ka-)vitu, Ririo z-iuc, Mbambatana vitu, Sengga vettu

New Georgia: Lungga, Nduke vitu

Ysabel: Kia vitu(-gu), Kokota fitu(-gu), Laghu, Blablanga, Ghove, Maringe fitu

(26) !OC \*papine woman > !NS \*vavine

Bougainville: Petats hihin woman, Haku hahine cross-sibling, Taiof fafine cross-sibling, Hahon, Papapana vavine cross-sibling, Torau baina woman, Mono-Alu hahine cross-sibling

Choiseul cross-sibling: Vaghua vavene, Varisi vavani, Ririo vavivi, Mbambatana, Sengga vavini-a

New Georgia: Roviana vine $(-ki)^b$  female, Hoava hina $(-guru)^b$  woman, Vangunu vavene sister

ab- for expected  $\emptyset$ . b!OC \*pa- lost.

!OC \*-p- > !NS \*-v-:

(see also !OC \*papine above)

(27) !OC \*sapa what > !NS \*sava

Bougainville: Nehan hawa, Solos sah, Petats, Taiof, Torau sa, Haku ha, Selau (n-)awa, Banoni sava, Uruava (n-)ava, Mono-Alu aha

Choiseul: Vaghu ava(-na), Varisi, Ririo, Mbambatana ava

New Georgia: Lungga sa, Nduke saga, Roviana, Hoava (na-)sa

(28) !OC \*lipo(n) tooth > !NS \*livo

Bougainville: Nehan liwo, Solos, Mono-Alu niho, Petats, Haku liho, Selau luwo, Tinputz livo, Teop rivo, Torau nio(-tau)

New Georgia: Lungga, Nduke, Roviana livo, Vangunu livo(-no)

The distribution of !NS \*p and \*v refleces of !OC \*p resembles that of !NS \*k and \*g reflexes of !OC \*k, except for the fact that !NS \*p never occurs medially in reflexes of Proto-Oceanic items, indicating that the process which changed \*p to \*v was completed, or nearly so, in items with medial \*p.

# 2.6 The reflex of !OC word-final \*-q

Innovation F is that !OC word-final \*-q became the stop !NS \*-k. Sound correspondences relevant to this innovation are set out in Table 2-1.

Table 2-1: North-West Solomons reflexes of Proto-Oceanic \*k and \*q

!OC	*k1	*k2	*q	*q/_#
!NS	*k	* <del>g</del>	*q	*k
Nehan		Ø	Ø	Ø
Solos	k	Ø	Ø	
Petats	k	Ø	Ø	
Haku	k	Ø	Ø	Ø
Selau	k	Ø	Ø	k
Taiof	k	Ø	Ø	k
Hahon	k	Ø	Ø	
Tinputz	k	Ø	Ø	Ø
Teop	k	Ø	Ø	Ø
Papapana		Ø	Ø	Ø
Banoni	k	g-; -g-,-Ø-	g,Ø	9
Piva	k	g-; -g-,-Ø-	Ø-; -g-,-Ø-	
Uruava	k-	Ø	Ø	Ø
Torau	k-,Ø-	Ø	Ø	Ø,k
Mono-Alu	k,?-,Ø-	Ø	Ø	7
Vaghua	k	9	g,Ø	k
Varisi	k	g,Ø	g,Ø	k
Ririo	k,?	g,Ø	Ø	?
Mbambatana	k	g,Ø	Ø	
Sengga	k	Ø	Ø	
Lungga	k	g-,Ø-; -g-	Ø	k
Nduke	k	g,Ø	Ø, <del>g</del>	
Roviana	k	9	Ø	k
Hoava	k	9	Ø	k
Vangunu	k	Ø,h,g	Ø	
Kia	k	9	g-; -Ø-	k
Kokota	k	g,g; g/_C	g-; -Ø-	
Laghu	k	9	g-; -Ø-,-g-	k
Blablanga	k,kh	9,9	9	
Ghove	k,kh	g; g/_C	g-,Ø-; -Ø-	
Maringe	k,kh	g; g/C	g-,Ø-; -Ø-	

The columns headed !OC \* $k_1$  and !OC \* $k_2$  represent the two correspondence sets discussed under innovation D above, and giving rise to the reconstruction of !NS \*k and \*g. !OC initial and medial \*q have been deleted or merged with !NS \*g in North-West Solomonic languages. For example (see also example (3), !OC \*tuqud stand):

# (29) !OC \*mpaReqo breadfruit > !NS \*bareqo

Bougainville: Nehan, Selau, Torau bario, Haku, Mono-Alu baleo, Taiof vare, Tinputz panio, Teop baneo, Uruava bareo

Choiseul: Vaghua, Varisi bareĝo, Ririo, Mbambatana, Sengga bario Ysabel: Blablanga, Ghove, Maringe hneĝo

!OC final \*-q, however, shows a stop reflex in a number of languages, suggesting that the !NS reflex of !OC \*-q was a voiceless velar stop. For example (see also example (24), !OC \*pujaq foam):

- (30) !OC \*mpuaq areca nut > !NS \*buaka
   Bougainville: Selau boko, Taiof bok, Banoni bugava (metathesis), Torau
   buka
- (31) !OC \*toloq eel > !NS \*toloko
   Choiseul: Vaghua, Varisi toloko
   New Georgia: Hoava toloko
- (32) !OC \*papaq short > !NS \*papaka

  Bougainville: Mono-Alu papa?a-na

  New Georgia: Lungga, Roviana papaka
- (33) !OC \*luaq tears > !NS \*luak(a)

  Choiseul: Ririo lua? water

Of particular note is the fact that !OC final \*-k is not preserved as a stop, but, having acquired an echo vowel in Proto-North-West Solomonic, has behaved like !OC medial  $*k_2$ , and become !NS \*9:

- (34) !OC \*tasik salt water > !NS \*tasigi
   Bougainville: Selau, Taiof tasi, Banoni tagisa (metathesis)
   Ysabel: Kia, Kokota, Blablanga tahi
- (35) !OC \*manuk bird > !NS \*manugu
  Bougainville: Banoni, Piva manugu
  New Georgia: Lungga manugu, Roviana manuvu, Vangunu maunu
- (36) !OC \*mpoRok pig > !NS \*borogo

  Bougainville: Papapana boro, Banoni borogo

  New Georgia: Lungga borogo, Nduke bogoro (metathesis)

The correspondences in Table 2-1 suggest that !OC \*k first underwent the process which turned the majority of its occurrences into !NS \*g. After this had occurred, !OC non-final \*q merged with \*g or was lost, but !OC final \*q became a voiceless velar stop.

#### 2.7 The loss of !OC \*w

Innovation G, the loss of !OC \*w, is illustrated in example (20) above and in the following:

(37) !OC \*siwa nine > !NS \*sia

Bougainville: Nehan (lu-)sio, Solos sie, Petats, Selau (to-)sie, Haku (to-)si, Taiof, Torau sia, Banoni v-isaa, Uruava ia, Mono-Alu (u-)lia

Choiseul: Vaghua (ka-)x-əsa (metathesis), Varisi ka-ia, Ririo, Mbambatana, Sengga zia

New Georgia: Lungga, Nduke, Roviana, Hoava, Vangunu sia

a!NS \*sia > Pre-Banoni \*isa (by metathesis) > Banoni v-isa (by regular v-accretion)

(38) !OC \*lawe plumage > !NS \*lae

Bougainville: Nehan la-le

Ysabel: Kia lae wing

(39) !OC \*walu eight > !NS \*alu

Bougainville: Nehan (to-)ali, Solos (to-)an, Petats, Haku, Selau (to-)wal, Taiof (ji-)an, Uruava aru, Torau anu, Mono-Alu alu

Choiseul: Vaghua (ka-)z-al, Varisi (ka-)z-alu, Ririo z-ol

(40) !OC \*wakaR root > !NS \*agara

Bougainville: Selau ara, Hahon, Teop ana, Tinputz an, Uruava, Torau

New Georgia: Lungga, Nduke agara, Hoava agoro

Ysabel: Kia z-agara, Kokota, Blablanga z-agra

(41) !OC \*waRoj string > !NS \*aroso

Bougainville: Mono-Alu alolo

Ysabel: Kia (n-)aroho, Kokota (n-)arho, Maringe (ñ-)arho

(42) !OC \*kawil fish hook > !NS \*gaili

Bougainville: Nehan i-ail, Solos enania, Petats ilalia, Haku lali, Taiof ir, Teop iri, Banoni airi, Torau aini, Mono-Alu aili

New Georgia: Lungga gaili

Ysabel: Kokota Gaili

<sup>a</sup>Apparently !NS gaili > Pre-Proto-Buka \*aili (expected form) > Proto-Buka \*ilali (by metathesis and reduplication)

This interpretation of the data is a little different from Tryon and Hackman's (1983:61), who find two instances in which !OC \*w appears to be reflected by f or v in Ysabel languages and therefore suggest that !OC \*w has not been lost on Ysabel. However, examples (20), (38), (40), (41), and (42) above indicate loss of !OC \*w on Ysabel. The examples cited by Tryon and Hackman are:

(43) !OC \*siwa nine >

Ysabel: Kokota hnevau, Blablanga hneva, Ghove, Maringe heva

(44) !OC \*ma-ñawa breathe >

Ysabel heart: Kokota, Blablanga na-nafa, Ghove ña-ñafa, Maringe na-ñafa

In the case of example (43), it is probable that the forms cited are not derived (or not directly derived) from !OC \*siwa. The expected Ysabel reflex of !OC \*siwa, !NS \*sia is \*hia, and this is indeed found in Nggao fa-hia. Since Nggao has otherwise expected reflexes of the numerals, prefixing those from five upwards with fa-, it is reasonable to suppose that Nggao reflects the directly inherited form of !OC \*siwa and that the forms in example (43) have some other source, about which, however, we can only speculate. The forms in example (44) remain unexplained. Ghove and Maringe reflect expected ñ, but the -f- of -ñafa suggests !NS \*-ñava, not expected \*-ñawa. However, Vaghua (Choiseul) ma-nava liver also reflects !NS \*-ñava, indicating that an idiosyncratic innovation may have taken place in this item.

#### 2.8 Echo vowels

Innovation H, whereby an echo vowel was added in Proto-North-West Solomonic after a Proto-Oceanic final consonant (which vowel is subsequently lost in a minority of daughter-languages under the same conditions as they lose Proto-Oceanic final vowels) is liberally illustrated in examples throughout this paper and is not further discussed here.

#### 2.9 Accreted \*r- on pronouns

Innovation I states that languages (except Nehan) which retain reflexes of the non-third person !OC disjunctive pronouns have an accreted !NS \*r- before each earlier vowel-initial pronoun. This statement takes as its starting point two of Tryon and Hackman's observed innovations, namely that the languages of Choiseul, the New Georgia group and Santa Ysabel all reflect a form \*a-rau I, instead of the expected \*(n) au (1983:57), and that Choiseul reflexes of !OC \*koe thou, \*kami we(excl.) and \*kamu you all reflect initial \*r- instead of initial \*k- (1983:60). However, the relevant data from Bougainville give grounds for combining and extending these two observations.

A few languages have non-third person disjunctive pronoun forms which cannot be attributed to the disjunctive pronouns of Proto-Oceanic or Proto-North-West Solomonic, and these will not be considered here.  $^{8}$  Forms with which we are concerned are listed in Table 2-2.

A large number of the pronouns in Table 2-2 show a prefixed e-, a-, ia- or o-, and in the North Bougainville languages (Solos to Teop in Table 2-2) each pronoun has a non-focal variant from which the prefix is missing. There is good reason to reconstruct three of these prefixes as articles in Proto-North-West Solomonic: \*a 'common singular', \*o 'common mass/plural', \*e 'personal'. Their distribution in Table 2-2 suggests that \*o was not normally used with pronouns, but that \*e and \*a certainly were, although the usage of \*a 'common article' (instead of expected \*e 'personal article') remains unexplained. Torau -di and the suffixes on Ysabel plural pronouns are number-markers, whilst the suffixes on Choiseul plural pronouns are subject pronouns, originally proclitics to the verb, which have become enclitics to the disjunctive pronoun.

Table 2-2: Selected disjunctive pronoun forms in North-West Solomonic languages

	I	thou	we(excl.)	you
Nehan	+[ i ]o	+[i]a	+[i]em <sup>a</sup>	+ om <sup>a</sup>
Solos	[e]na	[e]no	+[e]mem	+[e]mu
Petats	e-lia	e-lou	e-lam	e-lomi
Haku		a-lo	a-lam	a-limu
Hanahan	a-lia	a-lo	a-lam	a-limiu
Selau	a-la	a-li	+ a-mam	+ a-mu
Taiof	a-iña	a-noh	+ a-mam	a-ñim
Hahon	e-ne		+ e-mam	+ e-am
Tinputz	e-iö		+ e-mom	+ e-öm
Teop	e-na		e-nam	+ e-am
Papapana	a-niau	a-nioi		+ a-mu
Banoni	na	no	+ gamam	+ gam
Piva	a-na	+ a-goi	+ a-gamam	+ a-eam[i]
Uruava	a-ria	a-ro	a-ramani	a-ramu
Torau	+ i-nau	+ i-ne	+ ni-mani-di	+ ni-mu[-di
Vaghua	a-ra	+ a-go	o-re	o-ram
Varisi	e-ra	a-ro	remu-mi	ramu-mamu
Ririo	ra	<del>g</del> e-r	rem	ram
Mbambatana	ra	re	rami-mami	ramu-mamu
Sengga	a-ro	re	rami-mami	ramu
Lungga	a-ra	a-o	a-qei	a- <del>g</del> ou
Nduke	rai	goi	gami	gamu
Roviana	a-rau	a-goi	gami	gamu-kasa
Hoava	rao	goe	gami	gamu
Vangunu	e-ra	i-o	ami	amu-kia
Kia	a-ra	a- <del>g</del> o	gai	gau
Kokota	a-ra	a-go	gai	gau
Laghu	a-ra	a- <del>g</del> o	<del>g</del> ai-har	<del>g</del> av-haro
Blablanga	a-ra	a- <del>g</del> o	gai	<del>g</del> o-tilo
Ghove	ia-ra	ia- <del>g</del> o	<del>g</del> a-hati	<del>g</del> o-tilo
Maringe	ia-ra	ia- <del>g</del> o	ge-hatihui	<del>g</del> o-tilo

<sup>&</sup>lt;sup>a</sup>Todd (1978:1184) gives [i]am for both *we*(excl.) and *you*. The forms used here are from my fieldnotes (my informant is from Yatchibol village, Nissan Island).

Note: The significance of + and of the box is explained in the text.

Pronouns which do not reflect accreted !NS \*r- are marked in two ways in Table 2-2: the New Georgia and Ysabel pronouns other than I are boxed in, and the various occurrences of pronouns without accretion in Bougainville and Choiseul are indicated with a '+'. It is clear that many of these can be explained as reflexes of well-established Proto-Oceanic forms, with or without a reflex of a preceding article:  $^9$ 

(45)		!OC	!NS
	I	*[i]au	*[i]au
	thou	*ko[e]	* <del>g</del> o[e]
	we(excl.)	*kami	*gami
	чои	*kam[i]u	*gam[i]u

The most economic explanation of the you-forms Solos [e]mu, Selau, Papapana a-mu, Hahon, Teop e-am, Tinputz e-öm is that the expected !NS form \*gamu, preceded by one or other of the articles (\*a-gamu, \*e-gamu), was reduced by expected loss of !NS \*g to \*a-amu, \*e-amu. If the same sequence of decay is attributed to the we (excl.)-forms Solos [e]mem, Selau, Taiof a-mam, Hahon e-mam, Tinputz e-möm, then we need to reconstruct as an alternant to !NS \*gami we(excl.) a !NS form \*gamami (> \*a-gamami, \*e-gamami > \*a-amami, \*e-amami), which is in any case reflected in Banoni \*gamam and Piva a-gamam.

We are left with a small number of \*r-less forms not thus explained. These are Nehan [i]a thou, which will remain unexplained, and the Torau forms, which show a similarity to the forms of their Bougainville neighbours, but contain a morpheme n[i] whose origin is unclear.

The forms in Table 2-2 which do reflect accreted initial \*r- have a skewed distribution: I always receives an accretion (except in Nehan, whose pronouns never receive it), whilst the other three pronouns receive it only in Bougainville and Choiseul and only sporadically. I apparently always receives accreted \*r-because !OC/!NS \*[i]au is vowel-initial. The other three forms do not always receive it because they are not vowel-initial: !NS initial \*g- intervenes. It is therefore tempting to explain the sporadic occurrence of \*r- as an accretion after the loss of !NS \*g-, i.e., as an independent parallel innovation in different groups of languages with the occurrence of a new initial vowel. Several facts speak against this interpretation, however:

- a) \*r- is almost universally present in I-forms. If we assume that \*r-accretion occurred in the other forms after loss of \*g-, then we must also assume not only that accretion after loss of \*g- occurred independently in different groups of languages, but also that \*r-accretion on I-forms similarly occurred independently, as it is part of the same process. Yet the near-universal presence of \*r- on I-forms makes its reconstruction in Proto-North-West Solomonic a far more plausible hypothesis than independent parallel innovation. This in turn implies the reconstruction of forms with accreted \*r- for all four pronouns, if we take it that all four underwent the same process.
- b) On the parallel innovation hypothesis, the Banoni thou form should be \*\*go(i) (cf. Piva a-goi), as we do not expect loss of \*g in Banoni, but in fact we find no; a similar argument applies to the thou, we(excl.) and you forms of the Choiseul languages, where, on the parallel innovation hypothesis, \*g- has been inexplicably replaced by \*r-. Both Banoni no thou and the Choiseul forms require the reconstruction of \*r-forms in Proto-North-West Solomonic.
- c) If Lungga a-ra, Vangunu e-ra I, have acquired r- as the result of late independent innovation, then we would expect Lungga a-o thou, Vangunu i-o thou, ami we (excl.), and amu-kia you also to have acquired r-, but they have not. If, however, we take it that

Proto-North-West Solomonic had both \*r-pronouns (whence the I forms) and \*g-pronouns (whence a-o, i-o, ami and amu-kia with expected \*g-loss), then the apparent anomaly is explained.

In view of these considerations we reconstruct vowel-initial alternant forms in Proto-North-West Solomonic, to which \*r- was accreted before the break-up of the proto-language:

(46)		!OC	!NS		
			I	II	III
	I thou we(excl.)	*[i]au *ko[e] *kami	*[i]au *go[e] *gami	*o[e] *ami	*r-[i]au *r-o[e] *r-ami
	you	*kam[i]u	*gamami *gam[i]u	*amami *am[i]u	*r-amami *r-am[i]u

This \*r- has a probable cognate in the Nehan ligature -r-, which has a variety of functions, some now fossilised, some still productive. One of its productive functions is that it stands between a predicate and a following subject noun phrase:

(47) a yan k-e eni-ni<u>-r</u> keketik ART fish ASP-SUBJ eat-OBJ-LIG child (It's) a fish the child is eating.

Such occurrences of -r- are common, as Nehan preposes a contrastive and/or newly introduced topic and postposes after -r- a subject noun phrase that does not meet one of these conditions. The ligature -r- is also used between a possessed noun phrase and its possessor noun phrase:

(48) na tinihe-r toya POSS canoe-LIG chief The chief's canoe

In the North Bougainville languages the focal, preposed pronoun set is as shown in Table 2-2, whilst the postposed set, used as in Nehan, formally resembles the preposed set but lacks the prefixed article. Thus, for example, the preposed form Selau a-la I has a postposed equivalent -la:

- (49)  $\frac{\text{ala}}{I}$  e tara-ya tawor I vi see-TR woman I saw the woman
- (50) e Taga t-e tare-i-la ART Taga REL-VI see-OBJ- $\overline{I}$  (It was) Taga that I saw
- (51) a ruma ta-g-la
  ART house of-my-I
  My house.

We may perceive the role evidently played by the ligature \*-r- in the antecedents of the constructions in examples (50) and (51) as follows:

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- (52) tare-i-la < \*tara-i-r-au see-OBJ-I see-OBJ:3s-LIG-I
- (53) ta-g-la < \*ta-gu-r-au of-my-LIG-I

It is likely that the ligature became a fossilised attachment to the disjunctive pronoun, generalised to all its uses.

#### 2.10 Review

Nine innovations have been presented which are shared in common by the languages of the North-West Solomonic region. Whilst some of these innovations are also found outside the region and the value of the individual innovations as subgrouping criteria is varied, the fact that this group of around 35 languages shares this bundle of innovations is reasonable evidence that they have a common ancestor of a lower order than Proto-Oceanic.

APPENDIX
Bougainville and Western Solomons sound correspondences

!OC	* <sup>p</sup> 1	*p2	*mp	*w	*m	*mw
!NS	<b>*</b> p	*v	*b	*Ø	*m	
Nehan	р	w; Ø/_u	Ь	Ø.	m	
Solos	P	h	Ь	Ø	m	
Petats	Р	h	Ь	Ø	m	
Haku	Р	h	Ь	Ø	m	m
Selau	Р	w; -u	Ь	Ø	m	
Taiof	Р	f	b,v	Ø	m	
Hahon	Р	V	Ь	Ø	m	
Tinputz	Р	v; -Ø,-h	Р	Ø	m	
Teop	Р	V	Ь	Ø	m	
Papapana	Р	V	Ь		m	
Banoni	Р	v; v,g/#_o,u	Ь	Ø	m	
Piva	Р	v	Ь		m	
Uruava	Р	v; Ø/_u	Ь	Ø	m	
Torau	Р	Ø –	Ь	Ø	m; -ŋ	
Mono-Alu	р	h; -Ø	b	Ø	m; -ŋ	
Vaghua	р	v	Ь	Ø	m	
Varisi	Р	V	Ь	Ø	m	
Ririo	P	v; Ø/#_i,e	Ь	Ø	m	
Mbambatana	Р	V	Ь	Ø	m	
Sengga	р	V	Ь	Ø	m	
Lungga	р	V	Ь	Ø	m	
Nduke	Р	V	Ь	Ø	m	
Roviana	P	V	Ь	Ø	m	
Hoava	P	V	Ь	Ø	m	
Vangunu	р	V	Ь	Ø	m	
Kia	р	f	Ь	Ø	m	m
Kokota	p; f/_C	f	Ь	Ø	m	
Laghu	p; f/_C	f; b/_C	Ь		m	m
Blablanga	ph; f7_C	f	Ь	Ø	m	
Ghove	ph; f/_C	f	Ь		m	
Maringe	ph; f/_C	f	Ь	Ø	m	

# APPENDIX (cont'd)

!OC	*t	*nt,*nd	*n	*ñ	*1
! NS	*t	*d	*n	*ñ	*1
Nehan	t	d	n	n	1
Solos	t	r; -t	n	n	n
Petats	t; c/_i	r	n	n	1
Haku	t; c/_i	r	n	n	1
Selau	t; c/_i	r	n	n	l,r
Taiof	t; c/_i	r	n; ŋ/_*i#	n	n,r
Hahon	t; c/_i,u		n		n
Tinputz	t; s/ <b>_i</b> ,u	r	n		n,l
Teop	t; s/_i,u	r	n		n,r
Papapana	t; s/_i	r	n		n
Banoni	t; c/_i,u	r	n; -m	n; -m	n,r
Piva	t; c/_i,u	r	n		n,r
Uruava	t; s/_i	d	n		r
Torau	t	d	n		n,l
Mono-Alu	t	r	n; -ŋ; 1/_V1,1V_	•	l,n
Vaghua	t; c/_i ,u		n	n	1
Varisi	t; s/_i		n		1
Ririo	t; c/_i,u_	d	n		1
Mbambatana	t	d	n		1
Sengga	t	d	n		1
Lungga	t	d	n	ñ	1
Nduke	t	d	n	n	1
Roviana	t	d	n	n	1
Hoava	t	d	n	n	1
Vangunu	t	d	n	n	1
Kia	t	d	n	n	1
Kokota	t	d	n	n	1
Laghu	t	d	n	n	1
Blablanga	t,th	d	n	n	l; g1-
Ghove	t,th; k/_C	d	n	ñ	l; g1-
Maringe	t,th; k/_C	d	n	ñ	l; g1-

# APPENDIX (cont'd)

!OC	*d,*R	*s,*j	*ns	*nj	*y	*k 1
!NS	*r	*s	*z	*j	*Ø	*k
Nehan	r	h	S		Ø	
Solos	n	S	S	r		k
Petats	1	S	S	r	Ø	k
Haku	1	S	S	r		k
Selau	r,l	S	S		Ø	k
Taiof	n; ŋ/ *i; -r-,-n-	S	S	r		k
Hahon	n; -r-,-n-	S				k
Tinputz	n; <b>-</b> Ø-	h				k
Teop	n; -n-,-r-	h		r		k
Papapana	n; -n-,-r-	s,t		r		
Banoni	n; -n-,-r-; -m	S	S		Ø-	k
Piva	n	S			Ø	k
Uruava	r	Ø	Ø	d	Ø	k-
Torau	r	S	S	d	Ø	k-,Ø-
Mono-Alu	l,n	l,s	1	r	Ø	k,?-,Ø-
Vaghua	r	Ø	s	j		k
Varisi	r	Ø	S	z		k
Ririo	r	Ø	S	j		k,?
Mbambatana	r	Ø	S			k
Sengga	r	Ø	S	j j		k
Lungga	r	S	Ø	j		k
Nduke	r	S	Ø,h	z		k
Roviana	r	S	h	Z		k
Hoava	r	S	h	Z	Ø	k
Vangunu	r	s-; -z-	s,h	j		k
Kia	r,1	s-; -h-	h	z	Ø	k
Kokota	r,l	s-; -h-	h	Z		k
Laghu	r,l	s-; -h-	h	z		k
Blablanga	r,l	s-; -h-	h	Z		k,kh
Ghove	r	s-; -h-	h	j		k,kh
Maringe	r,l	s-; -h-	h	j		k,kh

# APPENDIX (cont'd)

!OC	*k <sub>2</sub>	<b>*</b> q	*q/_#	*nk	*0
!NS	* <del>g</del>	*q	*k	<b>*</b> g	<b>*</b> ŋ
Nehan	Ø	Ø	Ø	g	ŋ
Solos	Ø	Ø		g	ŋ
Petats	Ø	Ø		g	ŋ
Haku	Ø	Ø	Ø	g	ŋ
Selau	Ø	Ø	k	g	ŋ
Taiof	Ø	Ø	k	g	ŋ
Hahon	Ø	Ø			n
Tinputz	Ø	Ø	Ø	k	n
Teop	Ø	Ø	Ø	g	n
Papapana	Ø	Ø	Ø	g	ŋ
Banoni	g-; -g-,-Ø-	g,Ø	9		ŋ
Piva	g-; -g-,-Ø-	Ø-; -g-,-Ø-		g	ŋ
Uruava	Ø	Ø	Ø	ŋ	ŋ-; -Ø-,-ŋ-
Torau	Ø	Ø	Ø,k	g	n
Mono-Alu	Ø	Ø	7	g	Ø
Vaghua	9	g,Ø	k	g	g-; -ŋ-,-n-
Varisi	g,Ø	g,Ø	k	g	
Ririo	g,Ø	Ø	7	g	ŋ
Mbambatana	g,Ø	Ø		g	ŋ
Sengga	Ø	Ø		g	ŋ
Lungga	g-,Ø-; -g-	Ø	k	g	ŋ
Nduke	<del>g</del> ,Ø	Ø,g		g	ŋ
Roviana	9	Ø	k	g	ŋ
Hoava	9	Ø	k	g	ŋ
Vangunu	Ø,h,g	Ø		g	ŋ
Kia	9	g-; -Ø-	k	g	ŋ; N/_i
Kokota	<b>g</b> ,g; g/_C	g-; -Ø-		g	ŋ
Laghu	9	g-; -Ø-,-g-	k	g	ŋ
Blablanga	9,9	9		g	Ŋ
Ghove	<b>g</b> ; g/_C	g-,Ø-; -Ø-		g	ŋ
Maringe	<b>9</b> ; g/_C	g-,Ø-; -Ø-		9	ŋ; N/_i

#### INTERPRETATION OF SYMBOLS

Banoni z = [dz].

Banoni b and  ${\bf g}$  have merged and are interchangeable before rounded vowels (Lincoln 1976a:50).

The value of Uruava  $\mathfrak I$  is unclear from the literature: it may be a mistranscription of  $[\mathfrak G]$ .

Voiced stops are prenasalised in Choiseul and New Georgia languages.

#### NOTES

- This paper is a slightly revised version of a part of the paper presented at the Conference. I should like to thank two people who each contributed to the first version in very different ways. Darrell Tryon was a continual source of encouragement and a constructive critic. Jacques Guy introduced me to Simula, the programming language in which I wrote the programmes employed in the computer-aided analysis of sound correspondences upon which much of this paper is based. I also owe a methodological debt to the work at the University of Hawaii reported by Bender and Wang (1985), which indicates how the computer might be used as an aid in the analysis of sound correspondences. The present revision has benefited from the comments of Frank Lichtenberk, to whom I am also very grateful.
- 2. Although Bougainville is not part of the political entity of the Solomon Islands, it is geographically and culturally part of the Solomon Islands chain, as attested by its official name, the North Solomons Province of Papua New Guinea. The political boundary between Bougainville and the Shortland Islands was drawn only in 1899, when the boundary between the German and British protectorates was shifted. Hence this slightly curious name for an otherwise hard-to-name group of languages has geographical and a little historical justification.
- 3. Sources for the languages of the North-West Solomonic group were: for the languages of the Western Solomons Tryon and Hackman 1983, supplemented from Hackman n.d., from my fieldnotes on Mono-Alu, Mbambatana, Sengga and Roviana and from Waterhouse 1949 for Roviana, from Bosma 1980 for Maringe, and from Bosma 1981 for Kia and Maringe; for all Bougainville languages except Uruava and Piva, my own fieldnotes, supplemented by Todd 1978 for Nehan, by Allen and Baeso 1975 for Petats, by Allen and Allen 1965 and Allen 1971, 1978 for Hanahan, by Snyder and Snyder n.d. and Snyder 1981 for Teop, by Hostetler and Hostetler 1975 for Tinputz, by Lincoln 1976a for Banoni, by Rausch 1912 for Torau, and by Wheeler 1913a, 1913b, 1926 and Fagan 1979 for Mono-Alu. Data for Piva are from Lincoln 1976b and for the now extinct Uruava language from Rausch 1912.
- 4. I have adopted the convention that the abbreviations of the names of protolanguages begin not with P but with !. This is done both to distinguish proto-languages from languages whose names begin with P, and for reasons related to the use of the computer. Hence 'Proto-Oceanic' is abbreviated '!OC' rather than 'POC', 'Proto-North-West Solomonic' as '!NS' rather than as 'PNS'.
- 5. The following probably represents a further shared innovation: The third person plural disjunctive pronoun in languages of all North-West Solomons groups reflects a form !NS -ri[a], instead of one of the expected forms -di[a] or -ra. However, there is sufficient uncertainty about the form of Proto-Oceanic third person plural forms to warrant its exclusion for the moment.
- 6. In the examples, (proto-)morphemes which do not belong to the comparison are bracketed, whilst reduplications and phonological accretions are set off by a hyphen.
- 7. The system of glossing used in this paper is essentially the same as that used by Geraghty (1983:8-11).

8. Forms omitted from consideration because they appear not to be derived from the Proto-Oceanic forms reflected elsewhere in the North-West Solomonic region are:

Haku a-ku I (possibly < !OC \*ŋku my)

Hahon, Teop e-an, Tinputz e-en thou (possibly metathesised forms reflecting !NS \*e-r-(y)o)

Mono-Alu maha I, maito thou, mani we (excl.), maan you (origin unknown)

9. Ysabel forms have lost \*-m-; forms reflecting a possible !OC \*kai we(excl.) are common in the Papua New Guinea region, and forms reflecting a possible !OC \*kau you also occur in New Ireland. However, it is not relevant to the topic of this paper to consider whether these are independent parallel innovations or shared inherited forms.

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# ON DETERMINING THE EXTERNAL RELATIONSHIPS OF THE MICRONESIAN LANGUAGES

#### Frederick H. Jackson

#### INTRODUCTION

As it is used in this paper, 1 the term "Micronesian languages" refers to the indigenous languages of the Marshall and Gilbert Islands and to Kosraean (Kusaiean) and the Ponapeic and Trukic languages of the Caroline Islands. It thus includes Marshallese, Kiribati (Gilbertese), Kosraean, Ponapean, Mokilese, Pingilapese, Ngatikese, and the more than 30 dialects of the Trukic continuum, including, from east to west, Mortlockese, Lagoon Trukese, Puluwatese, Satawalese, Saipan Carolinian, Woleaian, Ulithian, Sonsorolese, Pulo Anna, Tobi, and the former language of the island of Mapia, about 100 miles north of Irian Jaya. Located within geographical Micronesia but excluded from the linguistic grouping are Yapese, the Polynesian Outliers Kapingamarangi and Nukuoro, and the non-Oceanic Austronesian languages Palauan and Chamorro.

In my recent dissertation (Jackson 1983), I present evidence that the Micronesian languages, as just defined, constitute a distinct subgroup of Oceanic. The purpose of the present paper is to review proposals that have previously been made regarding the external relationships of Micronesian languages in the light of what is now known about those languages, and also to present some additional data which may be pertinent to the discussion.

The first section of this paper briefly summarises some aspects of Proto-Micronesian (PMC) which have been reconstructed. Section 2 demonstrates the likelihood that Nauruan forms a higher order subgroup with Micronesian, a subgroup which I have named Greater Micronesian. Section 3 summarises and evaluates evidence which has been presented in support of the linkage of Micronesian with the languages of Northern Vanuatu (Grace 1955, 1964, 1971; Pawley 1972, 1977), San Cristobal and Malaita (Blust 1984), and the Admiralty Islands (Smythe 1970), and concludes that none of the claims is supported sufficiently. The concluding section briefly presents some additional data which may or may not be relevant to the determination of the genetic relationships of Micronesian and suggests that the uncovering of conclusive evidence must probably await fuller understanding of both the other Oceanic languages and the ancestral Proto-Oceanic language.

## 1. PROTO-MICRONESIAN

Table 1 lists the Micronesian reflexes of Proto-Oceanic (POC) consonant phonemes.  $^2$  These reflexes, together with lexical data not reported here,

Paul Geraghty, Lois Carrington and S.A. Wurm, eds FOCAL II: papers from the Fourth International Conference on Austronesian Linguistics, 201-238. Pacific Linguistics, C-94, 1986.

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Table 1:	Reflexes	of I	POC	consonant	phonemes	among	Micronesian	languages <sup>3</sup>
----------	----------	------	-----	-----------	----------	-------	-------------	------------------------

POC	<b>*</b> P		*mp	*0p	*k	*ŋk	<b>*</b> q	*m_	*r)m	*n	*ñ	<b>*</b> ŋ
PMC	*f	Ø 1	*p	⊋pw²	*k	*x	Ø	*m	*mw²	*n	*ñ	<b>*</b> ŋ
KSR	Ø	Ø	р	f	k	k,س	Ø	m	w,m4	n	Ø	ng
PCMC	*f	Ø	*p	*pw	*k	*x,Ø	Ø	*m	*mw	*n	*ñ	<b>*</b> ŋ
KIR	Ø	Ø	ь́5	b,b' <sup>6</sup>	k,Ø <sup>7</sup>	Ø	Ø	m	m,m' <sup>6</sup>	n	n	ng
PWMC	*f	Ø	*p	*pw	*k	*x,Ø	Ø	*m	*mw	*n	*ñ	*ŋ¯
MRS	Ø	Ø	Р	b	k,kw <sup>8</sup>	Ø	Ø	m	mw,դդ <sup>8</sup>	n, p <sup>8</sup>	n,ŋ <sup>8</sup>	ភ
PTK-PP	*f	Ø	*p	*pw	*k	*x,Ø	Ø	*m	*mw	*n	*ñ	<b>*</b> ŋ
PON	p,Ø <sup>9</sup>	Ø	p.	pw	k	~ UI IU	Ø	m	mw	n	Ø	ng
MOK	P, Ø9	Ø	P	pw	k	r,Ø <sup>10</sup>	Ø	m	mw	n	Ø,n <sup>11</sup>	ng
PTK	*f	Ø	*p	*pw	*k	Ø	Ø	*m	*mw	*n	*ñ	<b>*</b> ŋ
TRK	f	Ø	p P	pw	k,Ø,s <sup>12</sup>	Ø	Ø	m	mw	n	n	ng , n <sup>13</sup>
MRT	f	Ø	P	PW	k,Ø,s <sup>12</sup> k,Ø <sup>14</sup>	Ø	Ø	m	mw	n	n	ng
PUL	f	Ø	p P	pw	k.Ø <sup>14</sup>	Ø	Ø	m	mw	n	n	ng
STW	f	Ø	P	pw	k.Ø14	Ø	Ø	m	mw	n, l <sup>15</sup>	n , l <sup>15</sup>	ng
CRL	f	Ø	p	DW	gh,kk,Ø <sup>16</sup>	Ø	Ø	m	mw	1	1	na
WOL	f	Ø	Р	b, pw <sup>17</sup>	gh,kk,Ø <sup>16</sup> g,kk <sup>18</sup>	Ø	Ø	m	mw	l, n <sup>19</sup>	l, n <sup>19</sup>	ng
PUA	d <sup>20</sup>	Ø	p .	pw	k.kk <sup>18</sup>	Ø	Ø	m	mw	n ์	n	ng
SNS	f	Ø	P	pw	k kk¹°	Ø	Ø	m	mw	r	r	ng
ULI	f	Ø	P	Ь	g,kk <sup>18</sup>	Ø	Ø	m	mw	1	1	
MAP	f,v	Ø	P	b,Ø	g,k	Ø	Ø	m	n,m <sup>21</sup>	n	Ø	ng n

<sup>1</sup>POC \*p was lost in PMC before round vowels.

<sup>2</sup>POC \*mp and \*m became labiovelars in PMC

before round vowels and in some other forms.

3PMC \*x is usually lost in KSR before \*u.

"PMC \*mw is reflected as KSR m before a vowel that is lost word finally.

<sup>5</sup>KIR b is a lax voiceless stop.

<sup>6</sup>The symbol b' is not written before round vowels.

<sup>7</sup>PMC \*k is sometimes lost in KIR morphemes that also reflect PMC \*t.

<sup>6</sup>MRS variants are predictable from following yowels.

<sup>9</sup>PMC \*f is often lost in PP before \*i.

<sup>10</sup>PMC \*x is r before a and lost elsewhere.

<sup>11</sup>PMC \*n is MOK n after high vowels.

PMC \*k is often reflected as TRK s before
\*i, and regularly as Ø before low and mid
vowels when not also preceded by a high vowel.

13 PMC \*ŋ normally becomes TRK n before \*i.
14 PMC \*k is normally lost before non-high
vowels when not also preceded by a high

vowel.

15 STW l and n are apparently in free variation.

16 CRL gh is a velar fricative; PMC \*k is normally lost before non-high vowels when not also preceded by a high vowel.

17WOL pw represents a geminate stop.

<sup>18</sup>PMC \*k is a velar fricative singly and kk when geminate.

19 WOL n represents a geminate nasal.

<sup>20</sup>PUA d is a dental fricative.

 $^{21}\,\text{MAP}\,\,\dot{n}$  is a velar nasal; MAP m occurs in final position.

Table 1 (cont'd)

POC	*1	*d	*R	*nd	*t	*s_ (PEO) *nj	*ns *j	*w	*y	
PMC	* ]	*r	Ø 22	*c	*t	*d	*z	*w	Ø	*T <sup>23</sup>
KSR	1	1	Ø	sr <sup>24</sup>	t, s <sup>25</sup>	t,s <sup>25</sup>	Ø	Ø	Ø	s
PCMC	* ]	*r	Ø	*c	*t	*d <sup>26</sup>	*d <sup>26</sup>	*w	Ø	*T <sup>23</sup>
KIR	n	Ø	Ø	r	t,Ø <sup>27</sup>	r	r	W	Ø	t
PWMC	*]	*r	Ø	*c	*t	*d	*d	*w	Ø	*T <sup>23</sup>
MRS	1,18	r	Ø	d <sup>28</sup>	j <sup>29</sup>	t	t	W	Ø	29
PTK-PP	*1	*r	Ø	*c	*t,Ø30	*d	*d	*w	Ø	*T
PON	1	r	Ø	t <sup>31</sup>	- 432	d <sup>33</sup>	d 33	W U 34	Ø	s
MOK	1	r	Ø	5	i Ø <sup>32, 29</sup>	d <sup>33</sup>	d <sup>33</sup>	w,u 34	a	; 29
PTK	* ]	*r	Ø	*c	* t . Ø 30	*d	*d	*W	Ø	*T
<b>TRK</b>	n	r	Ø	ch <sup>31</sup>	c Ø 32	t	t	W	a	
MRT	1	r	Ø	sh, 24 ch 35	s.Ø <sup>32</sup>	t	t	W	ø	5
PUL	1	ŕ	Ø	r. <sup>36</sup> ch <sup>35</sup>	h,s.Ø <sup>37</sup>	t	+	W	a	h
STW	1 , n 15	r	Ø	rh. <sup>36</sup> ch <sup>35</sup>	h,s,Ø <sup>37</sup> s,Ø <sup>32</sup>	t	t	W	ø	
CRL	1	r	Ø	sch. 24 tch 35	s.01 <sup>32</sup>	t	t	W	ø	S
NOL	1,n <sup>19</sup>	r,ch <sup>35</sup>	Ø	sch, <sup>24</sup> tch <sup>35</sup> sh, <sup>24</sup> ch <sup>35</sup>	t,s,Ø <sup>38</sup> t,d, <sup>20</sup> Ø <sup>38</sup>	t	+	W	ø	s,t <sup>39</sup>
PUA	n ,	1	Ø	5	t.d. 20 Ø 38	t	+	W	a	d, 20 t 3
SNS	r,n <sup>19</sup>	1	Ø	S	t,d,40 Ø38	+		W	ø	d, 40 t 3
ULI	1	r	ø	c 31	t,s,Ø <sup>38</sup>	d <sup>41</sup>	d 41	W	Ø	s, t 39
MAP	1	_	ø	c,t	t,t <sup>y</sup> ,j,h,Ø <sup>42</sup>	, and a		w v(?)	(?)	(?)

 $^{22}{\rm The}$  conditions for loss of \*R are not clear  $^{23}{\rm It}$  is not certain that \*T was distinct from \*t before PTK-PP. Reconstructed only before \*i, \*u, \*e.

<sup>24</sup>This phoneme is a retroflex spirant.

25 KSR reflects PMC \*t and \*d as s before \*i and \*e.

\*PCMC merged PMC \*d and \*z as PCMC \*d.

27 PMC \*t is sometimes lost in KIR morphemes which also reflect PMC \*k (see fn.7).

28 MRS d is a palatalised retroflex trill.

MRS, MOK j are palatalised dental stops.

30 PMC \*t was apparently lost in a very few forms in PTK-PP before non-low vowels.

This phoneme is a retroflex stop.

\*PMC \*t is generally lost before \*u, \*i, and \*e, and reflected as s (MOK j) elsewhere. Several exceptions.

<sup>33</sup>PON, MOK d are alveolar stops.

<sup>3\*</sup>Orthographic u represents /w/ when not followed by a vowel.

This symbol represents a geminate retroflex stop.
These symbols represent retroflex approximants.

<sup>37</sup>PMC \*t is lost before \*u, \*i, and \*e, otherwise reflected as h singly and s when geminate. Several exceptions.

\*\*PMC \*t is usually reflected as t before \*a and s
 (PUA,SNS d) elsewhere. Many exceptions, especially
 in WOL.

39 PTK-PP \*T is reflected as t before \*u.

40 SNS d is a dental fricative.

<sup>41</sup>ULI d is a dental fricative.

WPMC \*t is reflected as MAP t before word-final \*a which is deleted and as MAP t or j before \*a elsewhere; it is lost before other historical word-final vowels and reflected as MAP h before non-low vowels which are retained.

indicate that the following 11 phonological innovations are shared by all Micronesian languages. To my knowledge, the same cannot be said of any other language.

- (1) Split of POC \*mp into PMC \*p and \*pw, with PMC \*pw occurring before round vowels regularly and before non-round vowels in certain forms. 4
- (2) Split of POC \*m into PMC \*m and \*mw, with a pattern of reflexes similar to that for POC \*mp (see (1) above).
- (3) Loss of POC \*p before round vowels.
- (4) Split of POC \*R into PMC \*r and  $\emptyset$  under unidentified conditions.
- (5) Merger of POC \*nt and \*nd as PMC \*c, which was almost certainly a retroflex obstruent.
- (6) Merger of PEO \*z (POC \*ns) and POC \*i as PMC \*z.7
- (7) Merger of PEO \*s (POC \*s) and PEO \*nj 8 as PMC \*d.
- (8) Reasonably consistent reflexes of POC \*n as PMC \*n in the environment

  /\*a\_\_\_\*i in the following five lexical items: PMC \*kani sharp (< PEO \*kani);

  PMC \*tapani help, assist, support (< PEO \*tampani); PMC \*mani think, remember, recall (< PEO \*mani); PMC \*ta(n,n)i from, source (< POC \*tani); PMC \*ka(n,n)i eat (vt) (< POC \*kani). This change is not attested in any MC reflexes of the following etyma: PMC \*raani day (< POC \*daqani); PMC \*tani skin disease (< PEO \*tani); PMC \*pani sea cucumber (< PEO \*pani); and PMC \*wa(a)ni pumice.
- (9) Spirantisation of POC \*t before \*i.
- (10) Loss of POC \*q.
- (11) Loss of POC \*y.9

Detailed reconstruction of the PMC grammatical system has only just begun. However, it nonetheless seems possible at this time to make a brief statement about basic sentence structure and also to reconstruct a few closed grammatical systems for PMC.

### 1.1 PMC sentence structure

It appears highly probable that PMC transitive sentences were strictly SVO, but that speakers had the option in intransitive sentences of postposing the subject noun phrase. In that event, however, the subject pronouns, which were syntactically a part of the verb phrase, remained in preverbal position. It also appears very probable that PMC speakers made extensive use of processes of focus and topicalisation whereby the relevant noun phrase was moved to the front of the sentence, typically leaving a pronominal trace. In all sentences which included a specific verb phrase, aspect markers, negative morphemes, and most aspectual adverbs probably were positioned between the subject pronoun and the verb.

Similarly to many other Oceanic languages, however, PMC also included sentences consisting only of two noun phrases, where the second phrase served to identify or make a statement about the first. The following hypothetical reconstruction provides an example of this kind of sentence.

(1) \*mwaane na aramata-ni dakau man that person-of reef-island That man (is) of a reef island.

In all PMC sentences, demonstrative morphemes almost certainly followed the head noun, but it is not yet clear whether numerical constructions also followed the noun or preceded it. Harrison (n.d.) has demonstrated the likelihood of a PMC article \*te, also reflected in Nauruan (see Section 2), which preceded the noun in some constructions.

# 1.2 PMC personal pronouns

The probable PMC system of personal pronouns is shown in Table 2. It is perhaps noteworthy that only singular and plural forms are reconstructed. Although evidence for apparently dual and trial forms is found in Ponapeic, Marshallese, and Kosraean, their structures differ, and at present it seems more probable that those forms represent separate developments of those languages.

Reconstruction of the first person plural inclusive and exclusive and second person plural focus and object pronouns is somewhat problematic, as it appears to be necessary to reconstruct doublets for the six forms. In the case of the first person plural inclusive forms (PMC \*ki(t,c)a) the difference reflected is only in the grade of the medial consonant, with Marshallese and Kosraean reflecting oral grade POC \*t and the other languages reflecting nasal grade \*nt. Similar variation in grade in this etymon is also reflected elsewhere in Oceanic (e.g. in Fijian, where Bauan keda reflects the nasal grade while Lauan keta reflects oral grade).

The reconstructed doublets for the PMC second person plural focus and object pronouns (\*kamii, \*kamwu '2pl. focus and object pronouns') and for the first person plural exclusive forms (\*kamami, \*kami 'lpl.exc. focus and object pronouns') would appear to represent a more serious problem. A first step towards a solution is provided by Harrison's persuasive argument that in PMC there were no distinct plural object pronouns and that the plural focus pronouns were able to function as pronominal objects in the object noun phrase position (Harrison 1978). Harrison's proposal in effect restricts the problem of the doublets to only the focus pronoun set. A second step toward a solution to the problem is suggested when it is observed that one member of each of the focus pronoun doublets is identical with the corresponding subject pronoun reconstructions: cf. PMC \*kami 'lpl.exc. focus, object, and subject pronoun', \*kamwu '2pl. focus, object, and subject pronoun'. The replacement of earlier subject pronouns by focus pronouns has occurred to various extents in all MC languages except Kiribati and the Trukic languages, with the two meanings under discussion apparently showing the greatest extent of replacement. It appears likely that this replacement process may reflect a confusion between plural subject and focus pronouns that occurred in the proto-language, leading to historically subject pronouns being reinterpreted as alternate focus pronoun forms. If so, then the pre-Micronesian focus pronouns in these meanings were presumably \*kamami lpl.exc.' and \*kamii '2pl.', and the doublet forms \*kami 'lpl.exc.' and \*kamwu '2pl.', which need to be reconstructed for PMC as both focus and subject pronouns, functioned in pre-Micronesian only as subject pronouns.

	PMC	KSR	KIR	MRS	PTK-PP	PON	MOK	PTK <sup>2</sup>			
FOCUS PRONOUNS											
				_							
.sg.	*r)au	nga	ngngai	na .	*ŋau ~	ngeh i	ngoah i	*ŋaŋu			
sg.	*koe	(kom) <sup>3</sup>	ngkoe	kwe	*koe-ña	kowe	koawoa	*koe-ña			
isg.	*ia	(el)4	ngaia	e	*ia	ih	ih	*ia			
pl.inc.	*ki(t,c)a	kuht	ngaira	kōj	*kica	kit-	kihs	*ki ca			
pl.exc.	*kamami	(kitacl) <sup>5</sup>	-	kommem 6	*kamami	(kiht) <sup>8</sup>	_	*kaamami			
pricke.	*kami	-	_	kom²	*kami	-	kam-9	*kami			
			1:::	komi 7	*kamii	-	Kam	*kamii			
pl.	*kamii		ngkamii				. 9	-Kalli i i			
	*kamwu	kom-tacl 10		korjib	*kamwu	kumw-	kanw-9				
pl.	*ira	el-tahl 10	ngaiia	er	*ira	ihr	ihr	*ira			
UBJECT PRONOUL	<b>N</b> S										
6.0	*ú	_ 11	i	j -	*ú	i	_ 11	*ú			
sg.	_	_ 11					_ 11	*ko			
sg.	*ko	_ 11	ko	ko-	*ko	ke	_ 11				
sg.	*e		e	e-	*e	е,,		*e			
pl.inc.	*t(i,e)	_ 11	ti	je-	*T(i,e)	_ 11	- 11	*Ti			
pl.exc.	*kami	_ 11	-	_ 11	*kami	(se) <sup>12</sup>	_ 11	*kami <sup>13</sup>			
pl.	*kamwu	_ 11	kam'	_ 11	*kamwu	_ 11	_ 11	*kamwu <sup>14</sup>			
pl.	*ra	_ u	a	re-	*re	re	_ 1ı	*re			
BJECT PRONOUNS				_			16				
.sg.	*-ai	-yuc	-ai	-eō	*-ai	-ye	_ 15	*-ai			
esg.	*-ko	_ is	-ko	-eok	*-ko	-yuk	_ 15	*-ko			
sg.(anaph.)	*-a	_ 15	-a	-	*-a	-ø	- 15	*-a			
pl.inc.	*ki(t,c)a	_ 15	-(i)ra	_ 15	*kica	_ 15	_ 15	*-kica			
	*kamami	_ 15	- (1) ( a	_ 15	*kamami	_ 15	_ 15	*-kamam			
pl.exc.		_ 15		_ 15		_ 15	_ 15				
	*kami	_ 15	_ 15	_ 15	*kami	_ 15	_ 15	*-kami 16			
Ppl.	*kamii	- 23	_ 13	_ 10	*kamii	_ 13		*-kamii			
pl.forms:											
anaph.hum.	*ira	_ 15	-ia	_ 15	*ira	_ 15	_ 15	*-ira			
anaph.inan.	*-ni(?) <sup>17</sup>	-	_	_	*-ni(?)	-	-	*-nini			
(before noun			- i	-(?)	*-i	_	_	*- j			
phrases)	^'		•	,	•			•			
•											
POSSESSIVE PRO	NOUNS										
lsg.	*-xu	-k	- u	- i	*- j	- i	- i	*- j			
2sg.	*-nwu	-m	-m '	-m	*-mwu	-mw	- mw	*-mwu			
Bsq.	*-ña	-ø	-na	-n	*-ña	-Ø	-Ø,-n <sup>18</sup>	*-ña			
lpl.inc.	*-ca	-sr	-ra	-d	*-ca	-t-	- s -	*-ca			
-	*-mi				*-mi	(-t) <sup>20</sup>	-m	*-mi <sup>21</sup>			
lpl.exc.		(-k-tacl) <sup>19</sup>	-	- m		(-1)	-111				
	*-mami <sup>22</sup>		-	-	*-mami <sup>22</sup>	- 126	. 124	*-mami			
2pl.	*-mii	(-m-tacl)23	'-mii	-mi	*-mii	(-mw-) <sup>24</sup>	(-mw-) <sup>24</sup>	*-mii			
3pl.	*-(i)ra	-1-tac1 <sup>25</sup>	-ia	-er	*-ira	-Vr-	-Vr-	*-ira			
Standard orth					medial cons						
throughout.	PON, MOK h i	indicates th	ne pre-		reflected			r TK lan			
ceding vowel	is long.			guage	es reflect	the type	*kau.				
All Trukic la	nguages rei	flect the PT	K re-	15 The	focus prono	oun is us	ed for th	nis func-			
constructions	except as	noted.		tion							
KSR kom is a			form		*kami is o	nly refla	cted in +	hie func			
YOK YOU IS G	Tellex of f	me Fric 2p1.	f								
KSR el is a r	errex or tr	ie PMC 3p1.	iorm.		in TRK and	a rew c	closely re	erated IK			
		of the PMC I	lpl.inc.	, diale	ects.						
				"Tenta	atively re	construct	ed for PM	MC and			
form with a t	ct form in	MRS.		PTK-1	PP on the l	oasis of	TK and ex	cternal			
form with a t Western diale		MRS.		evide							
form with a t Western diale	ct form in		l.inc.		lar MOK re	flexes wh	ich are	dependent			
form with a t Western diale Eastern diale	ct form in			On +1	he stem-fi	nal vowel	(see Tal	ole II			
form with a t western diale Eastern diale PON kiht is a	ct form in			OII C		flects th	DMC 1-	form			
form with a t western diale Eastern diale PON kiht is a form.	ct form in reflex of	the PMC lpl									
form with a t Western diale Eastern diale PON kiht is a form.	ct form in reflex of ms are root	the PMC lpl		19 KSR	-k-tacl re	with an earlier trial suffix.					
form with a t western diale Eastern diale PON kiht is a form. These MOK for trial series.	ct form in reflex of ms are root	the PMC lpl	dual and	with	an earlie	r trial s	uffix.	_			
form with a t western diale Zastern diale PON kiht is a form. These MOK for trial series. These KSR for	ct form in reflex of ms are root	the PMC lpl	dual and	20 PON	an earlie: -t reflect:	r trial s s the PMC	uffix.	form.			
form with a to form with a to form.  Teastern diale form.  These MOK for trial series.  These KSR for suffixes.	ct form in reflex of ms are room	the PMC lpl	dual and	with 20 PON 21 PTK	an earlie: -t reflect: *-mi is on:	r trial s s the PMC ly reflec	uffix. lpl.inc. ted in T	RK and a			
form with a to form with a to form.  Teastern diale form.  These MOK for trial series.  These KSR for suffixes.	ct form in reflex of ms are room	the PMC lpl	dual and	with 20 PON 21 PTK	an earlie: -t reflect: *-mi is on:	r trial s s the PMC ly reflec	uffix. lpl.inc. ted in The	RK and a			
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Also guite problematic is the determination of how third person objects may have been marked on the verb in PMC. Harrison (1978) presents a strong case for there having been two transitive suffixes in PMC: a morpheme \*-a, not the personal pronoun, which was used with expressed singular noun phrase objects, and the more expected morpheme \*-i, which was used with pronoun objects, plural noun phrase objects (including plural focus pronouns), and also anaphorically for plural nonhuman objects. As part of his argument, Harrison demonstrates the great probability that the third person plural pronoun \*ira referred only to humans. My own research on the Trukic languages of Micronesia, while generally confirming Harrison's reconstruction of the two transitive suffixes and his proposed restriction on the meaning of \*ira, also indicates that, at the Proto-Trukic level at least, there were four distinct forms for marking third person objects. Specifically, there were the expected pronoun objects \*-a and \*-ira, with the former probably used anaphorically for singular objects and the latter used anaphorically for plural human objects, but there was also a form \*-nini, which was used anaphorically for plural inanimate objects, and a form \*-i, distinct from the transitive suffix, which was used before both singular and plural expressed noun phrase objects.

There is some evidence that the PTK object suffix \*-i is reflected elsewhere in Micronesia in Kiribati and Marshallese (Harrison 1978:1077-1078), but there is no other evidence in MC of the PTK \*-nini suffix. In spite of this lack of attestation, however, it appears very possible that both Trukic suffixes should be reconstructed for PMC on the grounds that they represent continuations of earlier forms. Geraghty (1983:158-159) has pointed out that a number of Southeast Solomonic languages, including Kwara'ae, 11 appear to reflect a type \*-(n)ki 'plural inanimate suffix on verbs' which, in the nasal grade, is a likely cognate of PTK \*-i and the KIR and MRS forms in Micronesia, suggesting PMC \*-xi. The case for a PMC source of PTK \*-nini is considerably more tentative, but can still be presented. Churchward (1940:17) reports a Rotuman form ne as a "plural indefinite article" which is never used "elsewhere than after a verb", and Ivens (1933, 1937) apparently considered Nggela to have a postverbal "anticipatory object" form ni which was "used of things" (Ivens 1933:153). (See Jackson 1983: 244-245 for discussion.) Both of these forms would be regular reflexes of a type \*ni, and, if cognate, may suggest a source for PTK \*-nini.

### 1.3 PMC postverbal directional morphemes

Seven postverbal directional enclitics are reconstructed for PMC, as follows:

	PMC	PTK	PON	MRS	KIR	KSR
thither, toward hearer	*watu	*wa(t)u	wei	waj	wati	-
outwards, out to sea	*otu	*wo(t)u	iei	-	-	wot
downwards, downwind, west	*zio	*diwo	di	to	rio	i
upwards, upwind, east	*zake	*dake	da	tak	rake	yak
hither, toward speaker	*mai	_ 12	-	-	mai	ma
away from speaker	*lako	*lako	la	] ok	nako	lac
inwards, inland, ashore	*logo	*logo	long	1ōñ	-	-

PMC \*otu reflects the type \*potu, which is attested in Kove potu *outside*, *beyond* the reef, seaward side of island, and Kove otu go out, leave an island (by canoe), appear (Chowning 1973 and personal communication); in the Rotuman postverbal hofu

toward the coast (from inland) (Churchward 1940); and in Marau Sound wou away at sea (cf. Marau Sound wau out at sea < PEO \*watu). It is also clear that putative PMC \*logo is cognate with, e.g. Fijian yaloga inside, Bugotu i-longa landwards, Vaturanga longa ashore, inland, south, Kove loga enter, come ashore, interior of New Britain, and the Rotuman postverbal loga toward the interior (of an island). Since neither Kiribati nor Kosraean reflects the form, however, it is not clear whether the innovative final vowel attested in the other Micronesian languages developed in PMC or at some later date.

All other PMC directionals are obvious reflexes of POC forms.

#### 1.4 PMC demonstrative morphemes

All Micronesian languages have extremely complex demonstrative systems, and the correspondences among them are not completely clear. However, it appears possible at this time to reconstruct the following three demonstrative roots for PMC: \*e 'near speaker'; \*na 'near addressee'; \*oe 'away from speaker and addressee; known referent'. The first of these morphemes is apparently cognate with Kadavu Fijian and Tongan  $\overline{e}$  here, near speaker (Geraghty, personal communication), and the second is widely spread throughout Oceanic. The only likely cognate that I have been able to locate for \*oe, however, is Nauruan -oo, in the same meaning, a fact which provides some support for grouping Nauruan with Micronesian (see Section 2).

#### 1.5 Possessive classifiers

All Micronesian languages except Kiribati have relatively extensive sets of possessive classifiers which are used in the possession of alienable nouns. Strikingly, however, only four possessive classifiers can be reconstructed with any confidence for PMC, and it is not certain that even those forms functioned in the proto-language in the same way as possessive classifiers in the modern languages. The reconstructed forms are:

	PMC	PTK	PON	MRS	KIR	KSR
general object	*a-	*aa-	a(a)-	a(a)-	a-	_ 14
drinkable object					-	nihmac
edible object	*na-	_ 15	<b>-</b> 15	_ 16	-	na-
offspring	*natu-	*natu-	nei-	naji-	-	nahtuh

PMC \*a- has cognates in Nauruan, Polynesian (PPN \*qa-), Ambrym, Sesake, and several languages of the south-east Solomons, including Faghani, Oroha, and Arosi. PMC \*natu also has widespread cognates, but not, to my knowledge, ones which function as possessive classifiers. PMC \*na- edible object, while reflected within Micronesian only in Kosraean, apparently has external cognates with similar meaning in Fijian (Nabukelevu, Kadavu: Geraghty, personal communication) and Santa Cruz (Codrington 1885), and is reconstructed for PMC on that basis. PMC \*nima- drinkable object is formally identical with a PMC (and Nauruan) verb meaning to drink, but otherwise appears unique to Micronesia.

Harrison (1981) has recently suggested that the modern Kiribati grammar may reflect the proto-language possessive system more closely than do the grammars of any of the other modern languages. He reconstructs PMC \*a as what he terms a

'possessive article', and suggests that other possessive classifiers in the modern Micronesian languages derive from a grammatical process of nominal apposition in the proto-language. Such a process occurs in modern Kiribati, as shown by the following phrase:

(2) nima-u te ran drink-my ART water my water (to drink)

Harrison's arguments are too detailed to enter into here. They are very persuasive, however, and although I have differed from Harrison in reconstructing, primarily on the basis of external evidence, four PMC possessive classifiers, it is not absolutely clear that this decision is the correct one. Moreover, even if it is correct, it is very likely that the other modern possessive classifiers evolved in the way suggested by Harrison.

# 1.6 PMC numbers and counting classifiers

Harrison and Jackson (1984) have shown that Micronesian number roots from two through nine reflect the well known POC reconstructions, with the exception that PMC \*fa(a) - four fails to reflect the final syllable of POC \*pati. Two distinct forms for one are reconstructed for PMC, however. In serial (abstract) counting, the PMC root for one was probably a reflex of POC \*(n)sa, but in specifying an amount of countable objects a unit prefix PMC \*te- one was used together with the appropriate counting classifier. In a similar fashion, the other number roots were also prefixed to an appropriate counting classifier to indicate a specific number of objects.

Although several modern Micronesian languages attest an extremely large number of such classifiers – for example, both Kiribati and Trukese have more than 100 – only five may be securely reconstructed for the proto-language. These are: PMC \*-ua general objects (< POC \*pua fruit); PMC \*-manu animate objects (< POC \*manu(k) bird, creature); PMC \*-cau thin flat objects (< POC \*(n)dau leaf); 18 PMC \*-naulu units of tens (< POC \*na-pulu ten); and PMC \*-pwukua units of hundreds. As noted, all but the last of these forms reflect well known POC etyma. The last, identical in form with PMC \*pwukua knee, node, joint, may be cognate with the type kpwuyu- knee attested in the Banks Islands and Northern Vanuatu (Tryon 1976) and with Fijian buku humped, knotted, PPN \*puku protuberance, lump, swelling (and cf. Roviana puku-a to tie, knot). It appears very likely that counting in the Proto-Micronesian culture in part involved the enumeration of knots in a rope.

In addition, one other counting classifier was probably present in PMC. This somewhat tentative reconstruction is PMC \*-garatu units of thousands, a reflex of POC \*Ratu(s) hundred, which is reflected in Micronesia in PTK \*-garatu and, with problematic loss of the final syllable, in KIR ngaa, both with the meaning unit of thousands.

Harrison and Jackson (1984) also reconstruct additional classifiers for PMC: \*k(u,i)di, \*lopwa, \*dep(u,i), and \*nena, each with the meaning units of high powers of ten. Under the subgrouping relationships assumed in this paper, however, it is no longer possible to claim that these forms were present in PMC.

### 1.7 PMC interrogative morphemes

Strictly speaking, interrogative forms are not grammatical morphemes. They do form a relatively closed set, however, and are thus of value in comparative reconstruction.

The PMC interrogatives are reconstructed in Table 3. Although all of them are also attested outside of Micronesia, the complete system is still of interest. Among the reconstructed forms, PMC \*fi(d,z)a how much, how many clearly reflects POC \*pija, while PMC \*(mee-)zaa what? almost certainly derives from a compound consisting of a cognate of PPN \*meqa thing and a reflex of PEO \*za(a) what? (Geraghty 1983). The same compound, but with a different meaning, is reflected in Fijian meca thing. Sources for the other PMC forms are somewhat less obvious.

	PMC	KSR	KIR	MRS	PON	MOK	PTK
how much, how many?	*fi(d,z)a	(ekahsr)¹	ira	-	depe <sup>2</sup>	doapoa <sup>2</sup>	*fida-
what?	*(mee-)zaa *tau	meac suc	raa antai	ta (won)	da³ (ihs)	da <sup>3</sup> inje	*mee-daa *i-tau
when?	*ŋaiza *ñaiza	ngac	ni-ngaira	nāāt -	i-ahd	ngehd -	*i-ŋaeda *i-ñaeda
which?	*-faa	-	(raa)	-	(i)a	(i)a	*-faa
where, how, which place?	*i-faa	-	iaa	(ewi)	-	-	*i-faa
where?	*ia(a)	-yac	iia	ia	ia	ia	*i-iaa

Table 3: Interrogative forms in Proto-Micronesian

The somewhat unexpected \*t which is reconstructed in the PMC form for who? (cf. POC \*(n)sai who?) is apparently also attested in Gedaged itai (Dempwolff n.d.:30-31) and in Nambel and Morouas ita (Tryon 1976). A palatal nasal in a form for when? is clearly reflected in several Santa Ysabel languages in the Solomon Islands, including Bugotu (Tryon and Hackman 1983), as well as in Trukic and Ponapean. PMC \*-faa which?, \*i-faa where, how, which place? appear to be cognate with PPN \*fe(a,e) where? (Biggs 1979), reflexes for which include Niuean fee where, which, when?, Rennellese hea which, what, where?, and Tongan 'ife which?, fefe in what way, of what sort, how? (cf. also Faghani iafee, Vaturanga iava where? (Codrington 1885), both of which suggest still wider distribution for the form). PMC \*ia(a) where (to)? is probably cognate with Seimat iia and Wuvulu ia where? in the Admiralty Islands (Smythe 1970; Blust, personal communication) and with the form ia which Lynch and Tryon (1985) report for several Southern Vanuatu languages, although the authors assign it to their putative Proto-Central Oceanic \*pia. 19

#### 1.8 Conclusion

Although, as noted above, the grammatical reconstruction of Proto-Micronesian is only just beginning, we now have sufficient knowledge of it to permit tentative

<sup>&</sup>lt;sup>1</sup>Non-cognate forms are provided in parentheses.

<sup>&</sup>lt;sup>2</sup>These forms apparently reflect an occurrence of consonant metathesis.

 $<sup>^3</sup>$ The Ponapeic language Ngatikese attests a form mahda what?, which reflects the reconstructed compound.

comparisons to be made with other languages and language groups, and to evaluate other such comparisons which have previously been proposed.

# 2. THE RELATIONSHIP OF NAURUAN TO THE MICRONESIAN LANGUAGES: A TENTATIVE CASE FOR A GREATER MICRONESIAN GROUPING

We turn now to an examination of the quite meagre data available on the Nauruan language in the hope of clarifying its relationship to the Micronesian languages. Both Bender (1971) and Nathan (1973) expressed the tentative hope that Nauruan would prove to be aligned with Micronesian, but were unable to identify any specific shared innovations. To my knowledge, no linguistic work has been done on Nauruan since Nathan in the early 1970s, 20 but our increased knowledge of Micronesian languages and of PMC makes it more possible now to interpret the Nauruan data that exist.

Nathan (1973:483) suggests that the Nauruan (NAU) consonant inventory is as follows:

Nathan believes that the proposed distinction between voiceless labial stops (/p,pw/) and voiced ones (/b,bw/) may be one of length on the underlying level, but he is not certain (Nathan 1973, n.d.a). The other proposed voicing contrasts are apparently more clearly phonemic (Nathan n.d.a). The phoneme /r/ has flapped and trilled allophones, with the flap typically occurring before stressed vowels (Nathan n.d.a). The other liquid phoneme /f/ is "trilled, slightly long and accompanied by a buzzing sound [Nathan] can approximate by partial devoicing in medial position. Initially it sounds a little like [dr]" (Nathan n.d.a:6). Both /j/ and /w/ are close glides.

The data presented in Nathan 1973 and in his unpublished grammatical sketch (Nathan n.d.a) and word list (Nathan n.d.b) indicate that the probable inherited NAU reflexes of the POC consonant phonemes are as follows (with PMC reflexes also included for reference):  $^{21}$ 

POC:	*p	*mp	*ŋp	*t	*nt	*d	*nd	<b>*</b> q
PMC:	Ø,*f	*p, pw	*pw	* t	* C	*r	* C	*Ø
NAU:	Ø,p	b,p,bw	bw	Ø	t	r	F	Ø
POC:	*k	*ŋk	*s	*ns	*j	*1	*R	
PMC:	*k	*x	*d	*z	*z	* ]	Ø,*r	
NAU:	Ø,k,kw,g	k,Ø	d,t	d,t	?	Ø,r	Ø,r	
POC:	*m	*ŋm	*n	*ñ	<b>*</b> ŋ	*w	*y	
PMC:	*m,*mw	*mw	*n	*ñ	<b>*</b> ŋ	*w	Ø	
NAU:	m,mw	mw	n	n	n, mw	w,Ø	Ø	

Table 4: Nauruan reflexes of POC consonants (with PMC reference)

In discussing the correspondences set forth in Table 4, I shall first establish that NAU is not a member of the Micronesian subgroup as it was defined in Section 1 above. I will then list evidence which suggests that NAU is a coordinate member of a larger grouping, which will be termed Greater Micronesian.

#### 2.1 Evidence that Nauruan is not a member of the Micronesian subgroup

As the correspondences in Table 4 show, NAU fails to reflect one of the phonological innovations which together define the Micronesian subgroup: the merger of POC \*nt and \*nd as PMC \*c. NAU clearly reflects nasal grade POC \*nt as t in kotá 'lpl.inc. object pronoun' and -tá 'lpl.inc. possessive pronoun' (while losing oral grade \*t), but reflects nasal grade \*nd as  $\neq$  in, e.g.,  $\neq$  nmwn-forehead (< POC \*(n)da( $\eta$ )ma),  $\neq$ aa- blood (< POC \*(n)daRa),  $\neq$ en fresh water (< POC \*(n)danu). Oral grade POC \*d is clearly reflected as NAU r in, e.g., -ra '3pl. possessive pronoun', roo two, marama-moon, oor lobster. The fact that NAU retains the historical distinction between \*nd and \*nt while all Micronesian languages merge them is clear grounds for not including NAU within the Micronesian subgroup.

Additional support for this conclusion is also apparent:

- (1) NAU appears to attest an r reflex of POC \*R in aar current (< POC \*qaRus(a)), while all MC languages show loss of POC \*R in that etymon. In all other known comparisons, however, PMC and NAU agree in showing loss or retention of POC \*R (see below).
- (2) Forms reconstructed for PMC with \*f have cognates in NAU where both  $\emptyset$  and p correspond with PMC \*f: NAU e-pee stone, PMC \*fatu; NAU e-een woman, PMC \*fa(i)fine; NAU ji select, choose, PMC \*fili. It appears likely that NAU p reflects nasal grade POC \*mp and that oral grade POC \*p is lost in NAU, and if so, the NAU and PMC reflexes of POC \*patu stone do not agree in grade.
- (3) NAU also fails to reflect two important PMC lexical innovations: the replacement of POC \*R by a velar nasal in PMC \*main; left side (cf. POC \*mauRi > NAU -mow), and the replacement of final \*i by \*a in PMC \*wakara root (cf. POC \*wakaR(i) > NAU awori-).

#### 2.2 Evidence for a Greater Micronesian subgroup

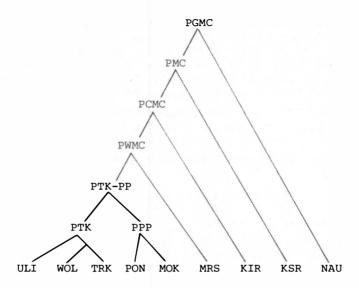
Although it is clear that NAU is not a member of the Micronesian subgroup, there is a rather considerable body of data which suggests that NAU and the Micronesian languages are closely related to each other. This evidence is provided here:

- (1) Like the MC languages, NAU has developed labiovelar reflexes of both POC \*mp and \*m before round vowels. Strikingly, of the 18 comparisons between PMC and NAU which I have identified where a labiovelar is reconstructed for PMC, NAU also attests a labiovelar in 17. The only exception is NAU mmar string of beads, which appears to correspond with PMC \*mware garland, lei.
- (2) PMC and NAU agree in showing retention or loss of POC \*R in nine of the ten comparisons that have been identified (the exception is noted above). Merger of POC \*R and \*d is attested in PMC \*wakara, NAU awori- root < POC \*wakaR(i), and both PMC and NAU attest loss of \*R in the following comparisons: PMC \*caa, NAU

#aa- blood < POC \*(n)daRa; PMC \*kuita, NAU giigoo octopus < POC \*kuRita; PMC \*ñañewa, NAU nene yesterday < POC \*ñoRa; PMC \*maa, NAU maa ashamed < POC \*maRa; PMC \*dou spear, stab, inject, NAU tou cut, stab < POC \*(n)saRu; PMC \*cui, NAU e-#A bone < POC \*nduRi (Blust 1978); PMC \*pau wing, arm, NAU e-be wing < POC \*(m)paRu wing (Blust, personal communication); PMC \*pwauzu, NAU bwoodi- nose < earlier \*pwa-Runsu (see Section 3.2 for discussion of the last reconstruction).

- (3) NAU has cognates of PMC \*-ua 'counting classifier for general objects', \*-manu 'counting classifier for animate and human objects', and \*-cau 'counting classifier for thin flat objects' in the same meaning and function.
- (4) NAU a- 'general possessive classifier' is cognate with PMC  $\star a-$  in the same meaning and function.
- (5) NAU nim drink, to drink and nima-'possessive classifier for drinkable objects' are cognate with PMC \*nima and \*nima- in the same meanings and functions, and are apparently unattested elsewhere.
- (6) NAU -oo 'demonstrative root: away from speaker and hearer' is almost certainly cognate with PMC \*oe in the same meaning and function, but is also apparently not attested elsewhere.
- (7) NAU ji where? is very probably cognate with PMC \*ia(a) where (to)?, other cognates of which are very rare in Oceanic.
- (8) NAU en:kng sharp appears to be cognate with PMC \*kagi sharp, which represents an innovation from PEO \*kagi.
- (9) NAU  $e^{-\not+\wedge}$  bone is almost certainly cognate with PMC \*cui, reflecting an earlier \*nduRi which is otherwise only attested in the Admiralties and some non-Oceanic Austronesian languages (Blust 1978).
- (10) NAU bwifibwif white is cognate with the otherwise apparently innovative PMC \*pwece(pwece) coral lime, white.
- (11) NAU mwi tooth may reflect the type \*nii tooth reconstructed in Jackson (1983:388) only for Proto-Central Micronesian because of the absence of a cognate form in Kosraean. NAU mw appears to reflect an earlier velar nasal in at least one other comparison: NAU bwumw night < POC \*nponi night. If the NAU form is cognate with PCMC \*nii, presumably that form must then be reconstructed for PMC as well. Since POC \*(n,ñ)ipon tooth is securely reconstructed, this NAU and MC etymon would appear to represent an innovation.
- (12) NAU bwoodi- nose is cognate with PMC \*pwauzu in the same meaning. Blust (1984) shows that this etymon is also attested in several Cristobal-Malaitan languages, and suggests that it represents a shared innovation of his proposed Cristobal Malaitan-Micronesian subgroup (see Section 3.2 for discussion of Blust's subgrouping claim). Even if Blust is correct, however, the NAU form provides evidence for linking NAU with Micronesia. 22

From such a small corpus of data as is available on NAU, the number of arguments that have been identified for subgrouping NAU with Micronesian is quite impressive, albeit not conclusive. Pending considerably more data on NAU, however, I shall tentatively propose such a subgroup, which I will provisionally term Greater Micronesian. Although the reconstruction of Proto-Greater Micronesian must wait until another time, the following genetic tree will describe the relationship which I am proposing between NAU and the Micronesian languages.



# EARLIER PROPOSALS REGARDING THE EXTERNAL RELATIONSHIPS OF THE MICRONESIAN LANGUAGES

This section reviews and discusses proposals which have previously been published regarding the possible external relationships of the Micronesian languages and demonstrates that the evidence is conclusive for none of them. The first such proposal to be considered is the suggestion by Grace (1955, 1964, 1971) and Pawley (1972, 1977) that the Micronesian languages may be linked with languages of Northern Vanuatu. The second is Blust's suggestion that the Micronesian languages subgroup with languages of San Cristobal and Malaita in the south-east Solomon Islands (Blust 1984), and the third is Smythe's suggestion that there are linguistic similarities between the languages of the Admiralty Islands and those of Micronesia (Smythe 1970).

# 3.1 The Grace-Pawley hypothesis

In 1955, George Grace published what he termed "tentative conclusions" about the subgroups of "Eastern Malayo-Polynesian", now more commonly called Oceanic (Grace 1955). Among those conclusions, Grace stated that "the membership of this grouping [Micronesian, but including Yapese] in the New Hebrides-Banks subgroup is highly probable, but not certain". Other proposed members of the New Hebrides-Banks subgroup were Polynesian, Fijian, Rotuman, and all the languages of the New Hebrides and Banks and Torres Islands.

Although he has never published the evidence on which he based this conclusion about Micronesian, Grace does not appear to have changed his opinion. In 1964 he writes:

With the exception of Palauan and Chamorro, I believe that all of the languages of Micronesia belong to a single group which has its closest relations with languages of the New Hebrides, although not with those languages which are most closely related to Rotuman, Fijian, and Polynesian. The languages of Yap and Nauru are generally regarded as quite aberrant, but I believe, nevertheless, that they belong to this Micronesian grouping. If I am right, these relations indicate a movement from the New Hebrides to Micronesian [sic].

(Grace 1964:367)

Somewhat later, Grace (1971) states that he is "rather less certain that Yapese fits into the Micronesian group". From the foregoing, it is clear that Grace's Micronesian group is now equivalent to the Greater Micronesian group proposed in this paper.  $^{23}$ 

The only Micronesian language that Andrew Pawley considered in his investigation of 31 Eastern Oceanic languages was Gilbertese (Kiribati) (Pawley 1972). In exploring the possible subgrouping relationships of that language, Pawley states (1972:134) that "there appear to be no grounds for treating Gilbertese as a Southeast Solomonic language", but suggests that there is some evidence for including it in North Hebridean-Central Pacific (HC), a group which he proposes as including Fijian, Polynesian, and languages of the Banks, Torres, and northern New Hebrides Islands. This proposed evidence will be discussed below.

Pawley (1977) redefines the term "Eastern Oceanic" to refer strictly to his earlier North Hebridean-Central Pacific group. He remains uncertain whether Micronesian languages should be included in the redefined EO group, but states, "There appears to be a distinct chance that they can be ...." Pawley asserts that "a language can be placed in a subgroup by virtue of its possession of one or more of a list of diagnostic features" (Pawley 1977:4 [my emphasis]). He then proposes a list of ten such features for inclusion in EO. I will list and discuss these proposed features in relation to the Micronesian languages.

(1) Loss of POC \*R. Pawley observes that this criterion is "problematical" in that "\*R is not lost in all regions" of the redefined EO, but merges with POC \*d in "one small region of North Hebridean-Central Pacific, namely the Banks Islands languages" (Pawley 1977:8). Therefore, he concludes that \*R was lost in "a dialect of the proto-language" (1977:9).

Pawley's assumption that only the Banks Islands in the New Hebrides have non-zero reflexes of \*R has been proven untenable by both Tryon (1976) and Geraghty (1978). Indeed, as I shall demonstrate below, it now appears that most, if not all languages of the Northern New Hebrides have non-zero reflexes of \*R in at least some forms. Moreover, even if Pawley's criterion were diagnostic of all the proposed EO languages, Micronesian would not meet that criterion. Although Pawley refers without discussion to Milke's (1958) claim that "\*R is lost in all the languages which would now be termed Nuclear Micronesian and in Yapese" (Pawley 1977:8), appearing to imply that he agrees with this claim, he was explicitly aware in 1972 of the fact that Dyen (1949:425) had observed that some instances of \*R are reflected in at least Trukese as r (Pawley 1972:134-135). 25
We now know that the merger in some lexical forms of \*R and \*d is attested in all MC languages except Kiribati, which has lost both \*R and \*d.

Although it is clear that Pawley's criterion is not strictly met by the Micronesian languages (or by many of the languages in the New Hebrides), another somewhat more complex possibility suggests itself. Since both Micronesian and New Hebridean languages attest loss of \*R in some lexical items but merger with \*d in other items, if it could be shown that Micronesian languages attest loss of \*R in the same lexical items where loss is attested in a New Hebridean language

or group of languages and that the lexical items which reflect merger of \*R and \*d are also the same in both groups of languages, it would be striking evidence for subgrouping them together.

In an effort to identify a language or language group in the New Hebrides which has the same pattern of reflexes of \*R as the Micronesian languages, the data in Tryon 1976 have been searched for reflexes of reconstructions with \*R that are also attested in PMC. The results of this search are shown below, with an indication for each New Hebridean language whether it is spoken in the Banks Islands (BA), on Maewo (MA), on Pentecost (PE), on Santo (SA), on Malekula (ML), on Efate (EF), or in the Shepherds (SH).

- I. Comparison where all NH languages and PMC agree in attesting loss of  ${}^{\star}R$
- (A) POC \*Runma house: PMC \*(u,i)mwa; Mota (BA) imwa, Raga (PE) imwa, Nambel (SA) ima, Uripiv (ML) na-im, Nguna (EF) na-sumwa.
- II. Comparisons where all NH languages and PMC agree in showing merger of  ${}^*R$  and  ${}^*d$
- (B) POC \*qapaRa shoulder: PMC \*afara; Dixon Reef (ML) mbot-βera-ni shoulder, Benour (ML) ni-βara- hand, wing, Bongabonga (SH) na-mbarau- (?) shoulder.
- (C) POC \*maRaqan light in weight: PMC \*maraara; Mosina (BA) mamara, Marino (MA) marara, Valpei (SA) mararaha, Aulua (ML) memar.
- (D) POC \*wakaR(i) root: PMC \*wakara; Mota (BA) yariw, Nambel (SA) ar-na, Dixon Reef (ML) wari, Peterara (MA) xori.
- III. Comparisons where PMC loses \*R and NH languages exhibit mixed reflexes
- (E) POC \*kuRita octopus: PMC \*kuita; Mota (BA) wirita, Mosina (BA) wirit, Raga (PE) xuita, Malo (SA) xwita, Uripiv (ML) na-it, Sesake wi:ta, Nguna (EF) wita. (With only Banks Islands languages attesting a non-zero reflex of \*R.)
- (F) POC \*ñoRa yesterday: PMC \*ñañewa; Mota (BA) ananora, Mosina (BA) le-nor, Merlav (BA) nano, Seke (PE) nano, Nambel (SA) nanof (?), Rerep (ML) nenoβ, Sesake nanoβa (?), Nguna (EF) nanoβa (?). (With only some Banks Islands attesting a non-zero reflex of \*R.)<sup>26</sup>
- (G) POC \*paRi stingray: PMC \*fai; Mota (BA) βar, Mosina (BA) βer, Raga (PE) fari, Tolomako (SA) βari, Narang (SA) afai, Uripiv (ML) -βi, Sesake βai, Nguna (EF) βai. (With non-zero reflexes of \*R occurring in the Banks Islands, Pentecost, and part of Santo.)
- (H) POC \*(n)daRa blood: PMC \*caa; Mota (BA) nara.<sup>27</sup> Mosina (BA) noro, Raga (PE) ndaxa-, Nambel (SA) ndrae-, Uripiv (ML) ndra-, Sesake -nda-, Nguna (EF) -da-.<sup>28</sup> (With only Banks Islands languages attesting a non-zero reflex of \*R.)
- (I) POC \*(m) paRu wing: PMC \*pau arm, wing; Toga (Torres) parparina wing, Raga (PE) xapau-, Wusi (SA) apau-, Axamb (ML) xapö-, Port Vato (Ambrym) a<sup>m</sup>bau-, Sesake na-βaru-, Nguna (EF) na-liβaru- wing. (If this is a valid comparison, the correspondences are very puzzling. They appear to show Sesake and Nguna retaining a non-zero reflex of \*R which is otherwise only attested in the Torres Islands. It is possible that Nguna, Sesake na-pau shoulder is the regular reflex of the POC form (cf. Uri (ML) mbirimbari-, Rano (ML) mbarmbari-, Dixon Reef (ML) mbot-βerani, Port Vato (Ambrym) mbar-βelo-, all with the meaning shoulder). If so, two NH reconstructions may be necessary: one a reflex of POC \*(m) paRu wing and the other a hypothetical \*(m) par(i,u) shoulder. In addition, an assumption must be made that Nguna and Sesake switched

- the meaning of the two forms so that, e.g., Sesake na- $\beta$ aru- wing actually reflects the hypothetical \*(m)par(i,u) shoulder, and Sesake na-pau shoulder reflects POC \*(m)paRu wing. The only other apparent solution is to assume an early semantic split in reflexes of POC \*(m)paRu into separate lexical items for wing and shoulder, with \*R being separately and distinctly lost or merged with \*d by the individual languages.)
- (J) POC \*Ruqa neck: PMC \*ua; Raga (PE) mwaxoro (?), Peterara (MA) xaua, Nokuku (SA) alo-, Axamb (ML) -xalua-. This comparison is also problematic, as Tryon (1976) does not recognise l as a reflex of \*R or \*d in any of these languages. However, given the fact that Nokuku is recognised by Tryon as losing \*k in some (undetermined) environments, the NH comparisons strongly suggest the reconstruction of a form \*kaCua neck, where C represents a liquid which was lost in Peterara. The gloss and the POC reconstruction suggest almost as strongly that the liquid was \*R. If so, then there is also a good possibility that the final disyllable of the Raga form represents the putative \*ka(-)Rua. If this comparison is viable, however, then it represents a case of \*R being lost only in Maewo, being merged with \*d in Raga (PE), and merged with \*l in languages of Santo and Malekula.
- IV. Comparisons where PMC merges  ${\rm *R}$  with  ${\rm *d}$  and NH languages exhibit mixed reflexes.
- (K) POC \*takuRu back: PMC \*takuru; Wetamut (BA) tawuru-, Wusi (SA) ta'u, Marino (MA) taγu, Mae (ML) taxu. (With only Banks Islands languages attesting a non-zero reflex of \*R.)
- (L) POC \*meRa red, reddish: PMC \*mera; Mota (BA) memea, Mosina (BA) ya-meme, Raga (PE) memea, Marino (MA) memea, Tutuba (SA) memea, Aulua (ML) miel (?), Malua Bay (ML) i-mel (?), Lelepa (EF) mila (?), Sesake miala (?). It is possible that the Aulua, Malua Bay, Lelepa, and Sesake forms in this comparison in fact reflect an earlier \*meRa-la, with the last syllable an unidentified morpheme. If that is the case, this comparison represents an instance of POC \*R being lost throughout the NH, but merged with \*d in MC (cf. within MC, MRS mir red-coloured, of reddish coconuts or sky, PON mer rusty, corroded, MOK mehr stained from coconut husk or fruit, KIR mea rust, grey, reddish yellow colour).

Table 5 (overleaf) summarises the MC and NH reflexes of POC \*R in the 12 lexical items.

		Banks,		Pente-		Male-		
POC	PMC	Torres	Maewo	cost	Santo	kula	Shepherds	Efate
*Ruŋma	Ø	Ø	-	Ø	Ø	Ø	-	Ø
*qapaRa	r	-		-	-	r	r	-
*maqaRa	r	r	r	-	r	r	-	-
*wakaR(i)	r	r	r	-	r	r	-	-
*kuRita	Ø	r	Ø	Ø	Ø	Ø	-	Ø
⁺ñoRa	Ø	r,Ø	Ø	Ø	Ø	Ø	-	Ø
*paRi	Ø	r	r	r	r,Ø	Ø	-	Ø
*(n)daRa	Ø	r	-	Ø(?)	Ø	Ø	-	Ø
⁺(m)paRu	Ø	r(?)	-	Ø	Ø	Ø	-	r(?)
*Ruqa	Ø	-	Ø	r	1	]	-	_
*takuRu	r	r	Ø	Ø	Ø	Ø	-	-
*meRa	r	Ø	Ø	Ø	Ø	Ø(?)	-	Ø(?)

Table 5: Reflexes of POC \*R in 12 lexical items for MC and NH languages

In the first four comparisons summarised in Table 5, there is agreement among PMC and all the NH languages reflecting the forms on whether \*R was lost or merged with \*d. This fact may be supportive of the suggestion that PMC subgroups with languages of the New Hebrides, but it does not help in determining a specific dialect group in the New Hebrides from which PMC might have derived. However, when the remaining eight comparison sets are examined to attempt to identify such a group, the evidence is extremely contradictory. This is true even if the problematic comparisons involving POC \*(m)paRu and \*Ruqa are discarded.

The only way in which reflexes of POC \*R can be used as evidence for subgrouping PMC with a New Hebridean dialect is by assuming that the pattern of reflexes that are now attested for \*R in those languages are the same as the reflexes which occurred at the time of the putative separation of PMC from the New Hebrides. That is, for PMC and a New Hebridean dialect to be subgrouped on the basis of reflexes of \*R in specific lexical items, it must be assumed that \*R was either lost or merged with \*d in specific lexical items in the protolanguage of PMC and that dialect. If \*R existed as a separate phoneme in the ancestral language of PMC (i.e. was not lost or merged with \*d until later), then the lexical pattern of its reflexes is irrelevant to subgrouping. When the data summarised in Table 5 are examined, however, it is clear that even if PMC did derive from a dialect in the New Hebrides, \*R must have been a distinct phoneme at the time of separation. The reflexes of POC \*meRa red are sufficient to demonstrate that fact, as, under the scenario that we are for the moment assuming, \*R must have been lost in the NH languages after the ancestor of PMC had separated, and \*R must have been distinct in PMC at that time for it to later merge with \*d.

When the reflexes in the remaining cognate sets shown in Table 5 are also examined, the same conclusion is reached: that if the source for PMC was a dialect area of the New Hebrides, \*R must have still been a distinct phoneme when the separation occurred. If \*R was not distinct at that time, and had already been lost or merged with \*d in individual lexical items, then the evidence of the \*takuRu cognate set indicates that PMC must have derived from the Banks Islands, which is the only place besides Micronesia where \*R merged with \*d. On the other hand, the evidence of the cognate sets for \*kuRita, \*paRi, and \*(n)daRa indicates that PMC, which has lost \*R in reflexes of those forms, could not have derived from a Banks Island language because \*R is merged with \*d there.

In the context of his review of Tryon 1976, Geraghty (1978) makes the following observation:

... the disappearance of \*R is gradual, increasing from north to south within the New Hebrides, with no apparent phonological conditioning. Nor is the irregularity confined to the New Hebrides: the liquid \*R seeps away in sporadic losses from one end of Oceania to the other. Already on the threshold of Oceania, PAN \*maRi hither and \*kaRi speak unexpectedly become POC \*mai and \*kai say; and there are many POC doublets suggesting occasional loss or change of \*R in daughter lanquages. In all of the New Hebrides, \*R is lost in the forms \*Rumwa house, \*meRa red, and (probably) \*viRa kind of taro. Between Vanua Lava and Santa Maria in the Banks Islands, \*R disappears from \*noRa [sic] yesterday, and \*kaRati bite follows suit before we reach Merlav. Between Merlav, the last of the Banks Islands, and Maewo, \*kuRita squid loses its \*R and half way down Maewo the same happens to \*suRi bone. South of Pentecost Island, \*R is lost from \*vaRi stingray, and the loss is shared also in all of Santo (except for two languages in the North West). Finally, a central area comprising West Ambrym, South West Epi, and most of the Shepherd Islands leads the field in loss of \*R, deleting it also in \*mauRi left hand; and somewhere between the New Hebrides and Fiji we must draw another line, for all of the New Hebrides lanquages retain \*R in \*tuRi sew and \*kaRu swim, while all Fijian and Polynesian languages, and Rotuman, lose \*R in all instances. (Geraghty 1978:81-82)

Although another explanation has been proposed for the variable reflexes of  $\star$ R.<sup>29</sup> I believe that the most likely explanation is that the changes affecting \*R have been gradually diffusing through the lexicons of Oceanic languages in the manner described by Wang (1969, 1979) for other languages and characterised by him as "lexical diffusion" (see also Jackson 1983, 1984 for a discussion of lexical diffusion in Micronesia). It is possible, as Geraghty (1978) implies, that loss of \*R began to diffuse through the lexicon as early as in POC. In any event, it eventually affected all eligible lexical items in the Central Pacific languages and apparently, as Pawley (1977:8) states, in New Caledonia, the Loyalty Islands, and the Admiralty Islands as well. Perhaps simultaneously, the competing change of merger of \*R with \*d has also been gradually spreading through the eligible lexical items. 30 Ross (1977) shows that it has occurred in many (but not all) languages of the Sepik and Western Madang coast in New Guinea, and Bradshaw (1978) and Ross (1979) indicate that it probably also took place in other New Guinea languages. Indeed, as Geraghty (1978:85n) points out, although the southeast Solomons languages merge \*R with \*1 normally, Sa'a ahuri conch (for expected \*\*ahuli < POC \*tapuRi) suggests an irregular merger of \*R and \*d in that form, as does the Proto-Southeast Solomonic reconstruction \*bara fence < POC \*(m)paRa.

As we have seen, both of these diffusing changes are attested in MC and NH languages. While it is clear that one change or the other had affected all the eligible lexical items by the time of the dispersal of PMC, the occurrence of Nauruan aar *current* and the PMC reconstruction \*auda (< POC \*qaRus(a)) suggests that at least one lexical item was unchanged in Proto-Greater Micronesian. Similarly, \*R must have been a distinct phoneme in NH languages in at least the majority of lexical items at the time when the ancestor of the Micronesian

languages separated from the New Hebrides, if indeed it did so. As stated earlier, the fact that all MC and NH languages have the same reflexes of \*R in \*Runma house, \*qapaRa shoulder, \*maRaqan light in weight, and \*wakaR(i) root may indicate that the diffusing changes occurred in a proto-language ancestral to both language groups, but it is also possible that this agreement is entirely coincidental. (For a discussion of far more numerous instances of coincidental agreement of a lexically diffused change, see Jackson 1984.) Thus, although the MC and NH reflexes of \*R do not contradict the possibility that the two language groups subgroup together, they also do not provide tangible support for that claim.

- (2) Replacement of POC \*kami 'lpl.exc. focus pronoun' by PEO \*kamami. Pawley's second criterion for inclusion within the PEO group would appear to be met by MC languages. Although the Eastern dialect of Marshallese, Trukese, and Mokilese appear to attest the type \*kami in this meaning, all other Trukic languages and also the Western Marshallese dialect clearly reflect \*kamami. It is questionable, however, how valid this feature is for purposes of subgrouping. As Blust (personal communication) has pointed out, Palauan kemam 'lpl.exc. emphatic pronoun' also reflects the type \*kamami, and the same type is also attested in other non-Oceanic Austronesian languages. It is very likely, therefore, that the fact that many of the proposed EO languages attest this form is indicative of a retention of an earlier form, rather than a shared innovation.
- (3) Replacement of POC \*ka- 'food or drink possession marker' by \*ma- 'drinkable possession' and \*ka- 'edible possession'. As shown in Section 1.5, PMC almost certainly had the possessive classifier \*nima- 'drinkable possession' and \*na- 'edible possession'. The former was related to the PMC verb \*nima to drink, while the latter reflects an etymon also reflected in non-EO languages.
- (4) Replacement of POC \*pai where? by PEO \*p(i,e)a. It was observed in Section 1.7 that PMC \*-faa which? (cf. PMC \*i-faa where, how, which place?) is probably cognate with PPN \*fe(a,e) where?, which would suggest that MC languages meet this criterion. However, it was also noted that both Faghani and Vaturanga of the south-east Solomons also appear to attest the same etymon, and neither of those languages is included in Pawley's proposed EO grouping. Moreover, Ivens (1937: 1090) records Florida ivia where, what, how? in addition to Florida ivei where, how, which, what?, with both of Pawley's POC and PEO forms appearing to be reflected. Thus, it appears very probable that PEO \*p(i,e)a was not an innovation of the putative EO group.
- (5) "Recutting of POC \*(Ca)kini 'prepositional verb marking instrumental-causative relation' as \*ki-ni. This yielded a new preposition \*ki; the \*-ni segment was reanalysed as part of the following object person-marker. Apparently \*ki also took on a dative-marking function in PHC." There appear to have been two PMC reflexes of POC \*(Ca)kini: PMC \*-aki 'agentless passive suffix on verbs' and PMC \*-(a)kin- 'remote transitive suffix'. Neither of these reflects the proposed PEO innovations.
- (6) Reflection of PEO \*teqe 'preverbal negative particle'. Ka'eo (n.d.) has proposed that the following MC negative morphemes reflect a PEO \*taqe: MRS ja-, PON sa-, KSR suh-, se-, TRK se, WOL te, tai, MOK ja-, PUL há, PUA taai. In fact, though, WOL te 'prohibitive negative' reflects a PTK-PP \*de, and the other forms apparently reflect PMC \*ta(i) 'preverbal negative'. The forms of the MRS, PON, and MOK morphemes strongly indicate a short vowel rather than the long vowel

which would be predicted from  $K\overline{a}$ 'eo's reconstruction, and the consonant reflexes of the Trukic and Ponapeic languages point to an initial \*ta-. The fact that KIR attests a form tai 'negative imperative' provides further support for this analysis (Harrison, personal communication). The proposed comparison of PEO \*teqe with PMC \*ta(i) has too many irregularities to be considered as subgrouping evidence.

- (7) Reflection of PEO \*tika(i) 'negative verb'. Pawley (1972) suggests that KIR tiaki no might reflect this reconstruction by metathesis, but, as Blust (1984) has pointed out, it appears that the KIR form is bimorphemic: KIR ti only, aki not. There are no other potential reflexes in MC.
- (8) Reflection of PEO \*ma 'preverbal particle marking past or non-future tense'. Although the system by which aspect was marked in PMC has thus far eluded reconstruction, there is no evidence in any MC language of a reflex of \*ma in this meaning.
- (9) Reflection of PEO \*( $\eta$ )kai 'preverbal conjunctive particle relating clauses in temporal succession'. Kā'eo (n.d.) proposes that MRS  $\overline{n}e$  when, if, KSR nge and finally, TRK, WOL, ULI, CRL nge and, but reflect this form in Micronesia. However, no MC language reflects either \*k or \* $\eta$ k as a velar nasal. Thus, this comparison must also be discarded.
- (10) Reflection of PEO \*(n) tewa one. There is also no evidence in MC of a reflex of this reconstruction, although Kā'eo (n.d.) has suggested that the following forms reflect it: MRS juo-n, KSR se, TRK eew, WOL se-, PUL ye-, CRL et. se-, and PUA deei, dawa. In fact, the MRS and TRK forms reflect the number-general classifier compound PMC \*te-ua, the unit prefix of which is also reflected by the cited KSR, WOL, and PUL forms, and by CRL se-. CRL et (correctly eet) reflects the serial counting form for one, PMC \*-za (< POC \*(n)sa), with the prefix \*e-. The forms which Kā'eo cites for PUA are something of a mystery, as Oda's grammar and lexicon of the language provide only the unit prefix de- (< PMC \*te-) and the compound de-ow one (general object) (< PMC \*te-ua) (Oda 1977), and Kā'eo does not provide her source.

In sum, the comparison of data from the MC languages with Pawley's suggested criteria for inclusion in the putative Eastern Oceanic grouping provides no basis for including MC within that group. Although the Micronesian and New Hebridean reflexes of \*R do not deny such a grouping, they also do not support it. Similarly, the fact that MC and EO languages both attest reflexes of PEO \*kamami and \*p(i,e)a loses its subgrouping value when it is recognised that there are witnesses of both forms which are external to EO. The other seven proposed criteria are not met.

#### 3.2 Blust's Malaita-Micronesian hypothesis

Blust (1984) proposes that Micronesian languages are genetically related to those of San Cristobal and Malaita in the South-east Solomon Islands. This proposal has several merits, but, I submit, is again not conclusive.

Because Blust is unable to identify any "clear phonological or syntactic innovations shared exclusively by the Nuclear Micronesian and Cristobal-Malaitan languages ..." (Blust 1984:101-102), the evidence which he presents in support

of his hypothesis consists of lexical comparisons. He presents 28 such comparisons, of which the first two are the most impressive. Twenty-three of the comparisons are claimed to reflect Proto-Malaita-Micronesian (PMMC) innovations, a claim which Blust strengthens by stating that he searched through the published lexical material on more than 200 other Oceanic languages and was unable to find cognates. In this section, I will present and discuss eight of Blust's proposed comparisons, including all of the ones which he considers to provide the strongest evidence for the proposed grouping.

The Cristobal-Malaitan (CM) languages which are cited by Blust are 'Are'are (AA), Arosi (ARS), Bauro (BRO), Kwaio (KWO), Lau (LAU), Sa'a (SAA), and Ulawa (ULW) .

#### (1) Proto-Malaita-Micronesian (PMMC) \*pwaRusu nose

Reflected in AA, ARS, KWO, LAU, SAA, and in the firm PMC reconstruction \*pwauzu nose, this is a most impressive comparison. (I would suggest altering the reconstruction to \*pwaRunsu, though, on the grounds that PMC \*z reflects earlier \*ns, while the CM languages are indeterminate between \*s and \*ns.) If it were the case that no languages external to the proposed grouping attested the form, it would provide strong evidence for Blust's subgrouping proposal. There appear to be some possible external cognates which Blust has missed, however. For example, Yapese p'eethnguu-n $his\ nose$  almost certainly reflects the same etymon. Although there is considerable evidence of borrowing between Yapese and the neighbouring MC language of Ulithi, the Ulithian cognate is boode- nose, with vowels and an initial consonant that are quite distinct from their counterparts in the Yapese word. Moreover, although no Yapese reflexes of reconstructed forms can as yet be termed "regular", Bradshaw (1975) lists several instances where the Yapese dental fricative th is a reflex of earlier \*(n)s, and also provides other information that would suggest that Yapese p'eeth- is a directly inherited reflex of an earlier \*pwaRunsu. He states that "one source for [Yapese] glottalised consonants is the coalescence of a glottal stop and some other consonant". The Yapese forms run'-ag hear (< PAN \*DeneR) and pi' give (< PAN \*beRey) indicate PAN \*R is sometimes reflected as Yapese glottal stop. The replacement of \*R by glottal stop in \*pwaRunsu would provide the source for the glottalised Yapese p'. The presence of the syllable -nguu-, which is certainly not of Ulithian provenance, on the Yapese form also suggests that the Yapese form is not borrowed.

Although the Yapese form is the only likely cognate of \*pwaRunsu external to the proposed MMC of which I am aware, there are some data in Tryon 1976 which suggest earlier sources for distinct morphemes \*pwa- and \*Ru(n)su, both with meanings related to nose. For example, Sowa of Pentecost has a form bwa-gsu nose which appears to reflect earlier \*pwa- compounded with a reflex of POC  $*(\eta)$  isu nose. In addition, several Maewo, Santo, and Malekula languages may reflect an earlier \*R(i,u)(n)su in their forms for nose: cf. Marino, Peterara lisu-, Malmariv, Lametin nalsu-, Morouas nasu-, Lorediakarkar, Shark Bay lusu-, Tangoa, Araki nalusu-, Dixon Reef ngarsi. Although Tryon does not recognise | reflexes of \*R in any of these languages, we have seen in our discussion of New Hebridean reflexes of POC \*Ruqa neck that it is possible that such reflexes may occur. Certainly the forms cited for Morouas and Dixon Reef in the above comparison suggest a proto-segment distinct from \*1.

If my interpretation of these data is correct, and \*pwa- and \*Ru(n)su were separate morphemes present in a language ancestral to the languages of the New Hebrides and of Cristobal-Malaita, perhaps even POC, it becomes more possible that the compound \*pwaRunsu could have developed independently in MC and CM.

Alternatively, as the Yapese form indicates may have been the case, the compound may have developed at a much earlier date and simply been retained in CM and MC.

# (2) PMMC \*masawa (better: \*mansawa) sea beyond the reef; deep sea

This reconstruction is reflected in AA, ARS, BRO, KWO, LAU, SAA, and in PMC \*mazawa. If it is an innovation, then it would also be powerful evidence for the relationship adduced by Blust. Again, however, it seems likely that it is a continuation of an earlier form. Kove, a language which Blust does not appear to have searched, is reported by Chowning (1973:232) as attesting the form maroani deep water, which appears compatible with the proposed PMMC reconstruction: cf. Kove tari sea < POC \*tansi(k), waro sun < POC \*qanso, and Nera when? < POC \*naqija for other instances of Kove r < POC \*ns. Although the final syllable of the Kove form may appear problematic, Chowning (personal communication) states that /i/ is the expected vowel following POC final consonants which are retained in Kove, so it is possible that the n in Kove maroani in fact reflects such a final consonant. POC final consonants are regularly lost in this environment in both MC and CM languages. In partial support of the analysis of the Kove form presented here, Chowning (personal communication) has also brought the Kove form maroani-havu high seas to my attention, where Kove havu means middle.

Blust himself notes Yapese mathaaw deep sea, open ocean, but observes correctly that Jensen (1977) considers it to be a borrowing from Ulithian madaw (Blust 1984:110). It may be worth noting, however, that the Yapese correspondences would not be irregular for a directly inherited form. Blust also suggests that Roviana masa beach, seashore, Manam mara deep blue sea, and Bugotu masa [sic] deep, of sea; the deep sea may be related, and indicate an earlier \*masa, without the final syllable of the putative PMMC form. This observation needs modification, however, as Manam r regularly reflects POC \*ns (cf. Manam ore paddle < POC \*ponse, and raoa son- or daughter-in-law; parent-in-law < POC \*nsawa spouse (Lichtenberk 1977)), and because Ivens (1940) cites no form masa for Bugotu. Instead, Ivens reports Bugotu maha to be deep of sea; the deep sea and tahi maha open sea, both of which also point to \*ns. Thus, both the Manam and Bugotu forms strongly suggest earlier \*mansa deep sea. Indeed, since Levy (n.d.) indicates that Bugotu regularly loses POC \*w, it is not inconceivable that at least the Bugotu forms reflect \*mansawa. 31

In light of the above, it can not be certain that the PMMC reconstruction represents an innovation.

#### (3) PMMC \*mano breath, to breathe; fontanel

The primary problem with this proposed comparison is that only one of the six CM reflexes which Blust suggests attests the gloss fontanel - LAU maŋo-na pulse, beat of heart; fontanel; lungs; life, soul, spirit; wind, breath - while on internal evidence the only gloss that can be reconstructed for PMC \*maŋo is forehead, fontanel; cf. KIR mango fontanel, MRS mon pate of head, fontanel, KSR mahngo top, head, ridge, crown (of the head) (3ps), MOK moang, PON moahing head, PTK \*maŋo forehead, top of head. In contrast, none of the other CM forms cited have glosses that refer to the head: AA ma-mano-na stomach, belly, mano-ara, mano-asa, mano-mano breathe, mano-na breast, chest; breath, respiration; ARS ma-maŋo-na pit of chest, stomach, where breath heaves; KWO maŋo breathe, pause for breath, fa'a-maŋo wait, take a break, maŋo-na breath, life; LAU maŋo breathe, maŋo-la to rest, have a spell, ata-maŋo to sigh, a sigh; SAA ma-maŋo breath; (metaphorical) heart, (ma) maŋo breathe; ULW maŋo-maŋo breathe. While it is true, as Blust states (1984:111), that KIR mangongo has been reported with the meaning

passage between nose and throat; the breath, or rather its odour from the nose (Bingham 1908), neither this specific form nor any other form with a gloss pertaining to 'breathing' is attested elsewhere in Micronesia. Thus, the proposed comparison is quite tenuous.

Additionally, there is the possibility that Lakalai mago <code>sea; nasal mucus; brain</code> may reflect an earlier <code>\*maŋo</code> which had a gloss which could have been independently limited in MC languages to the head and in CM languages to breathing (Chowning, personal communication). Chowning (1973) has observed other cases where Lakalai g reflects earlier <code>\*ŋ</code>. Also, as Blust observes, Mono-Alu mao-aha <code>breathe</code> may reflect an earlier <code>\*maŋo</code>.

# (4) PMMC \*lama lake, lagoon

Blust claims that this reconstruction represents a shared shift in the meaning of POC \*laman sea beyond the reef, deep blue sea, and that the shift is related to the development of \*mansawa discussed above (1984:115-117). His supporting data are AA ramo lake, ARS rama water between reef and shore; strait between mainland and small island; long deep channel in the open sea, ramarama deep water beyond the edge of the reef, LAU lama pool at low tide in the reef, SAA lama lake, KIR nama lagoon, lake, and MOK lam lagoon. To Blust's MC data may be added MRS lam and CRL lama-, both with the meaning bay.

My comments on this comparison are limited to noting that the ARS forms and those for MRS and CRL appear to continue the POC reference to deep water (albeit water which is relatively close to shore), and to observing, with Blust, that reflexes of POC \*namo sea within the reef, lagoon, deep pools near the shore are well attested in both CM and MC languages: ARS namo landlocked shallow lagoon near the shore, LAU namo the lagoon inside a reef, near the reef (the deep) pools towards the shore, PMC \*namwo lagoon, with the following reflexes: KIR namo harbour, MRS nam secondary lagoon, KSR nwem deep area in lagoon, PON nahmw lagoon, deep place inside barrier reef, PTK \*namwo lagoon (with all reflexes showing that meaning). Thus, it would appear probable that \*lama had not in fact replaced \*namo in the meaning lagoon in the ancestors of either the MC or CM languages. It is likely, however, that both groups of languages have undergone a limiting of the meaning of \*lama to something like deep water close to the shore.

#### (5) PMMC \*mami fresh water

Except for the Ponapeic languages, which reflect a possibly innovative \*pili fresh water, all MC languages reflect POC \*(n)danum in this meaning. Since the only MC form cited by Blust for his reconstruction is KIR mam fresh water (cf. KIR ran water, fresh water, liquid, juice, sap, milk < POC \*(n)danum), and he also cites only two CM forms (ARS mami fresh, good drink, water; mixed with fresh water, as the sea near a river mouth; KWO maa-mami-la brackish water), it would seem more likely that the KIR and ARS forms reflect somewhat parallel but independent innovations.

#### (6) PMMC \*(m)puRo(m)puRo foam, bubbles

KIR puropuro *ebullition*, *bubbling up*, *frothing* does not, as Blust states, reflect this putative reconstruction, but rather PEO \*(m) puso *foam*, *bubbles* (which is also reflected by PON pwudopwud *foam*, *scum*). Of the other MC forms cited by Blust, it is very probable that all but one reflect his own Proto-Malayo-Polynesian reconstruction \*bureq, as Blust recognises. The remaining MC form, PON pwolol *to bubble*, *bubble*, is inconsistent with either earlier \*R or \*d, and thus more probably indicates a loan from the regular KSR reflex pulohl *blister*,

bubble (of hot water). While the provenance of the two CM forms cited by Blust is not clear (KWO bulobulo eddy in stream, whirlpool, LAU fulofulo eddy in the sea when a turtle dives or a ship sinks), it is very probable that they are unrelated to any of the MC forms.

# (7) PMMC \*pwela (taro) swamp

The MC forms cited by Blust all reflect a PMC \*pwelu dirt, soil,  $taro\ swamp$ , which appears to have cognates in the Banks Islands in the type kpwolo dirty cited by Tryon (1976), and perhaps, although with a different initial consonant grade, in Fijian duka-veluvelu  $very\ dirty$ . The only CM form cited by Blust, ARS bwera swamp, has a final vowel which cannot be reconciled with the MC forms.

# (8) PMMC \*n(i,u) so squid, cuttlefish

Blust proposes this reconstruction as reflecting a phonological innovation by which the initial alveolar nasal of POC \*nu(n)s(i,o) became a velar nasal in LAU and in some MC languages. At best, it is a highly problematic comparison, as AA, ARS, KWO, and SAA all reflect only the alveolar nasal, and LAU has the expected form nuto squid in addition to the innovative nuto, in the same meaning. Within MC, MOK and PON nuhd, MRS not also point to a PMC \*nu(d,z)o squid, with an alveolar nasal. In fact, only the Trukic languages of Micronesia consistently reflect a velar nasal in this form: PTK \*nudi or \*nido > TRK niiti-, MRT nquut, PUL ngiito-, STW nguut, CRL nguti-, WOL ngiitO, ULI ngidi-, PUA ngiitO. Since the Trukic languages were probably the last group to break off in the dispersal of the Proto-Micronesian community (Jackson 1983), there would appear to be few grounds for concluding that the velar nasals in LAU and PTK resulted from common inheritance of a velar nasal in an ancestral language. Blust himself regards the comparison as a "difficult" one (1984:121), and given the subgrouping relationships now held to be true of Micronesia, it is almost certain that the LAU and TK forms reflect independent developments, perhaps in both cases as a result of contamination from languages reflecting PPN \*quu squid, cuttlefish.

I have nothing to add at this time to Blust's other proposed comparisons. However, it is clear that Blust himself considers some of the comparisons which we have been discussing to be the strongest evidence for the proposed MMC grouping. He writes (1984:132), "The evidence for the MMC hypothesis is limited, but includes several almost certain innovations in basic vocabulary (nose, sea, breathe) and at least one apparent semantic drag chain [that involving putative PMMC \*mansawa and \*lama]." As we have seen, the innovative status of these forms is not as certain as Blust believes; as a result, the search for conclusive evidence of the external relationships of the Micronesian languages must continue.

#### 3.3 The proposed linkage between the Admiralty Islands and Micronesia

Smythe (1970) proposes a possible connection between languages of the Admiralty Islands and those of Micronesia on the basis of 146 examples of lexical similarities between various Admiralty languages and Trukese and of some grammatical similarities between the two. Because the putative grammatical similarities are not described in a fashion which permits systematic comparison, we are left to examine the proposed lexical comparisons. Those, in turn, have been strongly criticised by Blust (1984) on the grounds that only five of the proposed comparisons "exemplify recurrent phonological correspondences and do not reflect

an established comparison " (1984:128). Blust goes on to demonstrate that even those five comparisons should be discarded on various grounds. 33 and concludes that "Smythe's argument for an Admiralty-Nuclear Micronesian subgroup rests on virtually no solid evidence of exclusively shared lexical innovations" (1984:129).

Before rejecting Smythe's proposal out of hand, however, it may be of value to note a few of Smythe's other proposed comparisons, for, although each is problematic in its own way, they may together indicate the need for further research into the matter. The comparisons in question are as follows:

- (1) Gele' ba:bi beach, Seimat helpi sand, "others" pi:a sand, TRK pi sand (PMC \*pi(k,x)a sand, sandbank, beach). Blust (personal communication) has also brought Wuvulu pie sand, earth to my attention, but suggests that it and the other Admiralty forms reflect POC \*(m)pia earth, ground, land. However, the fact that only the Admiralty forms share the meaning sand with MC languages, and the additional fact that Wuvulu, at least, apparently loses earlier \*k and \*gk (> PMC \*k and \*x, respectively) may suggest a connection between the Admiralties and Micronesia.
- (2) Gele' ne-mbulu, Bipi pulu, Loniu ñapulu-, TRK punuwa- spouse (PTK \*pulua spouse, to marry). Blust (personal communication) states that this form is of "rather limited distribution in the Admiralties" and that it does not reflect the final vowel of the Trukic reconstruction. The comparison may be worth noting, nonetheless.
- (3) Gele' ndamat, Lou ramat, TRK aramas person (PMC \*aramata). Ross (1977) reconstructs Proto-Siau \*Rəmat(a), Proto-Kairiru \*Rəmat person, which may be cognate with the MC and Admiralty forms. However, Siau, Kairiru \*R is reported by Ross as normally reflecting POC \*R, which is apparently lost regularly in the Admiralties (Pawley 1977). It becomes possible, thus, that either the MC and Admiralties languages instead reflect earlier \*(n)d or that both groups reflect a merger of earlier \*R and  $*(n)d.^{34}$  In either case, there may be evidence here of a connection between the two groups.
- (4) Seimat i:a, TRK iya (PMC \*ia(a)) where? Blust (personal communication) has also directed my attention to Wuvulu ia, in the same meaning. As observed earlier, this etymon also seems to be attested in the southern New Hebrides and in Nauruan. I have not found other examples, however, so its rare occurrence in the Admiralties and Micronesia may provide some support for linking those areas.

Additionally, Blust (personal communication) has reconstructed Proto-Eastern Admiralties \*kandahV cloud, which is almost certainly cognate with PMC \*kaca(w)u cloud, raincloud but to my knowledge is not attested elsewhere. Blust states (personal communication) that PEAdm \*-h- points to an earlier \*p, but that is also compatible with the PMC reconstruction. 35

There is very little data published on the languages of the Admiralty Islands, and although Robert Blust has kindly shared with me some of his 200-word lists on a few Admiralty languages, I have no information on the grammars of those languages and almost none on less basic vocabulary. Under these circumstances, the rather weak comparisons just described may be grounds for investigating further the possibility of a connection between the Admiralties and Micronesia. The two groups are in reasonably close geographical proximity, and there also appears to be some non-linguistic evidence of a connection between them (Chowning 1977:102n). 36

#### 4. CONCLUSION

Although some steps have been taken in this paper toward the formal establishment of a Greater Micronesian subgroup of Oceanic, to consist of Nauruan and the Micronesian languages, in one sense little else has been accomplished in the determination of the original source of the Micronesian languages. We have seen that Pawley's carefully researched criteria for including a language in the putative Eastern Oceanic group have failed to provide secure evidence for such a determination, as has Blust's detailed proposal for linking Micronesian languages with those of Cristobal and Malaita. On the other hand, Smythe's proposal, while justly criticised by Blust, may offer a few tantalising suggestions of a linkage of some sort between the Admiralty Islands and Micronesia.

Part of the problem may stem from the fact, now more widely recognised, that Micronesian languages are lexically quite conservative for Oceanic languages, despite their somewhat innovative phonologies. Robert Blust (personal communication) has recently recognised the following MC retention percentages for his Malayo-Polynesian 200-word list: KSR 28.6, MRS 29.9, KIR 32.0, PON 30.2, TRK 38.3, and PUA 37.9, and my own computations using the same list average 2-3 percentage points higher than Blust's. These high retention percentages are also implied by the shared cognate percentages of five Micronesian languages and 31 other Oceanic languages which I have computed on a modified 100-word list which was designed to highlight some typical Micronesian lexical distinctions, such as separate lexical entries for arm and hand, and also for sea water, fresh water, and ocean. These percentages, which are shown in Table 6, show that MC languages have their highest number of shared cognates with such geographically dispersed languages as Kapingamarangi, Fijian, Nggela, Longgu, Faghani, and Wuvulu, and their second highest percentages with Nukuoro, Rotuman, Raga, and Lakalai.

It must be emphasised, lest too much be made of these cognate percentages, that the great majority of shared cognates indicated in Table 6 are reflexes of clear POC reconstructions, and most, if not all, of the others reflect probable POC etyma. Many of these latter would appear to point to POC doublets with the same (or very similar) meanings. For example, PMC and several languages of the New Hebrides and Admiralties reflect a type \*qalo sun instead of the more widely reflected \*qanso. It would also seem possible on the basis of data already discussed to reconstruct for the meaning where? both POC \*p(i,e)a and POC \*pei, and quite possibly a POC \*ia. Similarly, although the supporting data are more limited, it would appear necessary at present to reconstruct both POC \*gaqija and POC \*ñaqija for the meaning when?, and both POC \*(n)sai and \*ta(i) for the meaning who?

In fact, of course, it is extremely unlikely that these and other well known apparent doublets had exactly the same meaning in Proto-Oceanic. It is much more likely that, if both forms were in fact present in the proto-language, there was a semantic distinction between them which has since become obscured by varying processes of historical change. To gain a better understanding of the grammar and lexicon of Proto-Oceanic, one of our tasks will be to disambiguate the meanings of such forms. That task has been begun in much of the recent work of Robert Blust and in Andrew Pawley's analysis of POC terms for people (Pawley 1979b), but there is still a very great deal of work which needs to be done in this area.

And this is only one of the areas relevant to the reconstruction of POC in which work is needed. The truth, I believe, is that we still know far too little about the lexicon, grammar, or even phonological developments of POC to identify the shared innovations needed for us to propose higher-order subgrouping relationships

Table 6: Cognate percentages of Micronesian and other Oceanic languages

		KSR	KIR	MRS	PON	WOL
1.	Rotuman	27	25	28	27	26
2.	Fijian	26	26	28	26	37
3.	Polynesian langu	ages				
	Tongan	24	23	27	24	28
	Kapingamarangi	30	26	32	28	33
	Nukuoro	25	24	27	28	29
	Rennellese	24	22	24	25	26
	Ontong Javanese	28	21	26	24	28
	Futunan	21	21	23	23	25
4.	NH languages					
	Mota	22	24	25	22	30
	Mosina	20	21	19	18	24
	Raga	23	25	26	28	35
	Nokuku	18	18	21	18	25
	Nambel	19	20	21	20	24
	Uripiv	22	19	25	22	28
	Sesake	22	28	19	19	26
	Nguna	23	27	20	20	27
5.	South-east Solom	ons la	nguages			
	Bugotu (Dhadaje)	21	22	25	24	24
	Nggela	24	25	31	29	33
	Longgu	26	29	29	28	29
	Lau	22	26	27	26	25
	Kwaio	25	25	23	23	24
	'Are'are	20	23	23	25	26
	Sa'a	18	23	22	23	24
	Arosi	23	27	25	26	25
	Faghani	25	29	27	28	29
6.	Admiralty Island	ls lang	uages			
	Wuvulu	29	27	31	31	36
	Loniu	21	21	23	21	25
	Lou	23	24	24	22	22
7.	Other Oceanic la	nguage	5			
	Roviana	15	17	17	16	16
	Motu	24	23	21	24	26
	Lakalai	28	23	25	26	31

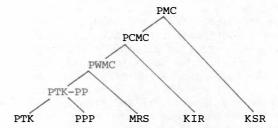
that will withstand intensive examination. In order for us to securely identify such relationships, we need first to clearly define more lower-order groups like those of Polynesia, Central Pacific, and (I believe) Micronesia, and to take major steps toward the reconstruction of the proto-languages of those subgroups. We need a fuller understanding of phonological developments within Oceanic, including, for example, the extent to which phonological changes affecting \*R and other POC segments may have been diffusing through the lexicons of Oceanic languages. We need further work toward the disambiguation of apparent POC doublets like those described above. We also, I submit, need further formal attempts at identifying higher-order subgrouping relationships like those of Pawley and Blust which have been discussed in this paper, for even if such attempts, when put to the test, fail to provide the conclusive evidence which is needed, the testing of them teaches us not only about the languages involved, but also about the nature of Proto-Oceanic.

#### NOTES

- I am indebted to Robert Blust, Ann Chowning, and Paul Geraghty for their comments and suggestions on an earlier draft of this paper. I retain, however, full responsibility for any errors of fact or interpretation which remain.
- 2. Abbreviations used in Table 1 are as follows:

CRL	Saipan Carolinian	PPP	Proto-Ponapeic
KIR	Kiribati (Gilbertese)	PTK	Proto-Trukic
KSR	Kosraean (Kusaiean)	PTK-PP	Proto-Trukic-Ponapeic
MAP	Old Mapian	PUA	Pulo Anna
MOK	Mokilese	PUL	Puluwatese
MRS	Marshallese	PWMC	Proto-Western Micronesian
MRT	Mortlockese	SNS	Sonsorolese
PCMC	Proto-Central Micronesian	STW	Satawalese
PMC	Proto-Micronesian	TRK	Lagoon Trukese
POC	Proto-Oceanic	ULI	Ulithian
PON	Ponapean	WOL	Woleaian

The internal subgrouping hypothesis for Micronesian that is assumed in this paper is as shown below (see Jackson 1983 for supporting evidence):



Much of the Micronesian data on which this analysis is based is in Bender et al (in preparation). Other data have been taken from the sources provided in the References, except for those for CRL, MRT, and STW, which are from my own fieldnotes.

- The standard orthography of the source for each language is used in this table. Footnotes provide the phonetic values of symbols which may be ambiguous.
- 4. Labiovelar \*pw is reconstructed before a non-round vowel for the following Micronesian etyma: PMC \*pwaŋa hole, cave; PMC \*pwelu dirt, soil; dirty; PMC \*pwake(a) hawksbill turtle; PMC \*pwara pubic area; PMC \*pwa 'future aspect'; PMC \*pwauzu nose; PMC \*pwa say, tell; PWMC \*(r,c)epwa beard; PMC \*pwaki lift, carry, take; PMC \*pwexa twins; PMC \*pwau pound food; PMC \*pwau fishing pole; PCMC \*pwaro bent, curved; PMC \*pwapwu shark species; PWMC \*pwalu to cover (s.t.); PCMC \*pwaipwai foolish, stupid; PCMC \*pwa because; PWMC \*pwadu scar; PMC \*pwace coral lime; PMC \*pweci hot; PMC \*lipwa pit, hole; PWMC \*pwalu taro patch, dirt; PTK \*pwariku kind of dance; PTK \*pwaro box, crate, container; PTK \*ka-pwata shout, call; PTK \*pwadai fat, obese, ripe; PTK \*dapwa follow; PTK \*kupwa foot and leg, footprint.
- 5. Labiovelar \*mw is reconstructed before a non-round vowel for the following Micronesian etyma: PMC \*mwaau good, seemly, fitting; PMC \*umwa hermit crab; PCMC \*mweŋau eat; food; PMC \*mwata earthworm; PMC \*mware lei, garland; PWMC

- \*mwamwane able, capable, good; PMC \*mwaane man, male; PCMC \*mwalu joint (as elbow); PMC \*mwakumwaku arrowroot, starch; PMC \*mwakuzu move, shake; PWMC \*mwak(i,a) needlefish; PMC \*mwacako steal, act greedily; PMC \*(u,i) mwa house, home; PMC \*camwa forehead, brow; housegable; PMC \*mwane crosssibling; PTK \*mwanea cross-sibling; PTK-PP \*mwakarikari the Pleiades; PTK \*amwa struts connecting outrigger float to boom; PTK-PP \*mwetu grant, allow; adopt child; PTK \*cimwa head, bundle; PTK \*mwano secret, hidden, shaded, lost; PTK \*mwaku Pisonia grandis; PTK \*mwacani want, desire, agree to; PTK \*ka-mwacu hold, grasp; PNTK \*mwiici meeting, to meet; PNTK \*mweli main sheet for canoe boom; PNTK \*mweika chili pepper; PNTK \*kamwee large tridachna; PNTK \*ka-imwaimwa shelter on lee platform of sailing canoe.
- 6. POC \*R is reflected as PMC \*r in the following etyma: PMC \*mera red, stained < POC \*meRa; PMC \*afara shoulder < POC \*(qa) paRa; PMC \*daku-laara swordfish, marlin < POC \*laya(R) sail; PMC \*maraara light in weight < POC \*maRaqan; PMC \*luru shade, shady < POC \*ma-luR; PMC \*-ŋaratu 'counting classifier for units of thousands' < POC \*Ratu(s) hundred; PMC \*para(ta) tradewind, windstorm < POC \*(m)paRa(ta) north-west monsoon; PMC \*ropa smashed, broken < POC \*Ropa; PMC \*takuru back < POC \*takuRu; PMC \*taniri tuna species < POC \*taniRi; PMC \*wakara root < POC \*waka(Ri); PMC \*pworo wring, squeeze < POC \*(m)poRo. In contrast, POC \*R is lost in the following PMC forms: PMC \*pau arm, wing < POC \*(m)paRu (Blust, personal communication); PMC \*(ca)caa blood < POC\*(n)daRa; PMC \*cui bone < POC \*nduRi (Blust 1978); PMC \*dakau reef, reef island, atoll < POC \*nsa(n) kaRu reef, coral; PMC \*dou spear, stab, inject < POC \*(n)saRu; PMC \*fai ray fish < POC \*paRi; PMC \*faka-afi evening < POC \*Rapi; PMC \*fau new < POC \*pagoRu; PMC \*kia mat < PEO \*kiRe (Geraghty, personal communication); PMC \*fau hibiscus < POC \*paRu; PMC \*kuita octopus < POC \*kuRita; PMC \*ñañewa yesterday < POC \*ñoRa; PMC \*(u,i)mwa house <</p> POC \*Runma; PMC \*uda load, cargo < POC \*Ruja; PMC \*ua neck < POC \*Ruga; PMC \*taui conch, trumpet < POC \*tapuRi; PMC \*naanaa duck, booby < POC \*naRa (cf. Roviana ngara, Fijian gaa wild duck); PMC \*tapia bowl, dish < POC \*tampiRa (cf. Manam tabira dish); PMC \*auda current < POC \*qaRusa; PMC \*pwauzu nose < earlier \*Ru(n)su (see Section 3.2 for discussion of the last form).
- 7. Paul Geraghty's suggested PEO \*z is used as the base of comparison here because of the fact that it corresponds in a very regular fashion with PMC \*z (Geraghty 1983:130-148; also see Jackson 1983:343-350 for detailed discussion of the MC reflexes). It should also be noted that Geraghty (1983: 90-91 and personal communication) believes that his PEO \*z, which corresponds to reconstructed POC \*ns, in fact reflects an oral grade palatal, while his PEO \*s reflects the nasal grade. If Geraghty is correct, then the PMC merger of \*z and \*j in fact involves the merger of two oral grade segments, while the PMC merger of PEO \*s and \*nj (7) involves two nasal grade segments.
- 8. Geraghty (1983) writes this reconstructed segment as \*j, but he has since agreed to reserve that symbol for the POC reconstruction made by Blust (1978) and to use \*nj for his own PEO reconstruction (Geraghty, personal communication).
- 9. In addition, it appears very likely that the following processes affecting vowels can be reconstructed for PMC: (1) shortening of all word-final vowels, and loss of word-final \*i after sonorant consonants; (2) regressive vowel assimilation affecting adjacent vowels and also those separated by a single consonant; (3) lengthening of the first syllable in a bimoric phrase so that each phrase has at least three mora (see Rehg 1984 for formalisation

- of this process and discussion). It is also possible, as Marck (1977) has suggested, that phonetic qualities of the consonants may have affected neighbouring vowels.
- 10. A cursory scanning of the subject, object, and focus pronoun sets for other Oceanic languages indicates that the problems discussed for MC are not limited to that group of languages. For example, of the 30 languages treated in Pawley 1972, 21 have identical focus and object pronouns in the meaning 'lpl.exc.', and 11 have identical focus and subject pronouns in the same meaning. In addition, Pawley (1972:64) reports six instances of alternate forms among the subject pronouns. For the '2pl.' forms, Pawley (1972:66) reports 24 instances of identical forms in the focus and object pronouns and nine instances of identical focus and subject pronouns, with seven cases of alternate subject pronouns. It appears clear that considerable work still needs to be done in the reconstruction of POC personal pronouns.
- 11. Other such languages include Ulawa and Marau Sound.
- 12. The Trukic, Ponapeic, and Marshallese forms for the meaning hither, towards speaker all reflect PEO \*(n) soko arrive. That reconstruction has cognates throughout Micronesia in the meaning come, arrive (PMC \*doko), but only in the Western Micronesian languages is its reflex also used as a directional enclitic. The fact that a reflex of PMC \*doko has replaced earlier \*mai in this function in those languages is powerful evidence for subgrouping them together.
- 13. It may be noted at this time that the Rotuman directional morphemes correspond almost exactly with those reconstructed for PMC (Churchward 1940:41):

	thither	outwards	down	ир	hither	inwards	away
PMC	*watu	*otu	*zio	*zake	*mai	*loŋo	*lako
ROT	afu	hofu	sio	se?e	me	loga	(age)

Only the items which are glossed away are not cognate.

- 14. KSR has a form la- in this meaning. Its source is not known.
- 15. The Trukic and Ponapeic languages have reflexes of the type \*kana- as classifiers for edible objects. This type is cognate with the Kiribati transitive verb kana to eat, and reflects POC \*kani to eat. However, the verb for to eat among Western Micronesian languages is \*kani, reflecting an innovation which also occurs in KSR and in some of the forms of the paradigm in KIR.
- 16. MRS has kije- in this meaning, apparently reflecting an earlier \*kita.
- 17. Loss of the final syllable of POC \*pati four has also occurred in Fijian and Polynesian (Pawley 1972) and in Lakalai (Chowning 1973).
- 18. Although PMC \*-cau 'counting classifier for thin flat objects' is only reflected in Trukic and Ponapean within Micronesia, the fact that cognates occur in the same function and meaning in Nauruan (Nathan n.d.) and Lau Fijian (Geraghty, personal communication) requires its reconstruction for Proto-Micronesian.
- 19. Lynch and Tryon (1985) state that such forms "show irregular loss of the initial \*p [of \*pia where?]", but it would seem far more probable that they are regular cognates of an earlier \*ia which is also reflected in Micronesia.
- 20. Nathan's work on Nauruan consists of a paper presented at the First Conference on Austronesian Linquistics (Nathan 1973), a very brief unpublished

- description of some aspects of Nauruan grammar (Nathan n.d.a), and an unpublished short word list keyed to Grace's Proto-Oceanic finderlist (Grace 1969). All of my data on Nauruan are taken from these sources.
- It appears certain that NAU has borrowed heavily, perhaps from several lanquages. A clear source for some of the borrowings is Kiribati, but other possible sources have not been identified. Also not yet determined are the periods when borrowing may have occurred.
- Blust (1984:109) cites NAU bodi-n nose as a possible cognate of his proposed PMMC \*pwaRusu nose, but states that the NAU form "fails to agree with the sound changes suggested by Nathan (1973)". Nathan (1973:492) had tentatively concluded that NAU reflects \*R as either r or  $\neq$ , but, as we have seen, the most frequent NAU reflex of POC \*R is  $\emptyset$ . In this as in all other respects, NAU bodi-n (more correctly bwoodi-n) is an entirely regular reflex of Blust's proposed reconstruction.
- 23. Although it is quite clear now that Yapese is not a "Micronesian language" as that term is defined in section 1 of the present paper, it is not at all certain what group Yapese does belong to. It is not even conclusive that the language is Oceanic (see Bradshaw 1975).
- Pawley (1979a) shows that Rotuman should also probably be included within this group and that Rotuman, Fijian, and Polynesian form a Central Pacific grouping.
- Pawley suggested in 1972 that the fact that Kiribati loses \*R might be grounds for its inclusion in the proposed HC. Since he considered Kiribati as representative of the Micronesian languages and was also aware of the retention of some instances of \*R in at least the Trukic languages, however, it is not clear why he emphasised the Kiribati development.
- The fact that both MC and NH languages reflect initial syllable reduplication may be noteworthy, although the same pattern of reduplication is attested in, e.g., Sa'a nonola and Fijian nanoa yesterday.
- 27. According to Tryon (1976), Mota n is a regular reflex of POC \*nd.
- Geraghty (1978) suggests on the basis of data from the Shepherd Islands that the form \*(n) dage blood be reconstructed in competition with \*(n) daRa. If Geraghty is right, some of these forms may reflect that reconstruction.
- Pawley (1979:43n) states that the fact that many languages in the New Hebrides show variation between loss of \*R and its merger with \*d suggests "borrowing". Since most New Hebridean languages now appear to exhibit such variation, it is difficult to imagine the scale of such borrowing, or how, if Pawley's suggestion is correct, it would ever be possible to make firm subgrouping decisions from New Hebridean data.
- The fact that South-east Solomonic languages and apparently some New Guinea languages attest the merger of \*R and \*1 may suggest a third competing change. If so, that change might have been the cause of the problematic NH reflexes of POC \*Ruga neck discussed above.
- 31. The fact that Bugotu maha does not appear to have a long final vowel would arque against this possibility. There is some evidence that Bugotu shortens some long vowels, however: cf. Bugotu mane man, male person < POC \*gmaqane.
- 32. Blust (personal communication) has recently stated that he considers the proposed reconstruction of PMMC \*tina mate orphan to represent a significant

morphological innovation of the proposed group. The form is attested in AA, ARS, LAU and SAA within CM, but only in MRS and Woleaian within MC. Its significance appears somewhat questionable, however, on two grounds: (1) the transparency of the form's meaning makes independent development likely, and (2) forms with the meaning orphan are not regularly provided in published sources on Oceanic languages. For example, Tryon 1976, which Blust used as his source for many of the New Hebridean languages which he checked, does not include forms for orphan.

- 33. The five comparisons in question are as follows:
  - (1) Gele' and "others" mwan bad, TRK mwan error;
  - (2) Gele' and "others" wasiw cane, TRK was stem (grass);
  - (3) Gele' os, TRK ot taro;
  - (4) Gele', TRK nat hole; and
  - (5) Gele' naw cat, TRK não mew.

Of the five cited TRK forms, only (3) woot taro reflects a secure PMC reconstruction: PMC \*odo taro < POC \*oso journey provisions. Blust, however, states that his own fieldnotes fail to show any form for taro similar to os in Gele' or any other Admiralty language and discards the comparison on that basis. TRK mwmwáán to err, make a mistake, be wrong, mistaken, incorrect, to be done improperly or without permission might correspond with Gele' mwan bad, but does not appear to be attested elsewhere in MC. TRK ngaat hole has cognates throughout Trukic, but not in other MC languages. Moreover, the reconstruction of the medial consonant is somewhat problematic for PTK: \* $\eta a(t,d)a$  hole. As Blust suggests, the comparison in (5) can probably be rejected as parallel onomatopoetic developments. In any case, cats were only very recently introduced to Micronesia. Blust is also correct in noting that the final vowels of Gele' wasiw rattan and TRK wasa-handle (as of axe or basket), shaft (of spear), pole (for flag) are irreconcilable.

- 34. The MC languages could only reflect earlier \*d or \*R.
- 35. Paul Geraghty (personal communication) also points out that the Fijian island name Kadavu corresponds formally in perfect fashion with the MC and Admiralties forms. It is not at all clear whether there is an etymological connection.
- 36. Chowning (1977:102n) writes, "The most westerly islands of the Bismarck archipelago, especially Aua and Wuvulu, contain people whose physical appearance and technology indicate, at the very least, strong Micronesian influence ...." Technological similarities include those of gardening techniques and the appearance of the canoes (Chowning, personal communication).

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# STEM-INITIAL CONSONANT ALTERNATION IN THE LANGUAGES OF EPI, VANUATU: A CASE OF ASSIMILATION?

D.T. Tryon

#### INTRODUCTION

Early scholars of the Island Melanesian languages such as Codrington (1885) and Ray (1926) observed alternations between certain consonants in certain Vanuatu languages, but without assigning any function to them. Ivens too noted such consonantal alternations in later studies (Ivens 1937-39, 1939-42). Here again the basis of the alternations was not really understood.

More recently a number of scholars have turned their attention to the phenomenon of consonant alternation within Oceania as a whole, but particularly in the languages of Vanuatu, for example Tryon (1973), Lynch (1975), Walsh (1982), Crowley (1982) and Clark (1985).

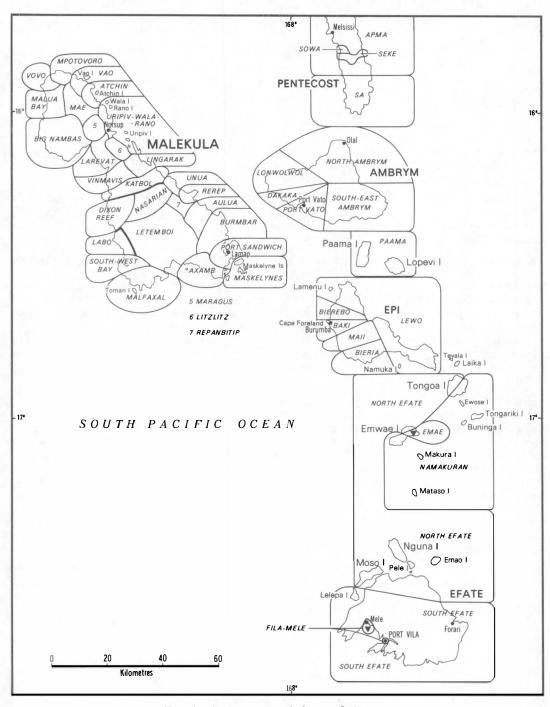
Lynch, among these writers, was the only one to propose a detailed explanation of consonantal alternation within Oceanic languages as a whole, in a hypothesis which claimed that the alternations observed were the result of the assimilation of the 'realis' prefix \*ma to the initial consonant of certain verb stems, this prefix being found almost throughout central and northern Vanuatu. Beyond Vanuatu he found that alternations also resulted from a fusion of the 'irrealis' marker \*na with the initial consonant of verbs. This latter fusion was found by Lynch to be confined to the Papua New Guinea area, in the languages of Yabem and Mapos Buang in the Morobe District.

Until the present, however, there has been no comparative study of stem-initial consonant alternation across languages within a single subgroup, largely because sufficiently detailed data have not been available at that level. In this paper the development of stem-initial consonant alternation is studied with reference to the languages of Epi, in central Vanuatu, and the fusion hypothesis advanced by Lynch (1975) considered with respect to these languages. The final section of the paper examines the extent and range of stem-initial alternations within Vanuatu and their value as subgrouping evidence within this geographical area and within Oceanic as a whole.

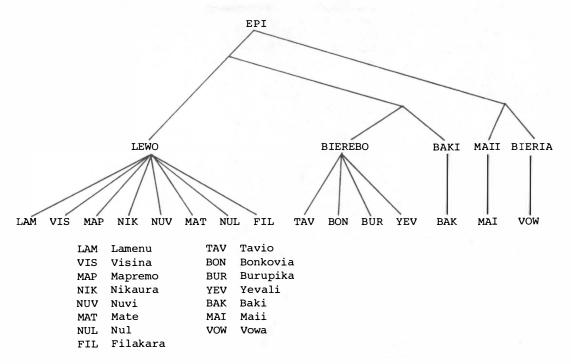
#### 2. THE LANGUAGES OF EPI

There are currently five languages spoken on the island of Epi, namely Lewo, Bieria, Baki, Maii and Bierebo, as represented in Map 1. The languages and their principal dialects may be grouped according to the following family tree diagram, on both morphological and lexicostatistical evidence:

Paul Geraghty, Lois Carrington and S.A. Wurm, eds FOCAL II: papers from the Fourth International Conference on Austronesian Linguistics, 239-258. Pacific Linguistics, C-94, 1986.
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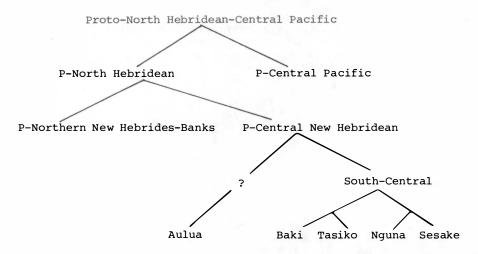


Map 1: Languages of Central Vanuatu



The family tree above does not represent the full extent of dialect variation within the languages of Epi, only the main dialects. Tables of sound correspondences are to be found in Table 2 (below).

In terms of their position within the Oceanic subgroup, Pawley (1972) considered that the Epi languages are members of a Central New Hebridean subgroup, which apparently also includes the languages of Malekula, as follows:



Pawley's classification, based on the tantalisingly little morphological data available at that time, has been developed by others more recently. The position of the Epi languages has remained relatively unaltered, however.

Tryon (1976), in a classification based largely on lexicostatistics, found that the languages of Epi form a single lower order subgroup within his East New Hebrides group, itself a subgroup of the huge North and Central New Hebrides group, the full extent of which his survey revealed for the first time.

Clark (1985) in a re-appraisal of the language situation in Vanuatu (formerly the New Hebrides), compared the subgroupings of Vanuatu languages put forward by Pawley and Tryon in their attempted classifications, re-examining the evidence. He concluded that all of the non-Polynesian languages of north and central Vanuatu constitute a single subgroup of Oceanic. With minor differences, then, Clark agreed with Pawley's interpretation of the available data. At the same time he found that the groupings indicated by the lexicostatistical evidence were largely supported by the morphological evidence which he adduced, with the exception of two lexicostatistically aberrant groups on Santo and Malakula, which are integrated in the North and Central Vanuatu group. 1

With respect to the Epi languages, Clark considers that they are most closely related to the languages of the Efate area, and that they constitute a primary branching within a Central Vanuatu subgroup, which subgroup includes the languages of Malakula, Pentecost, Ambrym, Paama, Epi and the Efate area.

While the Epi languages indeed share a few innovations with Efate, of a morphosyntactic nature, and while it appears likely that future research will confirm the existence of an Epi-Efate subgroup, the Epi languages share in two phonological developments which serve to separate them from the Efate languages, and indeed from most other languages in Clark's Central Vanuatu subgroup, namely the merger of POC \*s and \*ns as  $\emptyset$  in all of the Epi languages except Bieria where they merge as /h/, and the merger of POC \*d and \*l as /l/, whereas \*d and \*l do not merge in the Efate area.

#### 3. THE ALTERNATIONS

The stem-initial consonant alternations in the languages of Epi are summarised in the following table:

	LEWO	NUL	BIEREBO	BAKI	MAII	BIERIA
1.	p/v	p/Ø	p/v	mb/v	mb/v	mb/v
2. 3. 4.	pw/w		pw/w nd/t nj/c	c/t c/s	md/t	nd/t
5.	miV/V	miV/V	ŋg/k miV/V	miV/V	miV/V	miV/V
7.	mC/C	mC/C	mC/C	mC/C	mC/C	mC/C
8. 9.	c/c	c/c	c/c	C/C	c/c	c/c m/h

Table 1: Summary table of consonant alternations

It should be noted that Nul has been included with the other languages even though it is only a dialect of Lewo, as it contains one alternation which differs from Lewo, namely  $p/\emptyset$  instead of p/v.

The left hand member of the alternation pair indicates the 'realis' in the languages listed, while the right hand member denotes an 'irrealis'. Examples

are given for each alternation in each language. Numbers beside the examples match the alternation number given in the summary table (Table 1). Examples:

#### (1) LEWO:

1. nakonevæ ne-pisu yaru nene yesterday I-see(R) man that I saw that man yesterday.

peni ne-visu yaru nene tomorrow I-see (IRR) man that I'll see that man tomorrow.

2. nakonevæ no-pwere kilika yesterday I-pull(R) rope I pulled the rope.

kilika pen i no-were tomorrow I-pull(IRR) rope I'll pull the rope.

6. ne-m-iuveve I-R-breatheI breathed.

> ne-uveve I-breathe (IRR) I shall breathe.

7. ne-m-loru I-R-fall I fell. ne-loru

> I-fall I shall fall.

8. nakonevæ ni-mærau yesterday I-fear I was frightened yesterday.

ni-mærau tomorrow I-fear I'll be frightened.

#### (2) NUL:

ne-pen vila konea yesterday I-go(R) Vila I went to Vila yesterday.

> n-en vila tomorrow I-go(IRR) Vila I'm going to Vila tomorrow.

6. ne-m-iu-ia kap I-R-blow-O fire I blew on the fire.

> ne-iu-ia kap I-blow(IRR)-O fire I shall blow on the fire.

7. konea ne-m-leru yesterday I-R-fall I fell down yesterday.

pen ne-leru
tomorrow I-fall(IRR)
I shall fall tomorrow.

 konea ne-mælio yesterday I-sleep I slept yesterday.

> pen ne-mælio tomorrow I-sleep I shall sleep tomorrow.

#### (3) BIEREBO:

ne-ptali-a-kuñu
 I-laugh(R)-O-me
 I laughed at myself.

ne-vtali-a-kuñu
I-laugh(IRR)-O-me
I'll laugh at myself.

 neñowa na no-pwel tebaka ia stoa yesterday dem. I-buy(R) tobacco at store I bought tobacco at the store yesterday.

pen na no-wəli-a tomorrow dem. I-buy(IRR)-O I'll buy some tomorrow.

3. nalə a-ndika namburu they they-live(R) Namburu They live at Namburu.

pen na ne-tika paama tomorrow dem. I-live(IRR) Paama I'll stay on Paama tomorrow.

4. ne-njoni-a *I-hear*(R)-O *I hear it*.

ne-coŋo lemawa I-hear(IRR) try I'll try to hear it.

5. kuli ngiriri dog Ø-run(R) The dog ran.

> pen na kuli kiriri tomorrow dem. dog Ø-run(IRR) The dog will run.

6. ko-m-iliwi ku-mbano va-tano you-R-stoop you-go(R) loc-down You went undermeath.

pen na ne-iliwi ne-vano va-tano tomorrow dem. I-stoop(IRR) I-go(IRR) loc-down I'll go underneath tomorrow.

 ŋeñowa na ne-m-lae yumwa yesterday dem. I-R-make house I made a house.

pen na ne-lae yumwa tomorrow dem. I-make house I'll make a house.

 neñowa na ne-sei kartiti yesterday dem. I-wash baby I washed the baby.

pen na ne-sei kərtiti
tomorrow dem. I-wash baby
I'll wash the baby.

#### (4) BAKI:

 niovo nə-mbio-cau yesterday I-call out(R)-you I called to you yesterday.

pen nə-vio-cau
tomorrow I-call out(IRR)-you
I'll call to you tomorrow.

 niovo ne-celan kia-mu tavak yesterday I-light(R) Cl-your tobacco I lit your cigarette.

pen ne-telan kia-mu tavak tomorrow I-light(IRR) Cl-your tobacco I'll light your cigarette.

4. ne-cen iup
I-eat(R) yam
I ate the yam.

ne-sen iup
I-eat(IRR) yam
I'll eat the yam.

5. nə-m-ial-cau I-R-see-you I saw you.

> pen ne-al-cau tomorrow I-see(IRR)-you I'll see you tomorrow.

7. niovo nə-m-we kuli yesterday I-R-hit dog I hit the dog.

pen nə-we kuli
tomorrow I-hit dog
I'm going to hit the dog.

8. niovo nə-mro ka kuli yesterday I-fear Inst dog I feared the dog.

pen nə-mro ka kuli tomorrow I-fear Inst dog I'll fear the dog.

### (5) MAII:

1. ləmbaŋə mbuar ilə-ŋ
dog Ø-bite(R) leg-my
The dog bit my leg.

tə ləmbaŋə vuar-tnau fut. dog Ø-bite(IRR)-me The dog will bite me.

3. nə-m-duluwa I-R-call out I called out.

> tə nə-ruluwa fut. *I-call out I shall call out*.

6. nə-m-iop
I-R-see
I see/saw.

tə n-o·p fut. *I-see I shall see*.

7. inəvəmə nə-m-ləŋə n-tai m-dum yesterday I-R-hear art-sea Ø-R-roar I heard the sea roar yesterday.

tə nə-ləgə ra·mbiə fut. *I-hear tomorrow I'll hear it tomorrow*.

8. inəvəmə nə-ma n-top yesterday I-eat art-sugarcane I ate sugarcane yesterday.

tə nə-ma n-top ra·mbiə fut. *I-eat* art.sugarcane tomorrow I'll eat sugarcane tomorrow.

# (6) BIERIA:

 nove no-mbek va yesterday I-go(R) home I went home yesterday.

> tambia ne-vek va tomorrow I-go(IRR) home I'm going home tomorrow.

 nove no-ndokosan yesterday I-sit down(R) I sat down yesterday.

tambia ne-tokosan

tomorrow I-sit down(IRR)

I shall sit down tomorrow.

 nove no-m-iat mbukah yesterday I-R-tie pig I tied up the pig yesterday.

tambia ne-at mbukah tomorrow I-tie pig I'll tie up the pig tomorrow.

 nove no-m-te lakai yesterday I-R-cut wood I cut the wood yesterday.

tambia ne-te lakai
tomorrow I-cut wood
I'll cut the wood tomorrow.

 nove no-matak yesterday I-fear I was frightened yesterday.

tambia ne-matak
tomorrow I-fear
I shall be frightened tomorrow.

9. nove no-m-ul le-n yesterday I-R-rub leg-my I rubbed my leg yesterday.

tambia ne-hul le-ŋ
tomorrow I-rub leg-my
I'll rub my leg tomorrow.

Before moving on to discuss the development of the alternation system within the languages of Epi, a few comments on the examples presented above are given which are relevant to this discussion.

In all of the Epi languages there is a realis marker of the form \*mV which occurs, potentially, between the subject marker and the verb stem. Thus we have a common verb phrase structure for realis as follows:

SM + mV + verb Stem ± Trans.Suffixes etc.

However, the realis marker is only used with a certain number of verb-stem initial consonants. In other cases, there is no overt reflex of \*mV, but rather an alternation, usually of an oral/nasal grade nature, between stem-initial consonants. This process has been fully illustrated in the examples given above, from all of the languages. The distribution of the alternations and/or overt realis marker will be discussed below. Suffice it to say at this point that the Epi realis/irrealis marking system is quite regular.

It should be noted that Bieria has developed a double indication of realis/ irrealis in that the vowel of the subject marker differs from realis to irrealis. Thus: no-matak I am afraid ne-matak I shall be afraid

This distinction is maintained in Bieria also in cases where there is a verb-stem initial consonant alternation, as the examples above show. This feature has also been noted with some verb stems in Baki. An explanation will be offered in the next section, on the historical development of the alternations.

The consonantal alternations are not restricted to verb stems, but also apply in other environments within the verb phrase. In Maii, for example, they appear with the multiplicative mbaka-/vaka-, and with the inceptive mba·lik/va·lik. Examples:

fungo<sup>2</sup> mbaka-tol  $\emptyset$ -do mult-three He did it three times.

tə fungo vaka-tol fut. Ø-do mult-three He will do it three times.

nə-frei əvrə ndə mba·lik I-write thing only start(R) I began to write things.

mə-mbənmə iə ndə ukau ŋei mba·lik we exc-come(R) in only year this start(R) We came and started only this year.

nə-flun ndə nə-vənmə vila va·lik nə
I-want only I-come(IRR) Vila start(IRR) this
I just want to come to Vila for the first time.

Consonantal alternations also occur with the morphemes indicating indirect object and accompaniment, as in the following:

m-kun mbikin-tnau Ø-R-give to-me(R) He gave it to me.

tə kun vikin-tnau fut. Ø-give to-me(IRR) He will give it to me.

nə-m-i·mbə mblaken a-ŋ ləmbaŋəI-R-go with(R) Cl-my dog I went with my dog.

tə n-i·və vlaken a-ŋ ləmbaŋə fut. *I-go with*(IRR) C1-my dog I shall go with my dog.

The base form of the consonantal alternations in the languages of Epi is the irrealis, for this is the unmarked form. It is also from this form that nouns are formed from verb bases, for example, Maii:

mbivi/vivi to work vivi-yanə work mbelau/velau proud velo-wanə pride

(Note: the /y/ form of the noun formative is used following non-back vowels, while the /w/ form is used elsewhere.)

With negatives, the irrealis form of the verb stem is used, as in the following examples from Maii:

nə-vuk voi suksuk
I-read(IRR) not book
I did not read the book.
nə-ta voi lakai
I-cut(IRR) not wood
I did not cut the wood.

# 4. DEVELOPMENT OF STEM-INITIAL CONSONANT ALTERNATIONS

Lynch (1975) proposed a series of five steps to account for the development of stem-initial consonant alternations in Nguna (Vanuatu) as follows:

- PHC \*ma is a free preverbal particle marking realis: Thus: Pre-Nguna \*ma dono (realis hear)
- PHC \*ma becomes a clitic (normally prefixed to the verb):
   Thus: Pre-Nguna \*ma-dono (realis hear)
- The vowel of the prefix is lost: Thus: Pre-Nguna \*m-dono (realis hear)
- 4. The /m/ assimilates to the following consonant: Thus: Pre-Nguna \*n-dono (realis hear)
- 5. Prenasalised consonants may undergo subsequent sound changes: Thus: Nguna toijo (realis: hear)

Lynch thus explains the development of the alternating Nguna pair rono/tono as follows:

irrealis	realis	
*dorjo	*m(V)-doŋo	
*doŋo	*n-dogo	(assimilation)
roŋo	toŋo	(change *d > r
		*nd > t)

The above analysis of a language with really very little consonant alternation, and based on not a great deal of data, suggested that the languages of Epi, where stem-initial consonant alternation plays a major part, should be investigated with respect to this feature. Examples from all of the Epi languages have been presented above. In order to trace the development of the alternations within Epi, a large number of verb stems from each of the languages was examined in terms of their derivation from proto-Epi, the sound correspondences for which are given in Table 2. The derived pairs are set out in Table 3 below. Some reconstructed forms are given in Table 4.

A comparison of the sound correspondences and the alternating pairs of verb stems in Table 3 shows that the irrealis stem forms (the left hand member of each pair) are regular reflexes of the proto-forms, while the realis verb stem forms do not follow the regular sound correspondences. Indeed, the phonemic status of the voiced members of the consonant pairs in the languages of Epi may be questioned according to some phonological theories since it is extremely difficult to discover voiced/voiceless stop oppositions which do not involve realis/irrealis verb stem oppositions.

Table 2: Epi sound correspondences

				_							
POC	<b>*</b> p	*mp		*n		*k	*ŋk	*d	*nd	<b>*</b> q	* ()P
PEP	*p	*b	*t	[ *d		*k	<b>*</b> g	* ]	* ]	Ø	*pw
LAM	v,p		t,s	[ S	]	k		1	1	Ø	Р
VIS	٧,٢	-	t	t		k		1	1	Ø	Р
MAP	٧,٢	) Ь	t	t		k	k	1	1	Ø	Р
NIK	v,F	) Р	t	t		k	g	1	1	Ø	Р
NUV	٧,٢		t			k		1	1	Ø	Р
MAT	Ø,p	р	t	t		k		1	1	Ø	pw
NUL	Ø,F	р	t	t		k		1	1	Ø	pw
FIL	Ø,F	р	t	t		k		1	1	Ø	pw
TAV	v,F	р	t	t		s,k¹	g	1	1	Ø	V
BON	v,F	р	t	t		s,k¹	g	1,c2	c <sup>3</sup>	Ø	V
BUR	v,F	) Ь	t	t		s,k¹	g	1,c2	c³	Ø	Р
YEV	٧, ٢	ь	t	t		k	g	1,c <sup>2</sup>	c 3	Ø	Р
BAK	٧,٢	) Ь	t	t		s,k¹	k	l,c²	1	Ø	bw
MAI	v,F	) b	t	[ d		k	k,ŋ	1	1	Ø	Р
VOW	٧,٢	ь Ь	t,s	[r	]	k	k,ŋ	1	1	Ø	Ь
POC	*s	*ns	*m *n	*ñ	*1)	* ]	*R	*w	*У		
PEP	*h	*h	*m *n	*ñ	<b>*</b> r)	*1	*R	*w	Ø		
LAM	Ø	Ø	m n	n	IJ	1	1,0	W			
VIS	Ø	Ø	m n	n	ŋ	1	1,0	W			
MAP	Ø	Ø	m n	n	IJ	1	1,Ø	W			
NIK	Ø	Ø	m n	n	ŋ	1	1,0	W			
NUV	Ø	Ø	m n	n	ŋ	1	l,ø	W			
MAT		Ø	m n	n	ŋ	1	l,ø	W			
NUL	Ø	Ø	m n	n	IJ	1	l,ø	W			
FIL	Ø	Ø	m n	n	ı)	1	1,0	W			
TAV	Ø	Ø	m n	n	IJ	1	1,0	W	_		
BON	Ø	Ø	m n	ñ	ŋ	1,c <sup>2</sup>	1,0	W	Ø		
BUR	Ø	Ø	m n	ñ	ŋ	1,c <sup>2</sup>	l,ø	W			
YEV	Ø	Ø	m n	ñ	ŋ	l,c²	1,0	w			
BAK	Ø	Ø	m n	ñ	ŋ	1,c²		* w	_		
MAI	Ø	Ø	m n	n	ŋ	1	Ø	W	Ø		
NOM	h	h	m n	n	ŋ	ı,ø	Ø	W			
<pre>1With pronouns. 2[c] initial; [1] intervocalic. 3Examples reflect *nd in word-initial position only. 4 root to follow the following the f</pre>											

<sup>\*</sup>POC \*R reflected as /1/ throughout Epi only reflecting a few POC items; otherwise \*R is reflected as zero.

Table 3:	Ep1	stem-initial	consonant	alternations
	- 1		T-	

	LEWO	NUL	BIEREBO	BAKI	MAII	BIERIA
1. *p/*m-p	v/p vano/pano go	ø/p en/pen go	v/p vtali/ptali laugh	v/mb vio/mbio call out	v/mb vuar/mbuar <i>bite</i>	v/mb vek/mbek go
2. *pu/*m-pu	w/pw were/pwere pull		w/pw weli/pweli buy			
3. *t/*m-t	s/s [*tu] suluia/suluia sew t/t taŋi/taŋi cry	s/s [*tu] smalu/smalu stand t/t te/te out	t/nd teŋi/ndeŋi <i>cry</i> tika/ndika <i>sta</i> y	t/č teni/čeni <i>cry</i> telan/čelan <i>light</i>	t/md ta/m-da cut t/mt tul/m-tul sew	t/nd tokosan/ndokosan st t/mt te/m-te cut s/ms [*tu] sul/m-sul sew
4. *d/*m-d	1/ml lonea/m-lonea hear	l/ml lonea/m-lonea hear	čono/njono hear	č/mč čon/m-čon hear	l/ml ləŋə/m-ləŋə hear	1/ml logo/m-logo hear
5. *k/*m-k	k/k karia/karia bite kilia/kilia know	k/k kania/kania eat kilia/kilia know	s/s sani/sani eat sari/sari bite	s/č sen/čen eat	k/mk kan/m-kan eat	k/mk kan/m-kan <i>eat</i>
6. *g/*m-g			k/ŋg kiriri/ŋgiriri run			
7. *s/*m-s						h/m hul/mul <i>rub</i>
8. *V/*mi-V	V/miV uveve/mi-uveve breathe	V/miV iu/mi-iu blow	V/miV iliwi/mi-iliwi stoop	V/miV al/mi-al see	V/miV op/mi-op see	V/miV at-mi-at <i>tie</i>
9. *1/*m-1	l/ml lilua/m-lilua <i>vomit</i>	1/ml lualua/m-lualua <i>vomit</i>	č/nj čulua/njulua vomit	<u>č/mč</u> čuluo/m-čuluo <i>vomit</i>	l/ml lualuə/m-lualuə vomit	luawa/m-luawa vomit
.0. *w/*m-w	w/mw we/m-we hit	w/mw we/m-we hit	w/mw we/m-we hit	w/mw we/m-we hit		
1. *m/*m-m	m/m mai/mai <i>be sick</i>	m/m munia/munia <i>drink</i>	m/m mrau/mrau fear	m/m mun/mun drink	m/m mar/mar <i>die</i>	m/m mat/mat die

Table 4: Some proto-Epi verb root reconstructions

POC	PEP	Bierebo	Baki	Lewo	Nul	Maii	Bieria
*_	*te [cut]	nde/te	ce/te	te/te	te/te	da/ra	te/te
*toka	*toko [be]	ndo/to	co/to	to/to	te/te	də/rə	doko/toko
*taŋis	*taŋi [cry]	ndeŋi/ teŋi	ceŋi/ teŋi	taŋi/ taŋi	taŋi/ taŋi		
*pano	*vano [ <i>go]</i>	mbano/ vano	mbano/ vano	pano/ vano	pen/en	mbəne/ vəne	mbek/vek
*puti	*vuto [pull]	pur/wur	mburo/ vuro	pure/ vure	pur/ur		
*-	*ve [be]	pe/ve	mbe/ve	pe/ve	pe/e	mbe/ve	
*-	*vuyu [climb]		mboyo/ voyo	puyu/ vuyu	pu/u		
*poli	*vuli [buy]	puli/ wuli	mbulio/ vulio	pulia/ vulia		mbul/vul	
*putu	*vut(o,e) [flee]	mbure/ wure	mburo/ vuro	pure/ vure			
*kani	*kani [eat]	sania/ sania	cen/sen	kania/ kania	kania/ kania	kan/kan	kan/kan
*kati	*kati [bite]	sari/ sari	cer/ser	karia/ karia	karia/ karia		
*-	*kupari [scratch]	spar/ spar	cubwar/ cubwar	kuparia/ kuparia	kupwaria/ kupwaria		
*-	*kove [throw]	sovea/ sovea		kovenia/ kovenia	koenia/ koenia	kəvək/ kəvək	kovok/ kovok
*dorjo	*logo [hear]	njoŋ/ coŋ	coŋ/coŋ	logea/ logea	logoia/ logoia	ləŋə/ləŋə	logo/logo
*luaq	*lualua [vomit]	njulua/ culua	culuo/ culuo	lilua/ lilua	lualua/ lualua	lualuə/ lualuə	luawa/ luawa
*-	*loru [fall]	njoru/ coru	coru/ coru	loru/ loru	leru/ leru		
*-	*kitikit [run]	ŋgiriri∕ kiriri	cikiti/ tikiti	kiriri/ kiriri	kiriri/ kiriri	kirkir/ kirkir	kitikit/ kitikit

The course of development proposed by Lynch for Nguna appears to be substantiated in the case of the languages of Epi. If, for example, one were to consider the development of the consonantal pairs set out in Table 3, one would recognise that they follow a regular developmental sequence, as follows:

- (a) With all Epi languages a form \*mV preceded all verb stems to indicate realis, becoming prefixed throughout.
- (b) In the case of a number of stem-initial consonants, especially those reflecting proto-Epi \*p, \*t, \*d and to a lesser extent \*k and \*g, steminitial alternations developed as a result of the interaction of the realis marker and the initial consonant of the verb stem.
- (c) With other stem-initial consonants, especially those reflecting proto-Epi \*1, \*w and \*m, the presence of the realis marker produced only the sequences m-1, m-w and m-m.

The expected phonological developments detailed in the Lynch-proposed developmental sequence will be seen to have taken place in the Epi languages, individually at least, for example:

```
*m+p > mv > mb > mp > p (Lewo: vano/pano go)
*m+t > md > nd > nt > t (Bierebo: teŋi/ndeŋi cry)
```

While the current Epi languages may be presumed to have followed the path of assimilation of the initial /m/ of the realis marker \*mV to the initial consonant of the verb stem, once the vowel of the realis marker was lost (which would be normal in unstressed position, no single set of developmental phonological rules has been worked out which covers all of the languages. This suggests that the stem-initial consonant alternations in Epi are likely to have been independent phonological developments within the island. As such, then, the alternations per se may not be of very great value as subgrouping evidence. On the other hand, the fact that it is the irrealis form which is unmarked and which is demonstrably the base form may well prove a useful piece of evidence in this regard, especially when languages outside the Epi-Efate subgroup are considered.

It will have been observed, also, that while the development of the steminitial consonant alternations has proceeded regularly within the Epi languages, there are irregularities within both Maii and Bieria with respect to the development of \*m+t, as follows:

(a) In Bieria, incidentally the most closely related language to Maii, as well as the t/nd stem-initial alternation, from \*t/\*m-t, we also have a few t-initial stems where the collocation of \*m+t has not produced a consonantal alternation. Rather the assimilatory chain has not developed past stage one. Thus we have, for example:

ne-tokosan I shall sit down no-ndokosan I sat down but: ne-te I shall cut no-m-te I cut

As noted above, Bieria doubly marks realis/irrealis with many verbs first by the stem-initial consonant alternation but also by the vowel of the subject marker. This latter phenomenon may well have developed as a device to distinguish tense/aspect with verb stems whose initial consonants do not participate in the alternation process, for example those beginning with m-, 1- or w-.

(b) In Maii, Bieria's neighbour, we have the same situation, only one stage earlier than in Bieria. For in Maii we have the same lack of development with respect to some t-initial verb stems, namely \*m+t remains unaltered. Thus:

At the same time, many t-initial verb stems have taken the next step in the developmental process, namely \*m-t becomes Maii /md/, for example:

nə-ta· lakai
I-cut(IRR) wood
I shall cut the wood.
nə-m-da· lakai
I-R-cut wood
I cut the wood.

The picture in Maii and Bieria, then, suggests that in respect of those two languages at least the developmental process has been caught in midstream and that it is therefore still an active process and that the phenomenon of steminitial consonant alternation in the languages of Epi is a relatively recent development.

#### 5. STEM-INITIAL ALTERNATIONS OUTSIDE EPI

Stem-initial consonant alternations occur in a number of languages outside Epi. They are quite rare outside Vanuatu, having been reported only for Yabem and Mapos Buang in Papua New Guinea among the Oceanic subgroup of Austronesian (Lynch 1975:91). They are not of direct concern here as the alternations in those languages result from the assimilation of an irrealis marker \*na with initial consonants of the verb stems. Within Vanuatu, they all appear to have originated as a result of the assimilation of a realis marker \*mV to the initial consonant of some verb stems.

Within Vanuatu stem-initial consonant alternations are encountered in Erromango, and in a geographical continuum from Efate to Pentecost and Ambae (Aoba).

In Efate one has, for example:

e pano he goes e pe vano if he goes (Schütz 1969)

- In Namakura (in the Efate area) one has, for example:

ni-mba-rah I shall come back (own data)

However, in Namakura one has also verb phrases such as:

ni-mba-maha-mboh I-fut.-again-do(R) I shall do it again.

```
ni-mba-maha-ndah
I-fut.-again-come back(R)
I'll come back again.
```

- To the north of the Epi-Efate subgroup, one finds in Paama, for example:

```
a-daasili
they-disperse(R)
They dispersed.
i-taasili
they-disperse(IRR)
They will disperse. (Crowley 1982)
```

In Paama, however, Crowley notes that there are irregularities in the system, whereby the same initial consonant produces different alternations. He cites, for example:

```
titilu Class 1 sew (d- (R)/t- (IRR)) titilu Class 5 drip (t- (R)/t- (IRR)) (1982:121)
```

It may be, however, that the initial /t/ in the two forms derives from two different proto-phonemes.

 In the languages of North Ambrym, stem-initial alternations are also present, as in:

```
na-m-tivi
I-R-cut
I have cut.
na-te-rvi
I-past-cut
I cut (past).
na-ŋa-rvi
I-fut.-cut
I shall cut. (own data)
```

 On Pentecost, alternations are found throughout the languages. In Apma, for example, Walsh (1982:238) reports:

```
na-m-beb
I-act. in prog.-say
I say
ra-t-veb
they-comp.act.-say
They said
na-m-ban
I-act. in prog.-go
I go
na-t-van
I-comp.act.-go
I went
```

In Raga, further to the north, Walsh provides very full evidence of a number of stem-initial consonant alternations, for example:

na-m-bano
I-act. in prog.-go
I go
ra-m-bano
they-act. in prog.-go
They go
na-n-van-vano
I-cont.-go-go
I used to keep on going.

ng. (Walsh 1982:237)

 Alternations are also reported on Ambae (Aoba) by Ivens, for the Lobaha dialect (N.E. Ambae), citing forms such as:

vava/bava to speak
vora/bora to become (Ivens 1939-42:356)

In southern Vanuatu, outside the Northern-Central Vanuatu language subgroup, Sie, on Erromanga, also exhibits stem-initial vowel and consonant alternation. Lynch (1975:91) records, for example:

past non-past oyhi aghi to see okili to know ankili oruh aduh to swim ovelam ampelam to come to stand etur antur

Within the Northern-Central Vanuatu subgroup it is significant that the verb base from which nouns are formed reflects the oral grade consonant throughout. Examples:

speaking (vasa/pasa) Nguna: na-vasa-ana Namakura: tokean village (tok/ndok) [stand] na-worean story(wor/mbor) Maii: vivi-anə work (vivi/mbivi) Paama: hilu-ene cough (hilu/vilu) Raga: novu vano-ana *my going* (vano/pano)

#### CONCLUSION

A number of conclusions may be drawn from the data presented above, the principal ones being:

- (1) Within Vanuatu a distinctive verb-stem initial consonant alternation occurs, within the Northern-Central Vanuatu subgroup area, in a geographical continuum stretching from Efate in the south to Ambae in the north. There is also a suggestion (Pawley 1972, Clark 1985) that the alternations may have once been present or perhaps remain to be discovered in the almost undescribed languages of Malakula, where traces appear in the numerals and with certain adjectives.
- (2) Vowel and stem-initial consonant alternations also occur in Sie (Erromanga). Sie is outside the Northern-Central Vanuatu subgroup, being part of the Southern Vanuatu subgroup (Lynch 1978). The Sie alternations appear to be unrelated to and rather different from those observed in some Northern-Central Vanuatu languages, as do those reported for Yabem and Mapos Buang in Papua New Guinea.

- (3) The alternations arise from the presence of a 'realis' marker \*mV preceding the verb stem. The data presented from the Epi languages shows that there is no single integrated developmental process from proto-Epi to the present day languages, suggesting strongly that the consonant alternations there and by implication elsewhere in Vanuatu are the result of independent parallel development.
- (4) Evidence from outside Epi, particularly that from Pentecost (Raga and Apma) and Ambrym, shows that the alternations have a phonological rather than a morphological basis, for wherever one finds a reflex of \*mV preceding a verb stem (given that alternations occur only with certain consonants in any given language) an alternation follows, despite the fact that other realis/past markers co-occur in the same language.
- (5) Despite the fact that the stem-initial consonant alternations are probably the result of independent parallel development, the fact that the base or unmarked form, and the form from which nouns are derived from verbs, is the irrealis throughout the languages manifesting consonant alternation is rather remarkable and one which will prove valuable as the languages of the Northern-Central Vanuatu subgroup become better known. For while the alternations are now known to extend beyond the Central Vanuatu subgroup (Clark 1985) into the Northern Vanuatu subgroup, the possibility still exists that the phenomenon may be discovered beyond the Northern and Central Vanuatu subgroup and may be assigned to some higher level subgrouping within Oceanic. Indeed, Lynch and Tryon (1985) have shown that reflexes of the 'realis' marker \*mV extend beyond Vanuatu, increasing the possibility of further discoveries in terms of form and/or function of stem-initial consonant alternations within Oceanic.

# NOTES

- The quantitatively based groupings fit remarkably well with those based on qualitative evidence, with the two minor exceptions mentioned. Since morphological evidence of the type adduced by Clark, and before him Pawley, is more weighty than lexicostatistical evidence, and since that evidence points to the inclusion of the Interior Malekula and East Santo in the Northern-Central subgroup, it is preferable to include both of those lexicostatistically indicated subgroups within Northern-Central Vanuatu.
- 2. f-initial stems do not undergo alternation in Maii.
- 3. The Bierebo forms exemplify an interstage development; others may be found in the examples set out in Table 4.

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# THE PROTO-SOUTHERN VANUATU PRONOMINAL SYSTEM John Lynch

## O. INTRODUCTION

This paper explores the development of the Proto-Oceanic (POC) pronominal system and pronominal forms in languages of the Southern Vanuatu (SV) subgroup. It will focus particularly on the development of the forms themselves, and on the nature of the reconstructed system.

# 0.1 The Southern Vanuatu languages

The SV languages form a closed subgroup of Oceanic, composed of the non-Polynesian languages of the Tafea District of Vanuatu; (West) Futuna-Aniwa, a Polynesian Outlier, is the only language of the District which is not a member of the subgroup. The languages (with three-letter abbreviations), their locations by island, the approximate number of speakers, and major sources of data for each language are shown in Table 1. Additional geographical information is given on the accompanying map.

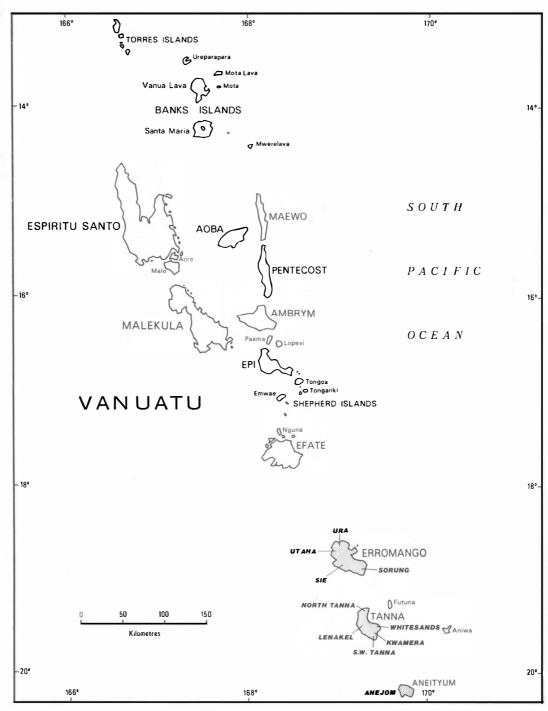
Table 1: Languages of the Southern Vanuatu subgroup

Island	Language	Abbrev.	Popul'n	Major data sources
Erromango	Sie	SIE	900	Lynch and Capell 1983, Capell and Lynch 1983
	Ura	URA	10	Lynch 1983b
	Utaha	UTH	extinct	Lynch 1983c
	Sorung	SOR	extinct	Lynch 1983d
Tanna	North Tanna	NTN	3,000	Lynch 1974, fieldnotes
	Whitesands	WSN	3,000	Lynch 1974, fieldnotes
	Lenakel	LEN	4,000	Lynch 1975,1977a,1978b
	South-west Tanna	SWT	2,000	Lynch 1982a
	Kwamera	KWM	2,000	Lindstrom 1984
Aneityum	Anejom	ANJ	500	Lynch 1982a

An examination of the internal relationships of these languages, focussing particularly on the development of POC phonology, was attempted in Lynch 1978a. That paper established the SV group as a whole, and also showed that three subgroups could be identified, one per island. Further, no evidence could be found

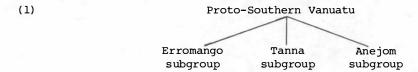
Paul Geraghty, Lois Carrington and S.A. Wurm, eds FOCAL II: papers from the Fourth International Conference on Austronesian Linguistics, 259-287. Pacific Linguistics, C-94, 1986.

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Map 1: Vanuatu

to combine any two of the subgroups together as against a third; that is, the data then available implied the family-tree as shown in (1):



More recent work on the interrelationships of the (extant and extinct) Erromangan languages (Lynch, ed. 1983, Lynch 1983e) in no way contradicts this picture.

The position of the SV group within the Oceanic family is not clear. Recent work (Lynch and Tryon 1983) suggests that the SV languages group with Pawley's (1972) Eastern Oceanic group, and possibly also with the languages of Utupua and Vanikoro, the Loyalty Islands and New Caledonia, in a 'Central Oceanic' group. While the status of this grouping is far from certain, an examination of recent work by Clark (1983, n.d.) on the languages of North and Central Vanuatu has convinced me that the SV languages are more closely related to their northern neighbours than I had originally thought.

# 0.2 Orthography, abbreviations and conventions

In general, I follow the orthography of the published sources listed in Table 1. For the sake of consistency or simplicity, however, some minor changes to those orthographic conventions have been made in this paper. They are:

- 1. pw, mw for Anejom b, m (velarised bilabials);
- 2.  $\theta$  for Anejom d (voiced dental fricative);
- Y for Anejom c and for all Erromangan languages g (voiced velar fricative);
- 4. n for Anejom and all Tanna languages g (velar nasal);
- 5. ə for all Tanna languages i (non-low central vowel).

Note also that, in citing Erromangan forms, b, d and g represent prenasalised voiced stops.

In glossing pronominal forms, I borrow a number of conventions from Geraghty (1983:6-7). Pronominal forms are glossed with the following abbreviations:

1	first person	2	dual
Ιi	first person inclusive	3	trial
Ix	first person exclusive	р	plural
II	second person	ns	non-singular (unspecified as to
III	third person		dual/trial/plural)

Note that a gloss like iik 'II' implies second person singular; non-singular numbers are always overtly glossed - e.g. kamilau 'II2'.

Other abbreviations used in glossing examples in the text are:

AOR	aorist	POSS	possessive marker
CONC	concurrent tense	PRES	present
CONT	continuative	PST	past
INCH	inchoative	SM	subject marker
IRR	irrealis	TR	transitive
MP	mid past		

#### FORM AND FUNCTION

A full list of all pronominal forms is given in the tables in section 2. Based on these data, this section will briefly examine the person, number and case distinctions found in SV pronominal forms, and will also comment briefly on the internal morphological structure of those forms.

#### 1.1 Person

All SV languages distinguish three persons in the singular and four in the non-singular pronouns, the common Austronesian inclusive/exclusive distinction being found throughout the group. The following sets of focal pronouns illustrate this:

(2) SIE ANEJOM	
yau I kam Ixp añak I ajamrau I kik II kimi IIp aek II ajourau I	Ii2 Ix2 II2 III2

# 1.2 Number

Anejom and all the Tanna languages distinguish four numbers in focal, possessive and (where they occur) objective pronouns: singular, dual, trial (marking three only) and plural. This is illustrated below:

(3)	LENAKEL	(focal)	S.W. TA	NNA (objective)	ANEJO	M (possessive)
	io	I -	in	III	-k	I
	kamlau	Ix2	aliu	III2	-jau	Ii2
	kamhel	Ix3	alisəl	III3	-taj	Ii3
	kamar	Ixp	alia	IIIp	-ja	Iip

Anejom subjective particles once showed a four-way number distinction as well, but the system has been simplified in the past century. Tanna verbs mark four numbers-of-subject, but do so with preverbal prefixes which are morphologically distinct, and potentially physically separated, from the markers of person-of-subject.

In contrast, the focal, possessive and objective pronominal forms in Erromangan languages distinguish only two numbers, singular and plural. Thus the list of Sie focal pronouns given in (2) above represents all Sie focal pronouns, whereas the corresponding Anejom list can be filled out with four trial and four plural forms. However, Sie verbs overtly mark a singular/dual/plural distinction for first person (inclusive and exclusive) subject.

# 1.3 Case

Pronominal forms in all SV languages formally distinguish three cases - focal, possessive and subjective. Anejom, South-west Tanna and the Erromangan languages also formally distinguish objective pronouns from pronouns in each of the other cases. This four-way distinction is illustrated below:

(4)		SI	E	S.W.	TANNA	ANEJ	OM
	FOCAL	kam	Ixp	kəlau	Ii2	aek	II
	OBJECTIVE	-yam	Ixp	alau	Ii2	- <b>Y</b>	ΙI
	POSSESSIVE	-mam	Ixp	-lau	Ii2	- m	II
	SUBJECTIVE	kak-	Ixp	k-	Iins	nei	ΙΙ

The case roles are illustrated with Sie data below. Focal pronouns stand as emphatic subjects (cf. kam in (5)) and as NP arguments in some verbless constructions; subjective pronouns cross-reference the person (and, in some cases, the number) of the subject in verbs (cf. kak- in (5)):

(5)	kam kak-l-oyh-i	versus	kak-l-oyh-i
	Ixp Ixp-p-see-III		Ixp-p-see-III
	We saw it/him		We saw it/him

Objective pronouns cross-reference the person and number of the object of the verb (cf. - $\gamma$ am in (6)):

(6) y-okil-yam
III-know-Ixp
He knew us

Possessive pronouns are suffixed to nouns or to 'possessive markers' (cf. -mam in (7)):

(7)	noru-mam	nimo ho	r-mam
	hand-Ixp	house POS	SS-Ixp
	Our hands	Our house	2

Of the Tanna languages, only South-west Tanna makes a formal distinction between focal and objective pronouns. The other languages use the focal pronoun to mark a pronominal object:

(8)		LENA	KEL	KWAM	ERA
	FOCAL OBJECTIVE	iik	II	iou	I
	POSSESSIVÉ	-m	II	-k	I
	SUBJECTIVE	n-	II	ia-	I

This lack of distinction between focal and objective forms is illustrated in the following Lenakel example:

(9) iik n-əm-hoapwnin kuri taha-k! i-ak-amwakin iik! II II-PST-kill:TR dog POSS-I. I-CONC-hate:TR II You killed my dog! I hate you!

## 1.4 Internal structure

As will be clear from the examples given in (2) and (3) above, non-singular pronominal bases are not simply compound forms of the singular pronominal bases: each language formally distinguishes singular and non-singular pronominal forms of the same person and case.

However, in Anejom and the Tanna languages, which do distinguish more than one non-singular number, it is equally clear that non-singular pronominal forms are composed of a pronominal base and a number suffix. This can be clearly illustrated by an examination of the full set of Lenakel non-singular focal pronouns:

(10)		Ιi	Ix	II	III
	2	kalau	kamlau	kamilau	ilau
	3	kathel	kamhe1	kamhiel	ilhel
	p	katar	kamar	kamiar	ilar

Given two phonological rules which are needed elsewhere in the language, it will be seen that the forms in (10) are compounds built according to the following principles:<sup>2</sup>

#### (11) PRONOMINAL BASE + NUMBER MARKER

kat-	Iins	-lau	2
kam-	Ixns	-hel	3
kami-	IIns	-ar	р
:1-	TTTnc		

In these languages also, neither pronominal bases nor number markers may stand alone: both are bound forms.

Given these facts, I will proceed by examining in section 2 the pronominal bases for the various persons and cases in the languages of the SV group, and in section 3 the markers of number; in each case, I will reconstruct PSV forms, and comment on their development from POC and/or Proto-Eastern Oceanic (PEO) etyma. I will make rather more general comments about the PSV system and its development in section 4.

# 2. PRONOMINAL BASES

This section will examine, in turn, the base forms of the focal, objective, possessive and subjective pronouns in the SV languages, on the basis of which the PSV base forms will be reconstructed, and will also examine the development of POC/PEO forms in PSV.

## 2.1 Focal pronouns

The full set of focal pronouns in the SV languages is given in Table 2. The Erromangan forms are monomorphemic, while the Tanna and Anejom forms consist of a pronominal base plus a number marker.

#### 2.1.1 Reconstruction

The Anejom forms, however, show an additional complexity. As will be seen from an examination of Table 2, all Anejom focal pronouns begin with a. Now an NP subject in Anejom is marked by a preposed subject-marking particle a:

	SIE	URA	UTH	NTN	WSN	LEN	SWT	KWM	ANJ
I II III	yau kik iyi	yau ga iyi	yo ko iyi	iio ik in	iəu ik in	io iik in	iou iik in	iou ik in	añak aek aen
Ii2 Ii3 Iip	koh	gis	gis	kilhao kitahal kitat	kilau kitahal kitah	kalau kathel katar	kəlau kətasəl kətaua	krau kətahar kətaha	akajau akataj akaja
Ix2 Ix3 Ixp	kam	gim	kum	itlao itmahal itmat	itəlau itəmahal itəmah	kamlau kamhel kamar	kəmlu kəmasəl kəmaua	kəmrau kəmrahar kəmaha	ajamrau ajamtaj ajama
112 113 11p	kimi	gimi	kimi	itəmlao itəmahal itəmat	itəmwlau itəmwahal itəmwah	kamilau kamhiel kamiar	kəmilu kəmisəl kəmia	kəmirau kəmirahar kəmiaha	ajourau ajoutaj ajowa
III2 III3 IIIp	iror	leil	yoril	ilao ilahal ilat	ilau ilahal ilah	ilau ilhel ilar	iliu ilisəl ilia	irau irahar iraha	aarau attaj aara

Table 2: Focal pronouns

(12) et yiñ wametey a pikaθ III:AOR eat:TR sweet:potato SM pig The pig ate/is eating sweet potato et atŋii pikaθ a natamwañ

III:AOR kill:TR pig SM man
The man killed the pig

Focal pronoun subjects, however, are not preceded by the particle a:

(13) et yiñ wametey aen
III:AOR eat:TR sweet:potato III
He/she/it ate/is eating sweet potato

\*et yiñ wametey a aen III:AOR eat:TR sweet:potato SM III

Since focal pronouns most typically occur as subjects, it seems reasonable to suggest that the initial a on all Anejom focal pronouns is the fused subject-marker.

Given this analysis, plus a number of phonological rules for each language like those referred to above in 1.4, we can list the following pronominal base forms for each language:

(14)		SIE	URA	UTH	NTN	WSN	LEN	SWT	KWM	ANJ
	I II III	kik	ga	yo ko iyi	ik	iəu ik in	io iik in	iik	iou ik in	-ñak -ek -en
	Ixns IIns	kam kimi	gim gimi	kum kimi	itm- itəm-	kit- itəm- itəmw- il-	kam- kami-	kəm- kəmi-	kəm- kəmi-	-jam- -jou-

PSV reconstructions for each person will be discussed below. Although I have not finalised my reconstruction of PSV proto-phonology, I will comment on phonological matters where appropriate.

FIRST SINGULAR. The Anejom form is not cognate; it will be discussed below in section 2.3. The other forms point to a PSV reconstruction \*iau, which presumably derives from POC \*i-au (personal:article-I).4

SECOND SINGULAR. The proposed reconstruction is \*ig(ao), which presumably derives from POC \*i-ko(e) (personal:article-II). The Tanna languages and Anejom develop regularly, 5 showing normal PSV final vowel loss (Lynch 1978a:739). The Erromangan languages, however, show some irregularities: Sie shows accretion of an initial k, while Ura and Utaha show irregular loss of initial \*i and unexpected retention of the final vowel.

THIRD SINGULAR. Tanna and Anejom suggest a reconstruction \*in (or, more correctly, \*in(V), since if a final vowel were present we would expect it to be lost; this final vowel could not have been \*i or \*e since \*n becomes ANJ ñ in this environment). In Erromangan languages, y reflects both POC \*i and \*y and also, in one or two cases, POC \* $\tilde{n}$ : POC \* $\tilde{n}$ amuk mosquito > SIE u/yomuy, URA yomoy, for example. It is tempting to hypothesise that the ancestral third person pronoun was derived from a form such as PEO \*inia, which was inherited as \* $\tilde{n}$ a. Since the commonest reflex of \* $\tilde{n}$  appears to be n in Tanna and Anejom, the forms there are regular; on the other hand, had the development \* $\tilde{n}$ a > \* $\tilde{n}$ a \* $\tilde{n}$ a taken place in Erromango, subsequent raising of \*a > i may well have occurred (cf. POC \*kamiu IIns > SIE kimi, \* $\tilde{n}$ atu child > SIE nit-, \* $\tilde{n}$ ate die > URA mis, \* $\tilde{n}$ ayu tree > URA n/ii as examples of the raising of \*a to i in various environments). It is not yet clear, however, that reconstruction of a palatal nasal is warranted for PSV. For the moment then, we reconstruct PSV \* $\tilde{n}$ n(V) on the basis of Tanna and Anejom only, treating the Erromangan forms as exceptions of a kind.

FIRST INCLUSIVE NON-SINGULAR. The forms given in (14) suggest a PSV reconstruction \*gadi, which is presumably a metathesised form of POC \*kinta. Both Anejom and the Erromangan languages show palatalisation of \*d, typical of dento-alveolar stops before a high vowel. Further, although the i in Ura and Utaha gis can be explained by the rule of \*a-raising discussed in the previous paragraph, the vowels in Sie koh, Lenakel kat-, Anejom -kaj-, etc. could not be explained as deriving from \*i: hence, the metathesis seems established.

The Tanna forms require some discussion. First, the reflex of \*d is a stop, which suggests that while POC \*t underwent palatalisation before \*i, POC \*nt did not; unfortunately, there are no other examples of POC \*nt i sequences reflected in these languages which would allow us to test this hypothesis. Second, while Lenakel, South-west Tanna and Kwamera clearly reflect the metathesis, North Tanna and Whitesands both have kit-. The rule of \*a-raising is less common in these languages than in those of Erromango, although there are a few examples; e.g. \*kali dig > NTN il (but cf. WSN el); \*masakit sick > NTN \*misan. This suggests, then, that all forms derive from \*gadi.

FIRST EXCLUSIVE NON-SINGULAR. Two quite distinct reconstructions need to be made here. SIE kam, URA gim, UTH kum, LEN kam-, and SWT, KWM kem- all derive from a form \*gami (which is a reflex of POC \*kami). The final \*i, before being lost, conditions the raising of \*a in Ura. Only the vowel of the Utaha form remains unexplained, and Utaha data are in any case not reliable.

On the other hand, NTN itm-, WSN itəm-, and ANJ -jam- are clearly not derived from \*gami. The Tanna languages suggest a reconstruction \*idam(V), which will also explain the Anejom form if \*d palatalised adjacent to \*i (and not just before \*i, as discussed above). I have at this stage no additional evidence which would confirm or deny this.

SECOND PERSON NON-SINGULAR. Again, two reconstructions are required, and the implications of two reconstructions for first person exclusive and second person non-singular will be discussed below. \*gamiV (< POC \*kamiu) has reflexes in the Erromangan languages (where \*a-raising has taken place), Lenakel, South-west Tanna and Kwamera. The final unspecified vowel must have been present, since otherwise the final vowel deletion rule would have deleted the \*i. This final vowel was probably \*u (given the nature of the POC form), but the data do not allow us to establish this; however, since in 2.2.1 I reconstruct \*-yamiu for 'IIns objective', I think it is safe to reconstruct final \*u.

The second reconstruction, on the basis of North Tanna, Whitesands and Anejom data, is \*idamu(V). The problem regarding palatalisation of \*d and j in Anejom, which was discussed above, occurs again. Anejom also shows unexplained loss of \*m. It is necessary to reconstruct \*u to explain both Anejom u and the velarised nasal in Whitesands. The final vowel may not have been present, since although Anejom generally deletes final vowels, a final vowel cluster is not affected (e.g. POC \*dua two > ANJ e/rou).

THIRD PERSON NON-SINGULAR. It seems clear that the basic form of this pronoun is \*iL.<sup>8</sup> The vowel of the Anejom form is irregular, the expected form being -er-. However, just as aek II and aen III are frequently heard as [a:k] and [a:n] - i.e. the e assimilates to the a of the subject marker - so underlying /a-er-/ may well have undergone the same assimilation, yielding a-ar-. The Erromangan forms show what appears to be some kind of reduplication, with possible metathesis as well in Ura; in each language the two vowels of the root differ, suggesting that the reduplication was only partial.

The basic form of the PSV third non-singular focal pronoun was reconstructed as \*iL. That form must have had a supporting vowel, since \*L is lost finally in Anejom. The South-west Tanna form ili- suggests that this vowel should be reconstructed as \*i, and since no other forms contradict this - apart from the Erromangan forms, which are contradictory in other ways - I reconstruct PSV \*iLi.

SUMMARY. The PSV focal pronominal bases which have been reconstructed here are listed below:

## 2.1.2 Development

Of the forms listed in (15), only those reconstructed for I and III appear not to require further comment, deriving respectively from POC \*i-au and a PEO-like form \*inia.

The remaining non-third person forms contain the proto-phoneme \*g, which requires some comment. The 'normal' reflex of POC, PEO \*k is PSV \*k. PSV \*q

appears to be restricted in its distribution to pronouns (including those listed above), a numeral prefix \*gV-, and possibly one or two other items. In this it recalls the restricted distribution of Raga k (Walsh 1982); although a number of details are different, a similar statement can be made – the reflex of \*k in pronouns (a) is rare and (b) is phonemically different from the reflex of \*k in the vast majority of lexical items.

With this comment, which bears considerable further investigation but which is beyond the scope of this paper, we can dispose of PSV \*ig(ao) II (< POC \*ko(e), PEO \*i-koe), \*gami Ixns (< POC, PEO \*kami), and \*gamiu IIns (< POC, PEO \*kamiu).

Of the remaining forms, both \*gadi Iins and \*iLi IIIns show irregular developments: the former is a clear case of metathesis from POC \*kinta, while the latter shows final \*i for expected \*a (the forms \*sida, \*kida and \*ida have been reconstructed by different scholars for POC and/or PEO; but see the discussion under 2.3.2). While the occurrence of \*g in four pronominal forms suggests clear links with (some) languages to the north, these two innovations appear to be exclusive to the SV subgroup.

Finally, the forms \*idam(V) Ixns and \*idamu(V) IIns, reconstructed on the basis of evidence from Anejom and two of the Tanna languages, do not, as far as I am aware, have cognates elsewhere in Oceanic. I shall return to these forms in section 4.

# 2.2 Objective pronouns

The full set of objective pronouns in those SV languages which formally distinguish these from the focal pronouns is given in Table 3.

	SIE	URA	UTH	SWT	ANJ
I II III	-yau -y -i,-Ø	-yau -ka -i,-Ø	-yo -ko - i	[same as focal]	-ñak -yiɣ,-ɣ -yin,-n
Ii2 Ii3 Iip	-yoh	-gis	-kis	alau atasəl ataua	-yajau -yataj -yaja
Ix2 Ix3 Ixp	-yam	-gim	-kum	amlu amasəl amaua	-yamrau -yamtaj -yama
112 113 11p	-yum	-(n)imi	-kimi	amilu amisəl amia	-γourau -γoutaj -γowa
III2 III3 IIIp	-or	-(i)1	-kor	aliu alisəl alia	-rau -ettaj -ra

Table 3: Objective pronouns

#### 2.2.1 Reconstruction

It will be immediately apparent from Table 3 that some languages do not formally distinguish focal and objective pronouns in *some* persons, using instead the focal forms: viz. Sie in I, Ura in I, Iins and Ixns; Utaha in I, II, Ixns and IIns; and South-west Tanna in I, II and III. These forms are not classed as 'true' objective pronouns.

Table 3 also shows some variant forms. Of the two Sie and Ura forms for III, -i is the base form, - $\emptyset$  being used after verb roots which end in i. Of the two Anejom forms for II and III, -yi $\gamma$  and - $\gamma$ in occur after consonant-final roots, while - $\gamma$  and -n occur after vowel-final roots: I will take the shorter forms as being basic, since the longer forms may well derive from transitive suffix -i + pronoun.

We can thus list the 'true' objective pronominal bases as follows:

(16)		SIE	URA	UTH	SWT	ANJ
	I		-	-	-	-ñak
	II	- <b>y</b>	-ka	Ŧ.,	-	- <b>y</b>
	III	- i	- i	-i	-	-n
	Iins	- yoh	-	-kis	at-	-yaj-
	Ixns	-yam	-	-	am-	-yam-
	IIns	-yum	-(n)imi	-	ami-	-you-
	IIIns	-or	-(i)1	-kor	ali-	-r-

The singular forms can be easily disposed of. In the first singular, the only 'true' objective pronoun is Anejom -ñak, but I treat this as derived from the possessive, for reasons discussed below in 2.3; thus no reconstruction can be made. In the second person, Sie and Anejom suggest \*- $\gamma$ (V), the Ura form -ka quite possible being a weakened form of the focal pronoun ga. In the third person, the Erromangan forms are homophonous with the transitive suffix, and may not be 'true' pronouns, while Anejom -n may be a reduced form of focal aen; in any case, no reconstruction can be made.

In the non-singular, the first person forms show a clear relationship with the focal forms, the major difference being the weakening of the initial consonant from \*g to \* $\gamma$ ; I thus reconstruct \*- $\gamma$ adi Iins and \*- $\gamma$ ami Ixns. The second person forms vary rather more significantly: note particularly the vowel in Sie- $\gamma$ um and the second vowel in Anejom - $\gamma$ ou, which suggest the presence of \*u in the ancestral form. I thus tentatively reconstruct \*- $\gamma$ amiu II (cf. focal \*gamiu) to explain both Sie and Anejom u and Ura and South-west Tanna i. What is of interest here is that, although the Anejom first exclusive and second person non-singular focal forms derive from the non-Oceanic roots \*idam(V) and \*idamu(V), the corresponding objective (and, as we will see, possessive) forms appear to be regular developments. Finally, the third person non-singular form derives from a reconstruction \*-VLi with an unspecified initial vowel; Utaha k is not explained.

The PSV objective pronominal bases reconstructed above are listed again below:

#### 2.2.2 Development

The innovations of metathesis in the form for Iins and irregular final vowel developments in the form for IIIns, noted above with respect to the focal forms, recur in the objective forms, and require no further comment. There is little else to discuss here, apart from the fact that those pronouns which showed \*g in the focal form show a corresponding \* $\gamma$  in the objective form - again, not the regular reflex of POC/PEO \*k.

## 2.3 Possessive pronouns

The full set of possessive pronouns in all SV languages - including Sorung, for which there are data available - is given in Table 4.

#### 2.3.1 Reconstruction

It should be noted that the Erromangan forms listed in Table 4 are those which are suffixed to nouns in direct (or inalienable) possessive constructions or to the possessive morphemes Sie hor-, Sorung sor-; Sie suffixes focal pronouns to the possessive morpheme en- (Lynch and Capell 1983:43-44), while Ura shows a considerable breakdown of what appears to have been an earlier, more typical Oceanic, possessive system (Lynch 1983b:154) - only the vestiges of the earlier Ura system are given in Table 4.

SIE URA UTH SOR NTN WSN LEN SWT KWM ANJ -k Т **-**ŋ -0 -n -k -k -k -k -k -k,-ñak ΙI - m – m -ko -m -m -m -m -m -m -m III -n -n -n -n -n -n -n -n,-ni -n,-ni -n Ii2 -lhao -lau -lau -lau -rau -iau Ii3 -tahal -tahal -thel -tasəl -tahar -taj Iip -tat -tah -tar -taua - taha -ja Ix2 -təlau -mlu -mrau -mlau -mrau -tlao Ix3 -tmahal -təmahal -mhel -masəl -mrahar -mtaj -mam -kim -kum -mam -tmat Ixp -təmah -mar -maua -maha -ma II2 -milu -mirau -təmlao -təmwlau -milau -mirau II3 -təmahal -təmwahal -mhiel -misəl -mirahar -mitaj ? ? -təmat -təmwah -miar -miaha -mia IIp -mi -mi -mia -lao -liu III2 -lau -nilau -nrau -rau III3 -lahal -lahal -nilhel -lisəl -nrahar -ttai -ira -da -lat -lah -nilar -lia IIIp -d -nraha -ra

Table 4: Possessive pronouns

Of the data given in Table 4, the following appear not to be 'true' possessive suffixes, but rather (modifications of) focal or objective pronouns: Ura -kim Ixns, Utaha -ko II and -kum Ixns. The base forms of the remaining possessive forms for which data are available are:

(18)		SIE	URA	UTH	SOR	NTN	WSN	LEN	SWT	KWM	ANJ
	I	<b>-</b> ŋ	-k	<b>-</b> Ŋ	-k	-k	-k	-k	-k	-k	-k,-ñak
	II	-m	-m		- m	- m	- m	-m	-m	-m	- m
	III	-n	-n	-n	-n	-n	-n	- n	-n,-ni	-n,-ni	-n
	Iins	-nt			- t	-t-	-t-	-t-	-t-	- t -	- j-
	Ixns	-mam			-mam	-tm-	-təm-	-m-	-m-	-m-	-m-
	IIns	-mi			-mi	-təm-	-təmw-	-mi-	-mi-	-mi-	-mi-
	IIIns	-d		-ira	-da	-1-	-1-	-nil-	-1i-	-nr-	-r-

Of the variations noted in (18), South-west Tanna uses -ni III after (most?) kinship terms and -n elsewhere (Lynch 1982a:28). Kwamera appears to use -ni III after most (all?) kinship terms and also after some possessive morphemes. Anejom uses -ñak I after the 'neutral-active' possessive morpheme u- and -k elsewhere (Lynch 1982b:123ff).

A number of reconstructions of PSV possessive suffixes are problematical. Those which are not include the following:

- (i) There appears to be clear agreement on the reconstruction of PSV  $\star$ -m(V) II.
- (ii) We can reconstruct PSV \*-n(V) III; the contrast between South-west Tanna and Kwamera -n and -ni may indicate a PSV distinction between two kinds of direct possession (cf. Lynch 1982d), but until we have more detailed data from both these languages and other Oceanic subgroups, we cannot be sure about this.
- (iii) We can reconstruct PSV \*-mam(V) Ixns on the basis of the Erromangan forms and the POC form \*-mami. Anejom and the Tanna languages appear to have undergone reduction, to a form deriving from \*-m(V). (The North Tanna and Whitesands forms for both Ixns and IIns derive from the 'non-Oceanic' focal pronouns \*idam(V) and \*idamu(V) and will not be discussed here.)
- (iv) The reconstruction PSV \*-miV IIns is clear, the final \*V being required to protect the predecing \*i from the final vowel loss rule.
- (v) In reconstructing the PSV form \*-Lia IIIns, I treat as an accretion the apparent prefixation of the third person singular suffix in the Lenakel and Kwamera forms. The vowels of this suffix require brief comment: \*i is overtly reflected in South-west Tanna, and its presence accounts for the liquid (rather than high front vowel) reflex of \*L in the three northern Tanna languages; while \*a is reflected in Utaha and Sorung (although we might have expected this to be deleted by the final vowel loss rule).

We are thus left with the first person singular and first person inclusive non-singular forms to discuss. I will examine the singular form first.

As mentioned above, Anejom shows two allomorphs of the form for I, -k and  $-\tilde{n}ak$ ; the latter also occurs as an objective suffix and a focal pronominal base, and yet it does not appear to derive from any reconstructed Oceanic first person singular pronominal form. Where does Anejom  $-\tilde{n}ak$  come from? The only suggestion that I can make at this stage is that it is a borrowing from the Polynesian Outlier language Futuna-Aniwa. According to Capell (1960:105ff), Futuna-Aniwa has a predicative possessive first person singular form niaku mine (probably morphologically ni 'predicative' + a 'dominant' + ku I). There has been considerable Futunese migration to Aneityum over the past two centuries, and Anejom is a language which can be demonstrated to have undergone considerable change in the last century at least (cf. 2.4 below and also Lynch 1982c). The development of Futuna-Aniwa niaku > Anejom  $-\tilde{n}ak$  is clearly phonologically plausible;

the borrowing of the form in the first place, and its subsequent generalisation to focal and objective cases is clearly less plausible, but not outside the bounds of possibility.

We are left with the problem of nasal reflexes in Sie, Utaha and Sorung corresponding with stop reflexes in Tanna and Anejom. The Tanna and Anejom forms would be consistent with either of the PSV reconstructions \*-k(V) or \*-g(V). Ura -k at first glance suggests the former reconstruction, since PSV \*g > Ura g while PSV \*k > Ura k; however, since we have little evidence for \*g in any case, and virtually none in final position, the Ura form might well be a reflex of \*-g(V). The - $\eta$  of the remaining Erromangan languages may derive from the breaking and weakening of a prenasalised stop (i.e. \*g =  $\lceil \eta g \rceil$  >  $\eta g$  >  $\eta /$  #), or it may derive from the weakening of a nasal + stop cluster (supporting a reconstruction \*- $\eta$ k(V)). On balance, I believe the evidence favours the reconstruction of \*-g(V).

The first person inclusive non-singular possessive suffix also poses problems. Recall that a focal form \*gadi and an objective form \*gadi were reconstructed above, in part to explain the palatal reflexes of the second consonant in Anejom and the Erromangan languages. The coronal consonant behaves in the same way in the possessive in Tanna and Anejom as it does in the focal and objective, and these forms suggest a reconstruction \*-di. The Erromangan languages, however, do not show the expected palatalisation in this form, and suggest a reconstruction \*-d(V) (or possibly \*-nt(V)), where \*V is not \*i. I will attempt to explain these two forms below; however, since the former is found in two subgroups, while the latter probably continues POC \*-nta, I reconstruct both at this stage.

The PSV possessive pronominal bases which were reconstructed above are listed below:

# 2.3.2 Development

The possessive suffixes reconstructed for POC and PEO are given in (20):

A comparison of (20) with (19) indicates that there is little need to comment on the development of the PSV possessive suffixes, except for the forms for Iins and IIIns.

The form \*-Lia IIIns again shows unexpected \*i. There are other occurrences of an intrusive i in this form in other branches of Oceanic - the Central Papuan languages, for example, where Ross (1983) reconstructs \*\gammaidi(a) 'IIIp focal', \*-di(a) 'IIIp possessive' - which suggest that the PSV forms are not all that unusual.

The two forms for Iins require further comment. The form \*-d(V) was probably \*-d(a), and historically reflects the POC and PEO forms. The form \*-di, on the other hand, is clearly phonologically related to the metathesised focal and objective forms \*gadi and \*- $\gamma$ adi. The phonological connection between POC non-singular possessive pronouns on the one hand, and focal and objective pronouns on the other, is fairly transparent: drop the first syllable of the focal form and you are left with the possessive form. Following this 'rule', the metathesis \*kinta > \*gadi could have led to a substitution of \*-di for expected \*-da. The Erromangan languages, on the other hand, appear to have 'ignored' the phonological relationship and retained \*-nta as \*-da. The form \*-di thus represents an innovation based on analogy: whether it is sufficient to unite Tanna and Anejom as a single subgroup as against Erromangan I tend to doubt, since it is the kind of innovation which could have occurred independently.

# 2.4 Subjective pronouns

# 2.4.1 Reconstruction

The situation with regard to the subjective pronouns is quite different from that relating to pronouns in the other three cases. It will be useful to examine the forms involved subgroup by subgroup, and then list the base forms in a complete table.

ERROMANGO. Data on subjective pronouns in Ura and Utaha are scarce, and in Sorung non-existent. I have interpreted what Ura and Utaha data are available in the light of what is known about Sie subjective pronouns. Two general points can be made here. First, markers of person may be separated from markers of non-singular number by tense/aspect markers; e.g.:

(21) ko-m-l-oyh-i Iins-MP-p-see-III We saw it

Second, Sie shows two phonologically distinct sets of person-of-subject markers to verbs: one, Set A, is used with verbs in the general past, present and future tenses; while the other, Set B, is used with the mid past, far past, past conditional and non-past conditional tenses. The Sie forms for both sets are given below. Since the same kind of system appears to operate in Ura, I have given available forms according to Set membership in that language as well. The Utaha data are insufficient to allow us to draw any conclusions about Set A/B distinctions.

(22)		SI	ΙE	UF	RA	UTAHA
		Set A	Set B	Set A	Set B	
	I	yay-	yau-	yay-	yau-	i -
	II	k-	ki-	k-	k-	k-
	III	γ-	y-	γ-	y-	Ø-(?)
	Iins	kok-	ko-	ku-		
	Ixns	kak-	ka-	kak-		ku-
	IIns	k-	ki-	ki-		ki-
	IIIns	γ-	d-	i 1-	i 1 -	el-

As a comparison with Table 2 will show, the Set B forms appear to be clearly derivable from the focal pronouns - the classical 'Melanesian short pronouns'. I thus take the Set A forms as being basic, and will not refer to the Set B forms again.

TANNA. As in Erromango, person and number of the subject are marked by distinct prefixes which may be separated from each other by other prefixes. The following South-west Tanna verb illustrates this:

(23) i-akn-am-ha-vən Ixns-INCH-CONT-p-go We have begun to go

However, unlike the Erromangan situation, the Tanna languages use the same set of pronominal forms with all tenses and aspects. These are:

(24)		NTN	WSN	LEN	SWT	KWM
	I	i -	i -	i -	i -	ia-
	II	n-	n-	n-	n-	i-
	III	t-	t-	r-	1-	r-
	Iins	k-	k-	k-	k-	k- (dual), s- (elsewhere)
	Ixns	i -	i -	i -	i -	ia-
	IIns	n-	n-	n-	n-	i <del>-</del>
	IIIns	k-	k-	k-	k-	k- (dual), $\emptyset$ - (elsewhere)

ANEJOM. The situation here is different again, and more complex. Inglis (1882) and Capell (n.d.) list a set of portmanteau preverbal particles, partly analysable, marking person and number of subject and tense/aspect. It is clear, however, that there has been massive simplification of the system within the last century: the forms which I recorded show neutralisation of the dual/trial/plural distinction, as well as levelling of some person distinctions. The full set of forms for three tense/aspects in both 'Old' and 'Modern' Anejom is given in Table 5. 12

In this historical study, I take the 'Old' Anejom forms as the basis for comparison with other SV languages. Although there appear to be a number of conflationary phonological rules involved, some of them somewhat idiosyncratic, this is only to be expected in particles of such high frequency. The aorist forms are the simplest, the non-singular consisting of a pronominal base + number-markers of the form -au '2', -taj/-tij '3', and -a 'p'. The past forms are derivable from the aorist form + is, while at least some of the subjunctive forms seem to be derivable from the aorist form + i. This suggests that the following forms can be isolated as underlying markers of person-of-subject in 'Old' Anejom:

Of these, k-, n-, and t- are clearly abbreviated forms of ek, na and int-, and will be ignored for the remainder of this discussion.

	AORIST	'Modern'	PAS	T 'Modern'	SUBJU	NCTIVE 'Modern'
I II III	ek na et	ek,k- nei,na- et,t-	kis as is	kis,is is is	inki,ki an inyi,yi	ki ni iñiyi,yi
Ii2 Ii3 Iip	intau intaj inta	all	intis intijis imjis	{kis,is	tu tiji ti	\ri
Ix2 Ix3 Ixp	eγrau ektaj,ektij eγra	persons and numbers show	eyrus ektijis eyris	{ is	eyru tiji eyri	
112 113 11p	ekau ahtaj eka	ekra, era, rai-	akis ahtijis akis	ekris,	eru tiji aki	√ri,ra
1112 1113 111p	erau ehtaj era		erus ehtijis eris	is	eru tiji eri	

Table 5: 'Old' and 'Modern' Anejom subjective pronouns

We can now summarise this information by giving the base forms of the subjective pronouns in all the SV languages. This summary is provided in Table 6. Ura and Utaha forms in parentheses may well be Set B forms.

	SIE	URA	UTH	NTN	WSN	LEN	SWT	KWM	ANJ
I	yay-	yay-	i -	i -	i -	i -	i -	ia- ■	ek
II	k-	k-	k-	n-	n-	n-	n-	i -	na
III	γ-	γ-	Ø-	t -	t -	r-	1 -	r-	et/y-/Ø-
Iins	kok-	(ku-)	?	k-	k-	k-	k-	k-/s-	int-
Ixns	kak-	kak-	(ku-)	i –	i -	i -	i -	ia-	eyr-
IIns	k-	(ki-)	(ki-)	n-	n-	n-	n-	i -	ek-
IIIns	γ-	i 1 -	(e1-)	k-	k-	k-	k-	k-/Ø-	er-

Table 6: Subjective pronouns

The second person forms are perhaps the easiest to deal with. Sie does not distinguish II from IIns, marking both with k. The Tanna languages likewise do not distinguish II from IIns; a Proto-Tanna reconstruction \*n(V) can be posited, Kwamera showing an innovation. Anejom, however, does distinguish II from IIns, the singular form probably cognate with the Tanna form and the plural form with Sie. I hypothesise that PSV marked II with \*na- and IIns with \*k(V)-, that Sie (and the other Erromangan languages?) generalised the non-singular form to the singular, and that Proto-Tanna generalised the singular form to the non-singular.

Of the remaining forms, I will leave discussion of the first singular reconstruction until last, as it is perhaps the most complicated. On the basis of the available evidence, no reconstruction can be made for Ixns, each subgroup showing an historically different form. The remaining reconstructions are reflected in two of the three subgroups only. First, \*t(V)- III is reconstructible

on the basis of Tanna and Anejom evidence only;  $^{13}$  the Erromangan form  $\gamma$ - may be another case of generalisation from non-singular to singular. Second, I propose the reconstruction  $^*\gamma(V)$ - for IIIns on the basis of Sie and Tanna evidence:  $^{14}$  PSV  $^*\gamma$ , though generally lost in South-west Tanna and Kwamera, is occasionally reflected (as k), and its loss in this morphological environment would make IIns tenseless verbs indistinguishable from imperatives; note in any case the grammatically conditioned variation between k and  $\emptyset$  in Kwamera. Finally, the forms for Iins in Sie and Tanna suggest a reconstruction  $^*k(V)$ -, or perhaps  $^*k[ok]$ - or  $^*k[ok](V)$ -.

We return now to the first person singular. The Sie, Ura and Anejom forms suggest a reconstruction something like \*iaK(V)- where \*K represents a velar of some kind which I will not specify at this stage. Kwamera shows loss of the velar (which would be expected if the velar were \* $\gamma$ ), but the other Tanna languages show even further contraction, retaining only the high vowel. I believe the full reconstructed form can be supported, and that there has been morphological reanalysis in Tanna. I will, for the sake of convenience, use only Sie, Lenakel and Kwamera examples to illustrate this point.

Sie has a present tense marker am-, which follows the subject marker. The behaviour of the relevant prefixes is illustrated below; the apparently unmarked form (26a) is in fact marked as irrealis by nasalisation of the root (cf. Lynch and Capell 1983:23-25).

(26) a. yay-aŋh-i b. yay-am-aŋh-i I-IRR:see-it I-PRES-IRR:see-it I will see it I see it

Both Lenakel and Kwamera have, save for the change from  $\gamma$  to k, verb-initial sequences which are virtually identical to (26b):

(27) LEN: i-ak-am-ol KWM: ia-k-am-o I-CONC-CONT-do I-CONC-CONT-do I am doing it I am doing it

Note, however, that although the *phonology* of the first two syllables of (26b) and (27) is virtually identical (Sie [yayam], Lenakel and Kwamera [yagam]), the *morphological* structure is different in each case.

I propose that the original form of the prefix was \*iak(V)-. This form has been inherited into Anejom, and also - with the not unnatural change of  $^{*k}$  >  $\gamma$  in an environment which is intervocalic and usually unstressed - in Sie and Ura. I also suggest that a present continuous marker \*am can be reconstructed for PSV. The Erromangan languages retain the original PSV system; Anejom retains the pronoun, but not the tense marker. The Tanna languages, however, appear to have reanalysed the phonological sequence, with am being restricted to marking continuous aspect, while a part of the pronoun took on the function of marking present tense (called 'concurrent' due to various other features of this marker which need not concern us here). The sequence of developments was probably something like:

(28) PSV KWM LEN

\*iak-am- > ia-k-am- i-ak-amI-PRES- I-PRES-CONT- I-PRES-CONT-

The reconstruction hypotheses proposed above are summarised below:

If indeed the Iins form was \*k(V)- and not \*k[ok](V)- (as discussed above), then presumably the vowels of \*k(V)- Iins and \*k(V)- IIns would have been different. Those vowels, however, are unrecoverable.

# 2.4.2 Development

It is immediately clear that the PSV subjective pronouns listed in (29) do not derive from the focal pronouns of either PSV or POC - i.e. they are not merely 'short pronouns' but a phonologically distinct set of subject-marking prefixes.

Ross (1981) has reconstructed a set of POC subject-marking prefixes. These are reproduced below in the form in which they are given in a more recent paper (Ross 1982:31):

A number of these forms recall POC focal forms or forms in other cases. What is more to the point, apart from a vague resemblance between PSV \*iak(V)- and POC  $*\eta ku$  I, none of the PSV forms appears to derive from the POC forms.

The PSV system, and the forms themselves, may thus constitute an innovation. However, considerably more research - particularly into the systems of Northern Vanuatu, the Loyalty Islands and New Caledonia - will be necessary before such a statement can be justified.

# 2.5 Summary

The reconstructed PSV pronominal bases for each case are listed together in (31) for convenience of reference:

(31)		FOCAL	OBJECTIVE	POSSESSIVE	SUBJECTIVE
	I	*iau	?	*-g(V)	*iak(V)-
	II	*ig(ao)	*-ү(v)	*-m(V)	*n(V)-
	III	*in(V)	?	*-n(V)	*t(V)-
	Iins	*gadi	*-yadi	*-di,*-d(V)	*k(V)-
	Ixns	*gami,*idam(V)	*-yami	*-mam(V)	?
	IIns	*gamiu,*idamu(V)	*-yamiu	*-mi(V)	*k(V)-
	IIIns	*iLi	*-VLi	*-Lia	*y(V)-

## 3. NUMBER-MARKING

As has been mentioned earlier, the SV languages mark non-singular numbers of the subject in various ways, while the languages of Tanna and Anejom also mark non-singular numbers in focal, objective and possessive cases by suffixes to the pronominal base. In this section, I investigate the form of these markers of number. Before doing so, however, it will be necessary to pay brief attention to the numerals in the SV languages and to other formal considerations.

#### 3.1 Formal considerations

#### 3.1.1 Numerals

The SV number-markers, as we will see, are phonologically related to the numerals - specifically, the dual, trial and plural markers bear a phonological relationship to the numerals two, three and four. The forms of these numerals are given below; forms in square brackets will not concern us here.

	two	three	four
SIE	duru	dehel	dvat
URA	gelu	gehli	[lemelu]
UTH	kalu	kihili	[lemelu]
NTN	kəiu	kəsəl	kuvət
WSN	kəiu	kəsə l	kuvət
LEN	kiu	kəsil	kuvər
SWT	kəlalu	kəsisəl	kuas
KWM	kəru	kahar	kefa
ANJ	erou	esej	[mijman]
	URA UTH NTN WSN LEN SWT KWM	SIE duru URA gelu UTH kalu NTN kəiu WSN kəiu LEN kiu SWT kəlalu KWM kəru	SIE duru dehel URA gelu gehli UTH kalu kihili NTN keiu kesel WSN keiu kesel LEN kiu kesil SWT kelalu kesisel KWM keru kahar

Of the numerals which are of concern to us, all show an identifiable numeral prefix: d(V)- in Sie, ge- in Ura, e- in Anejom, and k(V)- in the other languages. Note also that the South-west Tanna forms for two and three show partial reduplication.

The reconstructed PSV numerals are \*-Lua two, \*-s(ie)li three, and two (competing?) forms for four, \*-vati and \*-vat(V) (in which the final \*V, if present, was not \*i). These forms all clearly derive from POC reconstructed etyma - POC \*dua two, \*tolu three, 15 and \*pati or \*pat four, the last two probably being doublets - and require little discussion here. Briefly, the final \*a in \*-Lua is justified by the way Anejom treats \*ua sequences (cf. POC \*luaq vomit > ANJ a/lou), while the final \*i in \*-s(ie)li is justified both by the nature of the reflex of \*l in the northern Tanna languages and its (unexpected?) retention in Ura and Utaha. South-west Tanna and Kwamera derive their forms for four from \*-vati, while the forms in the other languages just as clearly derive from the reconstruction without final \*i.

## 3.1.2 Morphophonemic and other variation

There are considerable differences between forms marking number-of-subject and those marking number in focal, objective and possessive cases. First, Sie - and possibly the other Erromangan languages - has overt verbal prefixes marking dual and plural subject, but does not overtly mark pronominal number in any other

case. Second, Anejom and the Tanna languages mark the dual/trial/plural distinction in subjects by prefixed or preposed particles, but in other cases by suffixes. Finally, the phonological forms of subjective number-markers are not always identical to the phonological forms of non-subjective number markers: as one example only, Lenakel marks dual in non-subjective pronouns by the suffix -lau, but dual subject by one of the two allomorphs u- or ia-.

	SIE	NTN	WSN	LEN	SWT	KWM	ANJ
2 Subj.	u-	u-,ia-	u-,ia-	u~,ia-	u-,la-	rou-, rau-,r-	-au
Non-Subj.	-	-lao	-lau	-lau	-lau, -lu,-u	-rau	-rau
3 Subj.	-	hl-	hal-	hal-, hai-	lh-	har-	-taj
Non-Subj.	-	-ahal	-ahal	-hel	-asəl, -səl	-rahar	-taj
p Subj. Non-Subj.	u-,1- -	ot- -at	ot-,oh- -ah	ar-,ai- -ar	ha-,s- -aua,-a	ha- -aha	-a -a

Table 7: Number-markers

Nevertheless, despite these differences, I believe that a single set of number-markers can be reconstructed. Table 7 lists subjective and non-subjective number-markers in all SV languages for which sufficient data are available. Variants predicted by regular, independently motivated phonological rules are excluded; all other variant forms are included in Table 7, and these I will now briefly discuss before moving on to reconstruct each marker.

There are two cases where the allomorphic variation is grammatically conditioned. First, Sie makes a singular/dual/plural distinction in the first person, but only a singular/plural distinction in the other persons; further, the verbal prefix u- marks a first person subject as dual but a non-first person subject as plural, while a first person plural subject is marked with 1-. Second, the variation in the Kwamera dual subject marker appears to be conditioned by the tense/aspect marker that follows: basically, r- occurs before perfective, sequential or conditional markers, while rou- (becoming rau- before some verbs) occurs elsewhere.

All other variation is phonologically conditioned. With regard to variation in the form of the dual subject-markers, the following general pattern obtains:

(33)	NTN	WSN	LEN	SWT
before a, e, ə before o	u- ia-	u- ia-	u- ia-	u- u-
elsewhere	ia-	ia-	ia-	la-

while in the plural, we can make the following generalisations:

(34)			WSN	LEN	SWT
	before	а	ot-	ai-	s <del>-</del>
	before	mid V	ot-	ar-	s-
	before	high V	ot-	ar-	ha-
	before	obstruent	ot-	ar-	ha-
	before	other C	oh-	ar-	ha-

Lenakel is the only language to show allomorphic variation in the trial; and since this exactly matches the variation in the plural, I assume that it is the result of a spreading effect. Finally, South-west Tanna alone shows some variation in non-subject number markers: -asəl '3' and -aua 'p' occur after consonants, while -səl '3' and -a 'p' occur after vowels; the variation in the dual, however, does not admit of such a simple explanation, although the form -u marking IIIns may involve dissimilation (expected /ili-lu/ producing iliu). 16

## 3.2 Dual

The dual non-subjective suffix is reconstructible as \*-(rL)au, the ambiguity in the initial consonant being due to the fact that the Erromangan languages, which do not mark number distinctions in non-singular non-subjective pronouns, are the only SV languages to reflect the distinction between \*r and \*L. The forms of the initial consonant of the North Tanna, Whitesands and Lenakel suffixes clearly support the final \*i which has been reconstructed for most non-subjective pronominal bases - with no \*i in the immediate environment, the suffix -iau would be expected - and thus provides further evidence for the metathesis of POC \*kinta Iins as PSV \*qadi.

The dual subjective prefix is also, I believe, reconstructible as \*(rL)au. This form is only retained in full as one of the Kwamera allomorphs. The loss of the initial consonant in Anejom has a fairly natural explanation, since the sequences int-rau Ii2, eyr-rau Ix2 and er-rau III2 would fairly naturally condition loss of the r of the suffix, and this would, again naturally, have spread to the form for II2.

The other Tanna languages show two allomorphs: one deriving from \*(rL)a-occurs basically before consonants (plus high vowels and, sometimes, o functioning as glides in some environments), while the other deriving from \*u- occurs basically before vowels. In an earlier paper (Lynch 1977b:14) I suggested a possible phonetic motivation for this, which is repeated below using Lenakel as the example:

(35)	*(rL)au-kani	*(rL)au-a-toka	POC/PSV form
	2-eat	2-verb:marker-stay	
	[yaw-kani]	[yaw-a-toka]	phonetic equivalent
	[ya-kani]	[w-a-toka]	REDUCTION
	[ya-kən]	[w-a-rək]	other regular rules
	/ia-kən/	/u-arək/	underlying form

Basically, the hypothesis suggests that the [w] (< \*u) of the prefix might well be lost before a consonant-initial verb, by a rule of cluster-simplification; while since there would be no motivation for loss of the [w] before a vowel-initial verb, there might well be contraction of the prefix - particularly since a Lenakel verb may admit up to six prefixes before a root. I would thus suggest that the allomorphs in the Tanna languages are derived, by some such process, from \*(rL)au-.

Sie shows a specifically dual form only in the first person. However, it seems logical to treat u-, which marks both dual first person and plural non-first person, as the historical dual, and to derive it from \*(rL)au- in the same way as the prevocalic Tanna allomorph is derived; presumably, the same contraction which occurred in some environments in Tanna occurred in all environments in Sie.

I thus reconstruct a dual marker \*(rL)au which functioned as a prefix to verbs marking number of subject and as a suffix to focal, objective and possessive pronominal bases.

#### 3.3 Trial

In general, the Tanna forms suggest a trial marker \*ha(lrL)i, to which may have been accreted an initial a in the suffixed form in most of these languages once the final vowels of the pronominal bases had been lost. There are, however, a few minor problems which need to be briefly discussed.

Of minor importance are the accretion of initial r in the Kwamera non-subjective suffix -rahar, possibly due to contamination from the dual; the discrepancy between the vowels of the Lenakel prefixed and suffixed forms (hal- and hel); and metathesis in the South-west Tanna subjective prefix. More important, however, is the discrepancy between the consonants in the South-west Tanna prefixed (lh-) and suffixed (-(a)sel) forms. Although there is some variation between s and h in Tanna, the direction of the change (which is more pronounced in Erromango) is clearly s > h and not h > s: one form with h among a number of forms with s could be explained as due to the effects of this sound change beginning to operate; the reverse, however, does not admit of such a simple explanation. At this stage, I can only suggest that there has been some contamination from the numeral (kəsisəl) in South-west Tanna which has affected the fairly transparent number-suffix, but not the more morphologically embedded verbal prefix.

Since no plural reconstruction to be proposed in 3.4 below could have given rise to the Sie first person plural marker l-, I suggest that this derives from the same, or a similar, reconstruction, by the same kind of reduction process by which dual u- derives from  $\star(rL)au$ .

Now the Anejom form is taj. Ignoring for the moment the initial consonant, the remainder of the form is cognate with the remainder of the Tanna-based reconstruction, and in fact disambiguates the second consonant - Anejom aj can only derive from \*ali. The difficulty arises with the initial consonant: Anejom  $\theta$ , and not t, is cognate with Tanna \*h. <sup>18</sup> I incline to the view that the Anejom form represents an irregular development from PSV \*hali. Given the normal development of \*hali as  $\theta$ aj, the forms of the trial focal and subjective pronouns would have been:

(36)		Focal	Subjective
	Ii3	akat-θaj	int-θaj
	Ix3	ajam-θaj	eγr-θaj
	II3	ajou-θaj	ek-θaj
	III3	ar-θaj	er-θaj

Now it is not improbable that  $\theta$  may have assimilated to the non-continuant quality of a preceding stop (t, k) or flap (r) - a situation which would have obtained in six of the eight forms listed above. I propose, then, that Anejom taj '3' is an irregular, but explainable, development of a PSV trial marker \*hali.

# 3.4 Plural

In discussing the marker of plural, I am ignoring the Erromangan languages, since I treated the first person plural verbal prefix l- as an historical trial, and the second and third person verbal prefix u- as an historical dual.

No clear single reconstruction can be made here. North Tanna and Lenakel forms suggest a reconstruction \*at(V) (where \*V is not \*i); the Whitesands sub-jective marker also derives from this reconstruction. On the other hand, Southwest Tanna and Kwamera forms suggest the following possible reconstructions: \*a(ha) or \*(a)sa, ultimately derivable from a PSV form \*(a)tia. The Whitesands non-subjective marker would also derive from this reconstruction, although the South-west Tanna non-subjective allomorph -aua remains unexplained. The Anejom form -a is consistent with both of the above reconstructions, since the historical rule of final consonant loss is far more thoroughgoing in its application in Anejom than in other SV languages (cf. Lynch 1978a:736-737).

I would suggest that, just as it appears to be necessary to reconstruct doublets for the numeral four, so it is probably also necessary to reconstruct doublets for the plural marker, which is phonologically related to the form for four. Unfortunately, the absence of reflexes in Erromango and the ambiguity of the Anejom reflex means that neither reflex can be attributed with confidence to PSV.

# 3.5 Development

The relevant reconstructions are summarised below.

It will be immediately obvious that, while the PSV numerals unambiguously derive from the POC/PEO forms, the PSV number-markers are merely phonologically related to those forms.

The dual number-marker, apart from the unfortunate ambiguity in the consonant, shows clear and unpredictable metathesis of the vowel cluster. Although a number of Oceanic languages show reduction of the numeral when it functions as a number-marker, I am aware of only one other area where similar metathesis is found: cf. Standard Fijian rua two, but keirau Ix2, kemudrau II2, irau III2.

The trial number-marker shows an unpredictable change in both the consonant and the vowel of the first syllable. I am not aware of similar changes in other Oceanic groups.

The two reconstructed plural number-markers show the same development from the numeral - unexpected loss of the initial consonant (PSV \*v). A similar development occurs in the New Britain-New Ireland area.

## 3.6 Subjective number-marking

It is clear from what has gone before that the non-subjective pronouns in PSV consisted of a person-marking base + a number-marking suffix, the two functioning as a unit. What is not clear is the way in which markers of both person-of-subject and number-of-subject functioned in the PSV verbal complex.

The Erromangan and Tanna languages show considerable morphological complexity of the verbal unit. The two examples given below would be morphologically 'longer' than the statistical norm, but nevertheless natural utterances:

(38) SIE: yau-pe-tu-am-aŋh-i (> yaupetumaŋhi)
I-conditional-negative-PRES-IRR:see-III
I might not see it

LEN: t-i-ak-am-etu-ar-ol?
future-Ix-CONC-CONT-interrogative-p-do
How will we be doing it?

Only in ('Old') Anejom do the markers of person-of-subject and number-of-subject always occur adjacent to each other. Apart from Kwamera, the other languages of Erromango and Tanna resemble Sie and Lenakel: person-markers may be, and quite often are, separated from number-markers by one or more other prefixes. Kwamera differs in that person-markers are normally immediately followed by number-markers. However, Monty Lindstrom suggests (personal communication) that this may be the result of a fairly recent change, and that there are older forms, still acceptable, which allow tense/aspect markers to intervene between markers of person and number.

It appears, then, that there was no person + number 'pronominal unit' in PSV. Although such a unit could be postulated on the basis of the structure of non-subjective pronouns, it is clear from this analysis of subjective personand number-marking that each 'component' functioned separately.

## CONCLUSION

The PSV pronominal system, and the reconstructed forms within that system, are clearly derived, but show considerable departures, from the system and forms reconstructed for POC and PEO. Formal developments have been discussed elsewhere in this paper. I would, however, like to say something about systemic developments.

As it is presently reconstructed, POC shows a singular/plural distinction only, with the plural forms being monomorphemic: i.e. there is no plural-marking morpheme as such. PEO has been reconstructed as having a four-number pronominal system; however, the plural pronouns are monomorphemic, while the dual and trial pronouns consist merely of plural pronoun + unaltered numeral. The PSV system shows two significant departures: the development of a specifically plural suffix, which means that the historical plural pronoun becomes a non-singular bound base form; and the development of number-markers which are not numerals (full or reduced). The full system is retained in Anejom and the Tanna languages, though it is lost in the Erromangan languages, which look more POC-like.

This paper has attempted to reconstruct the PSV system only. It will be of interest for wider subgrouping purposes, however, to examine other subgroups for formal and systemic correlates with the various PSV 'innovations'. That, however, remains a matter for future research.

## NOTES

- 1. Vanuatu was formerly known as the New Hebrides, and the Tafea District as the Southern District. The Southern Vanuatu subgroup was previously referred to as the South-Hebridean subgroup (Lynch 1978a).
- 2. One of the rules referred to simplifies a cluster of two coronal consonants across a morpheme boundary by deleting the first: /kat-lau/ > kalau Ii2, /il-lau/ > ilau III2; cf. /n-om-ar-su/ (II-PST-p-plant) > nomasu you (pl.) planted (it). The other rule metathesises i and h in i-hV clusters: /kami-hel/ > kamhiel III3; cf. /i-hapwu/ (personaliser-smash) > hiapwu (as in hiapwu kopwiel one who smashes rocks) = west wind.
- 3. Data for extinct Sorung are restricted to possessive pronouns, while data for Utaha, also extinct, are unreliable. Whitesands forms which differ from those given in Lynch 1974 are corrections based on a 1984 field trip.
- 4. Backing and rounding of \*a to o before \*u or \*w and subsequent reduction of the ou-cluster to o is not uncommon in the SV languages: e.g. POC \*mauRi left hand > WSN moul, KWM mour, SIE mwor; \*awan be open > WSN ouan, NTN oan, etc. (Note: most POC and PEO forms quoted in this paper may be located in Wurm and Wilson 1975.)
- 5. Anejom e is the unconditioned reflex of POC \*i (Lynch 1978a:747): e.g. POC \*kita see > ANJ e/yet; \*pinsa how much? > e/he $\theta$ ; \*qanusi spit > an $\theta$ e/i; \*tapine woman > na/taheñ; etc.

It appears necessary to reconstruct two PSV velar stops \*k and \*g - the latter probably prenasalised - as well as a velar fricative \* $\gamma$ . Although there is sporadic variation, including some cases of unexplained loss, we can tentatively establish these protophonemes on the basis of the following patterns:

	<b>*</b> g	*k	*¥
Erromango: Tanna:	URA g, others k all k	all k all k	all y NTN, WSN ŋ, LEN k, Ø, SWT, KWM Ø
Anejom:	k	k, γ	y, Ø

PSV  $\star g$  does not occur frequently, being found mainly in pronouns; cf. the discussion in 2.1.2 and 2.2.2 below.

- 6. For example, POC \*kani eat > ANJ yiñ; \*tanmane man > na/tamwañ; etc.
- 7. Although \*d does not occur with any great frequency, cases of palatalisation of \*t before \*i are the rule; e.g. POC \*kati bite > LEN kas, KWM ahi, ANJ a/yes; POC \*puti banana > SIE no/voh, SWT nu/kwus, ANJ no/hos; etc.
- 8. Three liquids need to be reconstructed for PSV: \*1, \*r and \*L. South-west Tanna merges all three liquids as 1, and Kwamera merges them as r. North Tanna, Whitesands and Lenakel also merge all three, as 1 adjacent to \*i and as i in other environments. The remaining correspondences are:

	*1	*r	*L
Sie:	d-,-l-,-l	r	r
Ura:	1	r	1
Utaha:	1 (?)	r	l-,-r-,-l (?)
Anejom:	j/ *i, l else	$\emptyset$ / #, r else	$\emptyset$ / #, r else

- 9. See, for example, in previous notes the reflexes of POC \*kita (note 5), \*kani (note 6), \*kati (note 7), and also, e.g. POC \*kutu louse > SIE no/yut, URA wit, NTN, WSN kə/ŋət, LEN kur, SWT kel, KWM ur, ANJ ne/yet.
- 10. Note that, in reconstructing Proto-Erromangan (Lynch 1983e, esp. pp.195-199), I was unable to find any examples of any of the prenasalised stops (\*b, \*d and \*g) occurring finally. It may well be that the distinction between voiced prenasalised and simple voiceless stops was neutralised in that position.
- 11. There is one other case of Sie final η corresponding to k in other SV languages: SIE paη, WSN, LEN, KWM nə/pək, ANJ in/pak banyan < POC \*mpaka. Unfortunately I have no other Erromangan data on this form. The interpretation being made here would suggest a PSV reconstruction \*(pb)aga.</p>
- 12. This topic is more fully discussed in Lynch 1982b:116-118; cf. also Lynch 1982c.
- 13. The correspondence NTN, WSN, ANJ t, LEN, KWM r, SWT l is well attested: e.g. POC \*tama father > NTN, WSN təm-, ANJ e/tma-, LEN rəm-, KWM remu-, SWT ləm-; \*mata eye > WSN nə/mt-, ANJ nesŋa-ne/mta-, LEN nə/mr-, SWT nəml-, etc.
- 14. At first glance, the forms URA il-, ANJ er- (along with Utaha el-) suggest a second PSV reconstruction for IIIns \*iL(V)-. Since, however, the focal for IIIns was reconstructed as \*iLi, it appears that this form is a 'short pronoun' and not a subject prefix.
- 15. See Lynch 1977b and data listed in Tryon 1976 which suggest that front vowel reflexes in both syllables of POC \*tolu are quite widespread.
- 16. A number of minor details, irrelevant to the present discussion, have necessarily been glossed over here. A fuller picture is presented in Lynch 1977b and in the published grammars of individual languages.
- 17. Note here the synchronic distribution of the non-subjective trial suffixes in South-west Tanna, discussed above in 3.1.2.
- 18. For example (using Lenakel as a representative Tanna language), POC \*kansupe rat > LEN kahau, ANJ in/ye $\theta$ o; \*masakit sick > LEN a/mha, ANJ e/m $\theta$ a; \*qanusi spit > LEN a $\eta$ h, ANJ a $\eta$  $\theta$ e/i; etc.
- 19. In the first person plural, the number marker | occurs twice: koplemlanhi
   (= /ko-p-l-am-l-anh-i/ Iins-conditional-p-PRES-p-IRR:see-III) we might see
   it.

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## THE SOUND SYSTEM OF PROTO-CENTRAL-PACIFIC

## Paul Geraghty

## 1.1 INTRODUCTION

The theory that the languages of Fiji, Rotuma, and Polynesia form a closed subgroup was first proposed by Grace (1959). He later (1967) named the subgroup "Central Pacific", and the name has become generally accepted.

Blust (1976), Pawley (1972, 1979), Geraghty and Pawley (1981), and Wilson (1982), among others, have assumed the Central Pacific (CP) hypothesis, and some Proto-Central-Pacific (PCP) lexical items have been reconstructed in Blust 1976 and Geraghty and Pawley 1981. However, as I have argued (Geraghty 1983:352-366), a compelling case for Central Pacific has yet to be made, all of the innovations claimed by Grace (1959) and Pawley (1972) to characterise PCP being either shared only by Polynesia and parts of eastern Fiji, or invalid in some other way. It is not my intention here to discuss further the validity of the CP subgroup, but to provide a firm basis for further discussion by attempting to reconstruct the sound system of PCP, and outlining its development in the daughter languages. No internal subgrouping is as yet assumed, so forms witnessed in two of the three major witnesses, or in any of these plus an external witness, are reconstructed.<sup>2</sup> This reconstruction is largely based on proposals made in Geraghty 1983 with respect to Proto-Eastern-Oceanic, with one additional phoneme, some phonetic and orthographic modifications, and considerable additional data, especially from Rotuman.

## 1.2 ORTHOGRAPHY AND SOURCES

Unless otherwise indicated, phonetic values in all data and reconstructions in this paper, regardless of source, are as follows: a,e,f,h,i,k,l,m,n,ñ,o,p,r,s,t,u,w,y,z,² as written; b[mb],c[ð], d[nd], dr[ndr], g[ŋ], j[t]], q[ŋg], v[v] or [β], x[x]; vowel length is indicated by a macron.

All glosses are written according to the conventions described in Geraghty 1983:8-13.

In choosing symbols for reconstructed PCP phonemes, I have been guided by two major considerations: phonetic suitability (but with preference for single letters of the Roman alphabet over digraphs and exotic phonetic symbols), and orthographic usage in daughter languages.

Fijian data are from my fieldnotes, and written in the orthography described in Geraghty 1983:4-8. Proto-Fijian reconstructions are likewise my own. 3 Note

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that one of the major differences between Proto-Fijian and Standard Fijian ('Bauan') is that Standard Fijian (SF) has undergone Eastern Fijian Apical Prenasalisation (Geraghty 1983:74-96), resulting in SF d, dr, and s from PFJ \*t, \*r, and \*c, respectively, in initial position in many common nouns. \*

Proto-Polynesian (PPN) data are mostly from Biggs 1978, 1979, Ranby 1980, and Geraghty 1983.

Occasional reference is made to the following external witnesses: Proto-Southeast-Solomons (PSS) (Levy n.d.), Proto-Micronesian (PMC) (Bender et al n.d.), and Proto-North-Central-Vanuatu (PNCV) (based on data in Guy 1978, Clark 1985, and Walsh 1984).

Rotuman data are from Churchward 1940, with some additional definitions from informants. Diacritics for umlaut, which is predictable in all citation forms, are omitted. Unless otherwise stated, Rotuman forms cited are "directly inherited" (Biggs 1965), that is, not Polynesian loans. (PN?) after a form means that, on purely phonological grounds, it may be a Polynesian loan.

## 2.1 THE SOUND SYSTEM

The sound system proposed is shown in Table 1.5

	alveolar						labio-	
	bilabial	dental	liquids	fricatives	palatal	velar	velar	glottal
fricatives	V			с	z	×		
stops	р	t	r			k	kw	7
prenasalised obstruents	b	d	dr	s	j	q	qw	
nasals	m	n	1		ñ	g	gw	1
glides	W				У			

Table 1: The PCP sound system

Note that the table has been compressed somewhat, so the place and mode of articulation labels are not necessarily to be interpreted strictly. For example, it is not claimed that \*s was phonetically prenasalised, or that \*l was a nasal.

In the following sections, we will examine the system by place of articulation, discussing phonetic values and reflexes. Examples will, as far as data permit, illustrate reflexes of consonants in both initial and medial position, and before front, low, and back vowels.

## 2.2 BILABIALS

Table 2: The reflexes of the PCP bilabials

```
PCP v p b m w
PFJ v p b m w
PPN f p p m,0 w
ROT h,0/? p p m,0 v
```

## Examples: \*vitu seven: PFJ \*vitu, PPN \*fitu, ROT hifu \*vanua land: PFJ \*vanua, PPN \*fanua, ROT hanua \*vutu k tree, Barringtonia asiatica: PFJ \*vutu, PPN \*futu, ROT hufu \*avi fire: PFJ \*yavu burn, PPN \*afi, ROT rahi \*tuva k vine, Derris trifoliata: PFJ \*tuva, ROT fuha \*mava heavy: PPN \*mamafa, ROT maha \*tavu $set\ fire$ : PFJ \*tavu, PPN \*tafu, ROT fahu p-\*pisi-k squirt: PFJ \*pisi-k, PPN \*pisi-k, ROT pusi burst, splash \*pa trolling hook: PFJ \*pa, PPN \*pa \*popo (wood) rotten: PFJ \*popo, PPN \*popo, ROT popo (PN?) -p-\*ripi sharp edge: PFJ \*ripi shin, PPN \*lipi \*sape (foot) malformed: PFJ \*sape, PPN \*sape, ROT tape (for \*sape) kick w toe \*bebe butterfly, moth: PFJ \*bebe, PPN \*pepe, ROT pepe (PN?) \*ba wall, fence: PFJ \*ba, PPN \*pa, ROT pa (PN?) \*buto- navel: PFJ \*buto-, PPN \*pito, ROT pufa -b-\*kabe string: PFJ \*kabe string from coconut stem, ROT ?ape \*tuba k land crab, Cardisoma: PFJ \*tuba, PPN \*tupa, ROT fupa \*tubu grow: PFJ \*tubu, PPN \*tupu, ROT fupu \*miji suck: PFJ \*misi, PPN \*miti \*mata- eye, face: PFJ \*mata-, PPN \*mata, ROT mafa \*moze sleep: PFJ \*moze, PPN \*mohe, ROT mose

\*kumete bowl: PFJ \*kumete, PPN \*kumete, ROT ?umefe \*cama outrigger float: PFJ \*cama, PPN \*hama, ROT sama

\*ñamu mosquito: PFJ \*ñamu, PPN \*namu, ROT ramu

```
*wī k tree, Spondias dulcis: PFJ *wī, PPN *wī, ROT vī (PN?)
*weka bird, Rallus: PPN *weka, ROT ve?a
*waqa canoe: PFJ *waqa, PPN *waka, ROT vaka (PN?)

-w-
*kauki sand crab: PFJ *kauki, PPN *kawiki, ROT ?avi?i
*kawi fish-hook: ROT ?avi (POC *kawil)
```

\*tawa k tree, Pometia pinnata: PFJ \*tawa, PPN \*tawa, ROT fava

The reasons for reconstructing \*v rather than \*f are not strong, simply that \*v is a more common cognate in external witnesses (PSS, PNCV) than \*f (PMC). The distinction between PCP \*b and \*p is maintained only in PFJ, and only on evidence from parts of eastern Fiji, but is supported by evidence from the Solomons (Geraghty 1983:103-114).

Some instances of PCP \*v become ∅ or ? in Rotuman:

#### INITIAL

```
*vaka-V causative > a?a
*vu(cz)u box, punch > ?usu
*vusi tie in a bunch > usi
*vu?u- tree > u- prefix to some tree names
```

## MEDIAL

```
*V(cz)ivo down > sio

*tovu sugarcane > fo²u

*uvi blow > ui

*vavine woman > haina
```

It is probably significant that the most common environment is before a high back vowel, with two before a high front vowel, and only one each before o and a. Both cases of glottal stop are before u.

Perhaps related to this change is the sporadic loss of intervocalic \*m before  $\star u$ , which occurs in both PPN and Rotuman:

```
*kamu IIp > ROT ?au (Hale 1846:472 also recorded ?amu) *malumu soft > PPN *mal\overline{u} *N-mu IIl > PPN *-u, ROT -u
```

The same change occurs sporadically in Waidina, eastern Vitilevu (Geraghty 1983: 178-179).

## 2.3 DENTALS

\*ta(cz)i sea > sasi

\*tazi-ña his/her younger sibling > sasiga

Table 3: The reflexes of the PCP dentals

PCP	t	d	n
PFJ	t	d	n
PPN	t	t	n
ROT	f/j/s	t/j	n

```
Examples:
*tina?e intestines: PPN *tina?e, ROT finae
*taliga- ear: PFJ *taliga-, PPN *taliga, ROT faliga
*tuna Anguillidae, freshwater eel: PFJ *tuna, PPN *tuna, ROT funa
*?oti finished: PFJ *oti, PPN *?oti, ROT ofi
*mata?u right-hand: PFJ *matau, PPN *mata?u, ROT mafau
*?atu line, row: PFJ *yatu, PPN *?atu, ROT afu
*degu nod: PFJ *deguvacu raise eyebrows in assent (vacu eyebrow), ROT tegi
*dagwa loose, slack: PFJ *dagwa, PPN *tagataga
*dañudañu fallow: PFJ *da(nñ)uda(nñ)u, ROT taitai (POC,PPN *talu)
*dui different: PFJ *duidui, ROT tu
-d-
*vidi spring: PFJ *vidi, PPN *fiti, ROT hiti start w surprise
*voda rocks in sea: PFJ *voda, PPN *fota
*mudu cut off, sever: PFJ *mudu, PPN *mutu, ROT mutu (PN?)
*niu coconut: PFJ *niu, PPN *niu, ROT niu (PN?)
*na(czs) u roast, bake: ROT nasu
*natu mash, knead: PFJ *natu, PPN *natu
*novo sit, stay: PFJ *novo lie still, PPN *nofo, ROT noho
-n-
*kini pinch: PFJ *kini, PPN *kini, ROT ?ini
*kanace k fish, Mugil, mullet: PFJ *kanace, PPN *kanahe, ROT ?anasi (for *?anase)
*tunu cook: PFJ *tunu reheat (food), PPN *tunu cook on open fire, ROT funu cook
by boiling
```

The j and s reflexes in Rotuman are somewhat problematic. It appears that \*t, before shifting to f (via an intermediate stage  $[\theta]$ , recorded by Hale (1846) and Turner (1884)), assimilated to a following j or s (from \*c, \*s, or \*z):

\*tali(cz)e k tree, Terminalia catappa > salisa k edible almond-shaped fruit \*taji shave > jaji

There are no counter-examples in my data. The same rule applies to \*d in the one eligible form:

\*du(cj)(iu) point > juju

and to \*s before \*i:

\*sije k fish, Hemirhamphus, garfish > jija

There are, however, two further cases of \*d becoming Rotuman j where assimilation does not appear to be involved:

\*dulT k bird, plover > juli
\*donu right, correct > nojo (metathesis)

Two hypotheses suggest themselves. That j is the regular reflex of \*d before \*u is, however, contradicted by  $t\bar{u}$  different (< \*dui) and tutu?u k fish, small, black (< \*duku k fish, Abudefduf sp). It is more likely that j reflects \*d before l or n. Although not a particularly plausible environment, the only apparent counterexample, tulou millipede (< \*dolou earthworm) may have been ineligible for the change because of stress placement, or may be a loan from an unknown source. The problem, of course, requires more data.

## 2.4 LIOUIDS

Table 4: The reflexes of the PCP liquids

PCP	r	dr	1
PFJ	r	dr	. 1
PPN	r,l	r,l	1
ROT	r/Ø	t	1

## Examples:

r-

\*riki small: PFJ \*riki, PPN \*riki, ROT riri?i (plural) \*rano lake: PFJ \*(rdr)ano, PPN \*rano +swamp, ROT rano swamp \*rua two: PFJ \*rua, PPN \*rua, ROT rua

-r-

\*iri fan: PFJ \*iri, PPN \*iri, ROT iri (PN?)
\*viri plait: PFJ \*viri lash (fence, raft+), PPN \*firi, ROT hiri
\*mara fermented food: PFJ \*mara stench, PPN \*mara, ROT mara (Hale 1846) (PN?)
\*curu enter, go through: PFJ \*curu, PPN \*huru, ROT suru

```
dr-
*driudriu k small ant: PFJ *driudriu, ROT tuitui (metathesis)
*dram(iu) chew: PFJ *dram(iu) lick, PPN *lam(iu), ROT tami
*dranu bathe in fresh water: *dranu, PPN *ranu
*drumani k edible sea-anemone: PFJ *dr(ou)mani, PPN *rumane, ROT nunami (meta-
 thesis and assimilation)
-dr-
*vadra Pandanus: PFJ *vadra, PPN *fara, ROT hata
*madra cooked, fermented: PFJ *madra, PPN *mara
*tadruku Chiton: PFJ *tadruku (PSS *tadux(iu))
1 -
*lima five: PFJ *lima, PPN *lima, ROT lima
*lago k insect, fly: PFJ *lago, PPN *lago, ROT laga
*lua vomit: PFJ *lu(ae), PPN *lua, ROT lua spit
-1-
*taliga- ear: PFJ *taliga-, PPN *taliga, ROT faliga
*zala path, road: PFJ *zala, PPN *hala, ROT sala
*walu eight: PFJ *walu, PPN *walu, ROT valu
PCP *r apparently becomes Rotuman Ø between high vowels, though there is some
contradictory evidence:
*buru present\ food\colon 	exttt{PFJ}\ 	exttt{*buru(a), PPN *pulu(a), ROT } 	exttt{p}\overline{	exttt{u}}
*puru- abdomen, thorax: PFJ *poro-, ROT p\overline{u} (PMC *pur(iu)a aesophagus, gullet,
*riri shed, hut: PFJ *riri, ROT rT house
*tiri: PPN *ti(rl)i (woman) fertile, ROT fT (woman) prolific
*turu- knee: PFJ *turu-, PPN *turu, ROT fu
*xuru rumble: PFJ *kuru, PPN *?ulu, ROT ?ū bang
The contradictory data are:
*curu enter, go through: PFJ *curu, PPN *huru, ROT suru
```

\*viri plait: PFJ \*viri lash (fence, raft+), PPN \*firi, ROT hiri \*vuvuru catch (fish, animal) w hand: PFJ \*buburu, 9 ROT huhuru

There is no evidence that PCP \*r and \*dr remained distinct in PPN; \*dr, like \*d and \*q, merged with its non-prenasalised counterpart. The resultant \*r merged partially with \*l, under conditions yet to be determined. The merger was completed in Proto-Nuclear-Polynesian, but not in Proto-Tongic, where \*r became  $\emptyset$ . Data available offer some suggestions as to conditions for the merger, but as yet no clear pattern can be discerned.

```
Examples of PCP *r and *dr > PPN *l:
r-
*riri boil: PFJ *riri, PPN *lili
*rau- leaf: PFJ *rau-, PPN *lau, ROT rau
*rogo quiet, silent: PFJ *rorogo, PPN *logo
```

-r-

\*marari k fish, wrasse: PPN \*malali, ROT marari (PN?) (PMC \*merari) \*gara scream, howl: PFJ \*gara, PPN \*gala \*turu drip: PFJ \*turu, PPN \*tulu

dr-

\*dreu ripe: PFJ \*dreu, PPN \*leu, ROT toutou

\*dranu fresh water: PFJ \*dranu, PPN \*lanu, ROT tanu water

\*druma shy: PFJ \*druma, PPN \*luma

-dr-

\*modri smooth, hairless: PFJ \*modri, PPN \*molemole
\*(cz)(eo)dra asthma: PFJ \*(cz)odra, PPN \*sela

## 2.5 ALVEOLAR FRICATIVES

Table 5: The reflexes of the PCP alveolar fricatives

С	s
С	S
h,s	S
s	s/j
	c h,s

## Examples:

c-

\*cina illuminate, fish by torchlight: PFJ \*cina, PPN \*hina, ROT sina \*cakau coral reef: PFJ \*cakau, PPN \*hakau, ROT sa?au rocks and coral on sea bottom

\*cucu- breast: PFJ \*cucu-, PPN \*huhu, ROT susu

-c-

\*kanace k fish, Mugil, mullet: PFJ \*kanace, PPN \*kanahe, ROT  $^{9}$ anasi

\*vuca rotten: PFJ \*vuca, ROT husa pus

\*cucu- breast: PFJ \*cucu-, PPN \*huhu, ROT susu

\*vacu- eyebrow: PFJ \*vacu-, ROT hasu

s -

\*sikwa net-needle: PFJ \*sikwa, PPN \*sika, ROT si?a

\*saga: PFJ \*saga attempt, work on, PPN \*saga work, make, do, ROT saga act quickly (PN?)

\*sua scull: PFJ \*sua, PPN \*sua, ROT sua (PN?)

-s-

\*asi k tree, Santalum, sandalwood: PFJ \*yasi, PPN \*asi

\*wasa open sea: PFJ \*wasa, PPN \*wasa, ROT vasa far out at sea

\*lasu: PFJ \*lasu false, tell lie, PPN \*lasu trick, deceive

PCP \*s, like \*t and \*d, assimilates to a following \*j in Rotuman:

\*sije k fish, Hemirhamphus, garfish > jija

As with PCP \*r > PPN \*r,1, there is no obvious conditioning for PCP \*c > PPN \*h,s - the partial merger of PCP \*c with \*s in PPN. PFJ \*c is taken as a true witness to PCP \*c because of its close correlation with cognates in the Southeast Solomons (see Geraghty 1983:130-148).

Examples of PCP \*c > PPN \*s:

c-

---

Note that PCP \*c is considered to be the non-prenasalised member of the \*c-s pair. The reasons are that \*c occurs as the final consonant in PCP bases while \*s, like the phonetically prenasalised obstruents, does not; and that when East Fijian Apical Prenasalisation occurred, \*c became s under exactly the same conditions that \*t and \*r became phonetically prenasalised (Geraghty 1983:90-95). This view was in fact held, for Fijian, by Dempwolff (1934-1938:II:138), but later reversed, apparently by Elbert (1953), followed by Biggs (1965:385) and Pawley (1972:27), presumably for phonetic reasons, the voiced member taken to be more likely to reflect a prenasalised obstruent. The old position here reaffirmed has more recently been argued for by Milke (1961), Hockett (1976:191-192), and Haudricourt and Ozanne-Rivierre (1982:31).

#### 2.6.1 Palatals

Table 6: The reflexes of the PCP palatals

PCP	z	j	ñ	У
PFJ	z	S	ñ/n	С
PPN	h,s	t,s	n	Ø
ROT	S	j	Ø/r,g,n	Ø/r

Only in PFJ is \*z distinguished from \*c, since both yield \*h,s in PPN and s in Rotuman. The evidence for PFJ \*z was first presented in Geraghty 1983:125,126, 153-155, where it was tentatively labelled \*C. In most Fijian communalects it is regularly realised as c, which is also the reflex of PCP \*c. Where \*z differs from \*c is that in four communalects, two belonging to the Western subgroup, two to the Eastern, it is realised as s (or  $y/\emptyset$ ), not c. The two Western communalects, Nalea and Tubai, are historically closely related, but now separated.

<sup>\*</sup>cici k edible mollusc, inc. Neritidae; PFJ \*cici, PPN \*sisi, ROT sisi

<sup>\*</sup>cakule search for lice: PFJ \*cakule, PPN \*sakule, ROT sa?ule

<sup>\*</sup>cunu: PFJ \*cunu steam (st), PPN \*sunu singe, ROT sunu hot

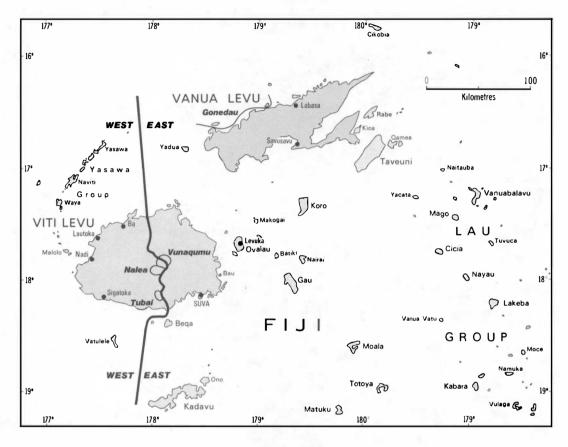
<sup>\*</sup>cici scoop out, gouge out, peel: PFJ \*cici, PPN \*sisi, ROT sisi peel, strip off (skin)

<sup>\*</sup>macaki illness, disease: PFJ \*macake k disease, thrush, PPN \*masaki, ROT masa?i

Tubai is not a totally reliable witness, containing many loans from both Eastern and Western communalects acquired during the prehistoric wanderings of its speakers. One of the Eastern communalects, Vunaqumu, is contiguous to Nalea, but is considered an independent witness because it belongs to a different first-order subgroup of Fijian. Data from Vunaqumu are, however, sketchy, because the last speaker died about 50 years ago, and the data have been culled from the memories of old people who heard it in their youth. The fourth witness is Gonedau, spoken on the islands of Yaqaga, Galoa, Tavea, and Macuataiwai, off the northern coast of Vanualevu. Map 1 shows the locations of these witnesses to PCP \*z, and the evidence is presented in Table 7. Reconstructions based only on y in Vunaqumu or Tubai and c elsewhere are not very secure, since y is also a fairly common sporadic reflex of PFJ \*c (Geraghty 1983:126-128). Since PCP \*z becomes either c or s, but can hardly have been either, [z] seems to be a reasonable quess at its phonetic nature.

There are five instances of PCP \*z becoming PPN \*s, rather than the usual \*h: PPN \*tagi-s cry, \*fusi  $irrigated\ taro\ bed$ , \*kese (doublet \*kehe) different, \*masa (doublet \*maha) dry, and \*sole (for \*sola)  $carry\ on\ shoulder$ . It is not possible to tell whether or not the PPN \*s reflex of \*z occurs under similar conditions to the \*s reflex of \*c.

PCP \*j is the reflex of PEO \*j as proposed in Geraghty 1983:149-153 on the basis of the correspondence: Fiji s, PPN \*t or \*s, Rotuman \*j, PSS \*d. 10 It approximates to the POC \*nj proposed by Milke (1968), and the PCP \*c of Blust (1976). Only in Rotuman is it retained as a distinct phoneme. In PSS it merges with the reflexes of \*d and \*dr, in PFJ with \*s, and in PPN with \*s and \*t or \*d. Given this pattern of mergers, it seems likely that \*j was the 'prenasalised' counterpart of \*z. PCP \*j was probably [t∫] or [ts], like its only unique reflex, Rotuman j (Churchward 1940:13,83). The evidence for PCP \*j is presented in Table 8. A number of items included in Geraghty 1983 only on the strength of external evidence, usually PSS \*d, are omitted here. As with PCP \*r, \*c, and \*z, the PPN split reflex is problematic. There is no obvious conditioning, only a tendency to \*t before back vowels and \*s before front vowels.



Map 1: Fiji

showing the East-West language division, and the approximate location of the communalects crucial to the reconstruction of PFJ \*z

Table 7: Evidence for PFJ \*z

Forms that are only reconstructable to Proto-Western-Fijian or Proto-Eastern-Fijian are marked (W) and (E), respectively. Forms in brackets are presumed to be borrowed. A dash means the form with that meaning is not cognate.

			other			other
PFJ	Nalea	Tubai	Western	Vunaqumu	Gonedau	Eastern
INITIAL						
zava what	sava	yava	cava	yava	sava	cava
zei who	sei	(cei)	cei	yei	sei	cei
ziqi divide (food) w	siqi	siqi	ciqi	_		-
fingers (W)		•	·			
zālevu path, road¹	sālevu .	yālevu	cālevu	sālemu	sālevu	salevu
zola live (W)	solo	-	col (ao)	-	-	4
zavu pronounce, men-	savu	yavu	cavu	savu	(cavu)	cavu
tion		•				
zō call (W)	sō	-	cō	-	-	-
zola carry on soulder	-	soya	-	sola	-	cola
zai copulate (E)	-	-	-	yai	-	cai
zaka do, make (E)	-	-	-	yaka	-	caka
MEDIAL						
moze sleep	mose	mose	moce		mose	moce
		tai-	taci-	tai-	tasi~	tac i -
tazi- younger same-sex	Lası-	tai-	tacı-	tai-	(asi	tac i -
sibling	visa	(vica)	vica	visa	visa	vica
viza how many		: :				
yaza- name buzobuzo white	yasa	(yaca)	yaca	ya-	yasa	yaca- -buco
	busobuso	buyobuyo	bucobuco	buyobuyo	1	
la(zy)a sail <sup>3</sup>		laya	la(cy)a		lasa (====)	laca
maza empty of liquid, (tide) low	masa	masa	maca	masa	(maca)	maca
m $\overline{a}$ maza $dry$	māmasa	masamasa	macamaca		(m <del>a</del> maca)	māmaca
naiza <i>when</i>	-	-	-	-	nesa	naica
uza <i>when</i>	-	-	-	-	usa	uca
Vkeze only, alone	-	-	-	-	kese	kece
kuza <i>how</i>	-	-	ku(cy)a	-	kuse-	kuca
vuzi irrigated taro bed	vusi	(vuci)	vuci	vusi	-	vuci
maziV again, adversa- tive	masi		maci	-	-	maci
Vwaza only, merely	-	wasa	-		-	waca
FINAL						
lua-z <i>vomit - on</i>	lua-s	lua-Ø	lua-c		lua-s	lua-c
mT-z urinate - on	mī-s	mT-Ø	mT-c		mimi-s	mī-c
veka-z defecate - on	veka-s	veka-Ø	veke-c		veka-s	veka-c
tagi-z $cry$ - $for$	tagi-s	tagi-Ø	tagi-c		tagi-s	tagi-c
wavu-z <i>run - for</i>	wavu-s	-	wavu-c		ovu-s	-
liga-z see	liga-s	-	liga-c		-	liga-c
b(ou)i-z <i>smell</i>	bui-s	bui-Ø	bui-c		-	boi-c

#### NOTES

- 1. From \*zala + \*levu big (Vunaqumu lemu big).
- 2. s from earlier c by East Fijian Apical Prenasalisation (Geraghty 1983:90-95).
- Other than Gonedau, all evidence points to \*laya, so the Gonedau form is probably irregular.

Table 8: Evidence for PCP \*j

PCP	PFJ	PPN	ROT
INITIAL			
jamu(?)a (palm) fruit stem	sāmoa	taume spathe (met.)	jamu?a
jamu scraps of food	sabusabu	samu	jamujamu
jao spear	sā	tao	jao
jara slip, slide	sara	tala put on (clothes)	jara
jau strike, beat	sau +tattoo	tatau tattoo	jau
je(?)(ei) k insect	_	se(?)e locust	jei <i>cricket</i>
jei tear, rip	sei	(sae)	jei
jevu splash water	sevu		jehu <i>drizzle</i>
je(?)a k bird, Lalage sp	sea	(hs)e(?)a	iea
jexejexe k fish, Arothron		te <sup>?</sup> ete <sup>?</sup> e	-
spp.	Jekeseke		
jī k plant, Dracaena		tΤ	jΤ
jiko- kingfisher	sikorere Artamus, woodswallow	tikotara	-
jila look sideways,	-	sila	jila
squint			- 11
jila (canoe) sheet	sila	tila	-
jiji slip	sisi	2	jiji creep, crawl
joli pick, gather	-	toli	joli
jona yaws, (octopus)	sona	tona	jona
sucker	202	20.1.0	,
jopu nod	sopu	_	jopu
jou (sea) rough	sou splash	sou	jou <i>ripple</i>
jo(bp) u <i>dive</i>	-	sopu	jopu
jove k shellfish	sove barmacle	tofe	Jopa
	sove barrasso	10.0	
MEDIAL			
baja <i>close together</i>	basa meet,opposite	-	paja
duji <i>point</i>	du(cs)i	tus ( i u)	jն ju
guju- <i>mouth</i>	gusu-	gutu	nuju
gwajala <i>k fish</i> ,	kasala (*gwasala)	gatala	vajala
Epinephelus			
kaja- (kava) stem	kasa-	kata	-
ikajo,kiajo <i>outrigger</i> <i>boom</i>	ikaso	kiato	-
keju- back of head	kesu-	-	<sup>?</sup> eju
laja <i>tame</i>	lasa	lata	
laje <i>coral</i>	lase	lase	laje
majaga- (road+) fork	basaga-	māsaga <i>twin</i>	majaga
maja(?) u clever, expert	-	mata(?)u	majau
miji suck	misi	miti <i>+lick</i>	-
muju cut off	musu	mutu	-
sije Hemirhamphus, gar- fish	sise	(ise)	jija
taji shave	tasi	tasi	jaji
uja transport, carry	usa	uta	
<pre>(cargo) xujim(ai),xumij(ai) crave fish or seafood</pre>	kusima	²umiti	_

## 2.6.2 The source of PCP \*z and \*j

It was suggested in Geraghty 1983:154-155 that PCP \*z may reflect PAN (Proto-Austronesian) \*j, notwithstanding certain irregularities, there being some support in the fact that POC (Proto-Oceanic) did distinguish \*j (Blust 1978). No PAN source was suggested for PCP \*j. With the increase in data, we are now in a better position to look into the PAN source of both PCP \*z and \*j, along with the other PCP phonemes that derive from the PAN palatal obstruents.

The following list shows the PAN sources for all PCP items with unequivocal \*c, \*s, \*z, and \*j. I do not distinguish here between PAN and PMP (Proto-Malayo-Polynesian), and some final consonants have been omitted or simplified.

#### PCP \*c

aca rub, grate < \*Sasaq sharpen (blade) cabo hold in hand < \*sagpe caga span < \*zaŋan (or \*saŋa bifurcation)</pre> cake climb < \*sakay cala wrong, err < \*salaq cama outrigger float < \*(cs)a(R)man cavu-t pull up, uproot, pull out < \*cabut</pre> i/cawa/i parent-in-law < \*qa(cs)awa spouse  $ca^{a-t} bad < *zagat$ cici k edible mollusc, inc. Neritidae < \*sisi ci(kq)o-v catch w hands < \*cikep cila (sun+)shine < \*silak,cilak cina torch < \*sinaR ray of light ciwa nine < \*siwa i/coka house-beam < \*se(n)kan crossbar cucu- breast < \*susu cula sew, pierce < \*sulam prick, pierce culi- (taro, banana) sucker < \*suliq (g) icu nose < \*ijun (or \*nusu upper lip) kanace *k fish*, *Mugil*, *mullet* < \*kanasay  $mac(eo) ru \ hiccough < *se(dD) u$ toci cut (leaf) into strips < \*testes tear up v(iu)cov(iu)co navel, umbilical cord < \*pusej voce paddle < \*be(R)(cs)ay

## PCP \*s

(vb)oso squeeze in hand < \*becel lasu tell lie, deceive < \*la(n)cu los(ei) squeeze, wring out < \*lecit squeeze out, squirt out pisi-k squirt < \*picik splash, spray, sprinkle saba-k slap < \*ca(m)paksaga-t oppose, crash into < \*ca(n)kag contradict, oppose saqu-m snatch < \*ca(n)kem grasp</pre> si(bp)a cut into strips < \*si(η)pak split somo mud < \*cemeD impure sova pour, dump ? \*sebar scatter about sulu put on clothes < \*(cs)ulu vuso foam < \*buseq

## PCP \*z

(?) aza- name < \*ajan maza dru (tide)low < \*maia moze sleep ? < \*pelem close eyes, sleep  $(n\tilde{n})a(?)$  iza when < \*qizan tagi-z cry - for < \*tagis tazi- younger same-sex sibling < \*tV-Sua(n) ji viza how much < \*pija z(ae)i who < \*(cs)aizala path, road < \*Zalan zava what < \*apa, \*sapaza?i-t copulate ? \* \* zagit sew, join ?uza rain < \*qu(n)ZaN PCP \*i baja close together < \*banzar row duji point < \*tunzuk

guju- mouth < \*nusu upper lip (or \*ijun nose) laja tame < \*Najam majaga- (road+) fork < \*sana taji shave ?< \*ta(zZ)im sharpen uja transport, carry (cargo) < \*(RI) ujan (cf. Proto-Philippines \*lújan ride,

The evidence now accumulated requires a revision of my tentative proposal of 1983, to include PAN \*z and \*Z with \*j, and to include in their reflexes PCP

\*j as well as \*z. The hypothesis now proposed is, therefore, that PAN \*s and \*c became PCP \*c/s, and that PAN \*j, \*z, and \*Z became PCP \*z/j. Of the 55 examples above, only eight are in any way contradictory. If the above hypothesis holds, and if Blust's (1978) claim that POC dis-

tinguished \*j from the other palatal obstruents is true, then POC must have distinguished three palatal obstruents, the only mergers being of \*c and \*s, and \*z and \*Z. Moreover, given the high correlation between PAN \*s and PCP \*c, and PAN \*c and PCP \*s, there may yet be a strong case for the retention of the PAN \*c/s distinction in POC.

## 2.6.3 PCP \*ñ

load (Zorc 1985))

PCP  $*\tilde{n}$  is reconstructed as distinct from \*n because of its reflexes in Rotuman  $(\emptyset/r,q,n)$  rather than n) and Western Fijian (y/n) rather than n). The evidence for PCP \*n is shown in Table 9.

In Western Fijian, PCP \*ñ becomes n before u, y before a. In Rotuman, it becomes r initially, and Ø medially, usually fronting or raising the following vowel; the n and g reflexes appear to be sporadic. In Eastern Fijian and Polynesian, \*n merges with \*n as n.

Table 9: Evidence for PCP \*N

				The second secon
PCP	PWF	PEF	PPN	ROT
ñamu <i>mosquito</i>	yamu	namu	namu	ramu
N-ña IIIÌ	-ya	-na	-na	-na,-ga <sup>l</sup>
dañudañu $fallow^2$	danudanu	danudanu	-	taitai
mañawa <i>spirit</i>	- 1	-	manawa	maeva
meña (breadfruit) ripe <sup>3</sup>	meme	-	-	mea
moña- brain	moya	mona	-	
voñu turtle	-vonu <sup>4</sup>	vonu	fonu	hoi
voñu full		-	fonu	hoi
waña k sea-urchin	-	-	wana	vaevae

## NOTES

- -na is productive, but -ga is fossilised in: sasiga younger same-sex sibling (\*tazi-ña), ma<sup>?</sup>piga grandparent, grandchild (\*makubu-ña), uluga top, summit (\*<sup>?</sup>ulu-ña), laloga inside (\*lalo-ña).
- 2. Apparently distinct from POC \*talu fallow, reflected by PPN \*talu.
- 3. External witness: PSS \*me(nn) a ripe.
- 4. Reflected in tuvonu k turtle, Caretta caretta.

## 2.6.4 PCP \*y

PCP \*y is realised as PFJ \*c, PPN Ø, and Rotuman r-/-Ø-. The fact that initial \*y becomes r in Rotuman, and that intervocalic \*y affects the following vowel in exactly the same way as intervocalic \* $\tilde{n}$ , suggests that PCP \* $\tilde{n}$  and \*y merged as pre-Rotuman \*y. The evidence for PCP \*y is presented in Table 10.

Table 10: Evidence for PCP \*y

PCP	PFJ	PPN	ROT
yagi <i>wind</i>	cagi	agi (wind) blow	ragi breeze, breath
yago k plant, Zingiber sp.	cago	ago	raga
yava storm	cava	af <del>a</del>	-
yavo fishing-line	cavo	afo	-
kayu wood, tree	kacu	l <del>a</del> kau	<sup>9</sup> ai
laya <i>sail</i>	la(cz)a	1 <del>a</del>	lae
maya ashamed	-	ma	mae
<sup>?</sup> ayawa <i>k tree</i> , Ficus sp.	yacawa	<sup>?</sup> awawa	aeva

## 2.7 VELARS

Table 11: The reflexes of the PCP velars

PCP	×	k	kw	q	qw	g	gw
PFJ	k	k	kw	q	qw	g	gw
PPN	7	k	k	k	k	g	g
ROT	Ø/?	?	?	k	k	n/g	V

PCP \*x has not been reconstructed before. It is distinguished from \*k by the reflexes PPN \*? (or occasionally a ?/k doublet) rather than \*k (as reported in Geraghty 1983:160-161), and Rotuman  $\emptyset$  or ? rather than ?. It may perhaps turn out to be the result of an incomplete change, rather than an actual PCP phoneme; but it is convenient at this stage to catalogue it as \*x, and its inclusion lends symmetry to the system, since the velar series now parallels the labial. The evidence for PCP \*x is presented in Table 12. What little Rotuman evidence there is points to the reflex  $\emptyset$  before a and ? before u, with the reflex before o equivocal.

Table 12: Evidence for PCP \*x

PCP	PFJ	PPN	ROT
INITIAL			
xa(bp)a (house) wall	ka(bp)a	(?)apa(?)apa	-
xana-N past	kana-	<sup>?</sup> ana-	-
xanusi <i>spit</i>	kānus i	<sup>?</sup> anus i	anusi (PN?)
xata make mark, show clearly	kata	<sup>7</sup> ata shadow, reflection; (tattoo) bright (REN)	afa
x(a)ua don't,cease	kua	(k²) aua	<sup>7</sup> u <sup>7</sup> ua
xavelu, vaxelu wipe anus	kāve <sup>:</sup> lu	fa <sup>?</sup> elu	-
xoda eat raw (flesh)	koda	<sup>?</sup> ota	-
xola	kola split (fire- wood)	<sup>7</sup> ola <i>wedge</i>	olo chop,cu
xota dregs, refuse	kota	<sup>?</sup> ota	mofa <sup>1</sup>
xōtai fruit salad	kōtai	?ōtai	-
xū-t bite off	kū-t	?ū-t	-
xujim(ai),xumij(ai) crave fish or seafood	kusima	?umiti	5. 47
xuru <i>rumble</i>	kuru	²u²u lu	<sup>γ</sup> ū bang
MEDIAL			
axa- <i>jawbone</i>	yaka- mouth	a <sup>7</sup> a	-
boxoi k pudding	bokoi	po <sup>2</sup> oi	po <sup>9</sup> oi (PN?)
jexejexe k fish, Arothron	sekeseke	te <sup>9</sup> ete <sup>9</sup> e	-
maxavu Magellan's clouds	makavu	ma <sup>?</sup> afu	-
maxota k tree, Dysoxylum	makota	ma <sup>?</sup> ota	_
mexe dance	meke	me?e	-
noxa tie up, tether	noka	no?a	-
saxalo scrape (coconut)	i/sakalo <i>coconut</i> scraper	sa <sup>7</sup> alo,sākalo	
tanoxa, taxona k bowl	takona	tāno?a	~
vaxa-V often	vaka-	fa <sup>9</sup> a-	-
vaxo peg,nail	vako	fa <sup>7</sup> o	-
NOTE			
<ol> <li>Sporadic prothesis of m b prothesis of r and q before</li> </ol>			ly; the

prothesis of r and g before initial a will be discussed below.

PCP \*kw, \*qw, and \*gw are reconstructed as distinct from \*k, \*q, and \*g, respectively, because of their reflexes kw (or xw), qw, and gw in Western Fijian and the south-east Vitilevu area of Eastern Fijian (see Geraghty 1983:42-50). The labiovelars (\*kw, \*qw, \*gw) only occur before a and, far less frequently, e. PCP \*gw is the reflex of POC \*mw, and is distinguished also in Rotuman as v, rather than n/g from PCP \*g. PCP \*qw may be a conditioned reflex of PEO \*bw (in addition to PCP \*b (Geraghty 1983:120-124)), but the evidence is as yet only suggestive, and is not presented here. External cognates of PCP \*kw are the same as those of \*k. Some examples of PCP \*k, \*kw, \*q, \*qw, and \*g are given below, and the evidence for PCP \*gw is presented in Table 13.

```
k-
*kini pinch: PFJ *kini, PPN *kini, ROT ?ini
*kati bite: PFJ *kati, PPN *kati, ROT ?afi
*kuli- skin: PFJ *kuli-, PPN *kili, ROT ?uli
-k-
*kauki sand-crab: PFJ *kauki, PPN *kawiki, ROT ?avi?i
*coka husk (coconut): PFJ *coka, PPN *hoka, ROT so?a
*(cz)akule search for lice: PFJ *(cz)akule, PPN *sakule, ROT sa?ule
kw-
*kwai say, tell: PFJ *kwai, PPN *kai tell story, ROT ?ea (?< *kwai+a)
*sikwa net-needle: PFJ *sikwa, PPN *sika, ROT si?a
*bekwa fruit-bat: PFJ *bekwa, PPN *peka
q-
*qiriqiri gravel: PFJ *qereqere (for *qiriqiri), PPN *kilikili
*qau swim: PFJ *qau, PPN *kau, ROT kau wade
*qumuqumu k crab: PFJ *qumuqumu, ROT kumkumu
-q-
*leqileqi k tree, Xylocarpus: PFJ *leqileqi, PPN *lekileki, ROT lekileki (PN?)
*waqa canoe: PFJ *waqa, PPN *waka, ROT vaka (PN?)
*(y) ago learn: PPN *ako, ROT rako
aw-
*qwalae k bird, Porphyrio, swamphen: PFJ *qwala, PPN *kalae, ROT kalae
*qwele earth, dirt: PFJ *qwele, PPN *kele, ROT kele black, blackish
*nuqwa k tree, Decaspermum fruticosum: PFJ *n(iu)qwa, PPN *nukanuka
*gi(czs)a: ROT nisa mock, jeer (PNCV *gigica smile, grin)
*gara scream, cry loud: PFJ *gara, PPN *gala
*guju- mouth: PFJ *gusu-, PPN *gutu, ROT nuju
```

```
-g-
```

\*tagi cry: PFJ \*tagi, PPN \*tagi, ROT fagi

\*taliga- ear: PFJ \*taliga-, PPN \*taliga, ROT faliga

\*togo mangrove: PFJ \*togo, PPN \*togo, ROT fogo

Table 13: Evidence for PCP \*qw

PCP	PFJ	PPN	ROT
gwa?ane male gwa(cz)a(cz)i k fish,Parupuneus gwalu wave, surf gwajala k fish,Epinephelus gwata snake dagwa loose, slack regwa turmeric	-gwane g(w)a(cz)a(cz)i - kasala (*gwasala) gwata dagwa reregwa	-ga?ane - galu gatala gata tagataga rega	vavane husband vasasi valu vajala

## 2.8 GLOTTAL AND ZERO

Table 14: The reflexes of the PCP glottal and zero

PCP	7	Ø/#_a
PFJ	Ø/y(/#_a)	У
PPN	?	Ø
ROT	Ø/?	r,g

The above interpretation is innovative with respect to Rotuman. Biggs (1965: 408-409) claimed Rotuman simply lost PCP \*?, and made no reference to prothesis before \*a.

All instances of PCP initial  $\star a$  reflected in Rotuman show a prothetic r or, in two cases, g:

```
*agi give instructions, urge on > ragi
```

Forms showing initial a in Rotuman derive from PCP \*?a or \*xa:

<sup>\*</sup>aka k vine, Pueraria lobata > ga $^{9}$ a

<sup>\*</sup>atu large number > rafu (POC \*Ratu hundred)

<sup>\*</sup>ago learn > rako

<sup>\*</sup>au Il > gou/a

<sup>\*</sup>ava handle > hara (met.)

<sup>\*</sup>avi fire > rahi

<sup>\*</sup> ayawa k tree, Ficus > aeva

<sup>\*?</sup>ate liver > afe

<sup>\*?</sup>atu *line*, row > afu

<sup>\*?</sup> atule k fish, Selar crumenophthalmus > afule

 $<sup>*^{?}</sup>a(cz)o sun > asa$ 

<sup>\*</sup> anuve caterpillar > aniha

```
*xanusi spit > anusi
*xata make mark, show clearly > afa
```

The fate of PCP \*? in other environments is less clear. It is usually lost:

#### INITIAL

```
*?o(cz)o provisions for journey > oso
*?oti finished > ofi
*?ulu-ña its top, summit > uluga
*?unavi scale (fish) > unehi
*?uta inland > ufa
*?uza rain > usa
```

#### MEDIAL

```
*li?o voice > lio
*mata?u right-hand > mafau
*matu?a old > mafua
*ra?a branch, twig > rā
*ta?o cook > fao
*ta?u year > fau
*tina?e intestines > finae
*tu?a ridge, (leaf) midrib > fua
*tu?u stand > fū
*va?a stalk > hā
*veta?u k tree, Calophyllum inophyllum > hefau
```

In some cases, however, PCP \*? appears to be retained: 11

```
*?uvi yam > ?uhi

*?o- possessive (PPN *(?)o- (Wilson 1982:73)) > ?o-

*?i at, in, on > ?e

*su?i pour water on > su?i
```

In the light of changes posited earlier in this paper, there now appear certain parallel developments which are best explained by two important mergers in the early history of Rotuman.

- (1) PCP \*?, \*x, and some cases of \*v (probably via \*h) merged as pre-Rotuman \*?. This phoneme must have been present at the time of r/g prothesis. Subsequently, \*? was lost before a, but retained in some cases before other vowels, especially u.
- (2) PCP  $\star \tilde{n}$ ,  $\star y$ , and  $\star \emptyset / \#$ \_a merged as pre-Rotuman  $\star y$ , rarely  $\star g$ . Subsequently,  $\star y$  became r initially. Medial  $\star y$  changed following a to e and u to i, and then was lost.

## NOTES

- Hockett (1976) reconstructed a phonology and a large number of lexical items for "Proto Fiji Polynesian", the language ancestral to Fijian and Polynesian, but did not consider Rotuman.
- 2. Pawley has claimed (1979:13) that there is enough evidence to support a Rotuman-Fijian subgroup exclusive of Polynesian.
- 3. There are also problems relating to the reality of Proto-Fijian Geraghty and Pawley (1981) have suggested that some features now widespread in both major subgroups of Fijian, Eastern and Western, may be the result of diffusion after the break-up of Proto-Fijian.
- 4. Failure to see this development led Biggs (1965) to posit Rotuman f as the regular reflex of PCP \*nt in initial position. The examples cited were all in fact of PCP \*t.
- 5. A five-vowel system, with phonemic length, is also indicated by the evidence. Its development, though not entirely straightforward, will not be discussed in detail here. A major feature of Rotuman is the lowering of final \*o and \*e to a (noted in Pawley 1979), under conditions yet to be determined.
- 6. Vowel lengthening is a common sporadic development in Proto-Fijian. Parallel to this example are \*mīmī urinate (< \*mimi) and \*qoqo narrow (< \*qoqo). PFJ \*vao k tree, Ochrosia (< PEO \*vaRo) seems to result from avoidance of the vowel cluster \*ao (Geraghty and Pawley 1981), instead of the usual simplification. Especially common is the lengthening of pretonic \*a, as in PFJ \*kānusi spit, \*kāvelu wipe anus, \*q(w)ālotu egg, and \*mā(cz)awa space between.
- 7. Other examples of final long vowels shortened in PPN and Rotuman:
  - \*dulT plover: PPN \*tuli (but SAM tulT), ROT juli
  - \*taku- back: PPN \*tak(uu) tortoiseshell, ROT faqu
  - \*vetu?u star: ROT hefu
  - \*tulu k small land crab: PPN \*tulu
- 8. A similar assimilation has applied in nunami k edible sea-anemone (< \*drumani, with metathesis), and hahi?a Malay apple (< \*kavika); and optionally in the loanword saujia, jaujia soldier.</p>
- 9. PCP \*v is sporadically reflected as \*b in PFJ (or parts of Fiji), e.g.: ba taro stem (< \*va^a), bo squeeze (< \*vo), buka firewood (< \*vuka).
- 10. Probably also Levy's PSS \*j, which seems to me may turn out to be a conditioned reflex of \*d. Note also my suggestion (Geraghty 1983:193) that PCP \*j has a distinct cognate in PSS, because the Sandfly Passage dialect of Nggela, according to Fox (1955), often shows s for d, and the cases cited include cognates of PCP \*j, not of PCP \*d or \*dr.
- 11. These, however, may not be genuine retentions, but cases of intrusive glottal stop, as shown in these forms:
  - \*ifi k tree, Inocarpus fagiferus > ?ifi (PN)
  - \*jamua (palm) fruit stem > jamu?a
  - \*mea reddish > mea, mi?a (PN?)
  - \*tea white, pale > fea, fe?a
  - \*tulou word of apology > turo?u (PN)

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# THE CHRONOLOGY OF THREE SAMOAN SOUND CHANGES Even Hovdhaugen

## INTRODUCTION¹

In Buck 1930:4-5 we find the following observations on Samoan historical phonology:

Recent changes have taken place in the spoken language in the substitution of k for t and a loose mutual interchange between the sounds n and ng. The reintroduction of k in place of t is extremely interesting as it evidently indicates a Polynesian tendency not confined to one dialect. A similar change has already completely occurred in the Hawaiian dialect in which it passed through two distinct phases. Thus, in the widespread Polynesian word kumete (wooden bowl) the first phase was the dropping of the k so that the word became 'umete. In the second phase which occurred later, the t was changed to k and the word became 'umeke. Thus the lost k came back into the dialect but in no word did it reoccupy its original position. In the process of resurrection, the k displaced the t sound completely out of the dialect. In Samoa, the first phase of dropping the k had been completed before the Bible was printed in Samoan and kumete had become 'umete. The second phase of substituting the k for tis now taking place in everyday speech and a wooden bowl is now more referred to as 'umeke than as 'umete. The talking chiefs make the change in official speeches and the retention of the t sound is regarded by the public as pedantic. It seems probable that the Samoan t like the Hawaiian t is doomed to extinction.

The interchange between n and  $ng^2$  has become so common that I had to constantly consult Pratt to find which was the original sound used. Thus in spoken speech, it is more usual to hear paono instead of the correct paongo, and tafangi instead of the correct tafani.

Buck's treatment does not differ substantially from that of other scholars who have touched on these problems, except maybe that Buck's presentation is a bit more shaded and above all more precise concerning the chronology of the sound changes than other treatments of them are.

Paul Geraghty, Lois Carrington and S.A. Wurm, eds FOCAL II: papers from the Fourth International Conference on Austronesian Linguistics, 313-331. Pacific Linguistics, C-94, 1986.

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## 2. THE DEVELOPMENT PP3 \*k > 14 IN SAMOAN

There are strong reasons for assuming that this sound change took place before the first contacts between Samoans and Europeans. W. Anderson, the surgeon on *Resolution* on Cook's third voyage to the Pacific mentioned in his journal of July 1777 three Samoan words which he had picked up on Tonga and "which seem to differ much from those of the other islands" (Beaglehole 1967:958). One of these words is tamaë'ty a chief woman which is identical with Mod. Sam. tama'ita'i lady.

Since the etymology of tama'ita'i isn't clear, the evidence provided by this example may not appear conclusive, but that objection does not apply to the material provided by Captain Edwards and surgeon Hamilton on *Pandora* when they visited Samoa 14 years later (1791). There we find forms like Oattooah (Thomson 1915:50) = Mod. Sam. 'O Atua and Otootooillah/Otutuelah (Thomson 1915:55,130) = Mod. Sam. 'O Tutuila both containing the Samoan topic marker 'o < PP \*ko.

Especially important when evaluating the information provided by Anderson, Edwards and Hamilton is the fact that on both expeditions there were several people familiar with Polynesian languages and accordingly capable of obtaining trustworthy information from the native informants. That was not the case with many other visitors and the materials provided by them are of very variable quality.

First of all we have the reports from La Pérouse's tragic visit to Samoa in 1789. The main source for this expedition is Milet-Mureau 1797, III:227-238 and there we find the following Samoan place names mentioned: Opoun, Léoné, Fanfoué, Maouna, Oyolava, Pola, Calinassé, Shika, Ossamu and Overa. Nobody on La Pérouse's expedition was familiar with Polynesian languages and the general information about the Samoan language in the report as well as the qualifications of the "interpreter" do not support the reliability of the examples mentioned:

Nous n'avions d'abord reconnu aucune identité entre leur langage et celui des peuples des îles de la Société et des Amis, dont nous avions les vocabulaires; mais un plus mur examen nous apprit qu'ils parlaient un dialecte de la même langue. Un fait qui peut conduire à le prouver, et qui confirme l'opinion des Anglais sur l'origine de ces peuples, c'est qu'un jeune domestique manillois, né dans la province de Tagayan au Nord de Manille, entendait et nous expliquait la plus grande partie des mots des insulaires: on sait que le tagayan, le talgale, et généralement toutes les langues des Philippines, dérivent du malais et cette langue, plus répandue que ne le furent celles des Grecs et des Romains, est commune aux peuplades nombreuses qui habitent les îles de la mer du Sud.

(Milet-Mureau 1797, III:229-230)

The Samoan sources for the names given by La Pérouse are still a matter of pure guessing (Oyolava =  $^{1}$ 0 i  $\overline{0}$  lava *Just there* ?), cf. Krämer 1903:13 and Von Bülow 1900 for further discussion.

The next visitor to provide some linguistic material of interest is Otto von Kotzebue (1830). But his material is no less confusing than that of La Pérouse and the linguistic competence regarding Polynesian languages was also practically nonexistent on Kotzebue's expedition. He mentions that the Samoan word for pig is boaka (Kotzebue 1830:279), cf. Mod. Sam. pua'a, PP \*puaka, but as Krämer (1903:19) had already pointed out, Kotzebue probably had contact with some Tongan

speaking people in Samoa (cf. that the Tongan word for pig is puaka) since he refers to the name for a chief as eige which clearly is Tongan 'eiki and not Samoan ali'i. Let it also be added that Kotzebue generally has been considered by posterity to be a rather superficial and bad observer, cf. Krämer 1903:17 and the more or less justified attacks on him by the LMS missionaries (Williams 1837: 482 and especially Ellis 1831).

In Williams 1837:451 we find an interesting form pertaining to the problem we are investigating. A chief of Malova is reported to have made the following statement:

I am now a worshipper of Jehovah, my heart and thoughts are in love with the good word, and my sincere desire is that, speedily, it may spread through the land, and that not a Faka-Devolo, a devil's man, may remain.

But the expression Faka-Devolo (Mod. Sam. fa'atevolo) may be another example of Tongan influence, having come into use in Samoa through the teaching of the Wesleyan Tongan missionaries there, through Samoan visitors to Tonga having picked up the expression there or through Tongan visitors to Samoa. As we will see below there are other indications of such Tongan influence on Samoan.

The last example I have found of PP  $^*k$  apparently being retained as k in Samoan is in Walpole 1849, II:331 where he mentions that when approaching Upolu:

Our vessel was soon surrounded by canoes, laden with their various treasures, the natives shouting "Fackaton, fackaton" (barter, barter) ....

The word fackaton most likely represents Tongan fakatau, cf. Mod. Sam. fa'atau.

The only conclusion we can draw from these scattered examples is that they are of little if any importance for the chronology of the change \*k > " since they could all be Tongan loanwords. But they are interesting in so far as they indicate that a certain familiarity with the Tongan language existed in Samoa in the early 19th century and that the use of Tongan phrases and words was to some extent common and perhaps fashionable.

The connections with Tonga lead us to another interesting set of data, namely the two loanwords 'oti goat (< Eng., cf. Tong. kosi < \*koti<sup>6</sup>) and tapa'a tobacco (< Eng., cf. Tong. tapaka). These words cannot be older in Samoan than the knowledge of the objects they designate and as far as goats are concerned they were first known in this part of the Pacific when Captain Cook introduced goats to Tonga on his third voyage (cf. Beaglehole 1967:134,155), on exactly the same voyage when Anderson noted examples indicating that the sound change \*k > 'already had taken place in Samoan.

The explanation must be sought in the fact that there were at that time extensive contacts between Samoans and Tongans. The examples referred to earlier in this paper indicate a certain knowledge of Tongan in Samoa and the use of Tongan in idioms, slang or other contexts. Further, the earliest reports from the LMS and especially Wesleyan missionaries in Samoa are full of references to Samoans having visited Tonga, Tongans staying in Samoa and the ease with which the Wesleyan missionaries from Tonga conversed with Samoans compared to the problems their Tahitian and Rarotongan colleagues in the LMS had in being understood.

Another important source is William Mariner's account of his stay in Tonga 1806-1810. He has several references to the high status of Samoan in Tonga and the popularity of Samoan songs there (Martin 1817, I:165-166,375, II:228-229,323,

337-339). The specimens he quotes of such songs are unfortunately of little value since the texts are too distorted to be intelligible for the simple reason that Mariner did not understand Samoan as he frequently pointed out and "very few of the Tonga people understand the Hamoa language" (Martin 1817, II; 323). Nevertheless, Mariner tells us explicitly that he has got his information about Samoa from Samoans in Tonga (e.g. Martin 1817, I:1375) and that must imply that those Samoans spoke Tongan to him.

The linguistic contact between Tongans and Samoans must have made both parties aware of some of the basic and quite regular sound correspondences between the two languages. Accordingly, knowing that Tongan k regularly corresponded to Samoan '7 it is likely that Samoans substituted a ' for a k in borrowings. But it is also likely that this substitution implies that Samoan at that time did not have any phoneme /k/ and not even the sound [k].

There are also a few other interesting words which may be loanwords of the same category and period as 'oti and tapa'a:

u'amea iron, metal, cf. Tongan ukamea/'ukamea<sup>8</sup> and Fiji kaukamea. 'ulo pot, cf. Tongan kulo and Fijian kuro.

None of these words have Polynesian cognates outside the Tongan-Fijian-Samoan triangle (Niue ulo pot is a late borrowing from Samoan introduced by the missionaries) and already Pratt 1862:95 (cf. also Pratt 1977:67) considered 'ulo to be a recently introduced word in Samoan. Neither iron/metal nor pots were elements of traditional Samoan culture and we do not expect to find indigenous terms for them.

It is also interesting to observe that the word for cooking in pots is saka in Samoan, a word generally acknowledged to be borrowed from Fijian, cf. Fijian saqa. 10 And this is one of the very few Samoan words where k is obligatory even in Lit. Sam., cf. below.

While 1777 then seems to be the terminus ante quem for the development \*k > ', the question concerning a possible terminus post quem is much more difficult and for the moment probably impossible to answer. We do not have any written sources before 1777 which give us any data concerning Samoan and the use of oral sources and traditional material for diachronic linguistics is difficult and has obvious limitations. Let me take but one example which as far as I know is also the most relevant one for the problem we are discussing. If the Rarotongan hero Karika came from Samoa (cf. Gill 1876:25), if he is identical with the chief 'Ali'a of Manu'a, if we trust the Rarotongan genealogies (cf. Browne 1897:10) and assume that he lived in the 15th century, then it is reasonable to assume that Samoan still had the original k retained at that time since 'Ali'a most probably would have been rendered as \*'Ari'a in Rarotongan. But there are a few ifs too many in this argumentation to base any conclusions on it.

As a last resort we may try to look for comparative evidence for the chronology, but the results are meagre. Among Western Polynesian languages only Samoan and Luangiua have the sound change \*k > 1 and in these two languages the changes are completely parallel, cf. below concerning other cases of parallelism between the two languages. Similar sound changes in Eastern Polynesian seem to have no connection with the Samoan one: in Tahitian we have a general changing of velars (i.e. g and k) into  $^{\prime}$  and in Hawaiian the change  $^{\star}k$  >  $^{\prime}$  is still not completed and there are several lexemes where the k is retained as an optional variant, cf. Elbert 1982:503-504. The intricate dialectal division and intermingling in Marquesan (cf. Elbert 1982), which by Polynesian standards is unique, has only been superficially described, but according to the information available it seems

that \*k > ' is restricted to some dialects, \*g > ' is only sporadic and restricted to some lexemes, while one of the most characteristic features of Marquesan is \*r and \*l > ', a development not attested elsewhere in Polynesia.

## 3. THE VELARISATION OF /n/ AND /t/ IN SAMOAN

From a phonological point of view we find two distinct sociolects in Samoan today 11 characterised by the following two different sets of nasal and plosive phonemes:

Lite	rary	Samoan	Colloquial	Samoan
Р	t	k	p k	
m	n	g	m g	

One can formalise the correspondences between the two systems in the following rather simple rule, assuming that the system of Lit. Sam. is the older one:

But the development /t/ > /k/ and the development /n/ > /g/ are on neither the synchronic nor the diachronic level identical. Both /n/ and /g/ are full-fledged phonemes in Lit. Sam. representing generally PP \*n and \*g while /k/ in Lit. Sam. only occurs in recent borrowings from English, e.g. keke cake, kofe coffee, māketi market and in a few other words like puke, 12 'expression to startle someone', puketā, 'exclamation of triumph in the game tāgāti'a', pukē basket (used for storing cloth), saka cook. A few words which formerly had t in Lit. Sam. are nowadays considered by most speakers to have a basic k, e.g. okaoka (otaota), 'exclamation of surprise, shock', oka (ota) raw fish.

Accordingly, the development t > k is to a great extent a change in the phonetic realisation of one and the same phoneme and only in a subfield of the lexicon does it influence the phonological system and the set of distinctive oppositions. Samoans have generally no problems in switching between the two sociolects as far as plosives are concerned and errors like t-forms in k-style and vice versa are very seldom attested. <sup>13</sup> It is quite to the contrary with the development n > g. In that case one has to know by heart which words have which phoneme(s) and most Samoans have great problems in separating the two styles with regard to nasal phonemes. The number of hypercorrect n-forms (instead of basic g-forms) in attempts at speaking Lit. Sam. is significant and so is the number of colloquial g-forms in literary style. This mixture of the two styles is not restricted to the spoken variant but is also frequently encountered in written sources. <sup>14</sup>

This intermingling of the two styles as well as the strong degree of variability in Spoken Lit. Sam. is hardly mentioned in the existing grammars, probably due to the fact that their main source of data is the classical literary language found in the Bible and similar sources. Editions of Samoan texts are also in general strictly normalised (this even applies to publications of typical oral texts like Stuebel 1895 and Moyle 1981). One of the few exceptions to this is Sierich 1901-1903 whose texts give a faithful depiction of the mixture of the two styles.

There are various theories about the origin of the sound changes t > k and n > g, but all of these seem to consider them as one simultaneous sound change

and not as two separate processes. Further they are considered as a quite recent phenomenon (or corruption as most would say) taking place in the last part of the 19th century when the literary Samoan language was well established.

In Pratt 1862 these changes are not mentioned at all and it is said explicitly that k is an introduced foreign letter used for writing proper names and loanwords (Pratt 1862:6). 15 Among examples of careless pronunciation of consonants lagoga for lagona is mentioned, but it is not indicated that it is a general feature of the language. In a later edition of the grammar he has a note in the preface dated 5 June  $1876^{\,16}$  saying:

> In Hawaii they have changed the t into k, and ng into n. Thus tangata has become kanaka. Samoans are doing the same thing at the present time, to the great injury of the lanquage.

An interesting treatment of these changes which sums up most of the current views at the turn of the century is Von Bulow 1897a:375:

> Bezuglich der Änderung - Verderbnis nenne ich es - des t in k, des n und des g in ng, sagt ein Kenner der Samoasprache, der Missionar S.J. Whitmee, in einer Anmerkung zu Pratts Wörterbuch der Samoasprache (S.1): "This is a recent change. When I went to Samoa in 1863 I heard k used only on the island of Tutuila and on the eastern portion of Upolu. Now it is used all over the group. It is difficult to say how this change commenced, but its spread has been noted. - -The more intelligent use t quite correctly in reading and in public speaking. But the practice of transposing k and t in reading is rapidly growing." -

> Selbst in Fremdwörtern, in denen k vorkommt, vertauschen es die Eingeborenen jetzt sehr oft mit t, und umgekehrt. In derselben Weise werden n und g (ng) miteinander vertauscht.

> "Both of these changes took place in the Hawaiian dialect at a much earlier date and they have been adopted in the litterature (sic!) of the Hawaiian Islands, which is not the case in Samoa".

Krämer 1897:77 has the following more laconic view of the situation: "Die Aussprache des k für t ist von Hawaii eingeschlept. Auf Savaii wird indessen meist noch das reine t gesprochen".

Brown 1916:182 gives a rather detailed description of the change t > k (n > g not being mentioned) which in some respects deviates from other sources:

> When I first resided in the group, in 1860, there were very few people indeed on Upolu, and still fewer, if any at all, on Savaii who used the "k" sound. It was very rarely heard outside of the Port of Apia and the Tuamasaga district.

> The general opinion was that the change was introduced from the island of Tutuila, and it was certainly called O le nanu faa-Tutuila (Tutuila jabber, or wrong speech). Whether it originated on Tutuila or not I cannot say. Some individuals seemed to be conscious of their wrong pronunciation. One man tried to excuse himself by saying that his mouth was hard. The spread of the change was very gradual,

and I am inclined to believe that intercourse with white men hastened the progress of it, for many of the traders used the pronunciation.

There are some points connected with this innovation which may be noticed:

- 1. According to the testimony of the natives the sound of "k" was not heard in the Samoan languages, except, it may be, in a very few individual cases, prior to the years 1858-60.
- 2. It was said to have originated in Tutuila, but none of the individuals whom I knew in the early sixties, who used the objectionable pronunciation, had ever been to Tutuila, from where the *nanu* (jabber) was said to have come. The worst case which I knew was that of a native of Manono. I do not remember hearing it on Savaii, except in a very few instances, or from visitors, whilst I was resident on that island. It certainly was not frequently used.

But Brown may not be a completely trustworthy source since he showed a very strong antipathy towards the sound change in question which he called "a very regrettable change" (Brown 1916:181) and "a threatened deterioration" (1916:183).

A modern and slightly modified version of these views is Buse 1961:105-106:

The following is perhaps the origin of the two styles. In the nineteenth century, there began in Samoan an isolative dental-velar consonant shift by which [t],[n] moved back to [k],[n]. Either before the shift started or before it became at all widespread, missionaries and native Tahitian teachers arrived from Tahiti. They reduced the language to writing and set up schools, using [t] and [n] both as a basis for the script and in giving oral instruction. (Note that [t] and [n] both occur in Tahitian, but not [k] and [n].) The conservative forces of writing and education were thus thrown behind the dental style and succeeded in preserving it in those fields where their influence was strongest (schools, churches, etc.). Elsewhere, however, the shift was carried through and the velar style became widespread as a colloquial medium. Further situational differentiation has set in, each style having its own fairly well-defined sphere of influence, but the position is still far from stable. 17

To sum up, the scholars mentioned so far seem to assume that the sound changes t > k and n > g were simultaneous  $^{18}$  and that they:

- (a) started in the last half of the 19th century 19
- (b) started in the eastern islands (Tutuila and Upolu, Manu'a not being mentioned) and spread westwards. The changes had not yet reached the western parts of Savai'i at the end of the 19th century<sup>20</sup>
- (c) were originally due to influence from the Hawaiian language upon Samoan, although nobody has explained how this influence could have taken place and how the not negligible geographical distance between Hawaii and Samoa could have made contact between the two languages possible.  $^{21}$  Brown 1916:182 had the rather strange idea that white men, especially traders, promoted the change t > k, cf. above.

# 4. A CRITICAL REVALUATION OF CURRENT HYPOTHESES

Recently Shore 1982:269 has given a rather different or at least quite modified version of the story, without, however, giving very much data to support his conclusions:

Samoans sometimes claim that formal pronunciation style is still common in those areas where Samoan culture is least affected by European contact, an observation that appears almost ironic in light of the actual distribution of social contexts in which both styles are used. The island of Savai'i, particularly the remote village of Falealupo at the northwestern tip of the island, is held to be a bastion of "proper" (i.e. formal-style) pronunciation. My own visits there, however, did not confirm this assertion. Milner (1966) claims to have found the formal pronunciation generally used only by some older residents of Fitiuta village on Manu'a. Samoans where Samoan culture is least affected by Europeans and the samoans are used.

My best guess is that the dual phonological system in Samoan was the product of a phonological drift that had already begun by the time the first missionaries arrived in 1830. When they orthographized the Samoan language as the initial step in translating the Bible, the missionaries selected the older and culturally preferred forms, thereby "freezing" the [t] and [n] into the written language.

Although Shore's view is closer to mine than for example the theories put forward by Von Bülow or Krämer, I think it needs to be modified, and above all we have to state clearly what we really know and separate that from what is likely, sensible or possible. Let us start with the chronology.

There are few examples of k/g-style before 1850, but enough to prove that it existed. In Lundie 1846:79 we find a letter of 16 March 1840 where he tells us that when arriving in Pagopago the boat deck was covered by Samoans greeting the "Mishingalies" (= misionare in Mod. Sam.). In the first Samoan book printed (Williams 1834:5) <sup>24</sup> we find alternating forms like Fafine lalagna/Fafigne faifaiva (= Mod. Sam. fafine lalaga/fafine fai faiva). But especially important are the observations found in the journals of the LMS missionaries. Most important is the following note at the end of Buzacott's journal of 1836/37:

We have been surprized to find a number of Samoans, who cannot distinguish any difference of sound in the k & t & ng & n & who in the language use nothing but the k & ng where the t & n ought to be. It is surprizing what a difference the change of these two letters make in the beauty of the language — from being the most musical & pretty it becomes as rough & dissonant as any dialect of the South Seas.

John Williams has in his published works, as well as in the journals from his important visit to Samoa in 1832 or from later visits there, made no reference whatsoever to the existence of a k/g-style, although observations on language are far from absent in his works. Writing in Samoan or using quotations from Samoan he is also (with the exceptions noted above in Williams 1834) quite consistent in using the t/n-style. But in the journal from Williams' and Barff's first visit to Samoa in 1830 we find a very interesting observation on the language:

The language of the Samoans is a mixture of three different dialects. The Tahitian, which it strongly resembles in many words. The Rarotongan which it resembles in the nasal consonants gn etc., and the Tongatabooan which it resembles in the use of the C and K. In addition to the above they make great use of the S, which is not used by any of the above mentioned Islands.

A sensible interpretation of this is that Williams perceived velar plosives as something characteristic when first listening to spoken Samoan.

Accordingly there seems to be no doubt that the differences between the two styles were fully established about 1830. If the conclusion drawn above that Samoan had no  $\,k$  at the time when the words tapa'a and 'oti entered the language can be accepted, then the changes t > k and n > g must have taken place in the period 1777-1830.

As the material quoted above shows, the current view until quite recently was that the changes started in Upolu and Tutuila and that only in this century did they reach the western part of Savai'i. Milner 1966 and Shore 1982 (cf. above) have pointed out that this view is in conflict with the present situation where the k/g-style is less common in Manu'a, the most easterly part of the Samoan islands, than elsewhere. My own field studies point in the same direction. The only Samoans I have heard using t/n-style in an informal situation when talking to other Samoans are people from Manu'a. On the other hand, the k/g-style is nowhere as prominent as in the western parts of Savai'i and many people there are hardly able to talk in the t/n-style, managing at best to produce a mixture of the two styles. The present situation is hard to conciliate with the information from late 19th century sources, referred to above, and it is hardly possible to go further than to admit that we know nothing for certain about the geographical place of origin of these sound changes and how and in what direction they spread.

But I think we can say quite a lot more about why the t/n-style was chosen as the basis of the literary Samoan language and why we in the middle of the 19th century have next to no information concerning the existence of a k/g-style in Samoa.

When the LMS missionaries after many years of struggle and hours of desperation finally had broken the code and managed to learn Tahitian and to establish a literary Tahitian language, they hoped in the beginning to get as much as possible out of this new achievement and to extend the use of it to other islands, cf. Williams 1837:122. When John Williams and Charles Barff came to Samoa in 1830, they talked to people in Tahitian or Rarotongan, they taught them Tahitian hymns<sup>25</sup> and they left behind Tahitian and Rarotongan teachers and books. The first years of the LMS' mission in Samoa was based on the Tahitian language and many of the Tahitian teachers left behind learned no Samoan or at best a rather broken Samoan. 26 Accordingly it would be very strange if the missionaries, when they had a choice, did not pick out the variant of Samoan phonetically closest to Tahitian as the basis for the written language and their preaching. 27 Whatever the social status of that variant was before the arrival of the missionaries it necessarily had to receive a high status from its position as the language of the church. Most probably both the number of speakers using it, the range of contexts it was used in and its geographical distribution increased with the spread of Christianity. It is impossible to prove, but very likely that the use of the k/g-style was more common in 1830 than in 1850 for the reasons just indicated and that explains the otherwise surprising overlooking of the colloquial

style in sources from the period 1840-1870. Maybe a more negative attitude towards Europeans and the turbulent state of affairs in Samoa at the end of the century promoted a new rise of the k/g-style. Sound changes have sometimes quite a lot in common with changes of fashion.

As Milner 1966 and Shore 1982 have pointed out the Samoans today are rather negative towards the k/g-style and to use a fashionable sociolinguistic term, the use of k/g-forms is in many contexts strongly stigmatised in Samoan. This may indicate that the difference also originally was determined by the status of person spoken to or the context, as it is today. But I think that the basis for such a conclusion is rather fragile. As we have seen above, both Pratt, Whitmee, Von Bülow and others were very negative towards the use of the k/g-style and other European authors from the 19th century are no less negative - so Funk 1893:1 says that instead of the "t" "sprechen die meisten Samoaner leider das k der Tonganer, was den gefälligen Klang der Sprache sehr beeinträchtigt". Even more outspoken is Neffgen 1904:II when he says that the pronunciation g for n is "fehlerhaft und hässlich" and that k instead of t makes "einen hässlichen Eindruch". If such attitudes were current among Europeans in Samoa and above all among the missionaries, 28 it is rather likely that they were adopted by the Samoans. This negative attitude towards velars was nothing peculiar to Samoa, it was something which was part of the European attitude towards all the languages of the South Seas. The works of the early explorers are full of such evaluations, but let me just quote one, which is of special relevance to the problems we are dealing with here, namely the following extract from Banks Endeavour Journal (Beaglehole 1963:372) from Cook's first visit to the Pacific:

All the Isles I was upon agreed perfectly as far as I could understand them; the people of Ulietea only chang'd the t of the Otahiteans to a k, calling Tata which signifies a man or woman Kaka, a circumstance which made their Language much less soft. The people of Ohiteroa as far as I could understand their words which were only shouted out to us seemd to do the same thing, and add many more consonants and harshness's which made their Language still more untuneable.

To understand this attitude properly it must be added that the Tahitian language was set up as a model of a beautiful, melodious and soft language by the first explorers and any deviation from it was considered as a deviation from perfect beauty and harmony.

# 5. THE SAMOAN SOUND CHANGES IN A POLYNESIAN PERSPECTIVE

The sound change PP \*t > k is attested in several Polynesian languages: Luangiua, Hawaiian, Coll. Sam., some dialects of Tahitian (cf. Biggs 1978:714) and seems according to the information provided by Banks (cf. above) to have taken place on Raiatea before the native dialect there was replaced by Tahitian. Biggs (1978:712) assumes that the \*t moved back to occupy the velar position left vacant by the \*k > ' change. The development n > g in Coll. Sam. and Luangiua is then seen as a consequence of the first change, the velarisation of plosives being extended to nasals. This theory does not, however, explain the development g > n in Hawaiian and the rise of the phonological opposition /t/-/k/in Mod. Sam.

Let us as our starting point take the  $\mbox{Proto-Polynesian}$  system of plosive and nasal phonemes:

After the \*k > ' change we get the following asymmetrical system:  $^{29}$ 

In this system the opposition dental-velar is no longer relevant in the plosives, but still is in the nasals. Accordingly the /t/ phoneme could now be realised optionally (= stylistic variation) as [t] or [k] and we get the following situation:

Depending on the frequency or the stylistic function of the non-labial plosive allophones the phoneme they represent could be conceived as basically dental (= (3)) or velar (4):

In (3) a further development would be to extend the rule that a plosive dental could be realised optionally as either dental or velar to the dental nasal phoneme, while in (4) such an extension would affect the velar nasal phoneme:

Phonological systems like (3a) and (4a) are vulnerable since the lack of one-to-one correspondence between basic segments and phonetic realisations (= between input and output) easily leads to reanalysis and simplification. One way to go was to reestablish the one-to-one correspondence by eliminating the ambiguous phonemes:

(3b) is not attested in any Polynesian language of today but I think it not unlikely that this system existed earlier in Luangiua. (4b) is the system of

Hawaiian. According to the information available the Samoan system around 1830 was (3a), cf. above. But then the phoneme /k/ was reintroduced into Samoan through loanwords from Tongan, Fijian and English (perhaps facilitated through the widespread knowledge of Tongan in Samoa at that time). This reintroduction was then possible because Samoan already had the sound [k] although not a phoneme /k/. After the introduction of /k/ the Samoan system was as follows:

Coll. Sam. then simplified this system following the same principles underlying (3b) and (4b):

But Lit. Sam. simplified by reducing the possible allophonic realisations of the phonemes to one single allophone:

And then we are back where it all started!

#### ALTERNATIVES

The analysis given above implies that the sound changes in the different Polynesian languages are independent of each other and determined only by general phonological tendencies and the inherited phonological structure of the languages in question. Yet there are a few data that may indicate a stronger connection between the languages involved than I have till now assumed.

Tokelauan spoken just north of Samoa has retained the PP system of plosive and nasal phonemes (i.e. /p t k m n g/). But in Hale 1846 we find some curious information concerning the Tokelauan dialect of Fakaofo:

The confusion in the pronunciation of k and t is not uncommon, even in those languages in which both the sounds are met with as distinct elements. In Fakaafo aliti was heard for aliki and in New Zealand and Paumotu ariti. In Hawaiian, the natives make no distinction between the t and k, and the missionaries have adopted the latter, though improperly (as the element is really the Polynesian t), in the written language. (pp.233-234)

It is one peculiarity of this dialect (i.e. Fakaofo - E.H.) that the k at the beginning of many words is often dropped, apparently at the mere pleasure of the speaker. (p.258)

No descriptions of Modern Tokelauan mention these phenomena and in my own fieldwork on Atafu I have not observed any cases of k-t alternation or of the

dropping of initial k's (except in recent loanwords from Samoan). But there are a few examples of g instead of n both in Tokelauan (e.g. kogā/konā because) and Anuta (cf. e.g. the personal name Po Tingirau in Feinberg 1982 = Samoan Tigilau, Tongan Sinilau, Rarotongan tinirau, etc.). The most likely explanation of such data and the information provided by Hale (1846) (cf. above) is to assume a certain influence or borrowings from Samoan in Anuta and especially Tokelauan.

But seen in connection with the regular development t > k and n > g in Luangiua  $^{30}$  we cannot completely exclude the possibility that we once had a Samoan-Luangiua Sprachbund which also partly influenced Tokelauan and maybe Anuta and which was characterised by a phonetic instability of dental and velar plosives and nasals with a large degree of phonetic overlapping in the phonemes concerned. But only further research on the Polynesian outliers and Tokelauan can clarify this hypothesis.

#### NOTES

- 1. This work is partly based on field studies supported by The Institute for Comparative Research in Human Culture, Oslo and The Scandinavian Institute of Asian Studies, Copenhagen. I wish to thank Niko Besnier and Sheldon Harrison for comments on specific points and especially Marianne Haslev Skånland for detailed comments on an earlier draft of this study. I am also grateful to the Kon-Tiki museum, Oslo, for giving me access to its excellent Polynesian library and to the School of Oriental and African Studies for giving me access to the archives of the London Missionary Society and the Methodist Missionary Society. All quotations below from the journals of the LMS missionaries and Methodist missionaries are based on material from those archives.
- 2. Except in quotations, the velar nasal will in this paper be written g conforming to the Samoan orthography.
- 3. PP = Proto-Polynesian.
- 4. In all descriptions of Samoan this phoneme is called a glottal stop or break. But in Mod. Sam. it is only realised as a glottal stop in phrase-initial position. In intervocalic position it is mainly realised as creaky voice and only sporadically as a glottal stop.
- 5. Mod. Sam. = Modern Samoan, i.e. the t/n-style as used today in speaking and writing, cf. Coll. Sam. = Colloquial Samoan, i.e. the k/g-style and Lit. Sam. = Literary Samoan, i.e. the written language of the Samoan Bible, etc.
- 6. Attested as cochi in Martin 1817, II.
- 7. The first missionaries (through most of them having a Tahitian background where a similar development \*k > ' had occurred) also observed the correspondence Sam. ' = k in many other Polynesian languages, cf. e.g. A. Buzacott's journal from 1836/37 where we find the following note: "The true Samoan has neither the k nor the h mostly where those letters occur in the corresponding words of the Tahitian & Rarotongan dialects, the Samoan substitutes the f for the h, & a kind of break for the k."

- 8. The word is attested as early as 1793 in Tongan in the form oucaméa fer, cf. Rossel 1808:562, cf. also ookumméa metal in Martin 1817, II.
- 9. cf. goolo pot in Martin 1817, II.
- 10. Shore 1982:268 assumes that saka predates European contact, but this assumption is rather questionable, cf. Love 1983:142 and note 15 below.
- 11. cf. Shore 1982:267-283 for a survey of the social aspects of the two sociolects, their status and range of use and the rather strong emotional attitudes manifested towards improper use of them.
- 12. This may be a borrowing from Tongan, cf. Tongan puke to take hold of, seize, make a grab at, being used in a slang-like way in Samoan, cf. above.
- 13. A curious exception is tTtata, tTkata, kTtata tea-kettle, borrowed from English but probably via Tahitian (cf. Tahitian tTtata). Let me also add that once during a Sunday service I heard the form lesu Teriso (= lesu Keriso).
- 14. Some of the hypercorrect forms have become standard in Mod. Sam. like e.g. toniga uniform, cf. togiga in Pratt 1977 and Milner 1966. Even in Lit. Sam. we find a few hypercorrect forms like fesoasoani help (< \*fesoasoagi, cf. Milner 1966:212) and fealofani love each other which should have been \*fealofagi, cf. alofagia. But as Niko Besnier has pointed out to me, some of these variations may be due to the productivity of the -gia suffix in Samoan, Tuvaluan and maybe other Polynesian languages of that area.
- 15. It is interesting to observe that the following note is added to the interjection puke in Pratt 1862:170: "It and the following compound (= puketa) are the only instances in which the k is used". In later editions of the dictionary (cf. Pratt 1977:236) he has made a significant change: "It and the next word were the only instances in which the k was used until the recent corruption of t into k."
- 16. Here quoted from the 4th edition of 1893.
- 17. Buse ends his note by quoting a "footnote added to the second edition of Pratt's Samoan grammar and dictionary (1876)" which is identical (except for a few minor details) to the quotation from Whitmee quoted by Von Bulow, above.
- 18. None seems explicitly to regard them as separate, but many mention only the change t > k, while n > g tends to pass unnoticed. On the other hand it is worthwhile to observe that Hale 1846 did not mention the change of plosives at all, but was fully aware of a certain instability of the nasals, cf. the following note.
- 19. cf. also Stair 1897:15-16: "Even the Samoan language is changing. I was lately speaking to a young Samoan, a Malua student too, and could hardly understand him, as he turned all the t's into k's". Stair lived in Samoa from 1838 to 1845 and his remark must imply that the change had not taken place or was quite uncommon in that period. It is also interesting to note that Hale 1846 does not mention t > k in Samoan at all, and seems to consider n > g as a sporadic change mainly representing a kind of assimilation or metathesis (Hale 1846:234), cf. e.g. manogi > magogi/magoni and manutagi > magutagi as well as the example lagona > lagoga quoted from Pratt 1862, cf. above.

- 20. cf. also Von Bülow 1897b:345, Smith 1898:141, and Hocart 1916:42. Violette 1870:208 states that k "paraît naturel aux habitants de la partie sud-est d'Upolu". An interesting, although perhaps not quite trustworthy sociolinguistic observation is found in Wendt 1977:50 where he tells the story about some young men visiting Apia for the first time (1921). They found "that the Samoan spoken by the inhabitants of Apia was quaint, unusual they used the k instead of the t. Perhaps it had something to do with the language of the papalagi, surmised Osovae". Some authors are less precise, e.g. Churchward 1926:16 who talks about these changes having taken place "in most parts of the group" without further attempts to make the areas explicit.
- 21. There are not many who explicitly support this hypothesis, but curiously enough none who explicitly argue against it.
- 22. Shore 1982:271 lists as some of the most typical contexts for the formal pronunciation (= t/n-style) schools, churches, radio broadcasts, conversation with palagis, reading and writing.
- 23. Quotation from Milner 1966:xiv omitted (E.H.).
- 24. The book is called E TALA A, E, F, contains ll pages and consists of spelling lessons and 14 short chapters of reading lessons. The book was printed at the Mission Press, Huahine 1834. No author is mentioned on the front page, but there is no doubt about the author, cf. G. Pratt's journal from 6 September 1835 and Barff's account of Buzacott's labours (written 1847/48, but referring to his and Buzacott's visit to Samoa in 1834).
- 25. cf. the following extract from G. Pratt's journal of 14 October 1835:

In the evening with the help of the native teachers and some boys who also know Tahitian transposed a Hymn that they might at least sing with understanding as they have hitherto learned to read and to sing in a foreign language, tho the teachers give the sense of the Hymn in the language they always sing it in the Tahitian language which only a few who can read know.

26. cf. the following note from Hardie's journal of 1838:

Found the settlement (in Palauli) althogether in a very impromising state: the school being badly attended and but very little attention paid to divine things. The Tahitian teacher, who has been here for some time is very inefficient, being advanced in life and having acquired but a very inadequate knowledge of the language.

27. Unfortunately we know very little of the Samoan literary language established by the Wesleyan missionaries from Tonga. None of the books they published in Samoan have survived as far as I know, but judging from the words and sentences in Samoan in the letters and journals of Peter Turner, they seem to have chosen a norm quite similar to the one the LMS chose. Having Tongan as their starting point, this may be explained in the same way as the LMS missionaries' choise of a norm for the language. But I hesitate to draw any definite conclusions here since there are hints in Turner's journals that k was not a marginal letter in his alphabet, cf. his journal of 29 January and 21 May 1835.

- 28. cf. the quotations from the influential Methodist missionary George Brown (Brown 1916) given above.
- 29. /'/ is not included in (2), being outside the labial/dental/velar system of sounds.
- 30. Another problem is that we do not know the exact chronology of these sound changes in Luangiua. Brown 1910:414 gives the name of the island as Lua Niua which seems to indicate that the change n > g is rather recent there. But until further material is available we cannot exclude the possibility that Brown was influenced by his Samoan background and invented a hypercorrect Luangiua form.

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# LINGUISTIC CONVERGENCE IN CENTRAL VANUATU

#### Ross Clark

Two Polynesian Outlier languages, Emae and Mele-Fila, are spoken within about 80 km of each other in central Vanuatu (see Map). Whereas the majority of Outliers in the north are spoken on truly isolated islands, the Emae and Mele-Fila speakers are nowhere more than about an hour's travel (on foot or by canoe) from speakers of non-Polynesian languages. The close cultural ties among the peoples of this region have been well documented. Allen (1981:5) describes Efate and the small islands to the north as "a single cultural area characterized by the presence of dispersed matrilineal clans and an hereditary titular system". Guiart (1973) provides abundant evidence of the similarities in social organisation and the complex network of political and mythological connections. Other writers (Nevermann 1953, Simmons et al 1954) have observed the lack of any clear physical difference between the Polynesian speakers and their neighbours. All this indicates a long period of physical and cultural assimilation. The present paper deals with the results of the corresponding process of convergence in language.

The languages in question are:

EMAE (Polynesian), 200 speakers, in Tongamea and Makatea villages at the eastern end of Emwae Island;

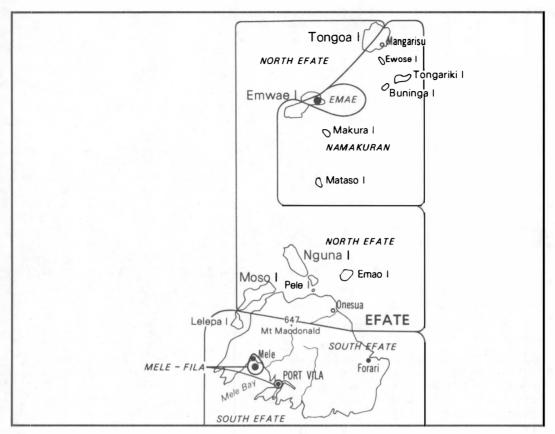
MELE-FILA (Polynesian), 1800 speakers, in Mele (Imere) village, north-west of Vila, and on Fila (Ifira) Island, at the entrance to Vila Harbour. Mele-Fila and Emae both belong to the Samoic-Outlier subgroup of Polynesian (Pawley 1967, Clark 1978), but do not appear to be closely related beyond that. They share between 40 and 50 percent cognates on the Swadesh 200-word list.<sup>2</sup>

NAMAKURA (Melanesian),  $^3$  2000 speakers, on Makura, Mataso, Buninga, Tongariki and part of Tongoa, as well as at Sangava and nearby settlements on the south coast of Emwae;

EFATE (Melanesian), 4700 speakers, on Efate and its offshore islands, part of Tongoa, and in Sesake and Marae villages on the north side of Emwae. Tryon (1976, 1981) treats Efate as two languages, but I prefer to consider it as a single dialect chain. Namakura and Efate are linguistically next-of-kin, sharing 50-60 percent cognates in basic vocabulary. They make up a distinct subgroup within the North and Central Vanuatu subgroup of Oceanic (Tryon 1976, Clark 1985).

I will first consider the influence of the Melanesian languages on the Polynesian, which has been extensive in all areas. I then turn to the much more restricted Polynesian influence on Melanesian, and finally consider explanations for the observed convergence effects.

Paul Geraghty, Lois Carrington and S.A. Wurm, eds FOCAL II: papers from the Fourth International Conference on Austronesian Linguistics, 333-342. Pacific Linguistics, C-94, 1986.
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Map 1: Central Vanuatu

# MELANESIAN INFLUENCE ON POLYNESIAN LANGUAGES

# 1.1 Lexical

A sample of general vocabulary in Mele-Fila and  ${\tt Emae}$  shows the following origins:

	MELE-FILA	EMAE	
Polynesian	36 percent	52	
Melanesian	20	12	
Equivocal	12	10	
Other	6	8	
Unknown	26	18	

The 'Polynesian' and 'Melanesian' categories include only words for which clear etymologies are known. 'Equivocal' items are those for which PN and MN forms converge (e.g. MF Em manu bird, cf. PPN \*manu, Ef maanu), or which contain two morphemes of different origins (e.g. MF vaisara stream, from PPN \*wai water, Ef sara flow). 'Other' consists of modern borrowings from English, French and Samoan. The 'Unknown' component can be expected, with further research, to be reassigned mainly to 'Melanesian' rather than 'Polynesian'.

Mele-Fila obviously has a stronger lexical component of Melanesian origin than does Emae; the latter at once gives one the impression of being 'more Polynesian'. The picture does not change markedly if we restrict our attention to core vocabulary. The following figures are based on the 292-item list used in Tryon 1976:

	MELE-FILA	EMAE
Polynesian	56	70
Melanesian	24	7
Equivocal	10	10
Other	-4	0
Unknown	10	13

Contrary to normal expectations that core vocabulary should be relatively impervious to borrowing, the Melanesian percentage in Mele-Fila actually appears to increase. This is probably an accidental effect resulting from the smaller number of 'Unknown' items. If we compare just the unequivocal Polynesian and Melanesian items, the expected decrease appears. Nevertheless, in both languages the Melanesian component is of the same order of magnitude in core as in general vocabulary.

Lexical influence without borrowing of actual forms, i.e. calquing or remodelling of semantic fields, is less easy to detect, but seems to be fairly common. For example, MF nifo tooth, seed is formally derived from PPN \*nifo tooth, but has apparently acquired its additional sense from Ef na-pati tooth, seed. Emae matua old man, husband combines the form of PPN \*matu?a elder, parent with the meaning of Ef maariki, Nmk ma?arik. For the same semantic field, Mele-Fila has nuanne - apparently an analogically created counterpart to nuufine old woman, wife, from PPN \*nu(a)fine old woman. The PPN form \*afaa hurricane is replaced by MF matagi taa, evidently modelled on Ef na-lagi atu, both analysable as wind + hit(intr.). Adjustments of this sort tend towards a state in which the different languages are simply, as Grace (1981) puts it, different "lexifications" of the same set of fields.

# 1.2 Phonological

There is little scope for convergence in the vowel system, since all four languages have the same set of five vowel qualities /i e a o u/. Length appears to be contrastive in all four as well, though with a lower functional load in Melanesian than in Polynesian.

A much clearer contrast appears in the consonants. Table 1 compares the Polynesian consonant inventory (common to Emae and Mele-Fila) with those of Efate and Namakura. It will be seen that the Melanesian systems have additional contrasts at almost every point - the one exception being the contrast of voice in the labial fricatives, which is found only in Polynesian.

The result of contact has been an expansion of the consonant inventory of both Polynesian languages:

- (1) Both Mele-Fila and Emae have added /1/ and /w/.
- (2) Mele (but not Fila) has added the labiovelars  $/\beta$ / and  $/\beta$ /.
- (3) Emae has added the prenasalised stops /b/, /d/ and /q/. The fact that Mele-Fila, in general much more Melanesian-influenced than Emae, has not acquired these consonants can be explained by the fact that the southern Efate dialects,

with which Mele is likely to have been in closest contact, do not have them. Mele does, however, have a small number of words with /n/ for Efate /d/ (MF nina, Ef liida wasp, with assimilation; MF peana, Ef na-peada arrowroot starch; MF taffaaniku, Ef taffaduku chiton). These certainly suggest that the immediate source had a prenasalised /d/, which was assimilated to the nearest Polynesian consonant rather than incorporated as such.

Table 1: Consonant inventories compared

POLYNESIAN	EFATE	NAMAKURA
p	р	р
	β	β
t	t	t
k	k	k
	b¹	b
	Б¹	Б
	d¹	d
	q¹	q
f	f²	
v	q <sup>1</sup> f <sup>2</sup> v <sup>2</sup>	V
S	S	S
		h
m	m	m
	ñ	ñ
n	n	n
g	g	g
	g 1	1
r	r	r
	nr³	
	W	W

# Notes:

- Prenasalised stops occur only in the northern dialects of Efate.
- Northern Efate dialects have v, southern dialects have f, but no dialect contrasts the two.
- 3. Southern dialects only.

In the orthography used in this paper, b, d, and q are prenasalised stops; nr is a prenasalised trill; g is a velar nasal;  $\tilde{p}$ ,  $\tilde{b}$ , and  $\tilde{m}$  are labio-velar consonants. Efate forms cited are in the Nguna (North Efate) dialect, unless otherwise indicated.

For the most part, the new consonants just mentioned are found only in borrowed vocabulary (including more recent loanwords from English, French and Samoan), and all borrowed items retain these consonants as in the source language. A few exceptions to both parts of this statement, however, are worth noting. A small number of words in Mele-Fila show MF /r/ for Efate /l/ and plain labials for labio-velars: the names of the two islands, MF I-mere, I-fira, Ef E-mele, E-fila, and the homophonous word for the cycas palm, MF mere, Ef na-mele; MF panu, Ef na-panu mat; MF pokasi, Ef na-pokasi pig. It seems most likely that these were among the earliest borrowings, at a period when the new consonants

had not been established in MF, and that they were too common and well-established to be re-shaped or re-borrowed at a later date. Their semantic distribution, too - place names, objects of trade and ritual - suggests an early stage when contact was not as intimate as it was to become.

An equally small group of Emae words, which must be of Polynesian origin, shows consonants which normally occur only in the non-Polynesian vocabulary (Em lasi big, from PPN \*lasi, where PPN \*l normally becomes Em r; Em bakakau wing, from PPN \*pakakau, where PPN \*p normally remains p in Emae). This phenomenon of phonological spill-over as a result of borrowing does not seem to be widely recognised or discussed, though Anttila (1972:168) gives a few examples, and suggests hypercorrection and emotional associations as possible explanatory factors.

A phonological innovation which clearly has spread beyond borrowed words is Mele-Fila stress, which is now on the antepenultimate vowel (as in Efate), rather than the penultimate (as in most Polynesian languages, including Emae).

#### 1.3 Grammatical

Both Emae and Mele-Fila have been extensively influenced grammatically by the Melanesian languages. The following is a summary of some of the major changes.

- (1) In major constituent order, both Emae and Mele-Fila are strictly SVO, as are Efate and Namakura. In other Polynesian languages, verb-initial order is either obligatory or at least a normal alternative.
- (2) Most Polynesian languages use a variety of verbless predicate structures to assert identity, location and possession (Clark 1969). The corresponding predicates in Emae and Mele-Fila, as in Efate, use lexical verbs which can be glossed be or have. The forms of these verbs and their origins are shown in Table 2.

	Tubic E.	rerbs for mave and	DC
	be (something)	be (in a place)	have
Efate	vei	toko	veani
Mele-Fila	fei (< Ef)	tuu (< PPN *tu <sup>?</sup> u <i>stand</i> ) lawo (? Ef lawo <i>stand</i> )	lekina (< Ef vei talakea-na <i>be its owner</i> )
		tokoto (< PPN *takoto <i>lie</i> ) etc.	
Emae	tupu (< PPN *tupu <i>grow</i> )	tuu nofo (< PPN *nofo <i>sit</i> )	tuni (< ?)

Table 2: Verbs for 'have' and 'be'

Note: The different verbs of location in Mele-Fila and Emae are selected by the nature of the subject: tuu for persons, lawo for large objects, tokoto for small objects, etc.

etc.?

(3) A number of connectives and subordinate clause markers in Mele-Fila and Emae are lexically derived in a way that mirrors their Efate counterparts:

	EFATE	MELE-FILA	EMAE
<pre>when (adverbial) = (that) time</pre>	(ragi) waina	napoo	turaga
cf. $now = this time$	ragi waia	napoo naa	turaga ni
because = its base (of it)	na-lake-na	ton-lake (gani)	na tafito (ai)
until = go go	paa-paa	fan-fan	ano-ano

- (4) A conspicuous feature of all of these languages is the use of compound verbs. These number in the hundreds in my data, and the process of compounding seems to be productive to some extent. Compound verbs are typically transitive, and the second verb bears the transitive suffix. In Emae the first verb may also be suffixed, but in Efate and Mele-Fila it may not. In Mele-Fila the first verb may have a morphophonemically reduced form, as in man-saraavia forget, from mantua (PPN \*manatu) think, remember + saraavia miss (PPN \*sala error). As this example shows, compounding in the Polynesian languages is not restricted to borrowed verbs. A further example will illustrate this for Emae, as well as further exemplifying the greater infiltration of actual borrowed lexical items in Mele-Fila. The original model is Efate vasa-potae explain, judge (= speak + divide). Mele-Fila pasa-wotaaea is constructed with the same actual forms, whereas Emae muna-vaea uses verbs of Polynesian origin with the same meanings (PNP \*muna speak (confidentially), PPN \*wahe divide).
- (5) The Polynesian possessive system historically involved two possessive categories marked by o and a. In addition to what is commonly considered 'inalienable' possession (part-whole and kin relations), the PPN \*o category included certain important material possessions. (See Wilson 1982, Chapter 2, for a full discussion.) In Mele-Fila, the possessive category marked by what are historically o-forms is now a more restricted 'inalienable' category agreeing with the Efate category marked by suffixed possessives. In addition, the formal symmetry between a and o forms which is normal in Polynesian languages has been completely lost, and the historical a-forms are now postposed to the noun, like their Efate counterparts:

	my leg	my canoe	my fowl
PPN Mele-Fila	*tooku wa <sup>?</sup> e tuku-vae	*tooku waka te-paki neaku	*ta?aku moa te-moa neaku
Efate	na-tua-gu	rarua aginau	tooa aginau

(6) Mele-Fila has lost the productive use of the Polynesian locative preposition i, which now occurs only as a prefix on a closed class of locationals (MF i-ruga above, I-mere Mele, cf. Efate e-lagi, E-mele). Other locative phrases are unmarked, as in Efate and also in Emae:

Mele-Fila rat e-nnofo te-panu
Efate eu taasake na-panu
Emae tere nofo re bele

They sat on the mat

- (7) Two other PPN case markers, \*ki (goal) and \*ma (comitative) are replaced in Mele-Fila by gaia and soina respectively. These appear to be historically transitive verb forms (PPN \*haŋa-ia face towards, turn towards and \*soa-ina be companion/partner of), and still have some verbal properties: soina can be used as an independent verb (ki to soina maateu you will be with us), and gaia can be followed by certain post-verbal particles. Their Efate counterparts are likewise verbal: paki go to marks goal phrases, and sikoti accompany marks comitatives.
- (8) Mele-Fila, Emae and Efate all have a distinction of two 'future' categories, formally expressed in parallel ways. One preverbal particle by itself expresses immediate intention, or an imperative/hortative sense. When followed by a second particle, the meaning is a more general or remoter future.

	let's go	we will go
Mele-Fila	tu tee-roro	tu too-roro
Emae	tu ka ano	tu ka po ano
Efate	tu ga vano	tu ga wo pand

MF tee and Em ka are formally of Polynesian origin (PPN \*te 'non-past', \*ka 'anticipatory'), but MF too and Em po are not. If we assume that too < \*te + wo, it seems at least possible that in both cases the second particle is borrowed from Efate, though the Emae consonant is unexpected.

(9) Another apparent example of borrowing of a verbal particle is the Emae conditional marker pe: Em kere pe ano *if you go*, cf. Ef ku pe vano. Although this may be connected with PPN \*pe *or*, *whether*, its exact syntactic and semantic properties agree better with Efate than with any other Polynesian language.

# 2. POLYNESIAN INFLUENCE ON MELANESIAN LANGUAGES

The idea of 'Polynesian influence' in Melanesia has a long and not entirely happy history. The whole question is still haunted by 19th century racial assumptions, under which any trace of lighter skin, hereditary chieftainship or straightforward sound correspondences in Melanesia was interpreted as indicative of 'Polynesian admixture' into a basically quite distinct Melanesian race, culture or language. Perhaps the development of a clearer idea of Melanesian-Polynesian relations, and the accumulation of better descriptive material, in recent decades, may now make possible a fresh assessment of the situation.

In the present case, all I am able to show here is a list of words from Nguna, a northern Efate dialect (Table 3) which I believe have a good chance of being Polynesian borrowings. The criteria for this judgment are various, and are not set out in detail here, but they are basically of two sorts: distributional and phonological. A word is considered a likely PN loan if it is widely distributed in PN languages outside Vanuatu, but not in NCV languages; or, if it shows sound changes which would not be expected in Nguna but could be accounted for by inheritance via a PN language. An instance of the latter is Nguna voonu turtle, from PO \*poñu. As Nguna regularly shows w for PO \*p before 0, this form cannot be directly inherited. However, a reflex of PPN \*fonu would be borrowed by Nguna in just this form. Here the conclusion of borrowing is supported by distributional evidence, since Nguna is the only NCV language which has a reflex of the Proto-Oceanic form.

Table 3: Probable Polynesian loanwords in Efate (Nguna)

peao	wave on the open sea (PPN *peau, Em piau, MF peau)
na-raki	cold wind (PPN *laki west wind)
rarua	canoe (PPN *laa rua two sails) cf. Futuna-Aniwa rarua two-masted canoe
ta(v)ura	<pre>whale (PPN *taf(o,u)ra?a, Em MF tafuraa)</pre>
na-tira	mast (PPN *tila, Em tira, MF jira)
vonu	turtle (PPN *fonu, Em MF fonu)
vorau	to $sail$ (PPN *folau, Em MF forau $travel$ by $canoe$ ) cf. directly inherited Ef wowolau $steer$ a $canoe$
kaaka	fibre at base of coconut frond (PPN *kaka, Em kaka, MF mukaka)
kavekave	kind of basket (MF kavekave, cf. PPN *kawe carry)
kokovu	cook food wrapped in leaves (PPN *kofu, MF kofu-a)
koovu	laplap with fish inside
na-moega	kind of mat (PPN *mohena sleeping-mat, MF moega)
na-pora	woven coconut-leaf wall panel (PPN *pola, Em MF pora) cf. directly inherited Ef polo coconut leaf basket
rekei	to decorate (PNP *laakei, MF fakarakeia)
na-roro	coconut oil
roroi	to squeeze coconut cream onto (PPN *lolo-?i, Em roro-i, MF rroi
na-tara	bottom end of thatched roof (PPN *tala end of house, MF tara eaves)
mori	to accompany, escort person to a place; to pay (PPN *mori, Em MF mooria escort, MF mmori wages, pay)
sosori, soria	
vakotovi	<pre>buy, pay (PSO *fakatau-ia, Em fakatauvia, MF fakatawia)</pre>
vono	talk, discuss (PPN *fono)
voroa-ki	assign inheritance or succession (PPN *poro(aki) give (parting) instructions, Em poroaki-na say goodbye)
na-tipua	kind of spirit, dwarf (PPN *tupu <sup>7</sup> a, MF tupua supernatural being)

What is striking about the list in Table 3 is first its small size — only a couple of dozen borrowings out of 1500-2000 lexical items in my file  $^{8}$  — and second its semantic concentration in certain areas: the sea and navigation, material culture, politics and trade. This is almost archetypal 'cultural borrowing', and even fits the traditional idea of Polynesians as more expert seafarers than the Melanesians.

It would be premature to say that there has been no phonological, grammatical or semantic influence of Polynesian on Melanesian languages in Central Vanuatu. Our reconstructed baseline for the Melanesian languages is not nearly so clearly drawn as PPN. It may be that influences in these areas will yet be detected. But they will certainly be of a relatively minor and subtle sort, compared with the rather drastic effects of Melanesian on Polynesian.

## DISCUSSION

How are we to account for the asymmetry in the convergence effects observed in the two directions we have been considering? To do so we must make a clear distinction between 'cultural' and 'intimate' borrowing. Cultural borrowing requires only some type of contact between the two speech communities. It is basically lexical, and is concentrated in areas where the two cultures differ (including flora and fauna in situations where one group is immigrant). Intimate

borrowing requires that second-language speakers (trade partners, in-marrying spouses, etc.) play a major part in the life of the borrowing community. It affects all areas of the language at once.

We have seen (Table 3) clear evidence of cultural borrowing from Polynesian into Melanesian. Almost certainly cultural borrowing also took place in the opposite direction, but its effects have been all but swamped by the massive intimate borrowing from Melanesian into Polynesian. However, as noted above, a small group of words suggestive of the earliest period of contact (on the basis of deviant sound correspondences) are in fact semantically concentrated in typical cultural-borrowing areas.

The reason why Melanesian shows no signs of intimate borrowing from Polynesian in this case is probably to be explained simply on numerical grounds. We can safely assume that the first Polynesian-speaking immigrants were few in number and found an established Melanesian population. Even today, there are more than three times as many Melanesian as Polynesian speakers in the Efate region. If, as this suggests, Polynesians have always been a minority, they would, in establishing trade contacts or seeking spouses outside the village, have had to deal with Melanesian speakers more often than not, whereas Melanesian speakers, on average, would have had only a minority of Polynesian contacts. Melanesian wives, in particular, marrying into Polynesian villages, bearing and rearing children, speaking a Melanesian-influenced second-language variety, would have accomplished both the physical and the linguistic assimilation of the immigrants.

#### NOTES

- 1. The numbers of speakers are as given by Tryon 1981.
- 2. This smooth phrase actually covers two rather discrepant computations. 38 percent by Peter Ranby (personal communication) and 51 percent by myself. I have not looked into the reasons for this difference.
- 3. With apologies, I use the word 'Melanesian' to mean 'non-Polynesian Oceanic'.
- 4. The word soolo was given by my Mele informants for salt on the Tryon list.
- 5. Na-pokasi actually appears to mean meat in all Efate dialects today, being replaced in the sense pig by Proto-Efate \*waaqo. Cognates in other closely related languages, however, all mean pig; and the name of the mountain peak in south-west Efate Pau-na-pokasi pig's head makes no sense unless the word had this sense in Efate in the not too distant past.
- 6. \*ki survives only as an older-generation variant form with a few locational bases, e.g. kiaro = gaia iaro downwards.
- 7. Niuean has a future particle to, but to connect this with the MF form would seem to raise more problems than it solves.
- 8. I am indebted to A.J. Schütz and Ellen Facey for use of their unpublished lexical files.
- 9. I take these terms from Bloomfield (1933: chapters 25 and 26), though my definition of the two processes would not agree with his in every respect.

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# COUNTING AND CULTURE CONTACT IN NORTH-EAST NEW GUINEA Geoff P. Smith

#### INTRODUCTION

This paper starts with an investigation of the numerals of the languages of the Morobe Province, Papua New Guinea. The relationship between the distribution of the different language families and types of counting system occurring in them is then examined. There is found to be a certain amount of consistency in the type of counting system found within families, but there are also unexpected variations. The limited extent of the numeral series in the Morobe Austronesian languages is particularly conspicuous, and the possible role of culture contact in this apparent regression is examined.

# LANGUAGES OF MOROBE

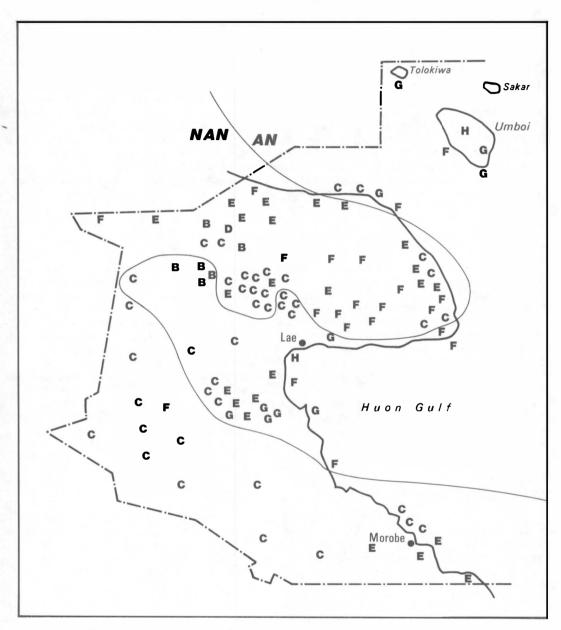
There are just over 100 languages spoken in the Morobe Province; 40 of these are Austronesian, while 60-odd are non-Austronesian (McElhanon 1984). As with other parts of New Guinea, many of the Austronesian languages are in coastal or offshore locations, but unlike other parts of the island, there is also a considerable penetration inland. The Adzera and Buang families occupy a large inland area around Mumeng and in the Markham Valley a considerable distance from the coast at some points. In the central ranges are the non-Austronesian languages of the Angan, Binanderean and Kunimaipan families, while in the Huon Peninsula are the Eastern and Western Huon, Erap, Wantoat, Yupna and a number of minor families. It is assumed that speakers of non-Austronesian languages have been in the area considerably longer than speakers of Austronesian languages, although establishing accurate dates of settlement is difficult. The degree of penetration of the Austronesian languages into Morobe also suggests that a long interaction has taken place.

#### MOROBE COUNTING METHODS

An investigation of the numerals of all the Morobe languages has shown that the great majority of languages have few numerals and rely on some form of tallying for indicating higher numbers. A number of different types emerge, and the occurrence of these in the Morobe languages is indicated in Map 1. Type A, representing body counting systems found further west, is not found anywhere in the province. Types B and C have only two numeral terms, type C commonly

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Map 1: Distribution of counting types in Morobe languages

using tallying on hands and feet, while type B does not. Type D has three numerals and does not refer to hands and feet, while type E has three numerals followed by tallying on the hands and feet. Type F has words for the numerals one to four followed by tallying on hands and feet to a total of 20. Type G differs in having a distinct numeral for ten. There may also be a distinct numeral for five, or the word for hand may be used. Type H has words for both ten and 20.

There is a certain amount of consistency in the various language families: typical of the non-Austronesian families is a repertoire of two, three or four numerals followed by tallying on the hands and feet (types B to F). Austronesian languages of the Adzera and Buang families also tend to follow this pattern. Those languages with more extensive numeral series (types G and H) tend to belong to the coastal and island Austronesian languages of the Siasi family. The only exception is the non-Austronesian Kovai language of Umboi, which is surrounded by Siasi family Austronesian languages. There are, however, some Siasi family languages with very limited numeral series, for example Roinji and Nengaya, with only two numerals.

#### ANCESTRAL COUNTING SYSTEMS

Although the coastal and offshore Austronesian languages do tend to have more numerals than their non-Austronesian counterparts, they are deficient when compared with Proto-Oceanic and Proto-Austronesian, the inland Austronesian families remarkably so. For each of the proto-languages a complete decimal series of numerals has been reconstructed (e.g. Dahl 1976, Wurm and Wilson 1975). It seems then that in the process of culture contact, there has been a regression of the numeral series of the descendant Austronesian languages of northeast New Guinea rather than an expansion of those of the non-Austronesian languages in the area.

# THE CULTURAL CONTEXT OF COUNTING

Clues to a possible explanation of this state of affairs can be gleaned from a consideration of how counting takes place in its cultural context in PNG. How do societies relying on simple tallying devices or few numerals cope with the social and economic demands of everyday life?

Recently a number of ethnographic studies have looked at this problem. In many traditional PNG societies, ceremonial exchange played an extremely important part in cultural and economic life. During many such exchanges, enumeration of totals was a necessary part of the process. However, an impressive visual display invariably accompanied presentations, and it was this which was more significant than the total itself. Many exchanges were competitive, and implied the need to give back as much as or more than what had been previously received. Thus the concept of relative quantity was usually more important than absolute measures. In these types of situations, tallying methods and poorly developed numeral series were adequate for handling all the necessary exchange procedures.

Common to many accounts of such exchanges is the importance of the concept of pattern. Large formal presentations may involve lines or heaps of wealth objects, for example laying out valued shells, or tethering pigs to stakes. Objects to be distributed may be tied to frameworks or specially prepared to maximise the impact of public display. Bunches of bananas in Adzera, for example,

were not counted prior to distribution, but mounted on a structure reaching the top of a coconut tree. The significance of this kind of structured visual display to counting behaviour was effectively summed up by Biersack (1982:30) when she stated: "relationship and hierarchy, not unit and iteration, are the sources of multiplicity".

Numbers may, in fact, sometimes appear to be redundant. Thune, working among the Loboda of Milne Bay, noted that while there exists in this society a counting system capable of reaching into the hundreds, counting and the enumeration of objects are unimportant in the culture and occur only rarely. Instead, he describes a non-numerical way of looking at the world emphasising relative rather than absolute measures. In such a situation the regression of numeral series could easily be imagined.

#### TRADE

If exchanges of this type were the only economic transactions existing in traditional society, the enquirer might be satisfied with this explanation. However, the Morobe Province is well known for intricate trading networks involving widely separate cultures. The trading interests of the Siassi islanders, for example, radiated like the spokes of a wheel to the far-flung corners of their traditional universe: east to New Britain, north to Umboi and Tolokiwa, west to the Madang coast and south to the Huon Gulf (Harding 1967). Each of these was the centre of trade with hinterland or other local groups. For people such as the Siassi whose life depended on trade, it would seem reasonable to assume that the possession of a complete decimal series would facilitate the trading process when, for example, calculating relative values and exchange ratios. And yet it is in just this type of cultural milieu that the regression of decimal numerals appears to have taken place. A detailed look at what took place during trading interactions may give some idea of the mathematical requirements of participating effectively.

Firstly, are we justified in using the word 'trade', with its connotations of profit, supply and demand, for the kind of ventures undertaken by the Siassi? As mentioned previously, ceremonial exchange is an important feature of Melanesian society, especially as a stage for politically ambitious 'big men', and ever since Malinowski's pioneering work on the *kula* expeditions of Milne Bay it has been recognised that trade in Melanesia also involves some of these ceremonial features. A great deal of the energy expended in *kula* shell exchanges, for example, appears to outside observers to have little justification in terms of economic benefit. Thus it might be argued that such overseas expeditions should be regarded not so much as trading ventures as complex social rituals.

However, Harding considers that social, ritual and political aspects of trading have been over-emphasised in ethnographic accounts of Melanesian societies and maintains that the primary function is economic. The Siassi, for example, "... engaged in social rituals of exchange as a means of acquiring valued needserving goods" (1970:108). They acted as middlemen, exchanging goods at favourable rates by manipulating exchange ratios in the different Vitiaz Strait ports. A pig, for example, could be exchanged on Umboi for 5-10 packets of sago, which in turn were exchanged at Sio or Gitua for 50-100 pots. These pots could then be transported to New Britain, where they yielded 5-10 pigs (Harding 1970:139). Thus goods of little value in one community were transported to others where they were in short supply, or had high prestige, usually for ceremonial purposes, and thereby appeared to yield a considerable profit.

In both trade and exchanges of the more ceremonial variety, however, the concept of profit requires close examination, and Strathern (1983) points out some qualifications to the generally understood meaning of the term. In Melpa moka exchanges, for example, where a form of 'profit' appears to be built in to the system, material losses are nevertheless made up as gains in political prestige. Similarly, although surplus 'profits' of tambu shell may be acquired among the Tolai, they tend to be stored for subsequent redistribution. And in the kula,

The category of 'profit-making' tends ... to dissolve when considered more closely, into other categories. First, the 'profit' may have to be returned later. Second, it is in any case a by-product of competitive giving, the aim of which is to acquire renown. Third, it may simply represent the wish by the donors to maintain the partnership .... It is better, therefore, to refer to 'incremental giving' rather than to a 'principle of profit'.

(Strathern 1983:80)

In Morobe, too, the acquisition of profit does not seem to have been the fundamental aim. Harding notes (1970:105):

Ultimately the concept of profit appeared irrelevant because the objectives of trade for any participant community were highly specific. The Siassis counted the returns of trade in pigs and vegetable food which could be reserved for the 'feasts of merit' staged by local leaders. The Komba mountaineers were drawn to Sio because 'they smelled the grease of our coconuts', 'they were hungry for fish and salt'. Because of these specific objectives, transactions that might be judged unprofitable as measured by comparative inputs of labour time were desirable nonetheless.

In Siassi, any 'profit' gained was essential both for subsistence needs in their over-populated communities and for the prestige of men sponsoring feasts celebrating various stages of the life-cycle. But it was not merely an accumulation for individual use, and Freedman notes (1970:318):

The promotion of private accumulation, in Siassi, is ultimately in the public interest ... most private wealth is distributed in village-wide ceremonies.

Another aspect of trading in the Vitiaz network should be emphasised, and that is the role of trade partners. Although trading involved the manipulation of an awesome array of exchange ratios, it was not an impersonal affair designed to maximise acquisition at all cost, but involved a category of people who were regarded as kinsmen. Depending on relative age, trade partners would call one another 'brother', 'father' or 'son', and these relationships might be carried on in later generations until the distinction between 'real' and 'trade' kinship became blurred. Since transactions were carried out with a category of kin, the exact computation of exchange rates or enumeration of totals may not have been as important as it otherwise would have been. Nevertheless, it does seem that there was general agreement about exchange ratios; discrepancies recorded probably reflected the wide range in size and quality of the various commodities (Freedman

1970:154). Close trade partnership was associated not so much with differences in rates of exchange as with a time delay between initial gift and return (Freedman 1970:165).

The establishment of trade partnerships had a number of important advantages. In an area where warfare was endemic, a trade partnership afforded protection in an otherwise hostile community. Since exchanges were of such economic importance to both parties, this temporary suspension of hostility appears to have been strictly observed. The 'credit' extended in delayed return has been mentioned above. Pomponio (1983) stresses the role of trade partnerships in the extension of a kin network by prospective big-men in Mandok (Siassi). The establishment of a new trade partnership eventually transformed strangers into brothers, and an exchange of women in marriage commonly followed, thus creating affines. An additional link could be established by the adoption of children. What Pomponio refers to as "concepts of personal investments in people" (1983:181) were thus brought into operation as a means of establishing a group of people on whom a man could rely for support in various prestige-enhancing enterprises.

The importance of these trade partnerships is demonstrated by the strict etiquette observed by partners (Harding 1967:166). Haggling over prices was not acceptable, and gifts had to be accepted graciously. Stealing of trade partners or undercutting of prices were likewise serious offences, while an underlying general principle of reciprocity avoided undue advantage being taken of the situation. The observance of this code of ethics suggests that the maintenance of amicable trading relationships was considerably more important than insisting on the most beneficial rates of exchange.

# CONCLUSION

In the kind of cultural and economic climate outlined above it is suggested that an extensive abstract counting system was not an essential prerequisite for successful participation in most aspects of traditional life. Even in the relatively sophisticated trading network of the Vitiaz Strait, computation and enumeration appear to have been secondary considerations, while social factors such as the maintenance of harmonious trade relationships were essential for ensuring the supply of vital commodities and expanding kin networks in the incessant pursuit of prestige.

While the possession of a complete decimal series of numerals would certainly not be a disadvantage, it seems that the redundancy of such cultural items could have led to their gradual atrophy. It is thus postulated that the numeral series of Austronesian-speaking arrivals in north-east New Guinea gradually regressed under the influence of indigenous social and economic systems, and that their counting methods came to resemble those of their non-Austronesian-speaking neighbours.

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# POLICE MOTU AND THE SECOND WORLD WAR

#### Tom Dutton

# INTRODUCTION¹

On 21 July 1942 Japanese military forces landed on the north-east coast of Papua<sup>2</sup> and immediately set out for Port Moresby overland across the Owen Stanley ranges. Soon afterwards other Japanese forces landed on islands further east and eventually on the mainland itself at Milne Bay<sup>3</sup> - see map. In the ensuing attempt by allied forces to prevent the Japanese from extending their control to other areas of the country and to drive them from it large numbers of Papuans were drawn into the conflict.

During this time Police Motu, one of the two main lingue franche used in Papua before the war, was suddenly raised to the level of a language of strategic importance as it was used to maintain control over Papuans and to organise them to contribute to the allied war effort. As a result the language was described formally and recorded mechanically for the first time in its 50-odd year long history.

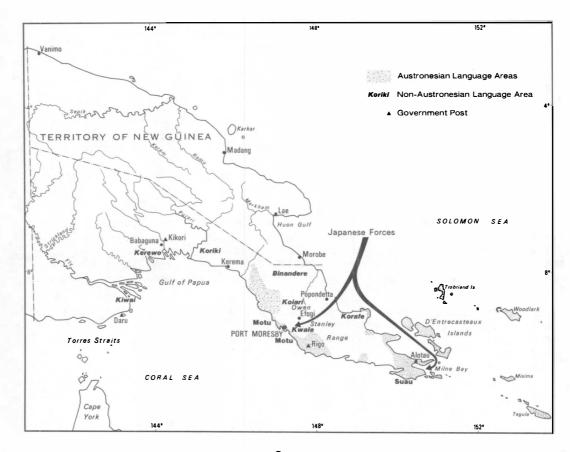
The present paper describes and discusses these wartime materials and uses them in association with other, more recently obtained, survey materials to gain insights into the nature of Police Motu before the war and hence to comment briefly on the more recent history of the language.

# 2. BACKGROUND: A BRIEF OUTLINE OF THE HISTORY OF POLICE MOTU

Police Motu is the name commonly used to refer to the language that is today officially known as Hiri Motu, the principal lingua franca of much of the former Territory of Papua, Papua New Guinea. It is a pidgin language based on Motu, the mother tongue of one of the two groups of original native inhabitants of the Port Moresby coastal area when Europeans first settled there, but now spoken by many others in the same area. Up until recently Police Motu was given no kind of official recognition or status although it served a major role in the development of the former British and Australian colony-cum-Territory as the unofficial language of administration. Indeed, for most of this time it was despised and discredited by expatriate authorities as a corrupt form of 'pure' or 'true' Motu. With the coming of independence to Papua New Guinea, however, its status improved so much so that it is now regarded as one of two unofficial national languages of the country.

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Papua

Historically the origin of this language is uncertain. However, recent research suggests that it is most probably a continuation of a simplified form of Motu used by the Motu themselves as a Foreigner Talk in trading with linguistically related and unrelated peoples in their immediate area. It was not used, however, as is generally claimed and as the name change is meant to suggest, on their hiri or long-distance trading voyages to the Gulf of Papua. Other trading languages, which were simplified forms of the languages spoken by their Gulf trade partners, were used on these voyages (Dutton 1983). This Foreigner Talk or Simplified Motu is presumed to have been taken up, used and spread by relatively large numbers of foreigners who settled and/or traded in the Port Moresby area in the late 1870s and throughout the 1880s. Some of these foreigners were later employed by the Government as interpreters, guides, boatmen and unofficial policemen during the Protectorate days between 1884 and 1888. These were therefore probably largely responsible for the language being adopted subsequently by members of the first official police force with whom they worked. This police force was established by MacGregor, the Administrator, in 1890 after the Protectorate had been converted to a colony in 1888 and is generally regarded as the one from which the language took its name. Thereafter the language became associated with the Government and the enforcement of law and order and spread

with expanding government control over the country. From that time on the history of the language became one of increasing competition with the other main lingua franca spoken in Papua before the war, a "broken and rather rudimentary form of English". This English was a pidginised form of English that was the language of commerce and that has since been described as Papuan Pidgin English (Mühlhäusler 1978). It had been introduced into the country at its eastern and western ends by labourers recruited (sometimes illegally) to work in the marine industries in the Torres Straits and/or in their own areas and/or on sugar plantations in Queensland. It was also the first language of MacGregor's official police force and appears to have remained an important second language in the force for many years.

Before the Second World War, then, Police Motu was spoken throughout most coastal areas of Papua and the immediate hinterland, the distribution reflecting time depth of contact and the pattern of economic development in an area. It was weakest in the eastern and western ends of the country where "English" was the language of commerce and where regional languages such as Suau in the eastern end and Kiwai in the western end were strong. It was also weak in the inland Central, Gulf and Western Divisions where government control was only progressively established after the war and where few, if any, able-bodied men had been away to work elsewhere in the country.

Up to this time too the language had never been formally described or recorded although missionaries of the Seventh Day Adventist church used it for evangelical purposes in inland areas of the Central Division, and it was to be another 20 years before it was properly surveyed. Then it was surveyed by members of the Summer Institute of Linguistics for the government and the results were published (Brett et al 1962). In this survey the authors make two points which are of particular relevance to the forthcoming discussion. These are:

- Although the surveyors found that there were "considerable local variations of Police Motu and numerous differences of pronunciation" (p.9) they concluded that there were only two dialects of Police Motu. These were a Central one, spoken in the Central District mainly by speakers of Austronesian languages that also happen to be closely related to Motu, and a non-Central one spoken elsewhere, but mainly by speakers of non-Austronesian languages, which, by definition are not related to Motu or any other Austronesian language in Papua or the Pacific. The non-Central dialect differs from the Central one in having a number of features that are not typical of that variety. For example, whereas the Central dialect generally followed the Motu manner of indicating possession with parts of the body and kinship terms (with suffixes such as -gu my, -mu your, -na his, her, its, etc.) as well as its manner of marking pronoun objects on the verb (with a set of suffixes similar to those used for marking possession (Lister-Turner and Clark n.d.a)) the non-Central dialect did not. Generally too the phonology of the Central dialect was closer to that of Motu. These two dialects will be symbolised CD and n-CD respectively and used hereafter as reference points in the discussion that follows;
- (b) "the type of Police Motu used in the Western District is intelligible to all Police Motu speakers" (p.11).

#### THE WARTIME MATERIALS

As already indicated Police Motu was only formally described and mechanically recorded for the first time in its life during the Second World War. There are thus two types of materials to be considered under this heading, written materials and oral materials. Each will be considered separately.

#### 3.1 The written materials

When in 1941 war looked as if it might come to the south-west Pacific, <sup>10</sup> and when in 1942 it actually did come, the Australian Army obtained copies of a number of "descriptions" of Police Motu for Army purposes. It is not known how many such descriptions were obtained or whether they were specifically commissioned, or not, by the Army, but three of them have been found in the archives of the Australian War Memorian in Canberra. <sup>11</sup> Two of these are anonymous (but presumably written by expatriate residents who knew the language) <sup>12</sup> and the third was by Maynard Lock, a pastor with the Seventh Day Adventist church in Papua. <sup>13</sup>

The three descriptions, excerpts of which are to be found herein in Appendices 1-3, are as follows:

- (a) Handbook of Motuan (Police Motu) for use in Papua. A printed booklet published by the Australian Army on 31 August 1941;
- (b) "Police Motu". An undated mimeograph by Maynard Lock;
- (c) "Police Motuan vocabulary". An undated mimeographed paper of unknown origin.

Hereafter these "descriptions" will be referred to as "The 1941 Handbook", "Lock's Police Motu" and "The Police Motuan Vocabulary". Each will be discussed in turn as each has its own characteristics and value before some general conclusions are drawn about the nature of the language they purport to describe. Before doing that, however, it is to be noted that:

- (a) the "descriptions" are barely more than wordlists containing little or no description or illustration of the phonology or grammar of the language or of variation in it;
- (b) none of the descriptions contains any illustrative text material given by a Papuan speaker.

For these reasons, as the language was generally despised by expatriates of the time (as overtly expressed by one of the authors of the descriptions to be considered) the descriptions, valuable as they are, are of lesser value than they might otherwise have been. They have, in particular, to be regarded as biased towards an expatriate overseer or "master" view of the language, and therefore do not accurately represent the language actually spoken by Papuans amongst themselves at the time, but only more or less so.

Taking each in turn and using the following abbreviations in addition to those already noted, for comparative purposes:

LTC Lister-Turner and Clark (n.d.a)

PM Police Motu

PoW-PM Post-war Police Motu<sup>14</sup>
M ('pure' or 'true') Motu

let us begin with the earliest one.

### 3.1.1 The 1941 Handbook

As already indicated this is a printed document that was published by the Australian Army before the war actually came to Papua. Its aim was "to present words and phrases in common use" (p.3) and it presents about 475 of these in an English-to-Police Motu listing. These words and phrases are spelled in an English-based orthography with the aim of indicating "as near as possible ... the pronunciation of the word" (p.3). Thus, for example, the Police Motu for about (to go about) is written 'giroa-low (ow as in plow)', accept as 'ubia (ub as in hub)', and all as 'ee-bone-ay' - see Appendix 1 - whereas in standard Police Motu orthography (which in turn is derived from Motu orthography (Lister-Turner and Clark, n.d.b)) these would have been written giroa lou, abia, and ibounai respectively. This manner of recording, though ambiguous in some cases, is nevertheless useful for present purposes for it shows how the variety of Police Motu being recorded was actually spoken and thus given an insight into its phonological nature.

The following are pertinent observations:

(a) h is generally missing from those positions where in PoW-PM it is recorded:  $^{15}$ 

1941 PM	PoW-PM	Gloss
anaia	hanaia	cross over
dio	diho	down
isiu	hisiu	star
daka	dahaka	what
uiui	huihui	hair

or it is inserted where it is not recorded in PoW-PM:

hadavaia	adavaia	to marry
hatoa	atoa	put
haina	aena	foot

(b) i is used for e:

aına	aena	foot
idau nigai	idau negai	some time ago
dabareri	dabarere	daybreak
masi	mase	dead

(c) u is used for o:

tanu	tano	ground
lau	lao	go
gadubada	gadobada	open sea

(d) w is used for v:

wadani	vadaeni	all right
wonagi	vanagi	canoe
weria	veria	pull

(e) I is generally used for r:

gadala	gadara	play
ila	ira	axe
lakatania	rakatania	leave

1941 PM PoW-PM Gloss
lala rara blood
laronai lalonai inside
kailakaila kahirakahira close

(f) p is used for b and vice versa:

dipa diba know kobukobu kopukopu *mud* mata keburi mata kepulu *blind* 

(g) k is used for g:

katoi gatoi egg kunikai qunika inland qwauta ten kuota kwaremi qwarume fish ia kwo he said ia gwau maraki maraqi little kora kwara head

(h) some words have unexpected forms:

kaharaga karaharaga quickly dogwata dogoatao hold vadani vadaeni all right tell hamaru hamaoroa koua shut koa kwaremi fish gwarume

In general the phonological characteristics of the vocabulary recorded in this volume are such that they suggest that the variety recorded was spoken by someone who was not a native speaker of Motu, and probably also not of any other Austronesian language. That is, it looks as if this material represents the speech of a non-Austronesian speaker. <sup>17</sup>

Grammatically very little is said in this Handbook about the structure of Police Motu and few sentences are given. From what is said about the use of personal suffixes on some nouns, however, it appears that the variety being described is that used in the Port Moresby area, for it is in this area that these suffixes have been used historically. Thus if this information is taken in association with that of the phonology just described it suggests that the variety being illustrated is some Port Moresby area non-Austronesian speaker's version of what is today called the Central dialect. Even so there are some interesting differences between this speech and that of the present-day speakers of this area. Thus for example:

(a) the focus markers be and ese of post-war Police Motu are not used where they are expected. Consider, for example:

1941 PM Gloss PoW-PM inai daka? inai be dahaka? What's this? What's the time? dinagauna hida? dinagauna be hida? davana hida? davana be hida? How much is it? inai lauequ inai be lauequ This is mine. lauegu X edisini? lauegu X be edeseni? Where is my X?

(b) the second person is not used in imperatives (though even in post-war Police Motu this depends to some extent on the situation). But consider:

1941 PM PoW-PM Gloss

oiemu ila mailaia! oiemu ira oi mailaia! Bring your axe!
naria namonamo! oi naria namonamo! Wait patiently!
dala hadibaia! dala oi hadibaia! Point out the road!
noho sisina! wait a bit!

(c) the anaphoric pronoun ia is not used where expected:

korana isiisi (lauegu) kwarana ia My head's aching.
hisihisi
daika kwo? daika ia gwau? Who said so?

The vocabulary section of this Handbook and a comment on the vocabulary of the language in it are also interesting for the insight they throw on the lexical nature of the language of the time. According to the author (p.3) the vocabulary of Police Motu is to be regarded as identical to that of Motu except for "the importations of civilization" which "have no word in the Motuan tongue". In these cases the author advises, "English is adopted" and in that case the adoptions are given their "proper pronunciation".

In the vocabulary actually printed the following items occur which are different from present-day ones in some way, or are otherwise interesting. In this listing unless otherwise specified PoW-PM means 'in both dialects of PoW-PM' and all statements are more or less true as generalisations:

ITEM	GIVEN MEANING	COMMENT
anani	fruit	Probably a typographical error for anina contents. In PoW-PM fruit is auhuahua(na).
aulolo	mountain	Counted as an idiosyncratic version of ororo mountain, hill in PoW-PM.
boidia	to call someone	A variant of boiria to call someone in PoW-PM.
botol	bottle	bottle is botol in PoW-PM, n-CD, kavabu in CD.
dekena	on	on is dekena(i) in POW-PM, n-CD, latanai in CD.
dogwata	hold	<pre>hold is dogwatau (or something similar) in POW-PM, n-CD, dogoatao in CD.</pre>
ena gena	if	<pre>if is bema (negana(i)) in POW-PM. This may be a transcription error for inal nega this time.</pre>
gabuli	shark	<pre>shark is kwalaha or sak(i) in PoW-PM, n-CD, kwalaha in CD. gabuli does not occur in Motu (LTC).</pre>
gadubada	reef	reef is rip in PoW-PM, n-CD, moemoe in CD. gadobada means open sea in PoW-PM.
hamaru	report, tell	PoW-PM, CD form is hamaoroa, n-CD hamoroa.
hanahanai	always	POW-PM, CD form is hanaihanai, n-CD hanahana(i).
idiena	their	PoW-PM, CD form is idia-edia, n-CD idiena.
ilama	trochus shell	trochus shell is toea or trosel in PoW-PM, n-CD, toea in CD. ilama is the Motu name for trochus shell before it is cut to make toea armshells.
itena	our(incl.)	POW-PM, CD form is ita-ena or isena, n-CD itena.

ITEM	GIVEN MEANING	COMMENT
kapori	turtle, tortoise	PoW-PM form for <i>turtle</i> is matabudi. kapori does not occur in Motu (LTC) but means <i>Oh sorry</i> in Suau.
kavamasi kikaraia	stupid, silly lock up	PoW-PM form is kavakava (momokani). PoW-PM form is koua (ki dekenai), lokaia or kia.
kiskis	biscuit	POW-PM form is biskesi, biskis or keke.
kamkam	fowl	PoW-PM form is kokoroku but kamkam also used in Milne Bay District for bush fowl and in other varieties for fowl, bush fowl for which the PoW-PM, CD word is kepoka.
kwaremi	fish	PoW-PM, CD form is gwarume, n-CD korume or korumi.
kworaia lo	repeat	PoW-PM, CD form is gwauraia lou, n-CD koraia lo.
levu	skin disease	In PoW-PM sipoma is the common form; levo means tinea imbicata or ringworm in Motu (LTC).
magi	rubber	PoW-PM form is raba. magi is the name for Ficus Rigo formerly used for obtaining wild rubber (LTC).
mahuta masi	sleepy	PoW-PM is matana ia metau or matana ia mahuta. In PoW-PM mahuta masi means to sleep as if dead, to be dead to the world.
namu	mosquito	PoW-PM, CD form is namo, n-CD nemo.
noienoie	beg, cadge	PoW-PM form is noinoi.
otolo	tree ferm	No specific word for tree ferm in PoW-PM.  Presumably this is a variant of hatoro cycas palm tree and fruit in Motu (LTC).
otolu	hill	PoW-PM form is ororo. Not in Motu (LTC). May be transcription error for ororo.
pau	bow (weapon)	PoW-PM is peva. This is evidently a borrowing of English bow.
rata, susu	milk	PoW-PM, CD is rata, n-CD is susu. susu occurs in PoW-PM, CD in certain collocations such as magi/raba susu <i>latex</i> .
tanu	ground	PoW-PM form is tano.
wa siau	boiling water	In PoW-PM wa siahu is soup; boiling water is ranu siahu.
wariwari	mirror, looking glass	PoW-PM form is (lukin)galasi. Motu for <i>mirror</i> is hevarihevari (LTC).

These items fall into the following categories:

# (a) apparent transcription mistakes or variants of PoW-PM forms:

Apparent mistakes	Variants	
anani fruit aulolo mountain noienoie beg, cadge pasi sack, bag	boidia kwaremi kwaraia lo hamaru hanahanai itena dekena otolo	report

(b) forms that are not found in PoW-PM (and therefore may represent 'errors' or losses), or if found, have a different meaning from that indicated:

Not used ena gena ifqabuli shark kapori turtle qadubada reef ilama trochus shell kikaraia lock up kiskis biscuit kavamasi stupid, silly hill otolu bow (weapon) pau skin disease wariwari looking glass, mirror

magi rubber
kamkam fowl
kapori turtle
gadubada reef
wa siau boiling water

Different meaning

(c) forms that are typical of one dialect of PoW-PM only:

botol bottle dekena on dogwata hold hanahana i always idiena their itena our milkSUSU kworaia lo repeat kwaremi fish

report

n-CD

hamaru

Given (i) that variants under (a) above are closer to n-CD forms than CD ones; (ii) that the occurrence of some of the items listed under 'not used' in (b) could be explained as having arisen because the informant or speaker from whom they were obtained was unfamiliar with Motu (e.g. such items as ena gena, gabuli, kapori, gadubada, otolu, pau) in which these items do not occur or occur with different meanings; (iii) that the forms under (c) are also n-CD ones; then it seems reasonable to assume that the vocabulary represents that of a non-Austronesian speaker trying to speak the Central dialect of Police Motu, an assumption which is consistent with that which was made independently on both phonological and grammatical grounds above. It is not possible to say, however, exactly where this speaker might have come from but given that Police Motu was not widely known in the inland area west of Port Moresby he/she most likely came from the Koiari-Mountain Koiari area inland of Port Moresby or from neighbouring areas of Rigo to the east (Dutton 1969).

### 3.1.2 Lock's Police Motu

As already indicated this account was written by Pastor Lock of the Seventh Day Adventist church. He first came to live in Papua in 1924 and learned Police Motu and some Koiari at Bisiatubu on the Sogeri Plateau. Subsequently he went to work at Efogi in the mountains inland of Port Moresby where he learned Mountain Koiari, the vernacular of the people of that area and a close relative

of Koiari. During the war Pastor Lock was forced to leave Papua temporarily but returned as a member of the Australian army. Soon after his arrival his know-ledge of the people and of their languages came to the notice of the authorities and he was taken into the Australian New Guinea Administrative Unit (or ANGAU) and eventually became responsible for broadcasts in Police Motu on the local radio station at Port Moresby. It was probably during this time that he wrote the account of Police Motu that is being discussed here. After the war Pastor Lock returned to his mission work and teaching until he was tragically killed during the sudden eruption of Mount Lamington in the Northern District in 1951.

Pastor Lock's account of Police Motu is interesting from a number of points of view. Chief amongst those is that he considered the language to be "not a real language" at all (p.3) but a "bastard language" (p.1) which had "no" (p.2) or "very few set rules" (p.1) - see Appendix 2. This was in some ways a surprising attitude to have expressed towards a language that his church used as a church language. It is not surprising, however, in terms of the negative attitudes held at that time towards pidgin languages in general and especially by officialdom in Papua. It is important, nevertheless, for present purposes in that it colours and/or determines the kind and amount of information that is included in this account about all aspects of the structure of the language. This is most serious in the phonology section where the description appears to have been taken directly from Lister-Turner and Clark's (n.d.a) published description of the grammar of that language. Consider, for example, the parallelism in the following statements:

## LOCK, p.2

"The alphabet consists of nineteen letters: a, e, i, o, u, b, g, and g, h, k, l, m, n, k, p, s, t, v, and two compound letters, kw and qw".

"The vowels have the Continental sound".

"The consonants are pronounced as in English but at times the  ${\bf g}$  has the  ${\bf nq}$  sound".

"There are many Diphthongs, e.g.: ae, ai, ao, au, ei, eu, oi and ou".

"Not two consonants ever stand together".

"There is no rule for accent".

#### LISTER-TURNER AND CLARK, p.9

"Motu has been reduced to writing by means of an alphabet of 19 letters ... a, e, i, o, u, b, d, g,  $\overline{g}$ , h, k, l, m, n, p, r, s, t, v. There are also two compound letters: kw and gw".

"The VOWELS have the "continental" sounds".

"The CONSONANTS are pronounced as in English, except for  $\overline{g}\dots$  ".

"There are many DIPHTHONGS, e.g. ae, ai, ao, au, ei, eu, oe, oi, ou".

"No two consonants ever stand together".

"There is no fixed rule for Accent or Stress".

As a result this account contains no information about phonological aspects of the language, that is, about how words were actually pronounced at the time, because the standardised spelling of the words masks differences in pronunciation. Consequently it contains no information about variation or dialects. In addition the nature of the account means that the information in it has to be treated with a certain amount of suspicion, a suspicion strengthened by the fact that the vocabulary also contains a large number of words taken directly from Motu but which were not commonly used in post-war Police Motu and still

are not, except by those who knew, or know, Motu, or lived, or live, in close contact with the Motu. Thus, included in this vocabulary are items such as ariara natuna *illegitimate child*, ariara hahine *prostitute*, ahetoni *parting with friend*, au burena *flower*, ava raua to weed, baragi lungs, be why?, biru gardening, daiguna corner, darere overcome, weak, edeheto how?, Motu numerals (at least above ten) and colour terms (p.32) - see Appendix 2.

Even so, by comparing the contents of this vocabulary with Motu and other later forms of Police Motu and by taking into account other information obtained from Pastor Lock's surviving brother - see note 13 - it is possible to separate out those aspects of the language illustrated here that were probably not, and those that probably were, genuinely part of the variety being described here at the time. Thus the following can probably be accepted as being part of the grammar of the variety being illustrated:

- (a) nouns were invariable for number except for a very few which had the first syllable duplicated in the plural, e.g. mero boy becomes memero boys, tau man becomes tatau men;
- (b) be was used as a focus marker as in POW-PM, e.g. unai be daika ena natuna? Whose child is that? (p.2), hari dina be edeseni oi lao? Where are you going today? (p.3), lauegu tamana be edeseni? Where's my father? (p.3);
- (c) vadaeni was used as a recapitulator in connected discourse or complex sentence as in PoW-PM, e.g.:
  - ai lao unai motumotu dekenai, vadaeni kerukeru ai giroa we(excl.) go that island to OK tomorrow we(excl.) turn.around lou

again

We are going to that island and will return tomorrow (p.3);

- (d) vadaeni was also used as a completive aspect marker as in PoW-PM, e.g.:
  - ruma haginia tauna ia heau vadaeni house erect man he run finish The man who built the house has run away (p.3);
- (e) relative clauses were formed on a head noun (e.g. tauna man, person) as in POW-PM:

ruma haginia tauna ia heau vadaeni house erect man he run finish The man who built the house has run away (p.3);

motuka dekenai ia moru tauna, harihari sisina ia namo car from he fell mon now little.bit he good The man who fell off the truck is now getting better (p.3);

- (f) there was a distinction between inclusive and exclusive forms of the first person plural pronoun, viz. ita we(incl.) and ai we(excl.);
- (g) dohore was used as a future tense marker as in PoW-PM:

dohore hari dina lau lao digudigu future this day I go bathing I'm going for a swim today (p.3);

(h) the verb to have was expressed by pronoun + dekenai + noho as in PoW-PM:

oi dekenai aniani haida ia noho you at food some it stays Have you got anything to eat? (p.3);

(i) yes-no questions were formed by phonological means and not by changes in word order or morphological means as in PoW-PM:

oi gorere? you sick Are you sick? (p.31);

(j) there was no agreement between subject and verb as in PoW-PM:

lau mai
I come
I am coming, I come
oi mai
you come
you are coming, you come
ia mai
he come
he is coming, he comes (p.31);

- (k) the one verb form was used for simple present, present continuous and past tenses as in PoW-PM. Thus lau mai meant I come, I come (p.31);
- (1) want or volition were expressed by ura as in PoW-PM:

lau ura oi lao bona oi tahua I want you go and you search I want you to go and look for it (p.31);

(m) bona was used for the conjunction and between clauses as in PoW-PM. Consider the example in (1) above and the following:

oi lao bona oi karaia! you go and you do.it You go and do it! (p.31);

(n) baine  $\dots$  neganai was used for if (which is not the same as in PoW-PM):

baine lau hamaoromu neganai  $\dots$  if I tell.you time If I tell you (to do something), you  $\dots$  (p.31);

(o) the second person pronouns oi you(sg.) and umui you(pl.) were used in imperatives as in PoW-PM:

oi lao bona oi karaia!
you go and you do.it
You(sg.) go and do it!
umui helai diho!
you(pl.) sit down
You(pl.) sit down!

(p) suffixes on verbs were used to indicate pronoun objects as in the Central dialect of PoW-PM:

baine lau hamaoromu neganai ...
if I tell.you time
If I tell you (to do something), you ...

(q) ia, the third person singular pronoun, was used as an anaphoric subject pronoun when the subject was a noun phrase:

unai mero maragi lauegu tadina ia botaia that boy small my brother he hit That little boy hit my young brother (p.2);

lauegu kakana be kahirakahira ia mase my brother focus close he die My brother is dying (i.e. almost dead) (p.3).

These features are consistent with both dialects of Police Motu as defined in 1961 except for features (n) and (p). Because this latter is the chief defining feature of the Central Dialect of the language the variety described by Lock most probably represents that dialect also.

Before leaving this account, however, there is one other aspect of it that needs mentioning. That is that the particular attitudes expressed by the author lead him to a number of general observations about the nature of the language that are important for present purposes. These observations are:

- (a) the language takes its name from the Native Police Force whose members "picked up more Motu words and added them to the Bastard language" (p.1);
- (b) Police Motu is difficult for many 'pure' Motu speakers to understand unless they have had much practice. "It sounds as bad to them as "Pigin" [sic] English does to us and it is really a bastard language" (p.1);
- (c) "Nearly all the different tribes have their own formation of Police Motu sentences" (p.3).

Although the significance of these observations is not immediately obvious it becomes so once other information to be presented shortly is taken into account. We return to this in the concluding section of this paper.

## 3.1.3 The "Police Motuan Vocabulary"

This "Vocabulary" consists of two parts: (a) a vocabulary consisting of an English-Police Motu list of about 300 items and a Police Motu-English one of about 650 items, and (b) a set of 84 sentences divided up into groups under the following headings: "Of Building (15), Of the Sea (9), Medical (10), General (16), The Track-Carrying (18), and Work Generally (16)" - see Appendix 3. There is, however, no introduction to this volume such as is to be found in the 1941 Handbook or Lock's "Police Motu", so that there are no comments in it about attitudes to it or about its distribution, general nature, phonology, etc. The work is similar to Lock's just reviewed, however, in that:

- (a) it generally uses Motu spellings for words and grammatical elements;
- (b) it is Motu-oriented in vocabulary (although not as strongly as Lock's). Thus, for example, it contains such Motu forms as ariara hahinena prostitute, auna timber, lesi drain, petaia rock back and forth, au tahona a splinter, au todena sap or gwm that have never been used by most Police Motu speakers.

It differs from Lock's, however, and the 1941 Handbook in:

(a) having a more elaborate Police Motu-English vocabulary;

- (b) that it does not use the 9 (representing a voiced velar fricative);
- (c) that it is not always consistent, e.g. leg is given as aina instead of the expected (in the author's terms) aena, gwada for strong instead of the expected goada;
- (d) providing a much more elaborate set of sentences in the language indeed the best so far available from this period - which agree in structure with those in Lock's account (where the two overlap, that is), except in the following respects:
  - (i) bema is used for if:

bema au dorina ia mareremarere vadaeni ia dika if tree top/end it shakes/moves OK it no.good If the post moves when pushed it is no good.

- (ii) the focus markers be and ese are not used;
- (iii) the second person pronoun is not used in imperatives.

Significantly this account agrees with the 1941 Handbook in two of these features (notably (ii) and (iii)) and therefore raises questions about the occurrence of these features in different varieties of Police Motu at the time. However, since these features are part of every variety of post-war Police Motu it is assumed that their non-occurrence in this material is to be explained on other grounds. Otherwise the sentences presented in this account show that the following features were part of the grammar of the variety of Police Motu being illustrated in addition to those manifested in Lock's account:

(a) adjectives have suffixes -na and -dia attached to them to indicate singular and plural number of the noun head, e.g.:

au latadia
tree long.pl.
long logs .
kurukuru kwadogina
grass short.sg.
short grass

- (b) -ai is used to indicate direction towards or location: udai into the bush, kone-ai on the beach, vanagi-ai on the canoe;
- (c) negative imperatives are formed by placing lasi after the verb, e.g.:

kurukuru kwadogina utua lasi! grass short.sg. cut not Don't cut the short grass!

(d) sibona is used for *only*:

kurukuru latana sibona grass long.sg. only only long grass

(e) adverbs come after the verb:

kwatua namunamu tie securely tie securely geia dobudobu dig deeply dig deeply

(f) garina is used for lest:

medu ia diho garina
rain it down lest
lest the rain come down

(g) a is used as a yes-no question tag:

oi gorere a? you sick eh Are you sick? (You're sick aren't you?)

(h) edeheto is used for how in some sentences:

oi edeheto a? you how eh What is the matter with you?

(i) gwauraia is used as an intentive aspect marker:

idia huaia gwauraia
they carry about.to
They're preparing to/about to carry

From what has been said it would appear that the variety described in this account is again a variety of the Central dialect. There are two small slips of the pen, however, which suggest that this impression is artificially created by using Motu spelling and vocabulary. These two slips are umi you(pl.) and kwarume fish. The former is the distinctive form of the Motu second person plural pronoun umui used in the non-Central dialect today and kwarume is more like a non-Central form of Motu gwarume fish than a Central dialect one - compare the 1941 Handbook form kwaremi fish already referred to. As a result it raises suspicions that the account is really based on some non-Austronesian language speaker's version of the Central dialect (principally because object suffixes are used on verbs). If so this would make the variety something similar to that described in the 1941 Handbook and probably also that which underlies Lock's account given that he worked mainly in a non-Austronesian language speaking area.

#### 3.1.4 Some concluding remarks

Now that the three wartime "descriptions" have been presented and discussed the question arises: What do they tell us about the nature of Police Motu at the outbreak of war, and by implication immediately before? Clearly a great deal, although perhaps not as much as they could have had they not varied so much in quality and quantity of data presented. Most importantly, however, the three are in reasonable agreement with one another in most respects, once allowance is made for a tendency to ('pure') Motu-ise everything described, and appear to describe a variety that was most probably spoken by non-Austronesian language speakers in the Port Moresby area, that is, a non-Austronesian speaker's version of the Central dialect. This variety is recognisably the same as that still spoken in Papua New Guinea today. Where the "descriptions" differ from one another, however, and/or raise questions about the nature of Police Motu at the time (because they were written by untrained linguists and do not contain material actually recorded by Papuan speakers) is in the following respects:

- (a) in respect of the position of the following grammatical features:
  - (i) the use of dekena versus -ai as direction and/or location markers;
  - (ii) the use of bema versus baine  $\dots$  neganal for if;
  - (iii) the use of be and ese as focus markers;
  - (iv) the use of second person pronouns in imperatives;
  - (v) the use of ia as an anaphoric pronoun subject in sentences;
  - (vi) the use of edeheto how.
- (b) in respect of its actual vocabulary content. More specifically what is the status of the 1941 Handbook's "odd" vocabulary that was listed and discussed above? What was the status of the Motu vocabulary that appears in Lock's "Police Motu" and the "Police Motuan Vocabulary" that is not normally used in post-war Police Motu?
- (c) in respect of its variation between and within different areas.

We return to these questions once the wartime oral material has been presented in the next section.

### 3.2 The oral material

#### 3.2.1 Introduction

When war came to Papua in 1942 - it began with the bombing of Port Moresby in February 1942 - there was general panic (Nelson 1982) and a real danger that the Allied Forces would lose control of the Papuan population with disastrous consequences for any planned attempt to halt the southward movement of the Japanese Forces. Consequently, as part of their effort to keep Papuans calm and under control and on the side of the allies, a small party of them was taken to Australia to see the allied war effort. In particular they were taken there to give them the impression that Australia was "one vast armed camp" and that "the Allied arms must in the long run prevail" (A.W.M. 431/8/3:2). The members of the party were then expected to return to their own areas to spread the good news about what they had seen in Australia. They were also expected to make gramophone recordings for the same purpose in Police Motu and other languages for distribution to ships' captains, villagers, and others who had gramophones, including those behind enemy lines.

The party finally selected consisted of six Papuan constables (one each from the Gulf and North-Eastern Divisions and two each from the Delta and Central Divisions) and a sergeant "from T.N.G. [Territory of New Guinea] Police" 20 (A.W.M. 431/8/3:2). They were gathered together briefly in Port Moresby and then transshipped to Townsville, in North Queensland. 21 From there they travelled to Brisbane by train and eventually to Sydney and beyond by train and plane. In the process the party was shown army camps, ordinance stores, tanks and other weapons in use, airfields, farms, factories of different kinds - see Plate 3 tall buildings with automatic lifts and other city wonders. They fired different kinds of guns and went for a ride in a tank and in an aeroplane - see Plate 4 and as a grand finale were introduced to General MacArthur in Sydney. Subsequently they were taken to the Australian Broadcasting Commission studios in Melbourne where some of them made recordings in Police Motu and other languages. The Police Motu recording was made by Nanai Gigovi from Babaguna village near Kikori in the Gulf of Papua - see Plates 1-4. A copy of this recording is to be found in the archives of the Australian War Memorial, Canberra.

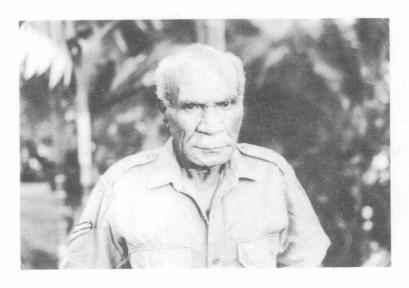


Plate 1: Nanai Gigovi, of Babaguna village, showing stripes obtained during World War II. (The shirt, however, is of the present day.)



Plate 2: Nanai Gigovi in June 1984

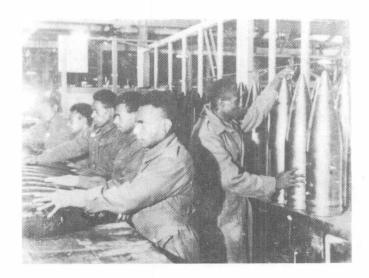


Plate 3: In a munitions factory, September 1942 (left to right: Nanai Egi (Tubuseleia village), Dedewa (Dorom, Rigo), Bauba Maba (Mambare R.?), De'ari Maba (Gulf), Nanai Gigovi (Kikori), Sgt.Mj.Pokonau (Manus Is.) [Missing sick was Kivovia from Vailala, Gulf]



Plate 4: Going for a flight (left to right: Mr Cowley (RAAF - killed in Papua), Bauba (Mambare), Nanai Egi (Tubuseleia), Capt.Stern/Still(?), Nanai Gigovi (Kikori), De'ari Maba (Gulf), Dedewa (Rigo), Mr Stanley (Geologist from Papua).) [Missing sick was Kivovia from Opa village, Vailala R. area, Gulf]

## 3.2.2 Nanai Gigovi's text

The recording made by Nanai Gigovi is 30 minutes long and consists of a number of tracks in Police Motu and one in Nanai's mother tongue Kerewo. The Police Motu tracks last for 25 minutes although because part of one track is repeated this section is only effectively 20 minutes long. The Kerewo track runs for five minutes and is a parallel one to the first part of the Police Motu recording.

In the recording Nanai is interviewed by another speaker of Police Motu whose identity is not known but who appears to be a native speaker of English. The interviewer's questions are short and do not occupy more than about two minutes of the recording time. Nanai's text was unscripted and he spoke freely and off the cuff. This recording has been transcribed and checked with Nanai. An extract from this transcript is included herein as Appendix 4.

## 3.2.3 Linguistic features of Nanai's text

Nanai's speech is characterised by the following linguistic features:

## (a) in phonology:

- (i) he does not use the full range of Motu sounds. In particular he does not use g or either of the diphthongs ao and ae, substituting g or k for the former, and au and ai for the latter respectively. Nor does he pronounce gwa as gwa but rather as kwo or ko (e.g. koraia or kworaia for gwauraia);
- (ii) he has distinctive pronunciations for some words, e.g. he says hanahanai for hanaihanai, hekula for hekure, idiena or idia-edia-ena for idia-edia, gaukworaia for gaukaraia, and omi for umui;
- (iii) he substitutes | for r and vice versa, and w for v;
- (iv) he often 'drops' h as in dio for diho, lai for lahi, daka for dahaka;
- (v) he uses a rhythm and intonation pattern more characteristic of his own language, Kerewo, than of Motu.<sup>23</sup>
- (b) in grammar he uses the same structures as have been described in the wartime written materials above where these overlap with one another. Where they do not overlap (as has been indicated in section 3.1.4 above) he:
  - (i) uses dekena as a general direction-cum-location marker and never -ai, e.g. kone dekena on the coast;
  - (ii) uses bema  $\dots$  (negana) for if and not baine  $\dots$  neganai, e.g.

bema lau noho lagani tamona negana gabu ibounai lau itaia ia ore if I stay year one time place all I see it finish If I'd have stayed for a year I would have (completely) seen everything.

(iii) uses be and ese as focus markers, e.g.

idia gwau ... hari be mase taudia idia karaia they say now focus dead people they make They said ... that it was our ancestors who were making the things now. Diapan ese idia stat Japan focus they start The Japanese started it

(iv) uses subject pronouns in imperatives, e.g.

Umui naria! Dohore ai mai. you(pl.) wait future we come You wait! We'll come.

Dohore! Oi naria! later you wait Later on! You wait (and see)!

(v) sometimes uses the plural object suffix  $-dia^{24}$  on verbs, e.g. compare:

diba taudia ia siaia know people they send they sent people who know

lau davaradia I found.them I found them.

Besides the structures he has in common with the written materials the following extra ones are exemplified in the text:

(i) ore or ia ore following verbs as a completive marker indicating that everything has been done or seen, etc.

idia diba ore, gau ibounai they know finish thing all They know all about everything

gabu ibounai lau itaia ia ore place all I see it finish I would have seen everything completely

(ii) traim is used as a verb in its own right and in combination with other verbs to indicate to try to do something, e.g.

lau ura traim
I want try
I wanted to try it.

ai faia ai traim traim we fire we try try We tried and tried to fire

(iii) ura is used before other verbs to indicate volition or desire, e.g.

lau ura hereva
I want say
I want to say
lau ura traim

lau ura traim I want try I wanted to try it

(iv) badina is used as a subordinating conjunction for because:

badina idia laloa Pafuom be idia be goada lasi because they think Papuans focus they focus strong not because they think that Papuans are not strong

(v) the third person singular pronoun ia is often used for the corresponding plural one idia as an anaphoric subject pronoun before verbs, e.g.

diba taudia ia siaia know people they send They sent people who know

(c) in vocabulary he uses a number of English derived forms, some of which are distinctly Pidgin English in character. The full list of these forms is (in order of occurrence in the text):

ITEM	MEANING	CONTEXT
misinigani	machine gun	misinigani idia karaia machine.gun they make.them they make machine guns
wosipi	warship	wosipi badadia idia karaia warship big.ones they make.them they make big warships
plaimasisi, plaimasini	aeroplane	plaimasisi ibounai idia karaia aeroplane all they make.them they make all the aeroplanes
mistim -	mix	tano bona auri idia nadua idia mistim soil and iron they cook they mix-them they cook up soil and iron and mix them together
laidi	light	ia daekau inai laidi bamona it goes.up this light like it goes up like this light
tanika	tank	idia bubua tanika dekena they tip.it tank into they tip it into the tank
misin	machine	idia abia lao misin dekena they get.it go machine in they take it in a machine
gavamani	government	gavamani ia bogahisi maraki lasi government it sad little not the government was very sad
Ostrelya	Australia	Ostrelya taudia idia bogahisi maraki las Australia people they sad little not the Australian people were very sad
Pafuom	Papuans	idia laloa Pafuom be idia be they think Papuans focus they focus
		goada lasi strong not they thought that Papuans were not strong
Gavana	governor	Gavana ia bogahisi governor he sad the Governor was sad

ITEM	MEANING	CONTEXT
plaua	bread	idia karaia plaua they make bread they make bread
raisi	rice	raisi idia karaia rice they make.it they make rice
bolomakau	cattle	bolomakau momo cattle plenty there were plenty of cattle
sipi	sheep	sipi momo sheep plenty there were plenty of sheep
nambawan	first	nambawan be Taunsvulo first focus Townsville the first one is Townsville
Taunsvulo	Townsville	nambawan be Taunsvulo first focus Townsville the first one is Townsville
seken	second	seken be Bulespen second focus Brisbane the second is Brisbane
Bulespen	Brisbane	seken be Bulespen second focus Brisbane the second is Brisbane
Sidin	Sydney	ia mai Sidin it come Sydney then there's Sydney
tauni	town	tauni kavakava town mad there are towns all over the place
faketri	factory	faketri momo factory plenty there were plenty of factories
wailisi	wireless, radio	wailisi dekena idia sikulu wireless about they learn they learn about wirelesses/radio
sikulu	learn	wailisi dekena idia sikulu wireless about they learn they learn about wirelesses/radio
stat	begin,start	Japan taudia ia stat Japan people they start the Japanese started it
Japan,Diapan	Japan	Japan taudia ia stat Japan people they start the Japanese started it

ITEM	MEANING	CONTEXT
tren,teleni	train	tren dekenai lau lao:::: train in I go::: I went a long way in the train
kempa	camp	inai kempa ibounai inai tau kurokuro this camp all this man white
		kavakava mad in all these camps there were white men all over the place
ami	army	ami solodia army soldier army soldier
solodia	soldier	solodia momo herea soldier plenty very there were very many soldiers
aut	out, finish, leave	memero matamata ia sikulu dekena ia boys new they school at they
		aut, ia gaukaraia finish they manufacture when new boys leave school they become producers
bomu	bomb	bomu ia negea Hanuabada dekena bomb they throw Hanuabada at they dropped bombs on Hanuabada
stanibai	be prepared, ready	ai danu ai stanibai we also we prepared/ready we were also prepared
Inglisi	English	lau laloa be Inglisi be momo herea I think focus English focus plenty very I think there are many more English (than Japanese)
hapu	half,part	hapu be idia negea half focus they throw.away they throw away half/part of it
eloplen	aeroplane	eloplen danu idia karaia aeroplane also they make.them they also make aeroplanes
injini	engine	injini lasi engine not they don't have any engine (in them)
bensini	petrol	0, bensini be halusia oh petrol focus cause.lose Oh, they waste petrol
halusia	waste	0, bensini be halusia oh petrol focus cause.lose Oh, they waste petrol

ITEM	MEANING	CONTEXT
motuka	motor car	<pre>ia motuka ese ia be [cut off] they car subject they focus they motor car</pre>
miti	meat	miti momo meat plenty there's plenty of meat
tin(i)	tin	tini dekena tin in in the tin
Amerika	American	Amerika danu lau dav - Amerika kini lau $\it America\ also\ I \ find\ America\ king\ I$
		lao itala. go see.him I came upon Americans also - I went and saw the American commander
poren	parade,line up, fall in on parade	memero ibounai ai poren boys all we fall.in all of us boys paraded
Papua	Рариа	ai be Papua we focus Papua we are Papuans
faia	shoot, fire	pidi danu lau faia rifle also I fired I also fired a rifle
blenigani	brengun	blenigani lau faia brengun I fired I fired a brengun
traim	try	ai faia ai traim traim we fire we try try we tried and tried to fire them
	test	lau umui traim I you(pl.) test I'm testing you(pl.)
tanika	tank (of war)	lau lao tanika gabuna dekena I go tank place to I went to where the tanks were
et thausen	eight thousand	et thausen idia gaukara iniseni eight thousand they work here eight thousand were working there
waia	wire	waia danu idia karaia wire also they make.it they also make wire
babuwaia	barbed wire	babuwaia danu idia karaia barbed wire also they make.it they also make barbed wire

Of these about two thirds are names for items that are foreign to Papuan culture and which were introduced by the intrusive colonial culture at different times. Thus there are names of towns and countries (Ostrelya, Pafuom, Taunsvulo, Bulespen, Sidin, Inglisi, Amerika, Papua), various kinds of machines and weapons (injini, motoka, tren, misin, fakteri, wailisi, plaimasini/eloplen, masinigani, blengani, wosipi, bomu(a), tanika), foodstuffs (plaua, raisi, bolomakau, sipi) and other miscellaneous items (tanika, tin, waia, babuwaia, Gavmani, Gavana, ami, solodia). All of these are clearly borrowings from English of one kind or another and can be dated fairly accurately according to specific cultural events, e.g. some such as Ostrelya, Sidin, Bulespen, Gavamani, Gavana, plaua, raisi, bolomakau, sip, tin, waia probably can be assigned unambiguously to the earliest phase of contact (although plaua, bolomakau and sipi are unexpected forms and will be returned to later). Others such as tauni, Papua, fakteri, injini, misini, tanika, waia and babuwaia have most probably to be assigned to a later period (e.g. early 1900s) when industrialisation began to be felt in Papua as mining and the plantation industry began to be developed and when British New Guinea was renamed Papua. Others again probably belong to later periods, e.g. 1920-30s for motoka, bensini, plaimisini/eloplen, and 1942 for the war related items of masiniqani, bleniqani, tanika, bomu(a), wailisi, ami, solodia, Amerika, Japan.

As indicated these items are straight borrowings from English and as such are generally uninteresting because of their form and because they are so expected. Yet it is important to recognise that all except the war related ones must be regarded as thoroughly integrated into Police Motu before the war, that is, they had been in use so long that they must be regarded as part of the language and no longer as borrowings sitting uncomfortably in the language. We return to this again later. Meanwhile there are a few items of this set which, because of their form, are interesting in other ways and are in need of some further discussion. These items are tauni, masinigani, blenigani, wosipi, motoka, plaimasini/eloplen, plaua, bolomakau, sipi.

Of these tauni, masinigani, blenigani and sipi are interesting because there were other words in Police Motu at the time that could have been used for these items. Thus, for example:

- (i) the Motu word hanua *village* covers the town concept and Nanai uses it in fact as such in the recorded text, e.g.
  - kone dekena hanua ia noho ... hanua badaherea ... hanua kavakava beach at town they stay town big.very town mad there are towns on the coast ... big towns ... towns everywhere
- (ii) the Police Motu word ipidi or pidigauna gun could have been used in combination with descriptive adjectives or phrases to give periphrastic equivalents of masinigani and blenigani;
- (iii) the Police Motu word mamoe (itself a borrowing of a common mission term for sheep in Polynesia) could have been used for sipi sheep. In fact Nanai uses both in this recording.

Of the remainder bolomakau is interesting because it is a borrowing of a common Pidgin English form for *beef*, *cattle*, plaua because it is not beredi (or something similar), wosipi, motoka and eloplen because they are not manawo, lori and plaimasini respectively.

But to return to the remaining vocabulary in the listing given above, that is, the third that are not names for items foreign to Papuan culture. These are (following the same order as in the listing):

NANAI'S PM	MEANING	MOTU EQUIVALENT (LTC)	COMMON OR POSSIBLE POW-PM FORMS
mistim laidi nambawan seken sikulu stat	mix light first second learn,school begin,start	buloa diari ginigunana iharuana hadibaia hamatamaia	giroagiroa diari, lahi ginigunana iharuana hadibaia hamatamaia
kempa	camp	taruka	hanua maraki maraki ta, ruma ta
stanibai	be prepared, ready	ha <del>g</del> oeva-ia, nari-a	hagoevaia, naria
traim	try,attempt	<pre>dibaia (to try a   thing) karaiatoho (to try   to do)</pre>	verb + itaia
hap(u)	half, part	kaha-na	kahana, duduna
halusia	waste	petapetalaia	negea kava, inua kava
miti	meat	vamu	vamu
poren	parade,line up, fall in on parade	? (varo karaia(?))	? (varo karaia(?))
faia	shoot, fire	pidia	pidia
et thausen	eight thousand	daha-taurahani	momo, momo herea, hutuma bada herea

In all except poren to parade there is a perfectly good Motu word which could have been borrowed by Police Motu speakers if they had consciously sought to expand their vocabulary using Motu as a base (as expected in the Central dialect and as implied in the definition of Police Motu as a simplified form of Motu), or a perfectly good Police Motu form or expression which could have been invented if the resources of the basic vocabulary of Police Motu were to be used to the full (as is implied in the definition of pidgin languages as languages making maximum use of minimum means). This can be seen from the above chart in the right-hand column. What then is the explanation for the occurrence of these words, some of which (e.g. mistim, nambawan, stanibai, traim) have a distinctly Pidgin English form?

There are two possible explanations:

- (a) they were not part of Police Motu at the time but were restricted to Nanai Gigovi's speech for some reason;
- (b) they were part of Police Motu at the time and not peculiar to Nanai Gigovi's speech.

There is no way of deciding between these two possibilities on purely formal grounds. The only way that this can be done is by (a) looking more closely at Nanai's background to see if there is some explanation to be found there for the use of these forms and/or (b) trying to determine by survey means whether these forms are also used by other Police Motu speakers of the same generation.

## 3.2.4 The source of Nanai's English-based vocabulary

## 3.2.4.1 Nanai's background

As already indicated Nanai comes from Babaguna village near Kikori in the Gulf of Papua. He grew up speaking Kerewo, a Kiwaian language (Wurm 1975:327-328) and when he was old enough went to work for Europeans in various capacities ranging from houseboy-cum-cook to labourer, launch driver and government interpreter. During this period he learned to speak 'English' and Police Motu. When war came to Papua he was engaged to help recruit labour from the Kikori area for the war effort before being summoned to Port Moresby to go on the propaganda tour already described. After he returned from Australia he served in the Papuan Infantry Battalion, attaining the rank of corporal. Following the cessation of hostilities he returned to his home area where he has remained apart from further short-term work on plantations, with the Australasian Petroleum Company (APC) and with the government. During this time he also learned some 'pure' Motu and more recently has been trying to learn some Tok Pisin (the official name for what used to be called New Guinea Pidgin (English), Neo-Melanesian, and Tok Boi).

There are some points about Nanai's background that help explain the origin of the unexpected English-based items in his Police Motu:

- (a) he learned to speak English reasonably well and does not seem to have gone through a 'broken English' stage characterised by the use of long, bilong, verbs marked by -im, and adjectives marked by -pela, at least this is not evident in his present-day speech as is indicated by the sample reproduced here in Appendix 5;
- (b) he did not know any Tok Pisin at the time he made the recording and has not learned much since;
- (c) he learned Police Motu before the war.

For these reasons we can be as sure as it is possible to be without more extensive records and earlier comparative materials that at least the following, if not all, of the above words were part of Police Motu before the war: mistim, nambawan, seken, stanibai, traim, halusia, and that the remainder (except for et thausen whose value no one at that time could possibly have appreciated) were probably so, or were coming in. This is so particularly because the set of forms in the 'sure' subset above (i.e. mistim, nambawan, etc.) are non-standard English ones in some way (e.g. halusia) and/or are common to Pidgin English of the southwest Pacific (mistim, nambawan, seken, stanibai, traim). Indeed the most likely source of these forms was the 'broken English' spoken throughout most of Papua before the war and introduced to eastern and western Papua before Police Motu was ever heard of.

### 3.2.4.2 Other evidence

As a check on these conclusions, however, and in an attempt to more clearly define the nature of Police Motu before the war, a survey was made of other speakers (both Papuan and expatriate) of Police Motu who had learned their Police Motu before the war.<sup>25</sup> The following techniques were used:

(a) Nanai's recording was played to speakers of Police Motu from other parts of Papua but especially from the Western Province since, according to the 1961 survey of Police Motu (Brett et al 1962:11), the form of Police Motu spoken there is intelligible to all other Police Motu speakers. It was

from this area also that recruits were mostly drawn in the early days of the first official police force. These were then asked to repeat those sections containing the above list of words and to explain these in their own words;

- (b) a list of words containing those items which I as a speaker of Police Motu since 1957 thought were "incorrect" or suspicious as being forced borrowings from Motu (forced in the sense of being there because the recorder thought they should be) was compiled from the three wartime published "descriptions" discussed above. This list is given in Appendix 6 and was used as a base to survey the content of the Police Motu of pre-war learners of the language;
- (c) speakers were questioned about vocabulary used in occupations that were common before the war (e.g. plantation, marine and mining industries) to try to fill out the vocabulary of possible English borrowings then current;
- (d) speakers were observed when speaking more casually and any interesting items noted.

The results of this investigation allow the following conclusions to be drawn:

- (1) Nanai's speech was typical of the time and readily understood by other speakers (and presumably this is why he was originally chosen to make this propaganda recording);
- (2) the English-based words in Nanai's speech are never given their "proper [English] pronunciation" as the author of the 1941 Handbook had urged earlier but are Papuanised or given a pronunciation in accord with the phonotactics of speakers of Papuan languages. Nor are they always recognised as English borrowings. Indeed many have become so integrated that they are not recognised as English borrowings but are regarded as part of Police Motu;
- (3) speakers recognise that synonyms exist for many items (e.g. mamoe and sipi for sheep, hanua and tauni for town see Appendix 7) but choice of which item to use when, generally depends on other factors (e.g. the company one is in at the time of speaking);
- (4) many speakers can vary their speech along a scale towards Motu and what is today called the Central dialect of Police Motu because of their contact with Motu speakers in Port Moresby, or on government stations where Motu speakers were engaged as clerks in the days when they were better educated than other Papuans, or on London Missionary Society mission stations;
- (5) English and Motu were not the only sources of borrowing into Police Motu. Some items were borrowed from individual Papuan languages, but especially Suau, the Austronesian language spoken along the southern coast just west of Milne Bay (see Appendix 8). Thus the items kamkam fowl, bush fowl, 0 kapore oh sorry, susu milk, and dimdim white man are to be traced to this source. 26 Others have come from Koriki (nakimi brother-in-law), from one or more Binanderean languages of the Northern Province (kiki to yarn), and from languages in the Torres Straits (mamoos, mamus chief, policeman);
- (6) there were and still are many more English-based words in Police Motu than is generally recognised, and this number has been constantly increasing as new cultural items have been introduced into the country (as was recognised by the author of the 1941 Handbook as noted above). Some examples are given in Appendix 7;
- (7) the linguistic picture was even more complicated than the 1961 survey (Brett et al 1962) indicates. Thus there was variation between areas (see point 5

- above), across speakers (point 4 above), and according to company (see point 3 above).
- (8) the 1941 Handbook materials are closest to Nanai's in phonology and vocabulary but it is clear, as suspected, that some of the vocabulary presented in that account are elicitation or learning errors. On the other hand many of the Motu words in the vocabularies of the other two accounts were not part of Police Motu, at least as spoken by those outside of the Port Moresby area see Appendices 7 and 8;
- (9) the following grammatical features discussed earlier were not part of Police Motu spoken outside of the Port Moresby area:
  - (i) -ai as direction or location marker;
  - (ii) baine ... neganai if;
  - (iii) edeheto how;
  - (iv) object suffixes on verbs.

#### 4. CONCLUSION: THE NATURE OF POLICE MOTU BEFORE THE WAR AND RELATED ISSUES

Now that all relevant materials and evidence have been presented and discussed it is possible to return to some of the questions raised by the wartime written and oral material discussed above and in the process to say something more precise about the nature of Police Motu before the war and subsequently.

The following seem to be the most pertinent observations to make:

- (1) pre-war Police Motu was very similar to post-war and modern Police Motu. In particular it probably consisted of two dialects as today but with complex variation within and between these, particularly in respect of phonology and vocabulary, but also to some extent (though not very much) in grammar;
- pre-war Police Motu was never purely Motu-derived in vocabulary but consisted of a basic vocabulary drawn from Motu (generally for those items and concepts that were part of Papuan culture at the time of contact, but not completely) a few loans from other languages of Papua, and an ever-increasing number of English-based loans (that kept pace with introduced cultural items and ideas). In the early days "broken English" was the principal source of these loans but gradually this gave way to standard English as the source (and now to Tok Pisin as well - for example, pamuk prostitute is replacing siari kekeni) as knowledge of English improves. In the 1941 Handbook this phenomenon was recognised although the implications of it were not followed through. The myth that Police Motu consists solely of Motu-based vocabulary (except for a few well-known exceptions) continues to be perpetuated in written descriptions of the language today. However, once the above fact is appreciated Lock's statements noted above in section 3.1.2. about Police Motu being difficult for Motu speakers to understand and about policemen adding more Motu words to the bastard language become intelligible or take on a new significance. These would be natural consequences of a language full of unfamiliar English and other language-based loans. By the same token this also probably partly explains why Police Motu is so similar to present-day Tok Pisin in many respects, a question raised some time ago but never adequately answered (Dutton 1976);
- (3) there was never any standard form of Police Motu but the tendency has always been to regard that form of it that is 'closest' to Motu as the standard

form. This tendency is clear in two out of the three sets of written material described herein and is continued in all but the latest written descriptions of the language after the war. Indeed in the earliest and best known of these accounts (Chatterton 1946, 1950, n.d.) an attempt was made to tidy up the language and to create an improved version which "while maintaining a reasonable measure of simplicity" avoids "unnecessary barbarities" (Chatterton 1950:5). This tendency to try and upgrade the language by ('pure') Motu-ising it was bound up with European notions of correctness as well as with their negative attitudes towards pidgin languages in general at the time. In Police Motu's case the language was constantly compared to 'pure', or (as Sir Hubert Murray, the first and longest serving Lieutenant Governor of Papua, insisted on calling it) "classical" Motu, and its "barbarities" emphasised;

(4) the war had a very significant impact on Papuans and on their lingua franca Police Motu. Because of the large numbers of Papuans involved as labourers in the war effort and because of the language policies and practices adopted by the allied forces Police Motu was given a significant boost in status: it had been formally recognised as an important language whose use had been actively encouraged by powerful bosses, it had been described and recorded, and it had been used in broadcasting for the first time. But the language itself does not appear to have been affected much by this change except that many new English-derived war-related vocabulary items were added to its lexical store.

## NOTES

- 1. This paper is the result of an ongoing investigation into the history of Police Motu, the full results of which will be published in book form, tentatively titled Police Motu: iena sivarai. I am grateful to the Australian National University for the funds and opportunity made available to carry out the research upon which this paper is based. I am also grateful to the many colleagues who have listened to or read other versions or parts of the details presented in this paper over the past year or so, although none is to be held responsible for the views presented herein.
- 2. Before the war the country that is today called Papua New Guinea consisted of two separate territories, the Territory of Papua and the Trust Territory of New Guinea. During the war a military administration replaced the civilian one. During this period both territories were officially called "Australian New Guinea". After the war the two territories were combined as Papua-New Guinea until 1949 when they became the Territory of Papua and New Guinea (Nelson 1982:fn.1).
- 3. For fuller details on aspects of the war in the Pacific and Papua New Guinea in particular see Barrett 1969, Long 1973, McCarthy 1959 and Nelson 1982.
- 4. The other was 'broken' English which is referred to in more detail later.
- 5. Although the language is officially known as Hiri Motu, and has been since 1970 when the name was first mooted, it is not generally used by speakers outside of the Port Moresby area. Most of those I have questioned about this name confuse it with 'pure' Motu because of the widely known association

- of the Motu with the hiri (the Motu name for their (former) annual trading voyages to the Gulf of Papua).
- 6. F.E. Williams, the Government Anthropologist at the time, described it as such in an unpublished manuscript giving general hints about how army personnel unfamiliar with Papuans and New Guineans should treat them (Australian War Memorial, File 506/1/1).
- 7. It is difficult to be precise about this because no records were kept. But there are sufficient references to the use of "English" in the force and attempts to improve it that it is fairly clear what the situation was.
- 8. This description of the distribution of Police Motu before the war is based on statements in the wartime written materials discussed in this paper and on the distribution statements made in the published account of a linguistic survey of Police Motu made in 1961 (Brett et al 1962) referred to later in this paper.
- 9. Unbelievable as this may sound I have so far been unable to locate a single note, letter, or other material in the language before the war. Part of the reason for this is probably that the language was mainly a spoken one and few letters or records of it were kept; another part of the reason is probably that no one ever thought about preserving material in it it was after all regarded as hardly a language in European eyes.
- 10. The background to this is that in early 1941 Allied Intelligence was informed that Japan seemed likely to enter the war soon to assist Germany. If so it would move southwards towards the British stronghold of Singapore as a first and immediate goal (Long 1973:107-108). 1941 therefore saw a quickening of preparations for war in Australia. As part of those preparations additional troops were sent to Port Moresby and intelligence gathering in the Australian region stepped up (McCarthy 1959:9-12;41).
- 11. These are located in the Australian War Memorial in the following places:
  (a) File 5.6; (b) File 506/9/4; and (c) File 506/9/1. I should like to thank the Australian War Memorial for permission to carry out research in their archives and for the patient assistance provided in helping to locate some of this material during renovations to the Memorial.
- 12. I have been unable to identify the authors of these two manuscripts.
- 13. As will be pointed out below Pastor Lock was subsequently killed in 1951 when Mount Lamington erupted, so that he cannot be questioned about his manuscript. Information about his life and work and opinions about his manuscript have, however, been obtained from his brother Pastor L.N. Lock, who also lived and worked in Papua and knows, and still does translation work in, Hiri (or Police) Motu. I should like to thank Pastor Lock for his generous assistance in this regard and for kindly letting me hear a recording of his brother making a radio broadcast after the war. This information is used in assessing Maynard's material below in section 3.1.2.
- 14. I use my own knowledge of the language as a guide in making decisions about what Police Motu was like after the war as I first went to Papua in 1957 and learned Police Motu soon afterwards. There are also good comparative texts available in my own and colleagues' fieldwork tapes from 1965 onwards, some of which appear in Dutton and Voorhoeve 1974 for example.
- 15. Note that this and the following statements are only generally true. There are counter examples to each of the following points but only sufficient to prove the rule. The author also makes mistakes sometimes, e.g. when he/she writes tau for man as in Motu, when by the author's own rules (and assuming

- that the word has not changed form in the meantime) this should have been written tow. The author also uses double consonants at times (e.g. gar-ree for gari afraid) but these are ignored for present purposes as they are not consistent and do not seem to indicate particular phonological features.
- 16. In these and other examples the Handbook entries are respelled using standard Police Motu orthography, that is, the sounds represented by the English orthography are given their Police Motu orthographic values. Note that this is not the same as giving them the standard Police Motu form.
- 17. This claim is based on my own extensive experience of the pronunciation of Police Motu by speakers from different areas of Papua after the war. It could be formally documented, however, if necessary, but some support for it can be obtained by listening to appropriate texts in Dutton and Voorhoeve 1974:Units 11 and 12.
- For example, Sir Hubert Murray who was Lieutenant Governor of Papua for 33 years regarded the language as "a bastard jargon, almost as bad as pidgin English" and hoped that it would "also, in time, disappear" (Murray 1930:
- 19. The following details come from Australian War Memorial File 431/8/3: "Propaganda Tour by New Guinea Natives". This particular quotation is taken from p.2 of this document.
- 20. This was Sergeant Pegano of Manus Island, as indicated by the text in Pidgin English on the end of the recording containing the Police Motu text.
- This party was under the official care of Warrant Officer "Bill" Gordon of ANGAU. It was also accompanied by at least one other European, Mr G.A.V. Stanley, a geologist in pre-war Papua fondly referred to by Papuans as uda boroma bush pig.
- 22. A copy of the transcription is also being made available to the archives of the Australian War Memorial and, it is hoped, will be published later.
- This is easily confirmed by listening to Nanai's parallel propaganda text in Kerewo already referred to.
- Other suffixes do not appear in the text because of the nature of the text but when interviewed recently about the use of other suffixes (e.g. -qu me, -mu you, etc.) Nanai explained in essence that he does not use them unless he is in Port Moresby or is speaking to a Motu speaker.
- 25. The opportunity was also taken to ask speakers about the distribution, use and understanding of the official name Hiri Motu whenever possible as well as about the present situation of the language. The results of this brief investigation will be reported elsewhere.
- Susu is presumed to have come directly from Suau although it may well have come from 'broken English' as it is a common form for milk in Pidgin Englishes of the south-west Pacific.
- The generally hitherto accepted view of the origin of kiki is that it comes from the Korafe language near Tufi. However, it is more likely that it comes from one of the other Binanderean languages, most likely Binandere itself. But as investigations into this are still continuing it is not possible to give a more definite answer to the question here.

## Appendix 1: Excerpt from the 1941 Handbook of Motuan (Police Motu)

#### NOTES ON MOTUAN

The following vocabulary, by no means comprehensive, is made up of words and phrases in common use and the spelling is as near as possible to the pronunciation of the word.

This language is used universally in the Central Division of Papua and east along the coast until the influence of Samarai is reached. Although understood by many in this area, it is not generally used, Soo-ow and English replacing it. Its use is quite general along the coast west of Port Moresby, until it gives way to the influence of Bamu and Kiwai tongues. Even here it is not out of place. The language is quickly acquired by natives who have been recruited for service in the districts under the influence of Port Moresby, and they retain a good knowledge long after they return to their homes. This has brought about the universal use of the language.

When speaking Motuan, any tendency to drawl must be avoided, as it is normally spoken quickly, and slowness will cause it to be misunderstood. Intonation plays a big part, and should be practised from the start.

It will be seen by a perusal of the Vocabulary, that quite a number of words when used to refer to the first person have gu added to the stem, e.g.:

ENGLISH	MOTUAN EQUIVALENT	1st PERSON
Father Mother Give	tama-na sina-na	tama-gu sina-gu
Give	henni-a	henni-gu

The gu is derived from:

ENGLISH	MOTUAN
Me or I	lau
My or mine	lau-egu

Except where preceded by the pronoun, the noun is always used first, e.g.:

ENGLISH	MOTUAN
Bring the axe	ee-la mai-laya
Bring your axe	oi-emu ee-la mai-laya

Quite a large proportion of the natives who are in constant contact with the white man speak good English and in dealing with these the stranger has no difficulty. A native will more readily understand good English than Pidgin, the use of which is always discouraged.

If you cannot find a word in the Vocabulary such as horse, launch, bicycle, motor-car, use the English word with its proper pronunciation. All such importations of civilisation have no word in the Motuan tongue and English is adopted.

Under Native Regulation, No.1 of 1907, the attendance of native children at school is compulsory, providing English is taught. It appears from the reports of the magistrates and others that English is now taught at practically all mission stations where there are white teachers.

By an order-in-council, white officials are instructed to use English in speaking to Government native employees, police, and prisoners, and to extend, where possible, a knowledge of English.

## CARDINAL NUMBERS

 one
 tah

 two
 rua

 three
 toi

 four
 har-nee

 five
 ee-ma

 six
 taura-toi

 seven
 heetu

eight taura-harnee
nine taura-harnee-ta

ten ku-ota

ENGLISH	MOTUAN (as pronounced)	ENGLISH	MOTUAN (as pronounced)
A		В	
about (to go about)	giroa-low (ow as in plow)	baby bad	nar-toona deeka
above	a-taya	bag (net)	kee-arpa
a bit of	taina	banana	bee-koo
accept	ubia (ub as in hub)	barber	wee-wheena ootooa towna
a great many	mor-mor herea	bandicoot	mar-da
afraid	qar-ree	bark (of a tree)	ow-korpeena
afraid of	gar-ree gar-reena	basket	kee-arpa
again	nega-tar (sound e	batchelor	oo-ow towna
3	as air)	bathe	dee-goo
age, old	buruka	bathe (place to)	dee-goo ga-boona
aid me	durugu	bath	dee-goo gowna
aid (others)	durua	bathroom	dee-goo roomah
afternoon	ador-ray	battle	too-warry
a little	tai-na, or sisina	beach	kornee
all	ee-bone-ay	beat (to strike)	bot-taya
alongside	buddi-budina (i	beads	ar-gewa (e sound
	as ee)		as air)
almost (nearly)	kyla-kyla	because	ba-dinna
altogether (at one	nega tar-mona	bed	mar-hoota gowna
time)	-	bedroom	mar-hoota gubuna
also	dar-noo	behind	muri-nay
always	hunna-hunay	bell	gar-ba
angry	daggi-daggi	bell (to ring)	gar-ba tor-wa
ankle	hai-na	belly	bo-kana
arise	torisi (i as ee)	below (in the	hen-u-henu-ay
arm	ee-man-a	valley)	
armlet (plaited)	gar-na	beneath (under)	hen-u-nay
ask	h'nana-daya	beg (or cadge)	noi-e-noi-e
assault	bot-taya	big (large)	barda herea
assist	duru-a	bind (tie up)	kwut-tooa
at once (quickly)	ka-hurra-ga	bird	marnoo
awake (to)	torisi (i as ee)	biscuit	kis-kis
axe	eela	bite	kolia

ENGLISH	MOTUAN	ENGLISH	MOTUAN
bitter (unripe fruit)	ku-see-ree	cook (to)	nar-dua
black	koremi-koremi	cost	davana
bleeding	lala-la dio	cost (what is cost	davana heda
blind (cannot see)	mata-keboori	of)	
blood	lar-la	count	doo-waya
boiling water	wasee-oh	crocodile	oo-wulla
boots	tamukka	crooked (not	ga-gewa
bone	too-re-enna	straight)	J= J==
bottle	boh-tohl	cry	tai
bow (and arrow)	POW	cut	oo-tooa
bowels	bo-kana	cut (firewood)	lah-hee siria
boy	merro	cut () ti ewood)	rail fice 3111a
	merro mara-kee	D	
boy, small		damp	purri-purri
box	mow-wa	dance	ma-var-ru
bread	pa-lowa	dark	di-boora
break	makoi-ia	dawn	dubba-raeri
bridge	oo-wupoo	day	dinana
bring	my-laya	day (this)	hari-dinana
bring more	haida-mai-laya	dear (expensive)	davana-barda
broom	dar-hua gowna	deep	dobu-dobu
brother (elder)	kukunna	die (or dead)	massi
brother (younger)	tadina	different	eedau
build or make	gurria	dig	gay-ia
burn	garboo-a	dinner-time (midday)	dina-toopoo
bury	hardoa-tarnoo-ai	dirt (on anything)	mee-ru
buy	hoi-ia		
		dirty distant	mee-ru mor-moa
C call	hai-hai		dow-dow
	boi-boi	distant (very far)	dow-dow herea
cane (Loia)	ow-ru	ditch, drain or	sina-wy
canoe	wonnugi	stream	
canvas	pa-lai	do	kurria
cap	kora-gowna	do you understand	oi-deepa
careful (to be)	nah-ria namo	dog	siss-ia
	namo	door	eedoo-wurra
carry	hoo-waya	doubtful	sed-ila
case (box)	mow-wa	dream	nee-hee
chair	helai-gowna	drink	ee-noo-a
chase	roo-rooa	drum	garba
chicken	koka-rogo	drunk	kava (mura-mura
chicken (Samarai and	kum-kum		kava)
District)		dry	kow-kow
child	nar-toona	dugong (or sea cow)	loo-y
clay	tar-noo		
climb	dy-kow	E ears	***
clock	dina-gowna	earth	ty-una
closet (W.C.)	kukuri-gaboona	eat	tar-noo
cloth, clothes	darboo-a		anna-ia
coconut	ni-u	eggs	kut-toy
cold	keru (e sound as	eight	towra-harni
	air)	embrace	ross-ia
comb	edu-wurri	empty	anina-las
come	mai	enough	wadarni
	··· <del>-</del> ·		

## Appendix 2: Excerpt from Lock's "Police Motu"

"Police" Motu is the principal language spoken almost throughout the Territory of Papua. "Pure" Motu is the language spoken by the coastal natives in the MORESBY District, or as it was originally known The Central District. This area extends on the East as far as GABAGABA and on the West as far as MANUMANU.

Strange as it may seem, "Police" Motu is difficult to understand by many "Pure" Motu speakers, unless they have had much practice. It sounds as bad to them as "Pigin" English does to us, and it is really a bastard language.

Nevertheless, to the newcomer, it is a much easier language to learn than "Pure" Motu. The grammar is much more simple, and there are very few set rules. It is called "Police" Motu because it was originally made up and spread throughout the Territory by Native Police, who, after being trained in Port Moresby, were sent to outstations in areas of a different language group. Each picked up more Motu words and added them to the Bastard language.

The main difference lies in the noun and the pronoun, the latter usually taking the third person. In verbs, there is no change in tense, as in "Pure" Motu. There are also other minor differences which are dealt with under separate headings.

#### MOTU GRAMMAR

In Police Motu there are no set rules of grammar. The following headings set out practically all that is necessary to speak the language.

The alphabet consists of 19 letters: a, e, i, o, u, b, d, g and  $\underline{g}$ , h, k, l, m, n, k, p, s, t, v, and two compound letters, kw and gw.

The vowels have the Continental sound and are usually long as in: father, met, it, more, put.

The Consonants are pronounced as in English but at times the  ${\tt g}$  has the  ${\tt ng}$  sound.

There are many Diphthongs, e.g.: ae, ai, ao, au, ei, eu, oi, and ou. No two consonants ever stand together.

There is no rule for accent.

- 1. THE NOUN. Nouns are usually primitive as: au tree, nadi stone.
- 2. GENDER. This is not shown other than by the meaning of the word.
- 3. NUMBER. This also does not generally affect the noun. Hisiu is star or stars. A very few nouns have the first syllable duplicated for the plural form: mero boy, memero boys, tau man, tatau men.
- 4. The PRONOUN. Personal pronouns only have the person.

Singular		Plura	al		
1st lau 2nd oi 3rd ia	I thou, you he, she, it	2nd	ita umul idia	уои	ai (excl.)

#### POLICE MOTU SYNTAX

THE SIMPLE SENTENCE. In a Police Motu sentence the subject or nominative usually stands first, followed by the Object, or accusative, if any. The Verb generally goes at the end of the sentence. For example:

Unai mero maragi lauegu tadina ia botaia. That little boy hit my young brother.

Lauegu imana lau utua.

I cut my hand.

Unai ira mailaia. Bring that axe.

Unai be daika ena natuna? Whose child is that?

COMPLEX SENTENCES. In a complex sentence the Object still comes last. e.g.:

Ruma haginia tauna ia heau vadaeni.

The man who built the house has run away.

Ai lao unai motumotu dekenai, vadaeni kerukeru ai giroa lou. We are going to that island, and will return tomorrow.

It is hard to set any rule in the clauses of the sentences, as it would be of no practical use, as nearly all the different Tribes have their own formation of the Police Motu sentences. As I have already stated it is not a real language and the best I can do is to offer a few examples of the general formation of the sentences.

- 1. Will be the Motu sentence.
- 2. Will be the English translation.
- 3. Will be the English meaning.
- 1. Dohore hari dina, lau lao digudigu.
- 2. Yet now day I go swim.
- 3. I am going for a swim today.
- 1. Oi dekenai aniani haida ia noho?
- 2. You with some food it stop?
- 3. Have you got anything to eat?
- 1. Hari dina be edeseni oi lao?
- 2. Now day is where you go?
- 3. Where are you going today?
- 1. Lauegu tamana be edeseni?
- 2. My father is where?
- 3. Where is my father?
- 1. Lauegu kakana be kahirakahira ia mase.
- 2. My brother is nearly he dead.
- 3. My brother is dying.
- 1. Motuka dekenai ia moru tauna, harihari sisina ia namo.
- 2. Motor-car at he fell man, now bit he good.
- 3. The man who fell off of the truck is now getting better.

word	hereva	yam	maho	yonder	ununseni
work	gaukara	yawn	mava	you(sg.)	oi
world	tanobada	year	lagani	you(pl.)	umu i
worship	guriguri	yell	boiboi	young	natuna, karukaru
write	torea	yes	oibe, io	youth	tauhu
wrong	kerere	yesterday	varani		

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#### PHRASES

Lau mai. I come. I am coming. I have come.

Oi mai. You come. You are coming. Are you coming? Ia mai. He comes. He is coming. Is he coming?

Lau gorere. I am sick.
Oi gorere? Are you sick?
la gorere. he is sick.

### COMPLEX SENTENCES

- 1. The aeroplane fell down in the hill over there and I want you to go and find it.
- 2. Unai aeroplane be ororo kahanai ia moru diho, lau ura oi lao bona oi tahua.
- 1. When I tell you to do something I don't want you to stand there and look at me, go and do it.
- Baine lau hamaoromu neganai gau ta oi kataia, lau ura lasi unuseni oi gini bona oi itagu, oi lao bona oi karaia.
- 1. Today we are going for a long walk, and I do not want any of you to sit down on the way, because this is a test of your strength.
- Hari dina ita lao loaloa daudau, vadaeni lau ura lasi ta daladekena, umui helai diho badina inai be umui goada ai tohoa.

#### NUMERALS

ruahui one ta, taomona twenty thirty toihui two rua three toi haniahui fortyfour imahui hani fifty five ima sixty tauratoi-ahui sixtauratoi seventy hitu-ahui seven hitu eighty taurahani-ahui ninety eight taurahani taurahani-ta-ahui hundred nine taurahani-ta sinahu thousand ten qwauta daha

Note: after every ten first numeral is repeated such as thirteen gwauta-toi, twenty-three ruahui-toi, forty-three hariahui, and so on.

## COLOURS

black koremakorema
blue gadoka-gadoka
brown gagare
red kakakaka
areen kasiri-kasiri

aba; s.	bush turkey		take again
abata	flooded river		to bring
abia; v.t.	to have; to hold		scrotum
abiahanaia	take across		husband, wife
abia hebou	take together	adavaia	to marry
abiaisi	to lift up	adorahi	afternoon
abia kava	take without reason	ageva	beads parting with friends
abia lasi	do not take	ahetoni	

ahu ai aiha aivara ane aneru	lime we (excl. of person addressed) centipede canoe pole song, hymn angel used at end of sen-	au ramuna au rigina auka auka masemase auri ava ava raua	roots branches firm, hard, difficult very hard iron, metal weeds to weed
aniani anina; s. anina aonega; s. a. araia	tence when answer expected food, to eat flesh of body substance; meaning of a thing wisdom, intelligence wise to set fire to	B bada; a. s. badina badina; pp. badu bamona bara; s. v.i. baragi; s.	large, gread size equivalent (because) by the side of, nearby angry, anger, to be angry the same, companion oar to row lungs
aria ariara natuna ariara hahine asimana atai ai atoa au au badina au burena au dorina au kopina au maragina	feast illegitimate child prostitute to sneeze above to place, set tree trunk of a tree flower of a tree top of a tree bark of a tree small tree	baubau bava be bema beni bero bese biaguna	bamboo a crab used in a question with the meaning of why? with spleen wound nation master, owner

Appendix 3: Excerpt from the "Police Motuan Vocabulary"\*

### VOCABULARY

ENGLISH	POLICE MOTUAN	ENGLISH	POLICE MOTUAN
(A)		box	maua
abdomen, belly	bogana	boy	mero
	•		
above	atai	break (in half)	kwa i du
ache	hisisi	break (smash)	makohi
across (to go)	hanai	bring	mailaia
adze	omo	brother: younger	tadina
afraid	gari	elder	kakana
another kind	i dau	burn	gabua
afternoon	adorahi	bury	guria
aid or assist	durua	buy	hoihoi (hoia)
alive	mauri	blood	rara
all	ibounai		
alone	sibona	(C)	
I alone	lau sibogu	call	boiboi
he alone	ia sibona	canoe	vanagi
also	danu	carry	huaia
and		carry away	laohuaia
	bona :b:	catch	gobia
altogether	ibonai	chest (of man)	keme
anger	badu	child	natuna
another	ta	chop	siria
any	haida	close	kailakaila
arise	toreisi	cloth	dabua
arm.	imana	cocoanut	niu
arrive	ginidai	cold	keruma
ask	henanadai	come	mai
assist	durua	cook	nadua
auger	i budu		
axe	ira	copper	kepa
(B)		crab: large small	bava
	noinoi		dubara
beg bad	dika	crayfish crocodile	ura
bag (rice)	_		huala 
	pusi	cry	tai
bag (native) bamboo	kiapa haubau	cut	utua
	baubau L:L	cuscus (o'possum)	vaura
banana banata	biku	(D)	
basket	bosea (bosia)	damage (to)	hadikaia
bathe	digu	dance	mavaru
beach	kone	day	dina
beads	ageva	dead	mase
because	badina (used also	deaf	taia kudima
7 7 7 7	as why)	deep	dobu
behind	murinai	dig	geia
bell	baga	dirt	miro
beyond	unukahana (the	dog	sisia
	other side)	door	lduara
big	bada	draw water	
black	koremakorema (some-	dream	ranu utua nihi
	times korema)	aream drink	
blind	matakepulu	drum	inua
		arwn	gaba

<sup>\*</sup>Where an obvious typographical error has occurred in this listing the correct form is given in [ ]s following an 'equals' sign.

#### SENTENCES

OF BUILDING

We will build a house here for the boys to sleep in

Take axes and knives and go into the bush and cut the necessary timber

Cut plenty of long poles

Some boys will cut grass for thatching

Don't cut the short grass

Only long grass is of any use

Tie these poles securely

Dig deep holes for the uprights

Ram the earth well down beside the post

If the post moves when pushed it is no good

Stand the post straight up

Tie on plenty of scaffolding

The rain may come through the thatching in several places, close them up securely

Make a door at this end

Too many boys are splitting cane. Some are to come and assist the builders

OF THE SEA

The canoe is on the beach

The paddles are on the canoe

The mast and sail are also there

The sea is very rough

We will wait for high tide

The canoe has sunk

Some boys go and fix it up (done by rocking back and forth)

You go and catch fish (with hook and line)

You go and catch fish (with spear)

MEDICAL

Are you ill?

I have a bad cough

I have a head-ache

Memerodia mahuta rumana ita karaia

Ira bona kaia abia lao udai ruma karaia auna utua

Au latadia momo utua

Memero haida idia kurukuru utua

Kurukuru kwadogina utua lasi Kurukuru latana sibona ia namo

Ine au kwatua namu namu

Augini gurina geia dobu dobu (ha'dobua)

Tano gwadaia auka, au badibadina

Bema au doria ia marere-marere vadani ia dika

Au haginia maoro maoro

Aena moia auna momo kwatua

Gabu haida medu ia diho garina, kohua namo namo

ITAIIIO ITAIIIO

la kahana eduara karaia

Memero momo idia waro haparara-ia a haida mai ruma karaia taudia durua

Vanagi ia noho kone-ai

Bara idia nono [=noho] vanagi dekena

Autubu bena lara danu idia noho vanagi ai

Davara ia hurehure mo mo

Davara bada ita naria

Vanagi ia mutu

Memero haida idia lao, pataia

Oi lao kwarume veria

Oi lao kwarume gwadaia

Oi gorere bada a?

Lau huahua dikadika

Lauegu kworana ia isis i

What is the matter with you?

How did you cut your leg?

The swelling came of its own accord.

Have those sores on your face been treated?

I have the symptoms of dysentery (there is blood in my excreta)

I have pains in the stomach

The cough causes a pain in my chest

GENERAL

You come here Come up here

Go down there

Listen to what I have to say

Do you understand? When will he arrive?

Come quickly when I call

Did he send a letter (note)?

I will send a letter (note) later

Why have the boys been quarrelling?

One boy has been stealing
One boy has stolen something

The boys have gone hunting

Take this ... away

Place it on the store verandah

Bring me that box

THE TRACK - CARRYING

We leave at daylight tomorrow morning

How many nights must we spend on this track?

How many large hills are there to cross?

How many rivers are there on this track?

Are there any big rivers?

Are any likely to be in flood?

Go ahead and look for a good camping place for tonight

How many boys deserted last night?

Oi edeheto a?

Ede bamona oi aena utua?

Sibona ia gudu

Toto oiemu vairana dekena idia muramura

atoa?

Lau kukuri neganai rara ia diho

Lau bogana ia isisi

Lau huahua negena kemenaia isisi

Oi mai iniseni

Daekau mai iniseni

Diko [=diho] lao unuseni

Lauegu hereva oi (umi) kamonai

Oi (umi) diba

Edena negai ia ginidae?

Lau boiboi negana oi (umi) mai haraga

la revareva siai-a?

Gabea lau revareva siai-a

Badina dahaka memero idia heatu?

Mero ta ia henaohenao Mero ta ia gau ta henao Memero idia lao labana

Ina ... laohaia

Sitoa dehena dekena atoa

Una maua mailaia

Kerukeru dabairere ita ai lao

Nega hida ita (ai) mahuta ina dala

dekena?

Ororo badadia hida ita (ai) hanaia?

Sinavai hida, ina dala dekena ia noho?

Sinavai hida be badadia?

Sedira haida ia abata?

Oi lao guna hari hanuaboi mahuta gabu namona tahua

Varani hanuaboi memero hida idia heau

See that these things are not spoilt by the rain

Have these packages (bundles, parcels) prepared for carrying by the boys

Watch these things in case someone steals them

The lashings are not secure and may come undone

The men with the guns are coming behind

Are they far behind?
Erect the tents here

One boy cut some firewood

One boy draw some water from the creek

Have the boys had their meal?

WORK GENERALLY
Have the boys gone to work?
Where are the boys working?
Tell them to cease that job

The boys will cut grass this afternoon

Five boys will dig this drain a little deeper

The boys will come to the store at midday

What have you been doing today?

You go and get the axe The axe has been lost Go and search for the axe

I have searched in vain How many knives are there?

Give me two knives

These knives are blunt Have the knife sharpened

Whose knife is this?

Ina gauna naria namonamo, medu ia hadikaia garina

Ina ikumi karia [=karaia] namonamo memero idia huaia gwauraia

Ina gaudia naria, tau ta ia henao garina

Ina waro idia kwatua namonamo lasi, sibona ia ruhaia garina

lpidi huaua taudia idia mai murinai

Murinai duadau idia mai? Palai rumana karaia iniseni Mero ta lahi taina siria Mero ta diho sinavai ranu utua

Memero icia [=idia] aniani vadani

Memero idia lao gaukaraia? Memero edesini idia gaukaraia? Hamaoro-a idia hadokoa

Hari adorahai memero idia kurukuru (rei) utua

Memero ima idia ina lesi (guri) geia sisina dobu

Dina tubu memero idia mai sitoa dekena

Hari oi (umi) dahaka karaia?

Oi lao ira abia

Ira ia boio

Oi lao ira tahua

Lau tahua mose [=mase]

Kaia hida ia noho?

Keia rua oi heniqu

Ina kaia matana lasi

Kaia matana segea

Ina daikena kaia?

Appendix 4: Excerpt from Nanai Gigovi's wartime propaganda recording

This excerpt is transcribed using standard Police Motu orthography. In addition the following symbols are used:

- ... speech continues but is interrupted by another
- -- faltering, false start, change of mind
- , separates utterances that may be closely linked phonologically into grammatically meaningful units
- indicates the end of sentence; also separates multi-word glosses for a single Police Motu word or morpheme

As well speakers are identified as follows:

- I: Interviewer
- N: Nanai Gigovi

## TAPE P1/83, SIDE 1:

- I: Umui lao negana dahaka umui itaia, tau kurokuro hanua dekenai? you(pl.) go time what you(pl.) see man white village at
- momo idia karaia, tau kurokuro. Guna idia hereva, momo, diba oh thing plenty they make man white plenty know before they say lasi taudia, idia gwau inai aniani gaudia ibounai, ipidi ibounai, wosipi not persons they say this food things all warship gun all ibounai, stima ibounai, tau kurokuro ia karaia lasi, hari be mase taudia boat all man white he make notnow focus dead people idia karaia. Lasi, mase taudia idia karaia lasi. Lau Nanai lau ura hereva, no dead people they make not Ι Nanai I

lau Nanai Gigovi, lau idiena hanua ibounai lau loaloa, lau itaia I Nanai Gigovi I their village all I walk.around I see

gau ibounai idia karaia. Misinigani idia karaia. Wosipi badadia idia thing all they make machinegun they make warship big.ones they

karaia. Plaimasisi ibounai idia karaia. Auri idia karaia. Tano bona auri make aeroplane all they make iron they make soil and iron

idia nadua, idia mistim. Ia daekau inai, laidi bamona. Vadaeni idia they cook.up they mix.it it goes.up this light like okay they

bubua tanika dekena. Idia abia lao misin dekena. Idia bubua, maraki maraki tip.it tank into they get go machine into they tip.it little little

Vadaeni misini ia halataia ia lao bada herea. la gau latalata. okay machine it makes.longer it go big very it thing longish

Vadaeni idia kwatua. okay they fasten

- I: Dahaka oi itaia tau kurokuro hanua dekena? what you see man white village at
- N: Badina lau mai be Gavamani ia bogahisi maraki lasi bona Ostrelya reason I come focus government it sad little not and Australia

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taudia idia bogahisi maraki lasi lau dekena. Inai dekena lau mai, people they sad  $little\ not\ I$  to this for I come idia-edia-ena hanua dekena. their  $village\ to$ 

#### FREE TRANSLATION

- I: When you(pl.) went [to Australia] what did you see in the Europeans' towns [lit. villages]?
- N: Oh, they make lots of things, the Europeans. Before there used to be a lot of people who did not know what they were talking about who used to say that all this food and all these guns and warships and boats were not made by Europeans but were being made by [our] ancestors [lit. dead people]. No, [our] ancestors do not make them. I, Nanai, want to say that I, Nanai Gigovi, visited all their towns and saw all the things they make. They make machineguns, big warships, all the planes, iron. They cook up soil and iron and mix it up. This flares up like a light. Then they tip it into a tank. They take it in a machine and pour it out a little bit at a time. Then the machine extrudes it and it comes out much longer. Then they fasten it.
- I: What did you see in the Europeans' towns?
- N: The reason I went [lit. came] was because the Government and Australians were quite sorry for me. Consequently I went to see their towns.

# Appendix 5: Two examples of Nanai Gigovi's English

This speech is transcribed in a rough broad phonetic script based on English orthography except for  $\not\in$  which is used to represent schwa. Otherwise ... is used to represent 'unintelligible sequence' and (?) = 'uncertain', N = Nanai and T = Tom [Dutton].

# EXAMPLE 1 [Tape P1/84b: 207-225]

- N: Tom, hau yu gedaun hia? Bai plen o bot?
- T: By plane.
- N: W€ frm?
- T: From Kerema.
- N: Orait. Yu kams te miti mi a?
- T: Yes.
- N: Ana wi kem daun strets.
- T: Nn.
- N: An yu luk mi fo sambadis an dei kem daun. Ai hav a miting de fo mai sirkets yunaitetsers.
- T: United Church ...

- N: Tom, how did you get down here? By plane or boat?
- N: Where from?
- N: All right. You came to meet me eh?
- N: And we came down straight away
- N: And you got somebody to look for me and they came down. I was having a circuit meeting there for the United Church.

- N: Ana dei kam leti mi no au yo plens ede an ai gona mit yu de. Ana ai sed, "Orait." "Wea goin daun té yu houm, Babaguna," an ai tel yu ai gona sida mai dota bikos i hava mai mota. Nau ai gona sida mai dota se sed, "O gasget iz bloaut. Motas iz no gut, iz nati wokin. Mai asbini noti beiki yet andes stil ina fishin." Nau we kems an yu sed, "At wan klok we goin daun an gamant i help." Mota we bring ét ana yu shop an yu pei da ah -benzin an wi kem té, tu galen, wi kem daun hia ana draiva lutin (?) an i riten tu moning at eit klok ah -- nein klok bi hia. An wi teika yu gen. An nau wi ala go bek intu an Kikori an vu gouen tu Baimuru ana yu alredi liv mi ona Kikori.
- T: Very good. Where di you learn English?
- N: O ai len hia -- wen ai ovasia. Ai workin long long taims wen aimz a litl boi. Aiz a kuk, Gamant -kuk, hausbois.
- T: In town?
- N: No, no, no. Tauns not. Desa Kikori de, gavman tesin. O bifo ... kantri ful ... patrol offisas, an eidisi an ovasis. Nau wi Papua Niugini kam té reples em Kikori ti(?) patrol ofsas.
- T: Do you remember Mr Harris?
- N: Yea, ai no. He kem an stei de with mai brada. He kem state -wotyukolét -- dei shut krokadail -araun de ai with ah -- Eipisi -- ai dzes hed abt wan yuropin daun té yo ples ai kem ... dei stei de meki houm ... neks taim.
- T: He was a patrol officer here.
- N: No, noti patrol ofisa ... he dzes kam tu shuti krokadails an ...

- N: And they came and let me know that your plane was there and I'd be going with you. And I said, "All right." "We're going down to your place to Babaguna." And I told you that I'd go and see my daughter because she had my [outboard] motor. Then I went and saw my daughter and she said, "Oh the gasket has blown out. The motor's no good, it's not working. My husband is not back yet. He's still out fishing." Then we came and you said, "At one o'clock we're going down and the Government will help." And we brought the motor [down] and you shopped/ stopped (?) [at the store] and paid for the ah-petrol and we came -- two gallons -- we came down here and the driver returned and he's to return again in the morning at 8 o'clock ah -- 9 o'clock to be here. And we'll pick you up again. And then we'll go back into Kikori and you'll go to Baimuru and you will have left me in Kikori.
- N: Oh, I learnt here when I was an overseer. I was working for a long time when I was a little boy. I was a cook, Government -- cook, houseboy.
- N: No, no, no. Not in town. Just in Kikori there, at the government station. Oh, before I had been with patrol officers, ADC [Assistant District Commissioner] and [people] from overseas. Now we Papua New Guineans have come to replace patrol officers at Kikori.
- N: Yes, I know. He came to stay there with my brother. They shot crocodiles. I was with APC. I just heard about a European being down there at my place and I came ... they stayed there and made their home ... next time.
- N: No, not a patrol officer. He just came to shoot crocodiles and ...

# EXAMPLE 2 [Tape P2/82a: between 100 and 200]

Nanai is here recalling what happened to him when he first arrived at Port Moresby during the war. He was met at the wharf by an army officer [=A] who said:

```
A: Nanai de?

N: Yea, am hia.

A: Orait, kamon gedon.

N: O lau be lau gari.

A: Dasnmata.

N: Lauegu suitkes lau rakatania.

A: No wari, liv it. Oi mai.

N: Ah, edenabamona? Wadan stretiap.

A: Is Nanai there?

N: Yes, I'm here.

A: Okay then, come and get on.

N: Oh, I'm frightened.

A: That doesn't matter.

N: Will I leave my suitcase behind?

A: Don't worry. Leave it. Come on.

N: Heh, how? Okay [we went] straight up.
```

# Appendix 6: List of words used for survey purposes

The following list was compiled mainly from the wartime written materials discussed in this paper. It is not exhaustive (that is, does not include every item considered questionable by me for some reason) but was used as a base to obtain reactions and other information.

```
fruit (anani)
                                         bow (weapon) (pau)
mountain (aulolo)
                                         ground (tanu)
hill (otolo)
                                         boiling water (wa siau)
                                         mirror (wariwari)
call s.o. (boidia)
                                         sneeze (asimana)
bottle (botol)
hold something (dogwata)
                                         prostitute (ariara hahine)
                                         we (excl.) (ai)
if (ena gena)
                                         guard (gadi tauna)
shark (gabuli)
turtle, tortoise (kapori)
                                         cookboy (kuki tauna)
reef (gadu bada)
                                         sago needle (kinkin)
report (hamaru)
                                         parting with friend (ahetoni)
                                         angel (aneru)
their (idiena)
our (incl.) (itena)
                                         flower of tree (au burena)
                                         to weed (ava raua)
always (hanahanai)
                                         lungs (baragi)
trochus shell (ilama, toea, mairi,
                                         gardening (biru)
 bisisi)
                                         to snatch (biru)
stupid, silly (kava masi)
lock up (kikaraia)
                                         corner (daiguni)
biscuit (kiskis)
                                         room (daiutu)
chook, fowl (kokoroga, kamkam)
                                         coconut oil (dehoro)
fish (kwaremi)
                                         to lick (demaria)
repeat (kworaia lo)
                                         temptation (dibagani)
sipoma (levu)
                                         how (edeheto)
rubber (magi)
                                         barren (gabani)
sleepy (mahuta masi)
                                         coition (gagaia, lagaia)
                                          to watch (gima)
mosquito (namu)
beg (noienoie)
                                         promise (gwahamata)
                                         answer (haere henia, ansa henia)
milk (rata, susu)
tree fern (otolo) (hatoro, vatolo
                                         glory, pretty (hairaina)
 (Rigo))
                                         boast (heagi)
```

to race (heau helulu)
twins (hekapa)
to covet (hekisehekisi)
a paddle (hode)
adultery (heudahanai)
all of us (iboudai)
bitter (idita)
saw (iri)
tears (irurumata)
a fan (itapo)
pinch (kinia)
to hide (komu)
yaws (kuhi)

breath (lababana)
fence (magu)
to drown (maloa)
greedy (matagangani)
dumb (mu)
to wait in vain (naria mase)
double canoe (puapua)
pull up (ragaia)
to court (sihari)
umbrella (tamaru)
just so, all right, therefore (taunabinai)
to mock, copy (tohotoho)
dusk, dark (vabua)

Appendix 7: Some common English-based words in Police Motu

The following list contains common English-based words that form a significant part of the vocabulary of Police Motu but which are generally overlooked in stressing the Motu nature of Police Motu. In many cases there are common Motu alternatives available which are used in the Port Moresby area if they are known or the item can be described periphrastically in Police Motu if one wants to avoid these, e.g. kabis cabbage, greens, vegetables can be described as uma gabu dekena hadoa aniani (lit. garden place in planted food). However, Police Motu speakers outside of Port Moresby speaking amongst themselves will generally use these English-based forms in preference to such Motu-based ones. Most of those to be listed are pre-war in origin but there are many more that are post-war in origin. The list does not claim to be exhaustive.

ENGLISH-BASED FORM	COMMON MOTU-BASED FORM	MEANING
aiglas aian	(mata)galasi	spectacles (clothes) iron
ais, aisi		ice
amerika tauna		an American
ami	tuari taudia	army
anka	dogo	anchor
ansa henia	haere henia	to answer
apolo, apul		apple
au plaua plaua	au burena	flower
aut	doko, haorea	out, finished
autbod (motor/vanagi)		outboard (motor/canoe)
autsait	gadobada	open sea beyond the reef or bar
aven	amudo	oven
Baibel		Bible
baketi		bucket
bampa	kerere, tatakau, kamokau	bump, run into, have an accident
bandesi		bandage
baraki	polisi taudia edia ruma	barracks, rest house
baranda	dehe	verandah

ENGLISH-BASED FORM	COMMON MOTU-BASED FORM	MEANING
batari		battery
beig, bek	puse	bag, sack
bekhaus	plaua karaia rumana	bakery
bensin	prada Karara ramana	benzine, petrol
beredi	plaua	bread
bia		beer .
	muramura	
bilanket(i)	goru, geda	blanket
Bipi		B.P. (Burns Philp Pty.Ltd.)
biugli	kibi	bugle, trumpet
bloaut	poua	blow out, break through, explosive force, burst
Britani		Britain
boila	uro (badana ta)	boiler
bolo		ball
bomu [wartime introduction	n]	bomb
bosi tauna, bosboi,	biaguna	boss, overseer, foreman
poman	,	
busikasi, pusikasi		cat
botol	kavabu	bottle
botm ia abia	dogo	to hold fast in a boat
bouti	vanagi	boat
brum	daroa	to sweep
buka		book
bulamakau, boromakau	vamu	meat, beef, cattle
daivinglas		diving mask/goggles
dasta	kahu	dust
dablvanagi, lakatoi	puapua	double-hulled canoe
daki, dakdak	puapua	duck
dingi	vanagi	dinghy
dioni, sioni	hakapua	to join (timber)
distrik	kahana, gabuna	district, area
dokta tauna	muramura henia tauna	doctor
draiva tauna	taria tauna	driver
elemes		LMS (London Missionary Society)
ensini, insini		engine <u>.</u>
estrip	plein ia diho/helai gabuna	airstrip
fadom		fathom (in water)
faiv	ima	five
fakteri	kohu karaia gabuna	factory
famili	bese	family
fes, nambawan	ginigunana	first
faia	poua, hapoua	to fire (a gun)
fens	ara, magu	fence
flot	hure	to float
foka	du gadana, au gadagadana	fork, house post
foto	du gadana, au gadagadana	photograph
fraipan frisa		frying pan refrigerator
frisa	gima tauna	•
gadi tauna	gima tauna	guard
gavamani, gamanti		government
gavana		governor, administrator
gita		guitar
golo		gold

medikolo tauna

COMMON MOTU-BASED FORM MEANING ENGLISH-BASED FORM glass, any glass used for glas, galasi seeing, diving, measuring, drinking goat got, nanigos/t waste, squander halusia a nuisance person hambaga tauna dina gauna handwatch hanwas half, piece of hapu kahana hour hora hawa horse hos i inglan **Enaland** English inglisi intepra - v. toropeta ius (laia) abia to use kabis uma gabu dekena hadoa cabbage, greens, vegetables aniani kada cutter, boat vanagi colour kala calendar kalenda ruma karaia tauna carpenterkapenta biaguna captain, leader kapten kapusi cup cardkas(i) katris, katresi, kasiresi cartridge, bullet kaunsila councillor katolik Catholickeke cake, biscuit kempa hanua maraki ta camp kerosin kerosine kini king pavapavana kiskis, biskes/ti biscuit koki karai cockatoo kopi coffee kota karaia to take to court nadua mero/tauna a cook (boy) kuki mero/tauna kwini pavapavana queen to line up, parade lain karaia laisens licence laki lucky, name of card game lampa lahi lantern, lamp leba taudia gaukara taudia labourers leiti mai murinai late leta revareva letter livi leave, vacation liva liver asena lodi badana a big load truck, lorry lori lukinglas wariwari mirror mande (and other days of Monday the week) manuwa, wosipi tuari vanagi/lagatoi warship masisi lahi matches

medical orderly

force

ENGLISH-BASED FORM	COMMON MOTU-BASED FOR4	MEANING
<pre>mei (and other months   of the year)</pre>		Мау
minin	anina	meaning
misin		mission, machine
mistim	giroagiroa	mix
moni	davana	money
moto	davana	motor
namba		number
	ginigunana	first
nambawan, fes	ginigunana iharuana	second
nambatu, seken	i ilai ualia	secona
nanigos – v. gos		mumaa
nes	_1	nurse
nila	ginigini	needle
nius	hereva	news
niuspeper		newspaper
oda		order (goods), give an order
oila		oil
opis		office
opisa		officer
ostrelya tauna		an Australian
paipu	baubau	pipe
pait, paisi	heatu, heai, tuari, botaia imana dekena	to fight (with fists)
pamu		ритр
panisi		punish
papala		propellor
paua	goada	power, electricity
paun	The state of the state of	pound
paura	kahu	powder
pegi, feg, feki		peg
pei	davana	pay, wages
pemit		permit
pepa		paper
penia	umua	to paint
pinat	niuniu	peanut, ground nut
pisin gado	mama	a pidgin language
piso	kahana, duduna, sisina	a piece of
plai, palai	lara	a sail
plaimasini, eloplen,	1010	aeroplane
		aeropiane
plen, plein		bread
plaua, palaua, beredi		Dread
plein - v. plaimasini	reirei	floom
ploa		floor
poinia	gau ta duduia	to point to
pokapoka	du	house post
polisimani	mate:	policeman
polsel	mairi	pearl shell
poman – v. bositauna		. 0.11
poren		to fall in, parade
pusikasi – v. busikasi		
raba	mag i	rubber, any instrument
		using rubber as a motive

ENGLISH-BASED FORM	COMMON MOTU-BASED FORM	MEANING
rabagan		speargun
raisi		rice
redi	naria, hagoevaia	ready, prepared
rin(i)		a ring, to ring
rip	moemoe, didi	reef
riva	sinavai	river, stream
rup	guhi	roof
saini	3	a sign, to sign (a paper), to
		sign on
saini karaia		to make a sign
sait(sait)	kahana (kahana)	the side of
sak(i)	kwa laha	
		shark
satap!	hereva lasi	Shut up!
sekap		to check up
seken - v. nambatu		
sel, sels	koukouna	shell
sens	haidaua	to change
senta momokani!	gauna inai!	Spot on! That's it!
seven	hitu	seven
sevendei tauna		a Seventh Day Adventist ad-
		herent
sevi	huihuina utua	to shave
sikis	tauratoi	six
simenti		cement
singlis		
siok(a)		singlet chalk
sipunu	bed i	
sipeli lasi		spoon
	ore lasi, doko lasi	to continue without a rest
sipi	mamoe	sheep
síoti, sieti		shirt
sisel		chisel
sisima	vanagi	ship, steamer
skel		scales, to weigh out
skul, sikulu	hadibaia ruma, hadibaia	school (house), to go to school,
	gaukara	to learn
slin	vilipopo	sling, catapult
smokhaus		smokehouse
smolhaus	kukuri rumana	toilet
sno	ninoa, ori ia diho	fog, snow
so	iri	a saw (instrument)
soka	bolo gadara	soccer
sol	damena	salt
solpis		salted fish
somil	au utua gabuna	savmill
soppis	da deda gabana	beche-de-mer, sea cucumber/slug
sopu		
sori	mad i	soap
spidi momo	heau haraga	Oh sorry!
stanibai	•	to speed
	naria	be prepared, ready
staka	hahawa	a lot of, a stack
stakim	haboua	to heap up
stat	(ha)matamaia	to begin, start
stesin		station, government post

stiki         au duduna         a stick           stoa, stua         hoihoi gabuna/rumana         a store           suga         tohu         sugar           supu         vasiahu         soup           susu         rata         milk, latex           sutkes, sutkeis         maua         suitcase, box           swampa         gohu         swamp           suit momo         very sweet           taipia         to type           taink         tauk           tauni         thana           town         thana           town         thana           town         thana           torehus         thell           trackor         torch           trail         torch           trail         torch           trail <t< th=""><th>ENGLISH-BASED FORM</th><th>COMMON MOTU-BASED FORM</th><th>MEANING</th></t<>	ENGLISH-BASED FORM	COMMON MOTU-BASED FORM	MEANING
suga supu vasiahu soup susu rata milk, latex sutkes, sutkeis maua suitcase, box swampa gohu swamp suit momo taipia to type taipraita touni tenkiu namo thank you tin(i) tisa (tauna) hadibaia (tauna) teacher toea ilama trochus shell taraka, lori trakta toropeta, interpa gado hanaia tauna totroch traim verb + itaia to try trosel ilama, toea trochus shell waia wailis wire wailis windo wiski muramura whisky wol haba wall wolbot vanagi whaleboat yelo	stiki	au duduna	a stick
supu vasiahu soup susu rata milk, latex sutkes, sutkeis maua suitease, box swampa gohu swamp suit momo taipia to type taipia to type taipia tonika tauni hanua town tenkiu namo thank you tin(i) tisa (tauna) hadibaia (tauna) teacher toea ilama trochus shell taraka, lori truck, lorry trakta toropeta, interpa gado hanaia tauna interpreter tosi traim verb + itaia to try trosel ilama, toea trochus shell waia wailis wil windo wiski muramura whisky wol haba wall wolbot vanagi whaleboat yelo	stoa, stua	hoihoi gabuna/rumana	a store
susu rata milk, latex sutkes, sutkeis maua suitcase, box swampa gohu swamp suit momo very sweet taipia to type taipraita tanika tauni hanua town tenkiu namo thank you tin(i) tisa (tauna) hadibaia (tauna) teacher toea ilama trochus shell taraka, lori trakta tropeta, interpa gado hanaia tauna interpreter tosi traim verb + itaia to try trosel ilama, toea trochus shell waia wailis wire wailis windo gaba mauru window wiski muramura whisky wol haba wall waleoat yelo laboralabora were swamp suitcase, box swamp very sweet to type taipe tank you tink you tink tank town tank you tink you tink you tink tracker trochus shell wire wireless will whole you window wiski muramura whisky wall wallood yeloo	suga	tohu	sugar
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taraka, lori         truck, lorry           trakta         tractor           toropeta, interpa         gado hanaia tauna         interpreter           tosi         torch           traim         verb + itaia         to try           trosel         ilama, toea         trochus shell           waia         wire           wailis         wireless           wil         wheel           windo         gaba mauru         window           wiski         muramura         whisky           wol         haba         wall           wolbot         vanagi         whaleboat           yelo         laboralabora         yellow	tisa (tauna)	hadibaia (tauna)	teacher
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toropeta, interpa         gado hanaia tauna         interpreter           tosi         torch           traim         verb + itaia         to try           trosel         ilama, toea         trochus shell           waia         wire           wailis         wireless           wil         wheel           windo         gaba mauru         window           wiski         muramura         whisky           wol         haba         wall           wolbot         vanagi         whaleboat           yelo         laboralabora         yellow	•		truck, lorry
tosi         torch           traim         verb + itaia         to try           trosel         ilama, toea         trochus shell           waia         wire           wailis         wireless           wil         wheel           windo         gaba mauru         window           wiski         muramura         whisky           wol         haba         wall           wolbot         vanagi         whaleboat           yelo         laboralabora         yellow			
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wailis     wireless       wil     wheel       windo     gaba mauru     window       wiski     muramura     whisky       wol     haba     wall       wolbot     vanagi     whaleboat       yelo     laboralabora     yellow		ilama, toea	
wilwheelwindogaba mauruwindowwiskimuramurawhiskywolhabawallwolbotvanagiwhaleboatyelolaboralaborayellow			
windo gaba mauru window wiski muramura whisky wol haba wall wolbot vanagi whaleboat yelo laboralabora yellow			
wiskimuramurawhiskywolhabawallwolbotvanagiwhaleboatyelolaboralaborayellow	••••		
wol haba wall wolbot vanagi whaleboat yelo laboralabora yellow		•	
wolbot vanagi whaleboat yelo laboralabora yellow	=		
yelo laboralabora <i>yellow</i>			
7		•	
yia(s) lagani $year(s)$			
	yıa(s)	ıaganı	year(s)

Appendix 8: Some unusual non-English-based words in Police Motu

The following list contains words that are unusual because: a) they are derived from languages of Papua other than Motu; b) they are different in form from those normally found in vocabularies or dictionaries of Police Motu. Some of these are areally distributed. Where this is the case the relevant area is shown in the column on the righthand side and the following abbreviations are used:

E: Eastern Papua W: Western Papua C: Central Papua

Where no area is shown the item is regarded as being widely distributed.

ITEM	SOURCE LANGUAGE	MEANING	FORM NORMALLY GIVEN IN VOCA ULARIES OR DI TIONARIES OF POLICE MOTU	B-
		1.14	-	
bara	Motu	a paddle	hode	
boiboi	Motu	to call someone	boiria	
diho ranu/	Motu	to drown	maloa	
davara dekana		1.1.		
dimdim	Suau(?)	white man, European	tau kurokuro	E
edena bamona	Motu	how	edeheto	
gauna inai	Motu	that's it, all right	taunabuna i	
gebore	Motu	earring	taia gauna	
geda	Motu	rain cape, wmbrella (in areas where mats (geda) are so used	damaru )	
goru	Motu	<pre>wmbrella, rain cape (in areas where the leaf sheath of the goru palm is so used)</pre>	damaru	
mamoos, mamus	Torres	chief, village policeman	hanua lohia,	W
ŕ	Straits	The state of the s	hanua polisi	
hereva maraki,	Motu	to whisper	gado maraki,	
maraki		To analysis	hereva metai	ra
			metaira	
idiena	Motu	their	idia-edia	
iduara	Motu	gate	i kou kou	
iena	Motu	his, her, its	ia-ena	
kamkam	Suau(?)	fowl, bush fowl	kepoka	E,W
kapani	Motu	woman whose husband has left her	hadihoa	27
kapore	Suau(?)	oh sorry	o madi	E,W
katoro	?	pandanus nut	geregere	C
				inland (Goilala)
kavakava tauna	Motu	dumb	mu	(GOIIGIA)
kolohau	Motu	shark	kwa laha	
koreme	Motu	fish	gwarume	
kukumara	?	exchanging tobacco to settle	?	С
		dispute	•	inland
		· ·		(Goilala)
kurokuro dikana	Motu	weeds	ava	, , , , , , , , , , , , , , , , , , , ,
kurokuro dikana	Motu	to weed	ava raua	
kokia				
lakatoi	Motu	double-hulled canoe	puapua	
matana ranu	Motu	tears	irurumata	
mutu, diho ranu dekena	Motu	to drown	maloa	
natuna lasi hahine	Motu	barren (of woman)	gabani	
natuna rua	Motu	twins	hekapa	
nemo	Motu	mosquito	namo	
omi, umi	Motu	you (pl.)	umu i	
ruma maraki	Motu	a room	daiutu	
siari (kava)	Motu	prostitute	ariara hahine	
kekeni/hahine		-		
susu	Suau(?)	milk, juice	rata	
udubaubau toto	Motu	yaws	kuhi	
uma gabu gaukara	Motu	gardening	biru	
		-		

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# REFUGEES, TRADERS, AND OTHER WANDERERS: THE LINGUISTIC EFFECTS OF POPULATION MIXING IN MELANESIA

## Ann Chowning

#### O. INTRODUCTION

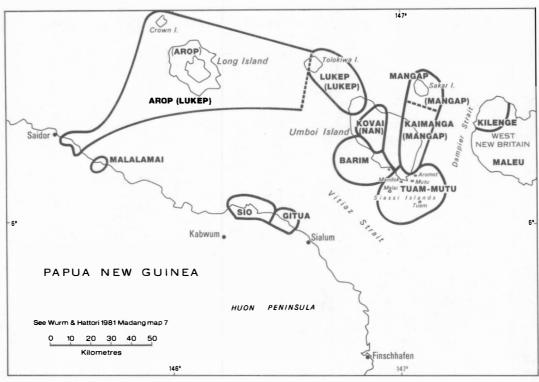
In the conclusion to his famous paper on indirect inheritance in Rotuman, Biggs made the following points:

In general what we know of culture history in the Melanesian area suggests a complex rather than a simple linguistic history, involving a good deal of movement in certain maritime areas, and long continued contact among speakers of related languages ... It would be surprising indeed if such contacts did not have substantial effects upon the languages concerned, effects which could be vitally important to comparative work, and to our understanding of Pacific prehistory. While talk of substrata and mixed languages may in fact introduce concepts which are both ill-defined and unhelpful, the multiple origins of Melanesian lexicons, if real, should be studied. Failure to examine the extent to which one language has been affected by others can lead to erroneous subgrouping. (Biggs 1965:414-415)

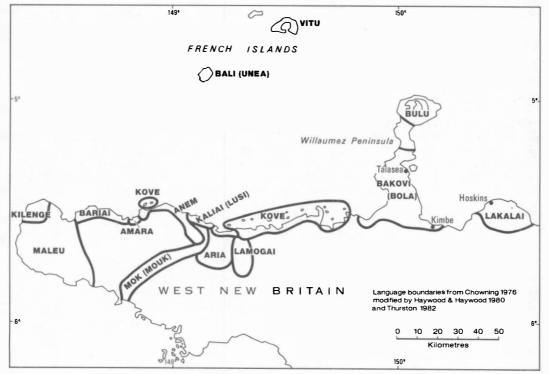
Yet little attention has been paid to these warnings. Those interested in subgrouping Melanesian languages, myself included, have tended to assume that lanquages sharing a substantial number of obvious cognates belong to the same subgroup as descendants of a single ancestor. The inevitable reliance on short wordlists often prevents the establishing of regular sound correspondences, and apparent irregularities may be ignored on the assumption that most could be explained if more data were available. Sometimes, however, additional data actually complicate the picture, raising questions about the nature and identity of the putative common ancestor. Such complications emerged when I began to examine in detail certain languages of the region between north-west New Britain and the north coast of New Guinea. This general region is renowned for its linquistic diversity (Dyen 1965), but there is still much disagreement about the numbers and boundaries of the subgroups (see Hooley 1976:341-344; Z'graggen 1976: 287; Ross 1977; Lincoln 1977b). Although some of the disagreements reflect different criteria for subgrouping, others derive from the linguistic situation itself. The possible reasons for the situation found around the Vitiaz Strait will also be offered as helping explain difficulties found in subgrouping certain

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Map 1



Map 2

languages found farther east, such as those of the French Islands and of parts of Milne Bay.

The discussion will begin with a description of postulated linguistic ties between New Britain and New Guinea, followed by a more detailed analysis of the resemblances and differences between two seemingly close relatives, Gitua of New Guinea and Kove of New Britain. These will then be compared with other languages in the region, in an attempt to understand why their relationship is probably not so simple as it once seemed.

# KOVE AND GITUA

In this section the question of the nature of the relationship between these two languages will be investigated.

# 1.1 Background

In 1971, Hooley suggested that almost all the coastal AN languages spoken in what is now the Morobe Province belonged to a single family, which he called Siasi, and that this had several subfamilies. The largest of these he labelled the Island Subfamily because most of the languages are located on offshore islands, including those of the Siassi group between New Guinea and New Britain. Gitua was put into this subfamily, while Maleu off the western tip of New Britain was assigned to the Siasi Family but not to the Island Subfamily. The Siasi Family was also stated to extend westward into Madang Province (Hooley 1971:99-104). In 1973 I argued that Maleu was a somewhat aberrant member of what I had been calling the Bariai Family of north-west New Britain, comprising most of the AN languages (Kove-Kaliai, Bariai, and Kilenge-Maleu) spoken along the coast from the western side of the Willaumez Peninsula to Cape Gloucester. I suggested that Kove, and therefore Maleu as well, clearly belonged to the same subgroup as Gitua, Tuam-Mutu of the Siassi Islands, and probably Malalamai of the Madang coast (Chowning 1973:208-209). Hooley accepted my argument (1976:344). In 1976, however, Lincoln undertook fieldwork on the Morobe coast, combining a lengthy stay in Gitua with a survey of all the coastal AN languages which Hooley had assigned to the Siasi Family. Lincoln agreed with me that Kove belonged in the same subgroup with Gitua and Malalamai, but argued for a separation of Maleu-Kilenge from the other Bariai languages, as well as proposing many alterations to Hooley's subgroups. He suggested that Bariai, Kove-Kaliai, Gitua, and Malalamai belonged to one subgroup, which he tentatively named Nero, while various other languages of the small islands, along with Kilenge-Maleu, belonged to a different "Sio" subgroup (Lincoln 1976a, 1977b). At this point, the only person questioning the close relationship between Kove and Gitua was Ross (1977); he "very tentatively" suggested on purely phonological grounds (some mistaken) that Kove should be separated from the Nero languages. His suggestions will be discussed later.

Meanwhile, Lincoln had supplied me with a considerable amount of Gitua material, including much lexical and grammatical data (Lincoln 1977a, 1977c), and I had become increasingly uneasy about the many irregular sound correspondences between the two languages. There was no doubt the Kove and Gitua were linked by many isoglosses, some involving items that were very uncommon in this region. The difficulty lay in constructing proto-forms from which the shared

items might be derived. Before discussing the problems, examples of the shared items will be given. The items noted here either seem not to derive from POC forms or show unexpected phonological innovations.<sup>2</sup>

#### 1.2 Shared lexical items

Rather surprisingly, in view of the trade network that links the Vitiaz Straits region (Harding 1967), and the distribution of a common art style (Bodrogi 1961, Dark 1974), the shared forms include very few cultural items. Furthermore, they also include very few names of animals and plants. A partial explanation lies in the very different flora and fauna of New Guinea and New Britain (not to mention the reduced biota of the Siassi Islands), but the rarity of shared names for sea creatures is less explicable. Instead, the list contains many shared names of everyday objects, including parts of the body; verbs; numerals; and negatives. Sound correspondences will be discussed below. Obvious cognates include the following: Gitua bwae, Kove voe armpit; B. bwale fleshy part of buttocks, K. vole hip; G. guvi, K. yuvi heel; G. tuzu, K. turu breast; G. apwa, K. apo belly; G., K. nono nasal mucus. Most of the numerals are derived from POC. The most distinctive one is G., K. page four; see also G. eze, K. ere one. Both negatives are cognate (G. mago, K. mao no; G., K. mina don't), and both follow the words they modify. Examples of many other cognates will appear below.

The two languages also share what seems to be a rare morphophonemic alternation of initial consonants in some of the same pairs: G. poze, K. pore paddle (n.); G. voze, K. ore to paddle; G. sage, K. sae above; G. zage, K. rae go up (see Chowning 1973:200 for the list of such alternations in Kove). In one case, Gitua seems to show an alternation which Kove lacks: Gitua van give, pan to, from, etc. Kove has pani for both of these.

#### 1.3 Sound correspondences

Kove phonemes were described in Chowning 1973:194-195, but because Lincoln and Ross have emphasised what they see as Kove peculiarities, some points need repeating. Kove has a set of voiced fricatives, written as  $\gamma$ , r, and v (respectively velar, alveolar, and bilabial), which usually correspond to voiced stops in Bariai (see further discussion under 3.2 and 4.). The /r/ is often pronounced as an alveolar trill in the western dialect of Kove, spoken adjacent to Kaliai, which has two r-phonemes, one a flap and one a trill. The latter usually corresponds to /h/ in Kove. The trilled pronunciation may represent Kaliai influence. The alveolar fricative deserves some attention because it often corresponds to an alveolar fricative in Gitua which Lincoln has written as z. It is not known how much these two phonemes actually differ in pronunciation. The question of the correspondences will be discussed further below.

In Kove and Kaliai, as in Gitua, the velar fricative may be pronounced as a voiced stop in word-initial position (see Counts 1969:18). Probably for this reason, Lincoln has chosen to write the voiced velar stop which is a separate phoneme as a digraph,  $\eta g$  The Kove voiced stops are also prenasalised word medially. It seems that Kove  $/\gamma$  is pronounced like Gitua /g/, and Kove /g/ like Gitua  $/\eta q/$ .

Finally, to clarify the material in Table 1, it should be noted that Lincoln has expressed (1976d) uncertainty about "the status of /w/ and /y/ as

separate phonemes". In Kove, /w/ certainly exists as a separate phoneme, but cannot usually be distinguished from pre-vocalic /u/, so that there is uncertainty about the spelling of some words. Kove /y/ may not be a separate phoneme, usually being heard as /e/ or /i/ in slow speech.

A notable difference between the languages is that many Gitua words end in a consonant, whereas word-final consonants are so rare in Kove that they almost surely occur only in recent borrowings. The only word-final consonants in Gitua are /k/, /p/, /m/, /n/, /n/, /1/, and /r/. Often this word-final consonant reflects the loss of a final POC vowel, as in G. anar (K. anahi, POC \*kanaRi) canarium almond; G. gan (K. ani, POC \*kani) eat; G. tun (K. tunu, POC \*tunu) burn In other cases, Gitua may have retained a final consonant that was lost in Kove, as with G. tonor, K. tono mangrove (POC \*tono but PAN \*(tT)ene(rR)). In still others, however, Gitua has a final consonant that does not seem to derive from POC, as with G. manum bird (K. manu, POC \*manu(k)) and G. novun stone-fish (K. nou, POC \*nopu). The origin of these is obscure. Finally, in some examples involving word-final /k/ and /p/ in Gitua, there are problems of historical sequence of certain postulated shifts, which will be discussed below. These involve such correspondences as G. nanak, K. nanai pus.

These problems apart, the voiceless stops differ greatly in the regularity of correspondence between /t/, on the one hand, and /k/ and /p/, on the other. Gitua /t/ always = K. /t/, with a single exception: G. dui, K. tutui straight. As regards /p/, in word-initial position in Gitua it always corresponds to K. /p/. Examples are numerous, ranging from G., K. pa- 'causative prefix' to G. pudi, K. puri banana. Note, however, the case of G. van, K. pani qive mentioned above. Medial /p/ in the two languages sometimes corresponds and sometimes not; shared cognates with /p/ in other than initial position are too few for a pattern to be discerned. Both languages contain tapu fall and lupu gather together, but G. tavi wave to beckon may be cognate with K. tapitapi wave in the wind. The data suggest that POC medial \*p often became /v/ in Gitua and /0/ or possibly /u/in Kove. Examples include G. avu lime possibly cognate with K. yaua ∿ eaua (POC \*apuR); G. tavure, K. taule conch shell (POC \*tapu-); G. livo, K. luo tooth (POC \*lipon); G. livu, K. liu sibling opposite sex (POC \*lipu); G. mavu heal (POC \*mapo); K. saŋaulu ten (POC \*saŋapulu); G. yavara north-west monsoon wind, K. awaha rain (POC \*paRa(t)); 5 G. raravia, K. lailai afternoon (POC \*Rapi), etc. The suggestion that \*p sometimes became K. /u/ derives from the fact that this certainly happened to initial \*p, but only when followed by \*a, as in K. wanawana hot (POC \*pana(s)) and K. wala shoulder (POC \*paRa). If the same shift occurred medially before other vowels, it might explain the unexpected /u/ in the Kove word for tooth; it might be that a /u/ derived from \*p assimilated a preceding \*i. There are exceptions to the pattern just noted, in which \*p is reflected as /p/ in each language: G. ipi Tahitian chestnut (POC \*qipi(1)); K. manipinipi thin, flimsy (POC \*manipi(s)). It is impossible to say whether G. yap fire (beside K. eai, POC \*api) retained an original stop because it had become wordfinal by the dropping of a vowel before medial \*p shifted to a fricative, or whether, since Gitua words do not end with fricatives, a fricative became a stop (again) when the vowel was dropped and its position became word final (but see below).

Similar questions arise concerning Gitua /k/. It often appears initially, but very few of the words have Kove cognates. The few include G. kokolen, K. koko mushroom (POC \*koko) 6 and G. kwaro, K. kaho scratch (POC \*kadu). This latter will be discussed below, with other labialised Gitua stops. In one or two cases cognacy is uncertain, as with G. kokopwarina spherical, K. kapo round. In several cases, G. /k/ corresponds to K. /Ø/: G. kosi widower, K. osiosi widow

(see below); G. kukudu, K. uru carry on the head. In at least one case G. /k/ = K. /y/: G. kikil, K. yiliyili tickle (POC \*kidi). Although both languages contain words with medial /k/, none of those in Gitua has a cognate in Kove. A particularly interesting correspondence is that between a set of Kove words that end in -ai while the Gitua cognates end in -ak: G. lawak spider, K. lauai spiderweb (POC \*lawa); G. nanak, K. nanai pus; G. watak, K. watai know; and possibly G. rak, K. hai south-east wind. The final /k/ in the wind term raises the suspicion that we have here a Gitua innovation, since no other languages in the region show a medial or final consonant in this word. Tuam-Mutu, however, has watagi know; the POC word for pus has been reconstructed as \*nana(q); and the PAN word for spider contains a final glottal. It seems possible, then, that this Gitua final /k/ represents a final or medial proto-consonant that has dropped out in Kove. There are, however, other examples of final /k/ in Gitua that correspond to a consonant in Kove: compare G. wuzak, K. uraye knife. With the knife word and a few others, Lincoln notes that the "newer form" substitutes /1/ for /k/, a shift he does not explain.

I had mentioned in 1973 that POC \*k had a variety of reflexes in Kove, though  $/\emptyset$ / was the most common (Chowning 1973:198-199). POC \*k is lost in many common words: ani eat (POC \*kani); lalao go (POC \*lako); rae go up (POC \*nsake); oto extend (POC \*koto). It is, however, retained as /k/ in an equally large number of equally common words: kaho and karisi scratch (POC \*ka(dr)u and \*kari(s)); kuku mussel (POC \*kuku); kukururu thunder (POC \*kududu); kulikuli skin (POC \*kulit); koso shell a coconut (POC \*koso); etc. In two words from basic vocabulary, \*k is reflected by /h/: iha: fish (POC \*ikan) and hihiu tail (metathesis of POC \*iku). A doublet of ani eat appears in two common compounds: haniga food and pahani feed. The differences between Kove and Gitua appear not in the absence of Kove reflexes of \*k, but in the fact that in some Kove words it is reflected by  $/\emptyset$ / where Gitua has a consonant in the cognate word. Examples, in addition to G. gani eat, include such basic words as G. lago go beside K. lalao and G. bega defecate beside K. vevea (POC \*pekas). Many other examples of Gitua /g/ where Kove has  $/\emptyset$ / appear in words not reconstructed for POC, such as G. mago, K. mao no, not. It should also be noted that Gitua sometimes has an initial /g/ where no consonant has been reconstructed for POC, as with G. geno lie down (K. eno, POC \*eno) and G. gunu drink (K. unu, POC \*unu).

As I pointed out earlier (1973:199), POC \*q is usually reflected as  $/\emptyset/$  in Kove; the exceptions are so few and so varied that they may all represent borrowings. As with \*k, Gitua often shows a  $/\emptyset/$  reflex in many cases, such as ate liver (K. ateate, POC \*qate), but /g/ in a substantial number of others, such as gumwa work in gardens (K. umo, POC \*quma) and pugu base (K. pu, POC \*puqu). There are other reflexes as well, notably G. witi penis (K. uti, POC \*quti), a peculiarity to be set beside G. wili skin. Occasionally \*q is reflected as G. /k/: G. kosi widower (K. osiosi widow; POC \*qosi widow; POC \*qosi mourn).

Before leaving the voiceless stops, it is necessary to discuss the labialised stops of Gitua. Lincoln suggests (1976d) that /kw/ represents a borrowing from NAN languages. Kove lacks labialised consonants, but in a number of cases POC \*a following a labialised consonant in POC has become K. /o/, as in K. mota snake (G. mwata, POC \*nmata). (For other examples, see Chowning 1973:199.) Several cognates which are not at present attributable to POC reflect this correspondence between Gitua and Kove: G. bwae, K. voe armpit; G. bwale, K. vole hip; G. bwaro, K. voho egret; G. mwai, K. moi taro; G. mwalik, K. moli curl up; G. apwa, K. apo belly. Exceptions include the following: G. kwaro, K. kaho scratch and G. mwatotol, K. matolutolu thick, where the Gitua form is unexpected in the light of the POC evidence; G. rumwa, K. luma house, where the POC evidence

supports the Gitua form; and G. damo, K. ramoha forehead, where we might expect G. \*damwa.

The nasals can be dealt with briefly. G. /m/ always = K. /m/, and in the great majority of words, G. /n/ = K. /n/. (For exceptions, see below.) Also G. /ŋ/ normally = K. /ŋ/. Lincoln's data show that G. /ŋ/ sometimes substitutes for /n/ both in borrowings (such as tiŋ tin) and in variant recordings of the same word. This may explain such irregular correspondences as G. ŋgoreŋa grease, K. gorena cooked coconut cream; G. gilaŋ embers, K. yilani sparks; and G. ŋuru, K. nuri smell.

If the two languages are descended from a recent common ancestor, we would expect the voiced stops of Gitua to represent the voiced fricatives of Kove (see below). The situation is greatly complicated, however, by the fact that Gitua also contains a set of voiced fricatives, just as Kove now contains a set of voiced stops. See Table 1:

		GI	TUA		KO	VΈ	
voiceless stops	pw	р	t	k kw	Р	t	k
prenasalised stops	bw	ь	d	ŋg*	b	d	g
nasals	mw	m	n	ŋ	m	n	ŋ
voiced fricatives		<b>ν</b> (β)	z	g(y)*	v(β)	r	γ
voiceless fricatives			s			S	h
liquids		1		r			
glides		W		У	W		у?
vowels	a.	e. i.	ο.	ü	a. e.	i.	o. u

Table 1: Phonemes of Gitua (from Lincoln 1977a) and Kove

Both Lincoln and I have difficulty detecting the difference between g (his  $\eta g$ ) and  $\gamma$  (his g), especially in word-initial position; this may account for some apparently irregular correspondences.

Gitua /ŋg/ (Lincoln's) and Kove /g/ are pronounced alike, as are Gitua /g( $\gamma$ )/ and Kove / $\gamma$ /, which should be clearly realised as one reads on.

The voiced stop (Lincoln's ng) in Gitua is typically reflected by Kove /\(\gamma\)/: G. ngal, K. \(\gamma\) ali poke, pierce; G. ngaru, K. \(\gamma\) ahu bite; G. ngin, K. \(\gamma\) ini wait for; G. ngaya, K. \(\gamma\) aia pig; etc. But in addition, G. \(/g/\) often = K. \(/\gamma/\): G. gilan embers, K. \(\gamma\) ilani sparks; G. giramu, K. \(\gamma\) ilamo slit gong; G. guvi, K. \(\gamma\) uvi heel; G. bage, K. va\(\gamma\) vaye wing; G. dogi, K. ro\(\gamma\) betel pepper; G. zige, K. ri\(\gamma\) ri\(\gamma\) edge, border; etc.

In fact, Gitua /g/ has three different correspondences in Kove: /h/ (the rarest, but found only in words where it reflects POC \*k or \*q); / $\gamma$ /; and most often, as was indicated above, / $\emptyset$ /. If the examples with /h/ did not exist, we could assume that POC \*-k- and \*-q- fell together in some language ancestral to both Kove and Gitua, and that this single proto-phoneme was retained as G. /-g-/ while disappearing in Kove-Bariai. All the words with /h/ are in basic vocabulary,

but so are many that lack it. A possible explanation is mixing of two closely related languages, one of which had lost reflexes of the medial consonant and one which retained a fricative reflex of it, possibly unvoiced. The cases in which Gitua initial /g/ reflects POC \*Ø may reflect borrowing from another direction. Where POC \*k is reflected by K. /k/, the Gitua cognate sometimes has a different consonant; see G. nganga finger, K. kaka little finger and G. gururun, K. kukururu thunder.

The overall pattern of irregular correspondences involving the stops is repeated when other phonemes are examined. I shall not present all the data here, but simply point out some examples. Usually, G. /l/ = K. /l/: G., K. la go; G. labe, K. lavelave testicles; G., K. lio hang oneself; G. lonon, K. lononi hear; G., K. lua vomit; etc. But G. /l/ also = K. /h/: G. laya, K. haia ginger; G. tola a cold, K. toha cough. In one very common word, G. /l/ = K. /n/: G. lam, K. nama come. (On the other hand, sometimes G. /n/ = K. /l/: G. manino, K. malilo calm weather; G. nima, K. lima hand; G. ne/ni, K. le 'neuter passive marker'.)

G. /r/ = K. /l/ in many words but K. /h/ in an equally large number, and the difference is not clearly ascribable to derivation from different protophonemes (but see below). Examples of the first correspondence include G. pera, K. pela open out; G. par, K. pali stingray; G. puro, K. pulo red paint; G. rau, K. launi leaf; hair; G. ririu, K. liliu bathe; G. rumwa, K. luma house; G. sarum, K. salumu needle; etc. The second correspondence is found in G. nora, K. noha yesterday; G. rua, K. hua two; G. suru, K. suhu liquid; G. wariza, K. wahira day before yesterday; G. waro, K. waho vine, etc.

I hope that this material at least makes clear why I became worried about Lincoln's and my own earlier assumption that Kove and Gitua belonged to the same subgroup.

Although most of the correspondences between the five vowels in each language are regular, a number of exceptions can be found. For example, G. /o/ = K. /a/ in G. longo, K. longo enter and probably in G. polelek revert to type (see also G. pulelek turn), K. palele turn; change into, but the opposite correspondence is shown in G. alimango, K. alimango mangrove crab. Although Gitua agrees with Kove in having /o/ for the more usual /u/ in the word for older sibling same sex (G. togg, K. tog), the vowels differ in the word for spouse (G. azug, K. aroa), and also in the word for slit-gong (G. giramu, K. yilamo). But compare G. amora, K. amuhua you two. Still another irregular correspondence appears in G. musilan softly, K. misilani slowly, carefully, and also in G. tibu, K. tuvu kin two generations removed. In the latter case, however, Gitua agrees with Bariai, though not with Kaliai. As regards the correspondence G. anutu God with K. anitu spirit, Lincoln suggests (personal communication) that anutu may be a loan from Yabêm.

# 1.4 Isoglosses

Lincoln's Rai Coast wordlists strongly confirm the lexical unity and distinctiveness of what he later (1976d) called the Nero subgroup (written Ngero in Wurm and Hattori 1981) composed of three languages: that of Malalamai, Boja, and Yara; Gitua; and that of Tuam-Mutu, Malai Island, and the "small Siassi Islands". They will hereafter be called Malalamai, Gitua, and Tuam-Mutu (T-M). These languages share many lexical items which either do not occur among their neighbours or which, more rarely, are phonologically distinctive (see Ross 1977:table 10).

In the following discussion, a word will be called Ngero if it occurs in at least two of these three languages and in no others of the Rai Coast region extending from Sio to Ham. Unless there is something distinctive about their shape, I have excluded words derived from POC. The majority of the distinctive Ngero words have cognates in Kove or, if not, in Kaliai or Bariai. Because my data on Kaliai and Bariai are very limited, I may well not know about cognates that exist there. I should note that while I was correct in pointing out forms shared by Kove with Gitua and Malalamai in Chowning 1973:209, some of these are more widespread on the Rai Coast, and so do not set off a small subgroup (see below). The following list follows Lincoln's sequence and his spelling. The bilabial fricative is indicated by /v/. A dash indicates a non-cognate.

Table 2

ENGLISH	MALALAMAI	GITUA	TUAM-MUTU	KOVE	COMMENTS
one	-	eze	es	ere	
four	paŋe	paŋe	paŋ	paŋe	
sole of foot	-	age-lolo	age-lolo	ahe ai-lolo	
breast	tuzu	tuzu	tus	turu	
back	dume	dume	dimo	rumerume	K. back of crab only
head	dawa	dava	daba	Kal.rava	K. ravarava top of tree
blood	sin	sin	sin	sini	
arse	bole	bwale	bole	volevole	к. hip
drink	unu	gun	un	unu	Initial vowel distinctive in this
om o 1 1		0		nuri	region
smell	nuŋuzi	Juzu	loo		Final concenant
hear	lorjon	loŋon	loŋ	loŋoni	Final consonant un- expected
know	wote	watak	watagi	watai,atai	
laugh	ŋiŋ	ŋiŋ	ŋiŋ	ŋiŋi	
sister's son	-	waga	waga	waha	
sibling op- posite sex	livu	livu	livu	liu	
spouse	azuwa	azuwa	azo	aroa	
name	eza	ezaŋgani	iza	era	
spider/web	-	l awak	1 awak	l awa i	
wing	bae	bage	bage	vaye	
high tide	-	sir	sir	sili	
betel pepper	do i	dogi	dog	royi	
Saccharum edule	tambol	tambuar	tambogar	tavuahi	Retains final con- sonant
alight, of fire	γan	gan	gan	ani	Same word as eat
red	-	sisinia	siŋsiŋia	sinisinia	See blood
digging stick	wazo	yazo	yaz	waro	Bariai earo
tie	_	bitu	biti	piti	
plait	wowe	wowa i	wavai	wawa i	
needle	-	sarum	sarum	salumu	Retains final con- sonant
split	sosa	sasa	rasa	sasahai	Final -i in K. is a passive marker
					-

(Table 2 cont'd)

F	ENGLISH	MALALAMAI	GITUA	TUAM-MUTU	KOVE	COMMENTS	
r u l u	not Dater Onife Dit Dhen? Dome Dance fall	mau ieu busai lop ŋeza nam tol	mago,ma yau wuzak rap ŋeza lam tor	mako ya buza rabi ŋez la - tap	mao eau uraye hau ŋera nama tohi tapu		
	good	poe	pwaya	poe	Kal.poia		10

There are, of course, other forms that have no known cognates in New Britain. These include the word Lincoln used to designate the subgroup, Gitua pero man (Malalamai pelo, Tuam-Mutu peo); words for woman in all three languages cognate with G. livage; and a word for tongue that seems to have undergone metathesis, as in G. yama (cf. K. mae). On the other hand, a few terms are found not only in the Ngero languages and Kove but also in Sel, spoken on Arop and in Sel, Seure, and Mur villages. These include the word for stab, all cognate with K. yali, and the word for sing, cognate with K. vou; these two are not attested in the other New Guinea languages. Very occasionally, however, a cognate with the Kove form appears only outside the Ngero languages, as with Biliau wos smoke (K. vosu), with no cognate forms in the rest of the list.

Because I was confining myself in the table to forms characteristic of the Ngero languages, it does not include examples that unite a form found in only one of them with Kove. These exist, of course, and include T-M mem urinate (K. meme), T-M kis hold (K. kisi), and T-M manipi thin (K. manipinipi). They do not, however, so frequently link these Siassi Island languages to Kove as to suggest that Tuam-Mutu is much more closely related to Kove than are Gitua and Malalamai. Links to the New Guinea languages include G. matauzi afraid (K. matauri), particularly interesting because of the unexpected final consonant, and G. muso dirty (K. muso dirt), with cognates in other mainland languages but not within the Ngero 'subgroup'.

# 1.5 Grammar

Despite these irregularities and others not mentioned here, the two languages unquestionably share many lexical items. Grammatically, they show more obvious differences. Many of these reflect what could reasonably be called the greater complexity of Gitua. I had earlier commented on the 'grammatical simplicity' of Kove (1973:218), and Thurston, speaking of Kaliai or Lusi (grammatically almost identical with Kove) says (1982:35) that it "has the typological simplicity associated with creoles and it is therefore reasonable to entertain the notion that there has been a pidginisation process in (its) recent prehistory". This theory will be considered later; for the moment, I wish only to point out that Kove lacks many particles that modify verb phrases in Gitua and has no substitute for them (see Chowning 1978 for more information on Kove). The most conspicuous of these is the Gitua future marker na; futurity in Kove can only be indicated by using a connective preceding the subject of the phrase and meaning roughly then. Kove also lacks the Gitua prefix para or pa which indicates reciprocal or plural action. Contrast Gitua isirua ti pa-rap and Kove asihua ti

hau-ŋa-ri they two fight. <sup>8</sup> It is not certain whether Gitua rap and Kove hau strike are cognate, <sup>9</sup> but it can be seen that the languages express reciprocal action differently. On the other hand they both have, as was noted, a causative prefix pa-. The structure of what Bradshaw (1979) calls the serial causative differs, however. Compare Gitua rap-mate-a strike dead it with Kove hau ya i mate strike (it) and it dies.

The pronouns are very much alike, with one set of suffixed and three sets of independent possessives. The set in Kove formed of to + object pronoun is, however, an alternative to the third 'neuter' set, whereas in Gitua the cognate form "indicates that the owner retains title" (Lincoln 1976d). The independent subject pronouns, as distinguished from predicate markers, differ a good deal, but they also differ between Kove and Kaliai. The most noteworthy differences in the Gitua and Kove pronoun systems is that Gitua lacks the third person singular subject marker and suffixed possessive whereas in this same person Kove lacks the direct object marker (except for reflexive action) and has the others. Gitua does not have the Kove peculiarity of prefixing only the third person singular in the inseparable possessives; all follow the noun. Kove lacks the Gitua practice of usually infixing the second person singular subject marker u after the initial consonant of the predicate verb. The negatives are alike and both follow the verb, but the words indicating completion of action are different. So are the conjunctions.

Prepositions show notable similarities in both form and function. Gitua has a postposed locative e that is used somewhat like, and may be cognate with, Kove iai, but there is nothing corresponding with Kove aia or aea, as Thurston writes it (see Chowning 1973, 1978). A Gitua preposition nengan for or with, similarly is used like Kove  $\eta_a(ni)$ , and again may be cognate, though I have only one other doubtful example in which G.  $/\eta g/=K$ .  $/\eta/$  (G. nanger horsefly beside K. lanolano fly). The other prepositions, G. pan, K. pani, and G., K. toma are certainly cognate, although in Kove the latter is used only with things, not with people. As was stated at the beginning, the overall impression is just that Kove grammar is simpler than that of Gitua, with few fundamental differences.

#### THE SIASSI ISLANDS AND MIGRATION

The earliest description of the linquistic situation seems to have been made by Bamler, a Lutheran missionary who allowed Chinnery (1926) to publish some of his lexical data. These indicate that there were in the 1920s three distinct AN languages, two (Barim and Iangla) on Umboi Island (Rooke Island) and one on the small islands of Tuam, Malai, and Aramot. The wordlists clearly indicate that this third language is closely related to Gitua. Much more recently, an anthropologist working there reported the following. Kaimanga is spoken on east Umboi and on Sakar Island, and is said to have originated on Umboi near the headwaters of the Simban River. Betang-Paramot or Karanai is spoken on Barim (once called Paramot), Mantagen, Aronaimutu (where they are bilingual), Tolokiwa, and Arop, and also on the Rai Coast of New Guinea from Kaiwa to Roinji. It is "very similar" to the languages of Gitua and Sio. Patrol reports trace migrations from Barim to the mainland of New Guinea, but local myths have Siassi settled from the Rai Coast and from Tolokiwa Island. Mutu has two dialects, one spoken on Aronaimutu, Mandok, Mutumala, and Aromot, and one on Malai and Tuam. Mandok (where the anthropologist worked) is most closely related to Kilenge of New Britain, and most Mandok trace descent from Kilenge via Aromot, but they also have migrants from other parts of Siassi and from the Tami Island area, including Malasiga on the mainland (Pomponio 1983:1-17). Pomponio's statements about linguistic divisions agree with those of Harding based on fieldwork almost 20 years earlier, except that Harding simply says that "the Siassi Islanders of Mandok, Aramot, Malai, and Tuam speak closely related dialects of the same language", and does not mention the bilingualism of Aronaimutu, which he groups with Barim. He also says that all three of the AN languages of this area "appear to be closely related to each other and to the mainland languages of Sio, Gitua, and the Rai Coast" (Harding 1967:122-123). No mention is made of connections with New Britain.

Hooley, in his first attempt to classify the AN languages of Morobe, proposes four languages for the Siassi region: Lukep (Tolokiwa and the northern tip of Umboi); Mangap (Sakar Island and eastern Umboi, agreeing with Pomponio's Kaimanga); Barim (Aronai and south-west Umboi); and Tuam (Mutu) ("Mandok, Malai, and Tuam Islands, southern Umboi, and small settlement on the north coast of Huon Peninsula") (Hooley 1971:100). On his map (p.96), the settlement is labelled "Mutu" and is separated by Sio and Malasanga from Gitua to the east. The principal difference between Hooley's list and the others is the separation of Tolokiwa from Barim. This need not concern us, since those languages are not so closely related to Gitua or Kove as are Tuam and Mutu. Hooley provides separate wordlists for these two dialects or languages, and Mutu seems the more closely related to Gitua. Since Hooley also provides lists for Gitua and for Maleu (a dialect of Kilenge) any reader can assess the lexical evidence, while keeping in mind that as with any such wordlists there are some errors. (For example, the Gitua list has the word for mouth in place of the word for tooth, and the phrase hit me, in which the final consonant of the verb is dropped, for hit.) The relation between Gitua and Mutu is evident not only in the sharing of many specific lexical items but in the shapes of words that are more widespread. Particularly noteworthy are the presence of initial consonants in words for eat, sleep, etc.; of medial consonants in older sibling same sex, new, etc.; and of final consonants in fire, sugarcane, south-east wind, and pus, where neighbouring languages usually show  $/\emptyset/$ . Other shared peculiarities include the initial /n/ in nima hand and the metathesis in yama tongue. On the other hand, Tuam and Mutu, though particularly Tuam, show a strong tendency to drop final vowels which are retained not only in Gitua but in other languages of the region (see below). A comparison of Mutu with Maleu does not support Pomponio's assertion that Mutu is most closely related to Kilenge. Indeed, had this relationship been obvious Hooley would not have been so uncertain about whether Maleu belonged with the Siasi languages (see Hooley 1971:92,104).

Harding's account of language movements in this region differs somewhat from Pomponio's. He states that "Gituans trace their origins to tiny Pore Island (near Mandok)", and so do the people of "Malalomai" (sic); both places are supposed to have been settled by the passengers on two "canoes carrying migrants" which got separated. Where the people were migrating to, or why, is not explained. Harding has also collected many accounts of canoes being blown off-course in the Vitiaz Straits area, so that canoes from Siassi end up at various places along the north coast of New Guinea and canoes from Sio end up in the Arawe Islands off the south coast of New Britain. He also repeats Parkinson's account of finding drift voyagers from the D'Entrecasteaux and from the Trobriands on New Britain (the latter in a Kilenge-speaking region) (Harding 1967:12-13).

In his Rai Coast survey, Lincoln (1976a) notes that Harding's story indicating that Malalamai and Gitua originated from Por Island "seems to be quite recent and is now often told in connection with the tower of Babel. But a Siassi - New Britain source for both Boja (=Malalamai) and Gitua settlements would make sense,

a more permanent version of the settlement reported by Hooley". Here he is referring to his failure to locate a mainland settlement of Tuam-Mutu speakers located west of Malasaga in Hooley 1971, and his speculation that the people were actually "Siassi traders waiting for the Rai [south-east] wind to abate so that they could return to Siassi", Lincoln goes on to note that "The distribution of the so-called Korap Subfamily - Sel, Siŋorakai, Malasaŋa, Arop, Lokep, and Barim - suggests that these too may be remnants of trading expeditions".

Before examining further the reasons for some of these movements, it is worth mentioning that the Kaliai also trace part of their origins to Siassi. Haddon reports that:

> According to tradition there was trouble in the island of Tuam and half the population came across to New Britain ... made smaller paddling canoes, and eventually settled in Kaliai. Tuam and Kaliai have many words in common, and between them exists a very friendly attitude.

(Haddon 1937:154)

Dorothy Counts (1968:49-50) recorded a Kaliai myth in which the crew of a large Siassi canoe came to the Kaliai area and brought civilisation to the local people, some of the Siassis settling there. In addition, according to Thurston, "Michael Freedman was told a story in Siassi about a fight which resulted in two canoes departing with people who settled in Kaliai" (Thurston 1982:60). Freedman, like Pomponio, worked in Mandok. (The Kove, however, have no traditions of migration, but assume that they originated on the coast in the middle of their present region, which was otherwise unoccupied. Judging from the number of generations involved, the single village from which they all trace descent broke up and dispersed about 1800.)

We need not take any origin myth at face value, even when it does not involve fantastic elements. It does not seem likely that four languages (one NAN, three AN) actually originated on Umboi Island and spread from there far along the north coasts of New Guinea and New Britain as well as, in the case of the NAN one, deep into the Huon Peninsula (Harding 1967:13). On the other hand, there is no denying that this is a region of constantly shifting populations. Some of the movements have been accidental, as with the drift voyages mentioned earlier, while others involved purposeful migration. One reason has been natural disaster. In this region, a major factor has been volcanic activity, including associated tidal waves. The entire north coast of New Britain contains a chain of active volcanoes which extend west through the islands off New Guinea as far as the Schoutens. Eruptions have occurred frequently in historic times, and geologists trace many more to the very recent past. The worst known devastation in western New Britain was caused by the eruption of Ritter Island in 1888; the resulting tidal wave was 12m. high at the western end of the island (the present-day Kilenge-Maleu region), extending up to 1 km. inland and causing landslides as well as flooding. Parkinson says that: "Zahlreiche Dörfer der Eingeborenen wurden fortgeschwemmt, und ein grosser Teil der Bewohner muss ... das Leben verloren haben". A Germanled expedition camped on the shore at the time was obliterated without trace (1907:30). Undoubtedly the devastation extended to the islands of Dampier Strait as well. The Kilenge-Maleu region is itself one of active vulcanism; the local cones "have a history of strongly explosive eruptions, the earliest of which was recorded towards the end of the last century" (Löffler 1977:78). There are no active volcanoes in the Kaliai-Kove region, but Löffler estimates that the last eruption on the "entirely volcanic" Willaumez Peninsula just to the east occurred "at the beginning of this century" (1977:78). From oral accounts we know that

when a volcano was erupting frequently near Cape Hoskins early in this century, most of the population had to leave because their gardens were wiped out by ashfalls. They moved both east and west, and many stayed away for years. Judging from Johnston's description (1980) of dialectical differences in "Nakanai", the speech of some of my informants had been considerably affected by these sojourns. More recently, eruptions on Arop (Long) Island have forced population shifts (Harding 1967:133).

As well as volcanic eruptions, droughts also caused famines, particularly in places dependent on taro, which needs abundant rainfall. Harding says (1967: 92): "During a particularly severe famine which is supposed to have occurred late in the last century, a number of (Sio) people were forced to migrate to the Rai Coast and to Siassi". Other reasons for dispersal were overcrowding of the smaller islands, internal quarrels, and attack from outside. All of these have affected the distribution of the Kove in New Britain, with internal dissension especially leading to the establishment of new settlements far distant from the old ones, and in one case completely outside Kove territory (see Note 3). Harding also tells (1967:179) of people migrating from Siassi to the New Guinea mainland because of a local quarrel.

The region which includes the Vitiaz Straits, extending along the north coast of New Guinea and both the north and south coasts of New Britain, is tied together by an elaborate trade network. In many places trade is carried out by individuals whom Harding calls "trade friends", and at least in Sio trade was not a reason to encourage intermarriage with actual or potential partners (Harding 1967:181). Nevertheless, such intermarriage is common, especially in the smaller groups; were outsiders not admitted, many people would have to remain unmarried. (In 1963 Gitua's population was 415, and Mandok's had expanded to 343 from 120 in 1911: Harding 1967:114.) Hooley mentions marriage and trading as possibly expanding the percentages of shared cognates between Tuam and Tami, as well as other pairs of Morobe languages (1971:100). Where people actually travelled from Siassi, they are said once to have used a "Pidgin form of the Siassi lanquage" (presumably Tuam-Mutu) for trading purposes, but Harding also says that "multilingualism is characteristic of the area" (1967:6). 10 Farther from the Vitiaz Straits, multilingualism seems to have been the only solution for those who wished to trade abroad, and it was sometimes accomplished by sending young men to live in foreign communities so that they could learn the language. A Kove-speaking settlement on Bali Island is said to have resulted from this practice; inevitably some of the men decided to marry and stay there.

What seems likely to have resulted from this frequent moving around by both individuals and groups is communities of much more mixed linguistic origin than is found in some other regions such as the interiors of some of the larger islands. Especially where the travellers settled in places that could not support a large population — and that is true of Gitua and Sio as well as of the small Siassi Islands (Harding 1967:114) — we should expect the linguistic impact of a small number of immigrants to be much greater than if a single canoe landed among a larger population.

## 3. NEW SUBGROUPING PROPOSALS

As was noted above, Lincoln has proposed removing Kilenge-Maleu from Bariai, while keeping Gitua. In doing this, he is rejecting the evidence of the high cognate percentages uniting Kilenge with Bariai proper (44%). In discussing

other Rai Coast languages (1977b), he states that it can be argued "that all of the high cognate percentages between Huon languages and Rai languages are inflated by borrowing", especially as regards specialist traders, notably the Tami. In general, the subgroupings proposed here tend in fact to ignore cognate percentages, which were also the grounds for proposing initially that Bali-Vitu belonged with the Willaumez languages and Tubetube with those of Normanby Island (see below).

#### 3.1 Lincoln

Lincoln accepts that the six languages in his redefined Bariai are "very closely related", and "show ... relative cohesion as opposed to their quite closely related Oceanic neighbors". He suggests calling the division composed of Malalamai, Gitua, and Tuam-Mutu "eastern" and the one containing Bariai, Kaliai, and Kove "western", but does not give the grounds for the division apart from noting that the eastern languages have tuzu breast and sin blood while the western ones have turu and sini. (In fact, Bariai proper also has sin.) As will be seen, there is considerable phonological justification for this division, which I have accepted.

Because of its relevance to the general argument of this paper, it is worth examining some of the reasons for Lincoln's excluding Kilenge-Maleu from Bariai and assigning it to Sio. The reason is that the Kilenge dialects lack the "Bariai" words for blood (Kil. tepo); no (G. mago, K. mao, Kil. avo); water (G. yau, K. eau, Kil. iako); and bone (G. tua, K. tuatua, Kil. bolbol). At the same time, it shares with the "Sio" languages which include the other AN languages of the Siassi Islands and Umboi, the use of a word which elsewhere means wing (G. bage) for hand, a reduced form of the word for ear, and a special word for tooth represented by Kil. rona. He notes that "Bariai and Kaliai appear to have borrowed" the tooth form from Kilenge, and that Bariai has also borrowed the hand form from Kilenge (Lincoln 1977b), but does not consider the possibility that Kilenge may also have borrowed these terms. The destruction after the tidal wave would have left much of the coast open to settlement from the islands as well as from the interior. 11 In addition, some of distinctive Kilenge words have cognates elsewhere in New Britain. Specifically, Amara, an AN language spoken just to the east of Kilenge has topo blood and also kono sleep (see Kil. kono beside K. eno). As Friederici pointed out, Bali-Vitu also have topo blood. The Whiteman languages farther east in New Britain have cognates of the Kilenge word for no; cf. Sengseng awo.

I have nevertheless been persuaded by Lincoln that Kilenge is by no means as closely related to Kove as is  $\operatorname{Gitua}$ .

#### 3.2 Ross

Ross has suggested on phonological grounds that Kove does not subgroup with Gitua, while admitting that the lexical evidence in Chowning 1973 "calls into question the interpretation" that would put Proto-Bariai into a separate branch of Proto-Siassi from Proto-North Coast, assumed to have given rise to Gitua and many other languages of the north coast of New Guinea. The specific differences he cites are the following: in Kove,

- (a) the medial reflex of POC \*p is zero;
- (b) the reflexes of POC \*mp and \*ŋk are fricatives, where other languages have stops;
- (c) POC \*k and \*q are lost entirely;
- (d) the reflex of POC \*ns/\*nj is r;
- (e) POC final vowels are consistently retained. (Ross 1977:55-56)

As I have pointed out above, (a) is probably not correct, apart from cases like manipinipi thin which I cite there; kapi- take hold of (POC \*kapit); and tuva Derris (POC \*tupa). The probability that \*-p- was sometimes reflected as K. /u/ is most evident in K. saua what? (POC \*sapa); see also uwe  $taro \ stick$  (POC \*upe) and examples given above. Since some of the other North Coast languages have luwo tooth, which Ross assumes shows a /w/ reflex of \*-p- (1977:16), we do not seem to have a significant distinction here. I have also pointed out that \*kand \*q are not always lost in Kove; examples of reflexes of the latter include K. ahe leg (POC \*waqe) and tahe faeces (POC \*taqe). Since the reflexes of \*mp and \*nk are stops in Bariai proper, and no one can possibly doubt that Bariai is the closest relative of Kove-Kaliai, point (b) seems to reflect a misunderstanding of what I said (1973:195) about the shifts that led to the development of fricatives only in the eastern branch of these languages. At least, the situation seems to be clear as regards reflexes of POC \*ŋk in Bariai. Not only does Friederici consistently write g in words like waga canoe, but he contrasts the sound heard there with one he writes y which he heard in only a few words (1912: (Ironically, the one of these that has a Kove cognate - Bar. tayahau fishspear - is one in which I have recorded both sounds.) The fact that Friederici also used only q in writing Kove words raises some question about the sound being represented, but it seems clear from his mentioning the prenasalisation of the Bariai word for pig (his ngaia; see Gitua ngaya) that it was not pronounced like the present-day Kove and Kaliai yaia.

The question of the reflexes of POC \*mp is less clear. With the sound that he writes b, he speaks of hearing a v-like sound, so that he sometimes wrote the word for tree as avei rather than abei. His comparison of the Bariai with the Spanish "b=v" seems to make it clear that what he heard was a bilabial fricative. He also notes that "ein nachlässig ausgesprochenes Barriai -w ist nicht weit von einem -v entfert", but decided not to use that symbol because "ein v kennen die Barriai nicht" (1912:169). In recording Kove, he writes b or w where I would write v, but interestingly has Kove awe tree beside Bariai abei. The Nicholsons write Bariai bon night, but the Haywoods heard a bilabial fricative in both this word and the word for betelnut, both reflecting POC \*mp. It is worth noting that according to the Haywoods, in Maleu (which borders on Bariai), voiced stops "occur only as allophones of voiced fricatives" (1980:48). If their recording of Bariai is accurate, perhaps a tendency which in Friederici's time was found only in the bilabial voiced stop has spread to other voiced stops under influence from the west, duplicating what happened in Kove and Kaliai to the east (see under 4.).

Although POC final vowels are consistently retained in Kove itself, this is not the case as regards \*-i and \*-u in Bariai (see Chowning 1973:196 and many examples in Friederici).

Nevertheless, Ross is right in suggesting that there are significant phonological differences between Lincoln's eastern and western Bariai, creating difficulties which I try to deal with below.

# 3.3 Wurm and Hattori (1981)

In the Madang Province map they published, with no indication of the source, the Bariai subfamily has been divided into the Cape Gloucester section, which contains Kilenge and Maleu, and the Kove-Bariai section, while the Ngero subfamily consists of Tami, Mutu, Gitua, and Malalamai (both subfamilies among many in the Siassi Family). On the basis of the wordlists in Hooley 1971, I cannot see the justification for assigning Tami to Ngero and, like Lincoln, I am not happy with the assignment of Kove and Gitua to separate subgroups, even though I am aware of the problems if they are put together.

# 3.4 Chowning

At the very least, I propose that for the time being, Kilenge-Maleu be considered less closely related to Bariai, Kaliai, and Kove than are Tuam-Mutu, Gitua, and Malalamai. In the subsequent discussion, I shall refer to these last three languages as Ngero - appropriately, since they alone contain cognates of that word for man - but shall exclude Tami. To avoid confusion with other uses of the term Bariai by myself and Lincoln, I shall call his "eastern Bariai" languages Kove-Bariai, with a recent common ancestor proto-Bariai.

# 4. PROTO-DAMPIER

If it is assumed that Gitua, Malalamai, Tuam-Mutu, and Kove-Bariai have a single common ancestor separate (at a low level) from that which gave rise to other languages in the vicinity, I shall temporarily label it Proto-Dampier, after the strait separating New Britain from the Siassi Islands. Given that many isoglosses connect and mark off these languages, the question is whether any plausible phonological history can be reconstructed to account for the sound correspondences.

As regards vowels, Kove is the most conservative, followed by Gitua. Both have retained straightforward reflexes of POC vowels which have sometimes been dropped in other Dampier languages, particularly Tuam-Mutu, or have shifted, particularly in Malalamai. Kove, however, also has new final vowels following POC final consonants. They do not reflect vowel harmony, but are usually /i/ except after POC \*-m, where /u/ occurs. The reason is probably that word-final /u/ following /m/ in Kove is dropped in normal pronunciation. The few exceptions to the 'rules' just given include atunu tuna and pelaka lightning (POC \*pilak); compare K. samani outrigger, launi hair, foliage, varuhi pigeon, salumu needle, tilomu oyster, etc. (see list in Chowning 1973:197). It is suggested that Proto-Dampier regularly had \*-i after \*p which sometimes, at least, was derived from POC \*-p, and after \*k, sometimes derived from POC \*-k or \*-q. At an early stage, then, Proto-Dampier would have had not just something like \*yapi fire but also \*(C)utupi draw water; not only \*Reki grass (POC \*Reqi - Ross 1977) but \*nanaki pus (POC \*nanaq). (The data suggest that the POC word for spider/web, \*lawa, should be reconstructed with a final \*q as in PAN, producing Proto-Dampier \*lawaki.) The same shape is reconstructed for words not derived from POC, as with PD \*wataki know. At least in certain sequences, what then happened was that the medial \*k and \*p dropped out in the eastern languages, leaving such forms as K. utui draw water, nanai pus, lawai spiderweb, lailai evening, and watai know. In Gitua and Malalamai, by contrast, the final vowel was dropped, leaving such

forms as G. nanak pus, G. watak know, and Mal. laplap evening (beside G. raravia). In Tuam-Mutu, the final \*i is dropped in some cases but tends to be preserved in verbs, and the stop became voiced: T-M rabrab evening, watagi know. The dropping of the consonant in the ancestor of Kove-Bariai is characteristic of many lanquages along the north coast of New Guinea, according to Ross 1977.

It is very difficult to deal with some of the other possible proto-phonemes because of the available data, which are especially full of inconsistencies as regards the recording of Bariai. It seems to have been more conservative than Kove and Kaliai as regards some consonants, but the three wordlists available to me (Friederici 1912, R. and R. Nicholson 1966 (MS), and G. and I. Haywood 1980) differ among themselves particularly in the recording of /r/ and /d/. sometimes offering alternative spellings of the same word. The question of Friederici's recording of some consonant sounds has been mentioned under 3.2 above. As regards an /r/ phoneme, there seem to have been two separate ones which he wrote in the same way. The first and more common was often heard, and probably pronounced, as an alveolar voiced stop, and so usually written as d. His examples make it clear that this was an allophone of an r-sound (a flap?) in certain environments, notably before /-e/, but he gives several examples of recording the same word with both r and d (e.g. ada/ara our(incl.) (edible)) (1912:169-170). The sound heard here seems to have differed from both the prenasalised /d/ he recorded in the word for fire (diŋa, pron. ndiŋa) and another r-sound he had difficulty distinguishing from /l/. Tests convinced him that this last should also be written as r, but it is interesting that one set of words in which he heard it was the part of the dual pronouns which contain the word for two, although he did not hear it in the word for two itself. Kove has hua and Kaliai rua in both the numeral and the pronouns. (I have not recorded Kove cognates for other Bariai words in which he says /r/ is like /l/.)

The Nicholsons in recording Bariai distinguish a flap /r/ from a trill, though the Haywoods do not. Since the trill in almost every case, like the Kaliai one, corresponds to Kove /h/, this suggests that proto-Bariai had two /r/ phonemes. The same may have been true of proto-Ngero; Pomponio says that her /r/ represents both a flap and a trill. The inconsistent recordings make it difficult to be sure that Bariai has a /d/ separate from these, or did in the past; Bar. diga fire beside Kil. and Kal. riga indicate that it does now.

Apparently in PB, the reflexes of POC \*R, \*d, and \*l all had two reflexes, a trilled /r/ and /l/. The reasons for the separation are wholly unclear; possibly it reflects language mixing at an earlier stage. The trill remained in Bariai and Kaliai but became /h/ in Kove, falling together with another /h/. In at least a few words this represented POC \*-k- and \*-q-; in other words, also shared with Kaliai, there is no known POC form. The flap /r/ in PB seems to be derived from POC \*ns, and possibly \*nd and \*nt. Apart from Pomponio's statement, it is interesting that Malalamai once had a voiceless /l/, now pronounced /r/, in many of the same words in which Kove has /h/, with /l/ in others. See, for example, Mal. Lua, K. hua two; Mal. Lowo, K. hoho fly, Mal. Lop, K. hau hit. There are exceptions, such as Mal. nola, K. noha yesterday, but it may be that proto-Ngero once had two distinct phonemes corresponding to the trilled and flap /r/ of PB, which fell together at least in Gitua.

It is necessary to reconstruct a simple \*g for PD, which was sometimes derived from POC \* $\eta$ k. In the Ngero languages this produced a prenasalised voiced stop, at least medially, leading to G. / $\eta$ g/, and in PB perhaps a stop that was only lightly or occasionally prenasalised. In Kove and Kaliai this shifted to a fricative, so that a dozen cognate pairs show K. / $\gamma$ / = G. / $\eta$ g/. There are, however, so many words in which both Kove and Gitua have a fricative, including

the first person direct object pronouns  $\gamma au$ ,  $\gamma ita$ ,  $\gamma ai$ , that despite its apparent rarity in Bariai, it is tempting to reconstruct a voiced velar fricative for PB and PD. Because Gitua also has  $/\gamma/$  as the reflex of POC \*-k- and \*-q-, however, a set of irregular correspondences has resulted: G.  $/\gamma/$  = K.  $/\gamma/$  in one set of words, and usually K.  $/\emptyset/$  in another, while G.  $/\eta g/$  also = K.  $/\gamma/$ .

This is not the place to try to reconstruct PD, especially because it is impossible to do a thorough job without better data on some of the languages. It may be thought that the phonological histories are simply too divergent for Ngero and Kove-Bariai to belong to the same subgroup, but if they do not, how are we to account for the lexical connections? Apart from Thurston's theory, to be discussed below, there seem to be several possibilities. The distribution of Kilenge-Maleu suggests that it has been in New Britain a long time, with many speakers living deep in the bush, whereas all the other languages being discussed here are confined to narrow coastal strips and offshore islands. Unless an ancestor of Kilenge or a now vanished language once spoken in the same region gave rise to the Dampier languages, then the ancestor presumably came from outside New Britain. The only possibilities seem to be the north coast of New Guinea or Umboi Island. I would suggest that New Guinea was indeed involved at some stage to account for the presence of the preposed genitive and the -iai suffix in Kove-Bariai (assuming that they were not derived from the NAN language Kovai on Umboi). According to this scenario, the western end of New Britain was already occupied by the ancestors of the speakers of Kilenge, and there may have been then, as now, speakers of other AN languages on Umboi. The speakers of PD may have already been affected by these neighbours before some migrated to the small Siassi Islands (and later to Gitua and Malalamai) and others to the north coast of New Britain east of the Kilenge region occupied by the Kilenge. They presumably remained together for a while, during which PB lost the consonants retained by the Ngero languages, but gradually spread east, coming in contact with different groups already resident in these regions, but not losing their initial linguistic unity. Both trade and warfare, in the person of refugees, also kept them in contact with the Ngero speakers of the Siassi Islands, but this language was also altering because of mixing with migrants from other regions. Nevertheless, diversification proceeded until the colonial period, when it became somewhat reduced by increased travel and contact resulting from the abolition of warfare. The Kove say that most of their ceremonies were acquired from Bariai or farther west during this century, and also that dialectical differences within Kove are disappearing. Meanwhile the Gitua and Malalamai people were being affected by a different set of neighbours, and diversifying in different ways. I am assuming, as I did earlier, that these languages arrived in New Britain very late in its period of settlement. I do not think that most of New Britain was settled in one wave of AN speakers, as Grace suggests (1986), nor do I think that Kove-Bariai is different enough to be assigned to a completely separate branch of his North Coast languages, as Ross suggests (1977).

A different scenario has been proposed by Thurston, and since it seems to have been accepted without question by some other linguists (e.g. Lynch 1981:109), it needs detailed discussion.

#### THURSTON

In a recent study (1982), Thurston has compared Kaliai (= Lusi) with the NAN language Anem, spoken in Kaliai territory (see Map 2), and discussed possible influences between these languages. In order for the basis of my criticisms to

be understood, several points need to be stressed. Kove and Kaliai are very closely related, particularly in basic vocabulary, so that both David Counts and I have called them dialects of a single language. Counts says (1969:3) that the two are mutually intelligible, but in fact they are different enough so that misunderstandings sometimes occur, according to the Kove. The reason seems to be that each has borrowed from different neighbouring languages. Apart from terms relating to ritual and sailing, which are said to come from Kilenge and Bariai, Kove has borrowed from Bakovi, just to the east, and probably from Bali-Vitu. (Many Kove claim to speak Bakovi and Kilenge.) By contrast, the Kaliai seem to have borrowed from the Lamogai languages and, according to Thurston, Anem. According to Dorothy Counts (1968:48,242ff), some of the Kaliai are descendants of speakers of Lamogai languages (Aria and Lamogai proper) who migrated to the coast, at least partly because of warfare.

Presumably because of the patterns of borrowing, Kove and Kaliai differ much more in phonology and in lexicon outside the basic vocabulary than in grammar. Grammatically they are almost identical, though it is impossible to be sure about some points which are not discussed by David Counts. Phonologically the greatest difference is that Kaliai contains many consonant clusters, both initial and medial, and many words ending in consonants. Kove contains no initial consonant clusters; almost no medial ones except when certain reduplicated forms are pronounced rapidly (for example, natnatu children becomes natunatu in slow speech); and so few words with final consonants that they almost certainly are borrowings (e.g. asipel k.o. mask). One reason for the difference is that Kove has not undergone a shift, manifested in Bariai as well as Kaliai, in which POC \*i and \*u are dropped in certain positions, producing such differences as K. anitu, Kal. antu (POC \*qanitu) evil spirit and K. tina, Kal. tna (POC \*tina) mother. In many cases cognates not obviously of POC origin are shorter in Kaliai and lack vowels that are present in Kove.

Thurston suggests that Kaliai phonology has been influenced by Anem, particularly as regards consonant clusters and final consonants (1982:56) - quite possible, but not applicable to Kove. He then discusses lexical borrowing, demonstrating persuasively that Anem has borrowed many Kaliai terms having to do with canoes and the sea. He suggests that Kaliai in turn borrowed heavily from Anem in two areas, vocabulary referring to plants and animals of the bush, and a series of verbs that begin with ka- in Kaliai and ge- or ga- in Anem, having to do particularly with sound or motion. To take the second group first, of almost 40 cognate verbal forms of this sort shared by Kaliai and Anem, only one appears in my Kove data, and it is not a clear-cut case: Kal. kamuru, Anem gemuxu coo, of pigeons. This is one of only two pairs in which the Anem word ends with a vowel, raising a question about the direction of the borrowing. The possible cognate is Kove kamuru whisper, which has the same shape and meaning in Bariai; the Kove word for coo is kukururu (see POC \*kudu dove).

As regards the bush vocabulary, as Thurston points out, there are problems of identification, especially because so many of his terms rarely appear with accurate designations on wordlists. My Kove vocabulary is also particularly deficient in this area, for reasons having partly to do with the great maritime orientation of the Kove. Kove does share many of the plant names on Thurston's list of Kaliai-Anem cognate pairs, but some of these are found outside the area – a possibility he acknowledges. The ones with characteristic Anem phonological shapes do not have Kove cognates, as far as I know. Kaliai certainly does share many words referring to the bush with Anem. Curiously, however, Thurston does not seem to consider the possibility that both languages may have borrowed from the Lamogai languages which are much more widespread in this region than are Anem

and Kaliai (see Map 2). My lists for Lamogai languages are very brief, and contain only one term that relates to these pairs of bush words: hornbill. This is Kaliai meřiaŋ, Anem mexiaŋ. In two Lamogai languages we find: Lamogai proper meřiaŋ, Mouk mahiaŋ. (The Kove word is not cognate.) That terms have been diffused is certain, but more languages need to be examined before the source can be identified. (Thurston does acknowledge this fact - 1982:80.)

The weakest part of the argument has to do with grammar. Thurston begins by reconstructing what he calls "Standard AN", drawing only on the syntax of languages spoken outside Melanesia, and then goes on to assume that features found in Melanesia that diverge from this pattern represent NAN influence. Most remarkably, he argues that SVO sentence order is the result of such influence (p.16). Other evidence has to do with "modalities marked with particles in clause-final position" in both languages (p.33). In fact, every one of the modalities he lists (which are not cognate in Anem and Kaliai) are found in the same position in Gitua, and so are the separable possessives (Lincoln 1976c). Furthermore, the virtual absence of preverbal particles and the infrequent use of prepositions in Kove, which led me to speak of its grammatical simplicity (Chowning 1973:218), seem to be shared with Malalamai, and other apparent peculiarities of Kove-Kaliai appear in other languages of the north coast of New Guinea. In short, the data simply do not seem to me to support Thurston's conclusion that Kaliai was formed by Anem-speakers imperfectly learning a Siasi language, pidginising it. He adds that "it is possible to speculate that Kove is the result of the same processes ... but with a substrate dialect of Anem different from that encountered by the Lusi" (Thurston 1982:61). I am not opposed to the idea of pidginisation, and agree with Thurston that some of the Dampier languages may have undergone a degree of it at an earlier stage (and elsewhere), nor am I opposed to the idea of NAN influence on AN languages. I think, however, that there is no good evidence to support the suggestion that Kove has a substrate of a language that differs so enormously (not least in grammatical complexity) from itself.

## 6. JOHNSTON

Johnston has recently called attention to another problem involving Kove. In attempting to subgroup the New Britain languages (Chowning 1969), I assigned Bali-Vitu, the languages of the French Islands, to the "Kimbe Family", though with some qualms. The reasons for doing so were not just cognate counts but isoglosses connecting these languages with those of the Willaumez Peninsula, and the shared grammatical feature of the postposed genitive. Later (1973) I argued that contrary to Milke's assumption, the Kimbe languages do not belong with Kove and its relatives in being linked to AN languages of New Guinea. Johnston has reanalysed the data (1981, 1982) with particular attention to Bali-Vitu, which he assumes to be the most phonologically conservative of the Kimbe languages. He has much new material collected by himself and Ross, and also points out a fact that I overlooked in arguing for resemblances between Kimbe and EO: the frequent retention of POC final consonants in Bali. Cognate counts ranging from 42% to 47% still support the link between Bali-Vitu and the Kimbe languages of the Willaumez Peninsula (Johnston 1982:62) (though I do not accept the cognacy of some items assumed to derive from Proto-Kimbe, such as Bali voraka and Lakalai ua root). At the same time, an examination of wordlists collected by Ross and grammatical data presented in Johnston 1981 makes me increasingly uneasy about the assignment of Bali-Vitu to Kimbe or any other New Britain subgroup. Many

isoglosses also link these languages with Kove, even though Johnston found Bali and Kaliai to be only 25% cognate. Furthermore, various features of the grammar are reminiscent of Kove and unlike the one Kimbe language I know well, Lakalai (Johnston's Nakanai). These include the use of the preposition tamani with and the position of the possessives. Without more knowledge of the languages of the Willaumez, I cannot exclude the possibility that Bali-Vitu indeed links the Kimbe languages with the AN languages of New Guinea, as Johnston suggests. It may be, however, that the apparent links only reflect heavy influence from both the Willaumez languages and Kove. The fact that Kove has also borrowed from a Willaumez language (Bakovi, otherwise Bola) further complicates the picture. 13 We have historical evidence of migration back and forth between the Willaumez Peninsula and Bali-Vitu, resulting from volcanic eruptions, famines, and fights. I mentioned earlier the Kove settlement on Bali that resulted from trade. Before a final decision can be made regarding Johnston's argument, we need to sort out the effects of migration to and from Bali-Vitu. Meanwhile Ross has argued (1983) that Bali-Vitu forms an isolate that should indeed be separated from the other Kimbe languages but that neither Bali-Vitu nor the rechristened Willaumez group belongs with Kove and its relatives. If he is right, and I suspect that he is, cognate counts led both Johnston and me astray.

## TUBETUBE

A final example from Milne Bay will indicate how more evidence can decide arguments. Tubetube was grouped by Lithgow (1976) with Normanby Island languages but by Ross, on phonological grounds (1981), with Suau. After examining wordlists, I wrote:

I find it impossible to separate Tubetube from the languages of south Normanby, on the one hand, and from Sariba, which certainly belongs with Suau, on the other. I do not have enough data to decide whether Tubetube constitutes a true link between Suau and the D'Entrecasteaux or ... an unclassifiable amalgamation. (Chowning 1981)

Macintyre has helped settle the question by documenting the history of the settlement of Tubetube, which has a tiny population. This has recently included a 'colony' from Normanby and other migrants from Panaeati superimposed on an 'original' settlement from Suau via Ware. The picture has been complicated by the use of several mission languages, including Dobu, and "intermarriage (encouraged by missionaries) between people of convert communities". When obsolescent words are taken into account, the principal ties do indeed seem to be with Suau (Macintyre 1983:40-44). How similar Tubetube looks to languages other than Suau seems to vary with the age, education and immediate ancestry of one's informants. The language of the Amphlett Islands continues to cause problems in classification for both Ross and me, and may reflect an equally complex history, the result of their location and dependence on trade.

## 8. CONCLUSIONS

Despite the strong lexical ties, it remains uncertain whether it is possible to derive both the Ngero and Kove-Bariai languages from a single low-level common ancestor ("Proto-Dampier"). If they do not belong to a subgroup separate from

Ross's other "North Coast" languages, the large amount of shared lexicon, which so impressed Lincoln as well as myself, needs explaining, but if they do form a separate subgroup, there remain difficulties associated with their phonological divergence. It is worth emphasising that in some features, Kove-Bariai resembles other languages of mainland New Guinea even though it differs from Ngero. For example, many of the North Coast languages are like Kove-Bariai in losing reflexes of POC \*-p- in words for fire and sugarcane. Ngero differs not only from Kove-Bariai and from most other North Coast languages but also from a much more widespread Melanesian pattern in having lost the reflex of POC \*k- in the word for skin. In addition to a few of the lexical items mentioned above, there are other cases in which Kove-Bariai resembles some languages of mainland New Guinea, though not Gitua and Malalamai, rather than other languages of New Britain, the French Islands, or the Siassi Islands. The retention of the final POC consonant in the word for foliage, hair (Kove launi, with a doublet laulau leaf) is found in several New Guinea languages, including Jabêm. An interesting case is that of Kove-Kaliai (not Bariai) tamine woman, with its unexpected /m/. In discussing the putative subgroup which would include both Ngero and Kove-Bariai, Lincoln commented (1977b): "Because Sisano shares so few similarities with Bariai languages, we can probably consider the Sisano /tus/ breast to be a coincidental resemblance." It is interesting, however, that Ross (1977) reconstructed Proto-Siau \*tamein(e) to account for 'women' forms in Sisano and its relatives. If there ever was a specific link between Kove-Bariai and the AN languages of the West Sepik, it is unlikely to have been a strong or recent one, but these shared forms may be other clues to population movements in the region.

I have not considered here the considerable differences within the Ngero languages, which point to strong influence from other Siassi Island languages, in the case of Tuam-Mutu, and other mainland New Guinea languages, particularly in the case of Malalamai. The effects of immigration and intermarriage throughout the region, usually coupled with the effects of settling near other people speaking quite different languages, have obscured and confused what may once have been close relations among the languages spoken by some of the ancestors of these wanderers.

If my interpretation is correct, the relationship between languages can become much more complex than the usual tree model indicates, particularly because in some regions the paths of migration, deliberate and accidental, are so tangled. All of the historic evidence suggests that a considerable stretch of the north coast of New Guinea, including the offshore islands, has been subject to constant movements of people. Because so many different languages are involved and because some of them are closely related, the results are much more difficult to disentangle than, for example, in some parts of Polynesia. The relation between Gitua and its neighbours, including the languages of the Siassi Islands, is probably better represented as a series of overlapping circles than as a tree. Which part of the circles constitutes the core may be very difficult to ascertain. I feel dubious about the validity of several of the larger subgroups that have been proposed for both the Madang and Morobe regions (as in Hooley 1976, Z'graggen 1976) because the varying sorts of resemblances between languages assigned to the same subgroup suggest that the effects of population mixing and borrowing have not been distinguished from those resulting from descent from a single protolanguage (see also Chowning 1973:209).

Of course, I myself have not succeeded in solving the same sort of problem as regards the relation between Kove and Gitua. A major impediment is inadequate information about the Siassi Island languages, particularly as regards phonology and grammar, but since there is every reason to think that their histories are

as complex as that of Gitua, uncertainties would probably remain no matter how much material was examined.

The example of Gitua and Kove, with their many irregular correspondences, indicates that more data about apparently related languages can simply raise new problems of interpretation. Where there has been so much movement and population mixing, perhaps it will never be possible to establish clear-cut subgroups. Probably many other parts of Melanesia have histories equally difficult to disentangle.

#### NOTES

- Hooley and I have used the spelling with a single 's' for the language family as opposed to the islands, which are always Siassi. Others, particularly Ross, spell the languages like the islands.
- 2. The Kove material was collected in the course of my anthropological fieldwork, which was supported by the Australian National University (1966, 1968, 1969); the University of Papua New Guinea (1971-72, 1972-73, 1975-76); and Victoria University of Wellington (1983). Some linguistic work was also done when I visited Kove in 1978 on behalf of the Papua New Guinea Department of Environment and Conservation.
- 3. This is the western dialect spoken in the main Kove region, including the islands of Kapo and Nutanuvua, and not that of the breakaway villages of Tamoniai and Arumigi located far to the west. The Haywoods make a distinction between "Kove" and "Kombe" which wholly disagrees with my experience of people talking about the language rather than geographical divisions; the language (except when Pidgin is being spoken) is always called Kove, but the name also designates a few villages near a spot of that name, in about the centre of the region. Until recently all Kove called themselves Kombe in dealing with outsiders, but their recently established local government councils are called Kove East and West. The Haywoods' wordlist has under "Kombe" essentially the dialect I am describing, reserving "Kove" for the dialect of the breakaway villages which according to them exhibits some surprising phonological shifts: they write "Kove" th and ch as corresponding to "Kombe" r and Y (Haywood and Haywood 1980:46, 61-67).
- 4. The consequence is that I write either /w/ or /u/ to represent what in some cases is certainly a single phoneme deriving from POC \*p. See Chowning 1973:238.
- 5. The comparative evidence indicates that the POC form should have a preceding syllable \*qa-.
- 6. This term was reconstructed by R. French-Wright.
- That a term with this meaning should be reconstructed for POC is indicated by Lakalai hugu, Motu udu.
- 8. In fact, both Bariai and Kaliai have a verb to fight which is parao (Counts 1969:154) but Thurston specifically says that Kaliai lacks the reciprocal prefix and forms reciprocals like Kove (1982:27).

- It seems highly probable that they are cognate, but there seems to be some uncertainty about the derivation. Bradshaw (1979) suggests POC \*(dR)apat.
- 10. Haddon, however, thought that the principal trade language in north-west New Britain was Kilenge (1937:154).
- 11. Haddon says the coastal Kilenge villages were devastated by a smallpox epidemic - presumably that of 1896-97 - after which many hill people moved to the now thinly populated coast (1937:154).
- 12. Another possibility, implied by the various stories of migrations, is that the resemblances between the Kove-Bariai languages and Gitua and Malalamai simply reflect migrations from the small Siassi Islands to both New Britain and New Guinea. This would be more persuasive if first, Tuam-Mutu did not so clearly subgroup with the New Guinea languages rather than the New Britain ones and second, if the Bariai and Kove traced any of their origins to Siassi; they do not.
- 13. Bakovi and Bali-Vitu have so many words in common that although it is frequently possible to identify borrowings by Kove, on either phonological or distributional grounds, it is less often possible to say which of those languages was the donor.

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## WAI: A MALAITAN LANGUAGE IN FIJI

## Jeff Siegel

## O. INTRODUCTION

One of the more recent migrations of speakers of Austronesian languages took place between 1864 and 1911, when approximately 27,000 people from island Melanesia and Micronesia came to Fiji as indentured plantation labourers. Although most of those who survived returned to their home islands at the end of their contracts, a large number did stay on in Fiji. Some of their descendants have been absorbed into Fijian society, but the majority are still a distinct group who, without land rights, live together in settlements around the country.

This paper is about these immigrant contract labourers and the languages they brought to Fiji. It focuses on one particular language, called "Wai" by its speakers, which has survived to this day, although now it is on the verge of extinction. Wai has not been investigated thoroughly, but some preliminary information is presented here which may be of interest from the perspective of language contact and mixing. It may also provide some insights to those trying to reconstruct what happened in the more distant past. \(^1\)

The paper starts with some background information about the origins of the Pacific islands labourers in Fiji and about their descendants. The next section deals with the languages spoken by the labourers – especially those from Malaita, the homeland of the Wai speakers. Then in the main section, Wai is described in comparison to the North Malaitan dialects from which it appears to be derived. Finally, the findings are discussed in the context of the general sociolinguistic areas of language mixing and language attrition.

#### BACKGROUND

# 1.1 The origins of Pacific islands labourers in Fiji

The Pacific labour trade (described in detail in Parnaby 1964; Scarr 1967, 1973; and Corris 1973) involved the recruitment of men and women from island Melanesia and Micronesia to work in Hawaii, Queensland, New Caledonia, Samoa, and Fiji. Labourers for Fiji were obtained to work for European owners of cotton, copra, and sugarcane plantations in rural areas or of business concerns in the urban centres of Levuka (the first capital) and Suva. Later imported labourers also worked for the colonial government (see Kuva [1974]:10).

From 1865 until the end of the labour trade in 1911, an estimated 27,027 islanders became contract labourers in Fiji. They were imported from the areas

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now known as Vanuatu, the Solomon Islands, the New Guinea Islands region of Papua New Guinea, and Kiribati. Figures by year and area are given in Table 1-1 (from Siegel 1982). The employment of Pacific islanders reached its peak in the early 1880s. Up to this time, the main recruiting area was Vanuatu, but from the mid 1880s until the end of the trade, it was the Solomons. In the last dozen years, from 1900 to 1911, over 90 per cent were from the Solomons, and more than 80 per cent were from the island of Malaita. Numbers from the different islands of the Solomons, arranged according to current political divisions, are given for 12 year periods in Table 1-2, along with percentages of the total (from Siegel 1985b).

### 1.2 Pacific islands settlers

Many of the labourers whose contracts had expired chose to stay in Fiji rather than return home. Those who did stay on were often men who were living with Fijian women. This was especially true of those from the Solomons and Vanuatu because the labour force from these island groups included only a small percentage of women - 3.2 per cent for the Solomons and 8.2 per cent for Vanuatu, compared to 41.1 per cent for Kiribati (Siegel 1985b:51-53). Also, most of the female labourers were already married before being recruited (Kuva [1974]:15).

Some of the islanders who stayed on lived among the Fijians and became "almost indistinguishable from them in appearance and speech". But most of them either re-engaged as plantation labourers or obtained jobs in urban areas as house servants, road builders, storemen, or dock workers (Corris 1973:87).

Labourers who had finished their contracts began living together in settlements near urban areas. One of the first of these settlements was Koro Ivi near Nailaga, outside Ba (CSO 1029/1887). Like most of the settlements, it had a mixed population, consisting of men from Epi and Pentecost in Vanuatu and women from Kiribati.

Many settlements were located in the Suva area. One was at Nasinu consisting of men from Pentecost and the Solomons (CSO 5135/1905). (This settlement is most probably the still existing one called Manikoso, supposedly a corruption of the name Pentecost.) Two other early settlements in the Kaunikuila (Flagstaff) area of Suva were named after islands in Vanuatu: Malekula (Malakula) and Sadro (Santo) (Rabukawaqa 1967:6). Large numbers of Islanders also lived in Toorak, Tamavua, Vunidilo (Samabula), and Caubati.

Other settlements were organised by the Anglican Church, which first started working with Melanesian labourers in 1870 in Levuka. At the church's suggestion that the landless ex-labourers live in a Fijian-style village, Naviro was built above Vagadaci in 1886 (Kuva [1974]:17). In the 1920s it was moved to Wailailai, Fijian-owned land leased by the church for the Islanders. In 1946, it consisted of 15 small houses and 150 people, and was described by one visitor as "a tropical slum - an Anglican slum". <sup>5</sup>

In 1940 the Anglican Church bought 254 acres of land at Wailoku, just outside Suva, to provide one large, centralised settlement for Anglican Melanesians from the Suva area and also from Ovalau, Vanualevu, Taveuni, and Rabe. 1 t was officially opened in August 1942, and called the Patteson Settlement. Also in the 1940s, the Solomon Islanders who lived on Taveuni and in south-east Vanualevu in settlements at Maravu, Laulevu, and Vunilagi were encouraged to settle on the church property of Natoavatu Estate, about 24 kilometres west of Savusavu. In 1951, the settlement there called Naviavia was formally recognised as the Campbell Settlement.

Table 1-1: Origins of Pacific island labourers in Fiji

year	Vanuatu	Solomons	New Guinea Islands	Kiribati	Other or unknown	TOTAL
1864						
1865	180					180
1866	301					301
1867	264					264
1868	381			135		516
1869	262			136		398
1870	1,348	212		224		1,784
1871	1,569	336		54	316	2,275
1872	1,023			427	116	1,566
1873	1,232			34		1,266
1874	607	==		85		692
1875	185			66	153	404
			1.7	84	133	471
1876	247	140	1574		7.	
1877	332	155	4 7 75	50		537
1878	1,058	202		236		1,496
1879	1,335	468		70		1,873
1880	911	1,382		68		2,361
1881	763	464	- I			1,227
1882	1,022	502	467	102		2,093
1883	273	339	662	276		1,550
1884	128	585	489	64		1,266
1885	50	245			<del></del>	295
1886	145	131	_ <del></del> =	1		277
1887	70	175		28		273
1888	71	209				280
1889	62	46				108
1890	35	116		40		191
1891	79	153		136		368
1892	58	153		1		212
1893						
1894				14		14
1895	24	115		67		206
1896	19	98				117
1897						
1898		102		1		102
	8	92				100
1899			- FT -	-		100
1900			137			
1901	7	62		7.00		69
1902				, , , <del>, , ,</del> , , ,		7-0
1903	17	105				122
1904	3	93				96
1905	12	103	- 10 V <del>.T</del> -16			115
1906	55	184				239
1907	62	502			- <del></del>   -	564
1908		210		<del></del>		210
1909		361				361
1910		78				78
1911		110				110
TOTAL	14,198	8,228	1,618	2,398	585	27,027

Table 1-2: Origins of Solomon Islands labourers: islands and provinces

	1864- 1875	1876 <b>-</b> 1887	8	1888- 1899	8	1900- 1911	8	TOTAL	8
Duff Tikopia		1 1						1	
TOT: TEMOTU		2	-					2	
Makira Ulawa Uki Santa Ana S. Catalina		540 10 2 6 5	3.9 0.1  	50 9	2.9	38	1.9	628 19 2 6 5	2.3 0.1 
TOT: MAKIRA		563	4.1	59	3.5	38	1.9	660	2.4
TOT: GUADALCANAL		892	6.5	184	10.8	138	7.0	1,214	4.5
Malaita Dai Ontong Java		2,694 1 32	19.6  0.2	826	48.6	1,593 3	81.1	5,113 4 32	18.9
TOT: MALAITA		2,727	19.9	826	48.6	1,596	81.3	5,149	19.0
Savo Gela Bellona		12 69 4	0.1 0.5	2	0.1	2 15	0.1	14 86 4	0.1 0.3
TOT: CENTRAL		85	6.2	2	0.1	17	0.9	104	0.4
TOT: ISABEL		90	0.7	13	0.8	18	0.9	1,211	0.4
New Georgia Ronongo Vella Lavella Mono Choiseul		3 5 2 24 27	0.2					3 5 2 24 27	0.1 0.1
TOT: WESTERN		61	0.4					61	0.2
OTHER/UNKNOWN	548 5.7	368	2.7			1	0.1	917	3.3
TOTAL	548 5.7	4,788	34.9	1,084	64.8	1,808	92.1	8,228	30.4

Notes: Figures for 1870-71 most probably included the North Solomons. The 1 Other arrived in 1907, born in Queensland: father from Malekula, mother from Ambae. Percentages are of the total number of Pacific islands labourers indentured.

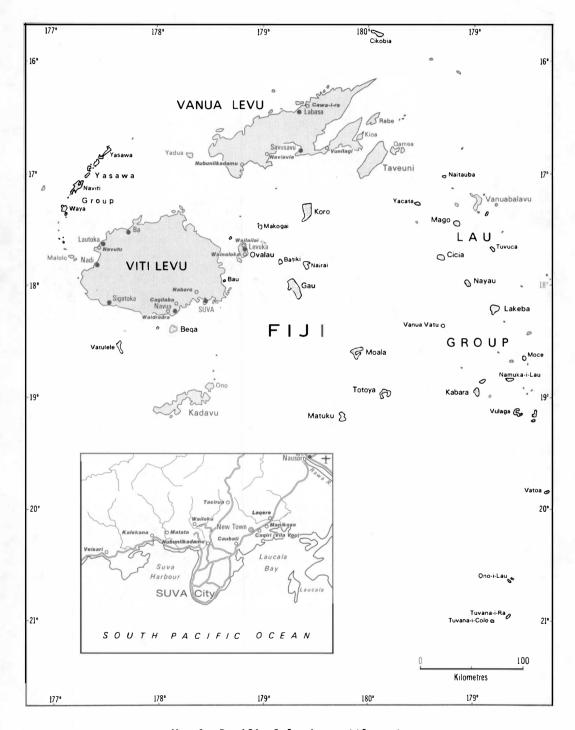
In 1952 a hurricane destroyed houses in many of the settlements around Suva, especially at Kaunikuila. The Anglican Church in cooperation with the Suva City Council established two new settlements, New Town at Nasinu and another at Lami (Kuva [1974]:18). The last new settlement was established in 1966 on a 465 acre block purchased by the church at Wainaloka, Ovalau, about 15 kilometres from Levuka.

## 1.3 Descendants of the labourers

According to the 1976 census, there were 6,822 "Other Pacific Islanders" in Fiji. In addition to the descendants of those who came as indentured labourers, these include free immigrants and their descendants from Tonga, Samoa, Kiribati, Tuvalu, and other islands. Nearly all the descendants of the labourers, however, are part Fijian, and many were probably included in the census with Fijians. Kuva ([1974]:19) gives the number of "Solomons" or Kai Solomone - as all descendants of Melanesian labourers are known in Fiji - as 8,000. He says that about 7,000 of these live in the four large church settlements mentioned above (Wailoku, New Town, Wainaloka, and Naviavia) and in other smaller settlements. Descendants of Kiribati labourers also live in some of the smaller settlements. A list of all the settlements is given in Table 1-3 with location, major island groups, principal religious affiliation, and approximate population (mainly from Rabukawaqa 1967). The locations are shown on Map 1. In addition, there are hundreds of descendants of Pacific islands labourers on Taveuni, formerly one of the major plantation areas.

During fieldwork in 1982 and 1983, I visited Taveuni and 15 of these settlements (marked with an asterisk in Table 1-3), and interviewed more than 30 descendants of the Pacific islands labourers. Most of these informants were older people who were of the first generation born in Fiji. Some of them are described in section 3.1.

I was also very fortunate to have been able to talk with the last surviving man of those actually recruited to come to Fiji during the labour trade. He was Jioji Abunio of Matata, Lami, originally from the Kwaikwaio area of Malaita. (He was also one of the original labourers interviewed by Kuva ([1974]).) Jioji died in December 1982.



Map 1: Pacific Islander settlements

Table 1-3: Pacific Islander settlements

NAME	LOCATION	MAIN GROUPS	CHURCH	POP
VITILEVU: SUVA AREA				
* l. Wailoku	NW of Suva	Sol	Anglican	600
* 2. Veisari	W of Lami	Kir	Protestant	200
* 3. Kalekana	Lami	Sol	?	?
* 4. Matata	Lami	Sol	Anglican	?
5. Tamavua-i-wai	Suva	Sol	Anglican	?
* 6. Tacirua	N of Suva	Sol	Methodist	?
* 7. Caubati	Nasinu	Sol	?	?
8. New Town	Nasinu	Sol, Van	Anglican	400
* 9. Caqiri (Vilavou)	Nasinu	Kir, Van	Catholic	100
10. Manikoso	Nasinu	Van	Anglican	140
ll. Laqere	Nasinu	Sol, Van	Anglican	?
VITILEVU (OUTSIDE SUVA)				
*12. Navutu	Lautoka	Sol, Van	?	150
*13. Waidradra	Deuba	Sol	Anglican	150
*14. Cagilaba	Navua	Sol	Anglican	40
15. Naboro	Naboro	Kir	?	?
OVALAU				
*16. Wailailai	Levuka	Sol	Anglican	60
*17. Naisoqo	Levuka	Sol	Anglican	40
18. Korovou	Levuka	Sol, Van	?	?
19. Malekula	Levuka	Van	?	?
*20. Wainaloka	Lovoniiwai	Sol	Anglican	300
VANUALEVU				
21. Nubuniikadamu	Wainunu	Sol	Anglican	?
*22. Cawa-i-ra	Labasa	Sol	Methodist	60
*23. Naviavia	Wailevu West	Sol	Anglican	180
24. Vunilagi	Savusavu East	Sol	Anglican	?

# 2. LANGUAGES SPOKEN BY THE LABOURERS

Linguistic surveys of the Solomons and the other areas of the south-west Pacific (e.g. Tryon 1976; Wurm and Hattori 1981; Tryon and Hackman 1983) show that approximately 180 distinct languages are spoken in the islands from which the labourers originated. Only one group of islands, Kiribati, and a few of the smaller islands in other groups, have only a single language. The larger islands have several languages; for example, Santo and Malakula each have more than 25.

Since we know the islands of origin of the labourers, the number of speakers of each language who came to Fiji can easily be determined for the smaller islands. But for the larger islands it is impossible to estimate the number of labourers who spoke each language without knowing more precisely from which area of the island they originated. It is clear, however, that the language with the most speakers in Fiji was Gilbertese, spoken throughout the Kiribati group, which supplied 2,398 labourers. The next most important languages, especially in the later years of the labour trade, were almost certainly from Malaita, which provided 5,113 labourers, but from several distinct language areas. These are described in the following section.

## 2.1 The languages of Malaita

## 2.1.1 The current language situation on Malaita

Simons (1980, 1982) distinguishes between 12 "languages" spoken on Malaita based on "the language groups most generally recognized by Malaitans and in previous literature" (1980:3). These are given in Table 2-1 along with population estimates from the 1976 census:

Table 2-1: Malaita languages (based on Simons 1980, 1982)	Table 2-1: Malaita	languages (b	ased on S	Simons	1980,	1982)
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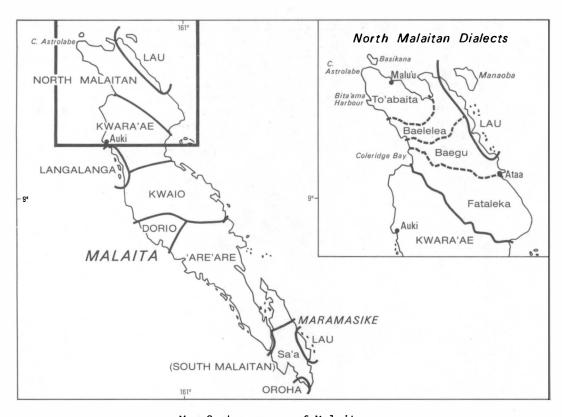
To'abaita	(TOB)	(5,226)
Baelelea	(BLE)	(4, 252)
Baegu	(BGU)	(2,277)
Fataleka	(FTK)	(2,487)
Lau	(LAU)	(7,386)
Kwara'ae	(KWR)	(13, 214)
Gula'alaa	(GUL)	(300)
Langalanga	(LNG)	(3,066)
Kwaio	(KWO)	(6,773)
Dorio	(DOR)	(571)
'Are'are	(ARE)	(7,225)
Sa'a	(SAA)	(4,445)

Simons points out, however, that if the criterion of mutual intelligibility were applied, there would be fewer languages, especially in the north where To'abaita, Baelelea, Baegu, Fataleka, and Lau are all mutually intelligible to some extent. This fact has been pointed out by several authors (e.g. Ivens 1930: 27), and in earlier studies, these five varieties have been grouped together as one language. It was called Lauic (Murdock 1964:120), and the encompassing language area referred to as Bali (Russell 1950:1; Ross 1973:49).

Simons (1980:3) also notes that if we recognise the distinct speech-culture groups that Malaitans themselves identify, there would be even more languages. The languages of small groups of this type have been called "communalects" in the Fiji context (Pawley and Sayaba 1971; Geraghty 1983) but the term could equally apply to Malaita.

In the most recent linguistic survey, Tryon and Hackman (1983) use the criterion of generally acknowledged mutual intelligibility to group together To'abaita, Baelelea, Baegu, and Fataleka as "major dialects or sublanguages" (p.27) of one language, North Malaitan. They also apply the criterion of sharing more than 80 per cent cognate basic vocabulary. The authors point out, however, that by applying the same criteria, Lau could have also been included in North Malaitan, but following tradition it was considered a separate language (p.27n). For convenience in this work, "North Malaitan dialects" will include Lau (which is also spoken in a small coastal area of South Malaita), and North Malaitan (NM) will refer to the linguistic system of which the dialects are subsystems.

Also in contrast to Simons, Tryon and Hackman group Sa'a along with the Ulawa and Uki Ni Masi dialects as South Malaitan. In addition, they use the name Kwai for Gula'alaa. Finally, they include another small language group, Oroha. The languages and major dialects of Malaita then, according to Tryon and Hackman (but using Simons' spelling), are shown on Map 2.



Map 2: Languages of Malaita

# 2.1.2 Malaitan languages brought to Fiji

As mentioned above, it is difficult to determine the numbers of speakers of the different languages brought to Fiji from a large island when it is not known exactly where on the island the labourers came from. However, there is some information available on more precise origins of at least some of the Malaita labourers. It comes from the contract lists and lists of returned labourers for a recruiting voyage of the Rotuma in 1899 and two voyages of the Clansman in 1908 and 1910, found in the journals of the government agents. These lists include the exact places where the labourers were recruited or returned. Matching the place with the language spoken in the area gives us some idea of the languages the labourers spoke. This information is summarised in Table 2-2.

According to this information, all the languages were probably represented with the exception of the most minor, Oroha. The North Malaitan dialects had the most speakers, especially Lau. <sup>9</sup> The next largest group was Kwaio. These figures approximate the proportions of descendants of labourers from each language area found in Fiji today.

Table 2-2:	Languages	of	some	Malaitan	labourers.	1899-1910

PLACE	RECRUITS	RETURNS	LANGUAGE/DIALECT	TOTAL
Sio Bay	19	20	NM/Lau	
Urasi Cove	48	23	NM/Lau	
Ataa Cove	16	1	NM/Lau	
Port Adam	11		NM/Lau	163
Cape Astrolabe	20		NM/To'abaita	
Bita'ama Harbour		1	NM/To'abaita	
Coleridge Bay		4	NM/Baegu,Fataleka	
Kwai Bay	2	1	Kwai	3
Fiu Bay		1	Kwara'ae	
Auki	9	1	Kwara'ae	11
Alite Bay	5	14	Langalanga	19
Bina		1	Kwaio	
'Olomburi	31	1	Kwaio	73
Uru Bay	37	4	Kwaio	
Su'u Bay	13	4	Dori'o	
Baunani	1		Dori'o	18
Takataka Harbour	27		'Are'are	27
Sa'a	3		South Malaita	
Su'upeine	4		South Malaita	14
Mapo	8		South Malaita	
UNKNOWN	1	2		3

## 2.2 Use of imported languages

In Fiji the plantation language was Fijian or Pidgin Fijian (Siegel 1982), generally used by Europeans and Fijians to communicate with the imported Pacific islands labourers and by the linguistically diverse labourers among themselves as a lingua franca. However, there is evidence that many of them continued to speak their home languages within their own groups in Fiji. In fact, some labourers were able to survive without learning any kind of Fijian or English, as evidenced by court records which often mention one "unable to speak any but his own language" (e.g. CSO 1936/1883). This was possible because of the policy on the plantations of allowing labourers speaking the same language to live and work together (Forbes 1875:61-62; Gordon-Cumming 1882:333).

In urban areas also, the home languages were maintained to some extent. The following observation was made by Rev. R.H. Codrington during a visit to Fiji in 1893: "The great mass of the Melanesian Christians in Suva come from Malauta [sic] in the Solomon Islands; among themselves they talk their own tongue, in their intercourse with others they speak the current Fijian of the place ..."

But although the settlers may have continued to use their own languages among themselves, they generally did not teach them to their children born in Fiji. Therefore, the children, who mostly had Fijian mothers, learned only Fijian (Kuva [1974]:14). According to informants, even when both parents were from the same island and spoke the same language, they still spoke to their children in Fijian. Thus, it was reported for one community in 1941: "The bulk of the older people can still speak their mother tongue ... but the younger people are to all intents and purposes Fijians". "

It also appears that the older people stopped using their mother tongues after they had lived in Fiji for many years. As one report points out, "Fijian is their adopted tongue". Of nine ex-labourers interviewed by Kuva ([1974]: 13) in the early 1970s:

Five said that they still knew and used their languages, two lost some of the vocabulary, one that he knew only a bit, and one said that he knew less of his own language than Fijian. However, all used Fijian daily in their homes.

Nearly all of the men and women I interviewed speak standard colloquial Fijian as their main language, and are indistinguishable from Fijians in their speech. Only Jioji Abunio (section 1.3) spoke Pidgin Fijian. A few of the first generation also know a little English, but not Melanesian Pidgin. One man, Pita Teqe, also speaks fluent Fiji Hindustani indistinguishable from that of the Fiji Indians.

The only ones who still speak their ancestral language in daily life are some of the descendants of Kiribati labourers who live in communities, such as Veisari, which include free immigrants from Kiribati. The descendants of labourers from Vanuatu have no memory of their parents speaking in their native languages, and none of them can speak even a word of any Vanuatu language. As one informant, Rosalia Mataro, says, "When our parents died, their language also died." The descendants of those from the Solomons, however, all remember the previous generation speaking to each other in their Solomons languages and some still know how to speak these languages.

# 2.3 Solomons languages in Fiji today

The descendants of labourers from the Solomon Islands differentiate the main languages of their forefathers according to the names of their islands as they are known in Fiji: Malaita, Kalekana (Guadalcanal), Bugotu (Isabel), and Makila (Makira). All the Kai Solomone I interviewed trace their ancestry back to Malaita. The names they use for some of the language areas of Malaita are Langalanga, Marato, Koio, Vataleka, Bali, and Wai. Interviews revealed that Marata refers to 'Are'are (it is the 'Are'are word for Malaita), Koio is Kwaio,  $^{13}$  Vataleka is Fataleka and Kwara'ae, and Bali is To'abaita (actually the north-west coastal part of the To'abaita area). According to informants, the name "Wai" did not exist on Malaita. There in the local language the people were called to i asi people of the sea or coast dwellers. This translated into Fijian as kai wai. In modern times, their language has become known as Lau.

The five villages in the Wailoku settlement are named after some of these Malaita language groups (Kuva [1974]:24): Wai, Marata, Koio, Vataleka, and Balibuka (supposedly Bali combined with Buka, referring to New Guinea islanders). It should be pointed out that only a few of the first generation and none of later generations know about the connection of the Fiji names with the language groups on Malaita.

In the past, the general pattern in the settlements was that each language-culture group used their own language in speaking to other groups if they did not use Fijian. Similar language use in intergroup contact in North Malaita has been described by Ross (1973:50): "When speakers of different dialects interact, each for convenience uses his own dialect but understands the other's." This

"passive bidialectalism" also occurred in the past, as described by Ivens (1930: 28-29), who also mentions linguistic accommodation as well as maintenance of linguistic boundaries:

When the men or, as happens at market, the women of two different peoples forgather, each person uses his or her own language, and the listener in each case understands ... many individual words of another's speech are known through practice, and a man, when speaking to another, will often make the necessary consonantal changes in his own words in order to agree with the practice of the other. However, in the main, each man talks his own language, and indeed people who dwell among those of another speech seldom seem to learn that speech, but continue to use their own tongue.

But the Fiji informants also say that Wai was used to some extent as a lingua franca in the settlements. (One compared it to Bauan.) In Wailoku it was reportedly spoken to some extent by people from the Vataleka and Koio villages. Many of the informants say that Wai was also used as a lingua franca back on Malaita. The Kai Wai were fishermen and traded fish for garden produce with other language groups. The Wai language was used for this trade, they say, because it was easy to understand and learn.

Only a very few speakers of Malaitan languages are left in Fiji. Some informants from the Koio group know a few words of their fathers' language, but none are fluent, although they say there are some fluent speakers left alive. There are also supposedly one or two speakers of Kwara'ae still living. But most of the first generation informants from the Wai group know at least a few words of the language, and a few know it well. These informants and the Wai language are described in the following section.

## DESCRIPTION OF WAI

In the following description, Wai is compared with available information on the existing North Malaitan dialects. Word lists for the different varieties of North Malaitan are available in Tryon and Hackman 1983, but the only dialects which have been studied in detail are Lau and To'abaita. Short grammars of two varieties of Lau were written by Ivens (1921, 1929), and a dictionary was compiled by Fox (1974). A dictionary of To'abaita was written by Waterson in 1924 (referred to in Simons 1982). Lichtenberk's (1984) study of a subdialect of To'abaita (called To'aba'ita) is the most detailed description of a North Malaitan dialect. It may be relevant to other dialects, however, as according to Ross (1973:50), "all North Malaitan dialects share essentially the same grammar".

## 3.1 Informants

Although Wai is no longer used for everyday communication, six informants knew it well enough to record an extended discourse. Their backgrounds and how they learned the language are given here.

The first is Jone Gagalia of Wailoku. Both his parents were from Manaoba Island off Malaita, a Lau speaking area, and in contrast to others they generally spoke to him in their own language, which Jone says was Wai. He is by far the

most fluent of the informants. Jone's half brother, Pita Teqe of Waidradra, says he was born in the Solomons and came to Fiji as a child with his mother, but he is nowhere near Jone in fluency.

Charlie Kelo, of Naviavia, learned Wai by listening to the old people talk because his parents spoke to him only in Fijian. His father was from the Fataleka area, and his mother was a part-European from Fiji. His wife, Eni Birena, learned Wai by listening to the conversation of her parents, who also spoke to her in Fijian even though they were both from the Wai area of Malaita.

Makitalena of Waidradra learned a bit of Wai from her father, who tried to teach her his language (her mother was Fijian), but he died when she was very young. Jone Mawia of Wailoku also learned Wai from his father, who came from the Suafa area (which he says is Wai). He relates that his father would slap him if he didn't speak it properly.

Tape recordings of the Wai informants were transcribed and analysed with the help of several informants from the different dialect areas of North Malaita. The general opinion of these informants is that Wai contains a mixture of vocabulary from the various NM dialects, especially Lau, To'abaita, Baelelea, and Baegu. They also mention the influence of Fijian. Those from Lau think that basically Wai sounds like the language spoken by inlanders (that is, the other NM dialects). However, those from non-Lau areas think the rhythm and intonation sound like Lau. They also think that some of the Wai speakers are not fluent and sound like they are just learning the language. This is not only because of hesitation, they say, but also because of leaving out certain words and speaking in what they say is a simple way. These two characteristics, mixture of dialectal features and relative formal simplicity, in addition to the observable influence of Fijian, make Wai different from any dialect currently spoken on Malaita.

# 3.2 Wai phonology

The phonology of Wai (see Table 3-1) is not identical with that of any particular dialect of North Malaitan (NM) and appears to be influenced by Fijian. But, for the most part, NM and Fijian phonologies (including dialects other than Bauan) are similar, and the overlapping areas are found in Wai. The vowels of Wai are the same as those of both NM and Fijian, including phonemic vowel length. The consonants also appear to be basically those found in both. Wai includes the labiovelar [kw], [ $\eta$ gw] (Fijian qw), and [ $\eta$ w] (Fijian gw), found not in Bauan but in Western Fijian and some eastern Vitilevu dialects (Geraghty 1983:42-47) as well as in some NM dialects. Also, some Wai speakers use /h/, also not in Bauan but in some Western Fijian dialects and in Lau (Malaita). The following Fijian consonants not found in NM are also not in Wai: c [ $\delta$ ] and dr [ $^{\Omega}$  $^{\Gamma}$ ].

The main differences between Wai and NM phonology appear to be the result of transfer (both positive and negative) from Fijian. First, NM /f/ (To'abaita  $[\Phi]$ ) is realised as /v/  $[\beta]$  for most speakers: e.g. vera (Lau fera) village, kavo (Lau kafo) water. Note, however, that Ivens (1929:324) mentions /f/ sometimes going to /v/ on Sulu Vou island where he studied Lau.

Second, voiced stops are usually prenasalised in Wai as in Fijian. In Lau, prenasalisation either does not occur or it is very slight. In To'abaita, it varies from strong in word-medial position to weak or nil in word-initial position (Lichtenberk 1984:3). Ivens (1929:323) notes, however, that [ng], [nd], and [mb] do not appear in Lau but that they are used in the "hill languages".

Third, some phonemes absent in Standard Fijian but present in NM are also absent in Wai. The NM glottal stop, especially in initial position, does not occur in the speech of most Wai speakers: e.g. ave (Lau 'afe) wife, iya (Lau T'a) fish. The voiceless interdental fricative  $/\theta/$  in To'abaita and Baelelea also does not occur in Wai. Instead, the Lau, Baequ, and Fataleka reflex /s/ or /h/ occurs.

Table 3-1: Wai phonology and orthography

voiced prenasalised	(b) mb		(d) nd			(g)	(gw) ŋgw	
NASALS	m		n			ŋ	ŊW	
FLAP/TRILL				r				
FRICATIVES voiceless voiced	(f) v	[Φf] [β]	(e)	s				h
LATERAL				1				
GLIDES	W				У			
Note: Phoneme	s in pa	rentheses	are fo	und in some	∍ NM diale	cts but	not in V	 Nai.
VOWELS								
HICH	1	front	cent	ral h				
HIGH MID		e		0	u			
LOW		e	а	O				

# 3.3 Lexicon and pronoun systems

## 3.3.1 Mixture of marked forms

Apparent dialect mixing in Wai can be observed mainly in the lexicon and in the pronoun system in the concurrent use of some marked NM lexical items. By marked items, I mean those that in North Malaita would identify the speaker as belonging to a particular language-culture group: either one or more of the main dialect areas or coastal versus bush. Other authors have made the distinction between the language spoken by the "coastal people" - those living on the small off-shore islands 16 - and that spoken by the "hill" or "bush" people - those living inland. The coastal language is Lau, and the bush language comprises the other NM dialects. For example, Ivens (1929:323) points out that the language spoken at Ataa Cove (at the southern end of Lau lagoon) "has a closer affinity to the languages of the hill peoples of the mainland than has Lau proper". Fox (1974) also gives some "hill words" in his dictionary of Lau, such as maleu sleep.

The names Baelelea, Baegu, and Fataleka themselves may be comprised of such marked items. For example, according to Ross (1973:50), the name Baelelea supposedly comes from its speakers' marked habit of reduplicating the word lea go to lelea (bae is speak or say in Lau and Baegu). The name Baegu is from its speakers' way of answering the greeting "Where are you going?" with nao gu nothing, implying "I do not wish to say". And the name Fataleka is composed of the descriptive use of two marked Fataleka forms: fata speak, say and leka go (Ivens 1930:24; Ross 1973:50).

Marked items may also include words that are known by a group but cannot be used by them because of word tabooing, and therefore, these items are marked as belonging to other groups. Word tabooing in Malaitan languages has been described in detail by Keesing and Fifi'i (1969) and Simons (1982). It is taboo to say the name of a dead ancestor and to use any common words which are components of the name. Tabooed words are replaced by new forms using a variety of methods (Keesing and Fifi'i 1969:166-168) including borrowing, semantic shift, phonological modification, or simply adopting an already available alternative form. In addition to the forms resulting from word tabooing, some languages have alternative honorific forms and forms used to refer to women (Simons 1982:218n). Therefore, speakers of Malaitan languages have passive knowledge of several synonymous forms for many lexical items, even though some may not be actively used for cultural reasons.

In Wai, sometimes only one marked form appears. For example, NM informants noted that the demonstrative this is  $n\overline{e}$  or ne'e in bush areas and na in coastal areas (Lau). Another example is bush  $k\overline{u}$  fia drink (it) versus coastal gwoufia. In Wai only the bush alternative is found for these items:

- (1) nau to i vera ne 1S stay LOC village this I live in this village.
- (2) arai ne ku-vi-a kwakwanga uri-a na koito old.man this drink-TR-OM kava like-OM DEF dog This old man drinks kava like a dog.

On the other hand, some marked coastal forms also exist in Wai, such as items with h like haitamana know.

There are also examples in Wai of items marking a particular "bush language" group, such as BGU andea make, do and the proper article sa, and also the TOB intensifier bo'o:  $^{17}$ 

- (3) o ande-a tā
  2S do-3S what
  What will you do?
- (4) hata-na arai sa jek k.
  name-3S.POS ratu PRP J. K.
  His name was Ratu Jack K. ("Ratu" is explained in section 3.3.2)
- (5) diana mamana bō good true IN' really good!

Some lexical items in Wai mark two or three groups of NM, excluding others, for example: BLE, BGU, FTK  $s\bar{\epsilon}ki$  here; LAU, BLE, BGU lea go; and LAU, TOB  $t\bar{a}$  what.

While the examples above represent the consistent use of one of several possible marked items, there are also instances of two items in use concurrently. Some of these are LAU, BLE, BGU bae along with TOB  $\eta$ ata talk and BLE, BGU, FTK  $\eta g \overline{a}$  along with LAU  $t \overline{e}$  mother. Most often different speakers use only one of the alternatives as in the following examples (from two different speakers):

- (6) a. ŋgā kamelu mae nā
  mother lXP die PFT
  Our mother has died.
  - b. te nau nia mae sui na mother 1S 3S die COMP PFT My mother has died.

However there are instances in which two marked items may be used by the same speaker, even in one utterance:

(7) molu nata diana, molu bae
2P talk good 2P talk
You talk well, you talk.

Wai not: There is wide variation among speakers in negative constructions. Four speakers use only one of two marked negative verbs (NEGV), either LAU, BLE lani or BGU, VTL nao (sometimes shortened to na), usually followed by the negative particle (NEG) si and often without the 3S subject marker e:

- (8) maka kia lani si lea lau father lIP NEGV NEG go again Our father didn't go back again.
- (9) kera nao si haitamana
  3P NEGV NEG know
  They didn't know.

One informant used only the negative accomplished mode subject marker as in To'abaita (see Lichtenberk 1984:7):

(10) maka kia ke-si riki-a vera kia father 1IP 3P.SM-NEG see-OM village 1IP Our fathers didn't see our village.

One informant used both constructions (as in Lau):

- (11) a. malevo lani si baita money NEGV NEG big The money (pay) isn't a lot.
  - b. mi-si voli-a te-si do diana 1XP-NEG buy-OM one-NEG thing good We don't buy anything good.

To see if Wai can be attributed to any particular dialect, forms for 50 lexical items which show variation in NM are compared in Table 3-2 below (mainly from the word lists in Tryon and Hackman 1983). Word lists, of course, cannot be expected to provide complete information, especially in light of the degree of synonymy in Malaitan languages because of word tabooing. Nevertheless,

Table 3-2: 50 lexical items in North Malaitan and Wai

blood bone egg	' ab u	'ambu				
		ambu	'ambu	'ambu	'ambu	'ambu
egg	ogi/suli	oki	ok i	oki	suli	oki
	falake	falake	θaluna	farake	falaka	valake
grease	ragufa	raraŋa	raraga	raraŋa	ndula	ranguva
head	kete/gwou	gwau	ngwau	ŋgwau	gwau	ngou
mouth	faka	foko	faka	ŋindu	gindu	vaka
nose	ŋoŋora	ŋgwalusa	ŋoŋora	ŋoŋora	rjogo ra	ŋoŋora
bird	manu	θa'aro	θa'aro	saro	nō/θārō	manu
dog	kui	kui/kuri	koito	ngiri	kui/kukui	kui/koito
leaf	'aba	rea	'amba	'amba	'amba	amba
bread-	baleo/	mbale'o/	kekene/	rau'ai/	rau'ai/	rauai
fruit	rauai	kekene	rau'ai	kekene	kekene	and the same
man	wane	ŋwane	ŋwane	ŋwane	ŋwane	(ŋ) wane
woman	geni	kini	keni	keni	keni	keni/geni
child	wela	ŋwela	ŋwela	ŋwele	ŋwe le	(ŋ)wela
father	ma	maka	maka	ma	ma	ma/maka
mother	tē	θaina	ŋgā	ŋg <del>a</del>	r)g <del>a</del>	tē/ŋgā
name	sata/hata	θata	θata	sata	sata	hata/ata
earth	gano	ngano	θaeŋgano	saengano	gano	ngano
garden	raku	o'ole	raoa/ole	ole	ole	rawa
meat	mariko	mariko	maroko	fasio	fasi	mariko
stone	fou	fau	fau	fau	fau	vou
village	fera	fanua/toa	fera	fera	fere	vera
sky	salo	θalo/mamaŋā	lofonaθalo		maŋa	salo
wind	ōru	θαυθαυ	θαυθαυ	komburu	sasaule	oru
day	dani	ndani	ndani	sato	asoa	daŋi
this	na	ne/ne'e	nē	nē∕neki	rune'e	n <del>e</del>
what	t a	ta	tae	te	te	ta
where	fai/fei	fai/fei	fai	fai	fai	vei/fei
who	tei	tei	tai	tT	tT	tei
yes	iuka	iu	iuka	iuka	iuka	io
no	lani	'e a'i	lani	nao	nao	lagi/nao
here	sēgi	kune'e	sēki/nē	seki	seki	seki
left	mouli	mauli	moli	moli	maluli	mauli
fear	mou	ma'u	mau	mau	mau	mou
good	diana	le'a	ndiana	diana	lea	diana
cold	gwagwari	ngwangwari	ngwangwari	ngwari	gwari	ngwangwari
old man	waro	arai	ŋwaro	nwaro/arai	waro	arai
rotten	fura/dura		ndura	ndura	dūla	vura
heavy	qulu	kulua	kulu	kulu	kulu	ngulu
play	lalaba	θαθο	θαθο	saso	saketo	lalamba
go	lea	1 ae	lea	lea	leka	lea
know		θaito'omana		saitamana	saiana	saitamana/ haitamana
drink	gwou	ku'u	ku	kū	kū	kou/ku
eat	faga	fana	faŋa	fana/ani	ania	vaŋa/ania
laugh	waela	waela	ŋwaela	ŋwaela	ga'a	ŋwaila
sit	gwouru	ono	ngoru	ŋgoru		ngoru
sleep	teo	mbTŋa	maleu	maleu	maliu	maleu/teo
do	ilia	'ufi/ilia	ilia	andea	sisia	andea
speak	bae	ŋata	bae	bae	fata	ŋata/bae
also	laugo	laubo	laubo	laugu	laugo	laugu/lauryg

comparing Wai with the different dialects may give us at least some idea of its linguistic affiliations. In making the comparisons, I have first taken into account the regular phonological differences which result from transfer from Fijian, such as f to v and loss of glottal stop.

The comparison shows that 29 Wai forms also occur in LAU, 21 in TOB, 27 in BLE, 23 in BGU, and 14 in FTK. In some cases only one dialect has forms corresponding with those of Wai, while in other cases all dialects have corresponding forms. Mostly, however, correspondences occur in different combinations of two or more dialects. The frequencies of the different combinations are illustrated in Table 3-3 for the four most important dialects. This table shows a complex pattern, with items attributable to nearly every possible combination of dialects. Lau appears to be the most important, although not by much. However, if the presence of prenasalised voiced stops in Wai is attributed to transfer from Fijian rather than to the bush dialects, then the contribution of Lau is even more significant, with an additional seven items.

LAU	TOB	BLE	BGU	frequency
+				6
	+			3
		+		1
			+	2
+	+			6
+		+		2
+			+	1
	+	+		1
	+		+	0
		+	+	4
+	+	+		2
+		+	+	8
+	+		+	0
	+	+	+	5
+	+	+	+	4
				5
29	21	27	24	50

Table 3-3: Combinations of occurrence for Wai items in NM

The Wai pronouns present a different picture. The independent or free pronouns (by far the most frequently used in Wai) are shown in comparison to NM dialects (based on Simons 1980) in Table 3-4. Only three of the 11 Wai pronouns can be attributed to Lau. In contrast, six occur in TOB, nine in BLE, ten in BGU, and eight in FTK. In fact, none of the Wai independent plural pronouns appear to be derived from Lau. It may be argued that again there is the influence of transfer: since the voiced velar stop g does not occur in Fijian without prenasalisation, it may have come into Wai as the voiceless stop k. But even if this argument is accepted, that still leaves four out of eight plural pronouns which appear to be derived from dialects other than Lau if the vowels are compared.

	LAU	TOB	BLE	BGU	FTK	WAI
1s	nau	nau	nau	nau	nau	nau
2S	'oe	¹oe	'oe	'oe	'oe	oe
3S	nia	nia	nia	nia	nia	nia
lID	goro	koro	koro	koro	koro	koro
lIT	golu	kulu	kolu	kolu	kulu	kolu
lIP	gia	kia		kia	kia	kia
1XD	gemere	kamere'a	kamere	kamiri	karo	
1XT	gemelu	kamili'a	kameli	kamelu/kameli	kalu	kamelu
lXP	gami	kami		kani	kani	
2D	gomoro	kamoro'a	kamoro	kamuru	kamoro	kamoro
2Т	gomolu	kamulu'a	kamulu	kamolu	kamulu	kamulu/kamolu
2P	gamu	kamu		kamu	kamu	
3D	daro	kero'a	keroa	kerua	keroa	kerua
3Т	dalu	kilu'a				
3P	gera	kera	kera	kera	kera	kera

Table 3-4: North Malaitan and Wai independent pronouns

#### 3.3.2 Semantic and functional extension

The influence of Fijian has brought about the innovative use of some NM forms in Wai. First, a semantic extension has occurred for a few NM lexical items to fit Fijian culture. Most common is Wai kwakwanga kava (Fijian yaqona). According to NM informants there is no kava drinking on Malaita, and kwakwanga is a plant with leaves similar to those of the kava plant found in Fiji. Another is the Wai use of arai NM  $married\ man$ , elder as an honorific title corresponding to Fijian ratu (see example (4) above). Also, NM mouri or mauri to be alive is used in Wai as a greeting corresponding to Fijian bula.

Second, a functional shift has occurred for Wai mai. In NM mai functions only as a directional marker indicating action towards the speaker or the point of reference (see Lichtenberk 1984:18). In Wai, it takes on the additional role, as in Fijian, of a marker of general or distant location (Geraghty 1976: Schütz 1983:354) as in this example:

(12) e lani  $t\overline{o}$  mai luma 3S.SM NEG stay LOC house (It) is not in the house.

## 3.3.3 Formal simplicity

Wai appears to be linguistically less complex than any of the NM dialects in derivational and inflectional morphology. Although the degree of simplicity varies between speakers, they most often use only one of several available NM grammatical strategies. For example, four out of six speakers use only the negative verb rather than negative SM pronouns (examples (8) to (11) above). All but one speaker use the independent pronouns rather than the subject marking (SM) pronouns in affirmative sentences in which the subject has a human referent.

The co-occurrence of the independent rather than the SM pronoun with the subject NP, as in the following Wai example, is not acceptable to NM informants (see also example (6b)):

(13) ave nau nia geni i bugotu wife 1S 3S woman LOC B. My wife is a Bugotu woman.

Although the basic pattern in NM includes the subject marking pronouns as an obligatory part of the verb phrase, they may be omitted stylistically in some dialects (Simons 1980:7), such as in To'abaita when the referent of the subject can be recovered from the context (Lichtenberk 1984:13). However, NM informants thought that Wai speakers' elimination of subject markers was excessive. For example, in correcting the transcript of one Wai speaker, a NM informant inserted the subject marker ku:

(14) nau [ku] tō i vera nē i viti 1S [1S.SM] stay LOC village this LOC Fiji I stay in this village in Fiji.

NM dialects have two classes of possession: alienable and inalienable. Like Fijian, inalienable possession is marked by adding a possessive suffix to the head noun. But unlike Fijian, alienable possession is marked simply by placing the independent pronoun after the head noun (Simons 1980:7). For some kinship terms such as father, there are two different items, one alienable, one inalienable, for example, TOB  $\theta\overline{a}$ ma-ku and maka nau  $my\ father$  (Lichtenberk 1984:54). Wai speakers consistently use the alienable alternatives. One speaker uses the alienable construction where the inalienable one is required in NM:

(15) ata nau arai sa jon name 1S ratu PRP J. My name is John.

Another speaker overgeneralises the use of the third person singular inalienable possessive suffix:

(16) vinda-li-a amba-na
clap-TR-OM hand-3S.POS
Clap your hands. (Literally: Clap his hands.)

Wai speakers also leave out the locative marker i:

(17) vera ne faŋa diana uri-a faŋa vera kia village this food good like-OM food village IIP Is food in this village good like in our village?

The following examples show reduced derivational morphology in that the nominaliser -1a or  $-1\overline{a}$  is not used in Wai where it would be expected in NM:

- (18) a. ma nau na si haitamana bae[-la] ne and 1S NEGV NEG know talk[-NOM] this And I don't know this language.
  - b. dami[-la] e laŋi
    chew.betelnut[-NOM] 3S.SM NEGV
    There's no betelnut chewing.

## 3.4 Discussion

On the basis of preliminary data, it is difficult to ascribe Wai to any one North Malaitan dialect. It appears to be characterised by a mixture of lexical forms which currently differentiate the different dialects, and by the influence of Fijian, especially in phonology. It is generally less complex than any of the NM dialects, resulting in some constructions which are ungrammatical according to NM speakers.

## 4. SOCIOLINGUISTIC FACTORS AFFECTING WAI

#### 4.1 Isolation from Malaita

Without more data on the linguistic situation in Malaita during the Fiji labour trade, it is difficult to come to any firm conclusions about the linguistic or sociolinguistic factors which led to the differences between Wai and the modern NM dialects. It is possible that some of the features described above represent the state of one or more NM dialects at the time they were brought to Fiji. As Fiji was cut off from the Solomons at the end of the Fiji labour trade in 1911, linguistic changes could have taken place on Malaita but not in Fiji.

For example, if the word tabooing responsible for some of the marked lexical items in NM occurred in a particular area after 1911, it is highly unlikely that it would be reflected in Fiji. It may be that some marked lexical forms indicating modern NM dialect boundaries were not marked at the time NM speakers came to Fiji.

If this were true, we might be able to discount that mixing of lexical items occurred among the Fiji immigrants. But it still would not explain some of the other characteristics of Wai. Furthermore, there is no reason for the Kai Solomone to be different from other immigrant communities in which dialect mixing has been reported.

# 4.2 Dialect mixing

Two processes have been described in the literature which refer to the result of contact between linguistic subsystems such as regional dialects. One is "dialect levelling", defined by Dillard (1972:300) as "the process of eliminating prominent stereotypable features of difference between dialects". Another is "dialect mixing", defined by Samarin (1971:133) as an "amalgamation" of several regional varieties of the same language, characterised linguistically by the incorporation of features from these varieties. Although some writers equate the two processes, they are different in their possible end results. In levelling, the original dialects in contact remain and become more like one another, but in dialect mixing a new, mixed variety can emerge which is used as a lingua franca among speakers of the original varieties.

The term "koine" has been used to label such a resultant variety. It comes from the Greek word koine common referring to the language which became the lingua franca of the eastern Mediterranean during the Hellenistic period. It was based mainly on the Attic dialect, but contained features of several other regional dialects of Greek, such as Ionic. However, it was less complex than any of the contributing dialects (Thomson 1960:34-36). Since that time, the term "koine" has been applied to many other dialects which became regional lingua

francas: for example, the ancestor of modern Arabic dialects (Ferguson 1959:616), Hindi (Hartmann and Stork 1973:121), Standard Yoruba (Bamgbose 1966:2). This type is called a "regional koine" (see Siegel 1985c).

More recently, the term has been extended to refer to the result of dialect mixing within immigrant communities: for example, Trinidad Bhojpuri (Mohan 1976, 1978), Guyanese Bhojpuri (Gambhir 1981), Fiji Hindustani (Siegel 1975:136), Italian-American (Haller 1981:184), and Israeli Hebrew (based on different literary dialects) (Blanc 1968). This type is called an "immigrant koine". The mixture and formal simplicity observed in Wai along with its sociolinguistic history suggests at first glance that it may be an example of an immigrant koine.

The term "koineisation" has been recently coined to refer to the process of dialect mixing (Blanc 1968; Samarin 1971). Koineisation usually takes place only under certain social conditions. Proximinal contact between linguistic subsystems will not necessarily bring it about, for example, if the desire still exists to maintain linguistic boundaries, as can be seen in North Malaita. As Dillard (1972:300) points out, what is required is "some new phase of contact, such as in migration". Koineisation then can result from what Domingue (1981: 150) calls "the need for unification among speakers of different dialects in a new environment".

Once koineisation begins, there is a developmental continuum for koines analogous to that for pidgins and creoles. The first stage is the "pre-koine". It is similar to a pre-pidgin continuum or jargon in that individual strategies are used to modify one's own language or in trying to speak another's, and a socially accepted norm has not yet emerged. These strategies will often result in output characterised by mixture and relative formal simplicity. When a social norm does emerge as the result of informal standardisation, the next stage is reached: the "stable koine". Use of a stable koine may be eventually extended to other areas besides intergroup communication. For example, it may become a literary or standard language, as the original Greek Koine did. This extension of use is accompanied by linguistic expansion, such as in an increased lexicon or more stylistic options. This is the "expanded koine" stage. Finally, the koine may become the first language of a social group, or a "nativised koine". These stages and the processes which lead to them are illustrated as follows:

# Stages in koine development

PROCESS	STAGE
koineisation	pre-koine
stabilisation	stable koine
expansion	expanded koine
nativisation	nativised koine

Wai developed under some of the social conditions in which koineisation typically takes place, and its linguistic features of formal simplicity and mixture are also typical of koines. But the variation among Wai speakers indicates that in general it did not get past the pre-koine stage of development. Certain areas of Wai grammar, however, such as the independent pronoun system, do show that at least some stabilisation had taken place. If the Kai Solomone had not adopted the Fijian language and culture, Wai might have been further stabilised and developed into a nativised koine, like the Fiji Hindustani of the Fiji Indians (Siegel 1975, 1983; Moag 1979).

## 4.2.1 Language attrition

Although koineisation leads to a variety which is less complex than any of the contributing varieties, most writers point out that the formal simplicity resulting from koineisation is much less drastic than that resulting from pidginisation (Nida and Fehderau 1970; Samarin 1971; Mohan 1976). Therefore, koines are never "structurally discontinuous from their linguistic parents" (Gambhir 1981:185). However, the fact that many Wai sentences are unacceptable to NM speakers because of their lack of certain grammatical features indicates that the degree of simplicity in Wai may be too excessive to be the result of only koineisation. Other processes must be considered, and it may be that the Wai spoken by the informants does not necessarily represent the language spoken by their parents.

The first possibility is that this lack of complexity indicates pidginisation itself, the result of incomplete language learning. In fact, the overgeneralised use of the 3S inalienable possessive suffix, the use of the independent rather than SM pronouns, and the lack of locative marking are also characteristics of Pidgin Fijian (Siegel 1982). Only one of the informants learned Wai as his first language along with Fijian; all the others learned it later. (This would explain the phonological transfer from Fijian.) But without adequate opportunity to use the language, it may never have been learned completely. The reason for this restricted use was that Wai was being displaced by Fijian; in other words, it was (and still is) a dying language.

In her studies of language death, or language attrition over successive generations, Dorian (1973, 1982, 1983) describes how parents may speak the dying language to each other but not to their children. She says that in immigrant communities, for example, the utility of the mother tongue is seen to be reduced and, therefore, it is often deliberately not transmitted to the children (1982: 46-47). Children in this situation, if they do learn any of their parents' language, become one type of what Dorian calls "semi-speakers" - imperfect speakers of a dying language. It may be that all but one of the Fiji informants are semi-speakers of Wai because of inadequate input during childhood and few opportunities to use the language later. Some of the linguistic features resulting from the process of language death or language attrition, described by several authors (Dorian 1973, 1981, 1983; Hill 1978; Andersen 1982), are similar to those of Wai, such as reduction and regularisation of morphology.

Another explanation for the degree of simplicity is that the Wai informants may have acquired the language thoroughly as children but attrition occurred from lack of use (Andersen 1982:85) - especially after most of the NM native speakers had died. All the Wai speakers said that they had not used the language for many years, and some said they had trouble remembering some of the words. Also, some of the NM informants had the impression that at least one speaker started off speaking as if he was just learning the language, but later in the discourse sounded more fluent. Examples (14) and (16) above illustrate reduced and regularised morphology in Wai. But the same speaker comes up with the following more acceptable sentences later in the same discourse:

- (19) vinda-li-a amba-molu clap-TR-OM hand-2P.POS Clap your hands.
- (20) nau ku kū-vi-a kwakwanga nē 1S 1S.SM drink-TR-OM kava this I drank this kava.

Thus, it could be that for this speaker the attrition was only temporary and the language came back to him as he started to use it.

## 4.3 Summary

Several sociolinguistic factors could have led to the characteristics of Wai as described above. First, emigration probably disrupted cultural traditions, and the need for solidarity in a new environment broke down boundaries between language-culture groups. This resulted in the active use of some of the more widely known lexical items that may have been in the passive repertoire of speakers of some dialects or communalects. Thus, the apparent lexical mixing in the language of the Wai informants may be attributed to the process of koine-isation which has been described for other immigrant communities.

The morphological reduction and regularisation, however, are more likely the result of other sociolinguistic processes. These may be individual language attrition from lack of use, or community level language attrition (language death) resulting in incomplete language learning in individuals.

## **ABBREVIATIONS**

ART	article	NOM	nominaliser
BGU	Baegu	OM	object marker
BLE	Baelelea	P	plural
COMP	completive	PERF	perfective
DIR	directional	POS	possessive marker
FTK	Fataleka	S	singular
I	inclusive	SM	subject marker
INT	intensifier	T	trial or paucal
LOC	locative	TOB	To'abaita
NEG	negative particle	TR	transitive marker
NEGV	negative verb	Х	exclusive
NM	North Malaitan		

## NOTES

1. This paper came out of a larger study, "Plantation languages in Fiji", sponsored by the Department of Linguistics, Research School of Pacific Studies, The Australian National University. Some of the linguistic data were collected with the help of Darrell Tryon. I would like to thank the following people for their assistance in the field: Tevita Nawadra, Paul Geraghty, the staff of the National Archives of Fiji, the Tutu community, Fr Luke Oli, Fr Ilai Lakavutu, and Aduru Kuva. Also thanks for comments on an earlier draft to Walter Seiler, Roger Keesing, Don Laycock, Lois Carrington, Christine Jourdan, and Frank Lichtenberk.

- 2. Report on Polynesian Immigration for 1884.
- 3. References to the official correspondence of the Fiji Colonial Secretary's Office are abbreviated CSO, followed by the minute paper number/year.
- 4. Church Gazette (Diocese of Polynesia) no.11 (1927:8).
- 5. Letter from W.E. Moren of Auckland, New Zealand to Mr Long, August 1946 (Church of England records, National Archives of Fiji).
- 6. Anglican Church Gazette: Golden Jubilee issue (1958):27.
- 7. Anglican Church Gazette: Golden Jubilee issue (1958):27.
- 8. Journals number 64, 65, and 66, F. Otway and W.R. Bell, National Archives of Fiji.
- 9. The figures can only be approximate because after the mid 1880s, most recruits were 'bush people' who spoke dialects other than Lau. These people came down to the coast (i.e. to Lau-speaking areas) in order to sign up when a recruiting ship arrived (Corris 1973:32-36).
- 10. Anglican Church Gazette (October 1893):161.
- 11. Church Gazette no.66 (April 1941):10.
- 12. Church Gazette no.1 (Diocese of Polynesia), November 1924:13.
- 13. Roger Keesing (personal communication, 19/7/84) points out that "Koio" is the North Malaitan rendering of Kwaio.
- 14. This has been verified by Pierre Maranda (personal communication, 17/5/84), who says that at least Kwara'ae people have used Lau. However, Roger Keesing and Christine Jourdan disagree (personal communication, 19/7/84).
- 15. In September 1982 I worked with Barnabas Lauia, a Lau speaker, and in July 1983 with Manuel Maesua, a Baelelea speaker. Both were then students at the University of the South Pacific. In November 1982 Darrell Tryon and I went to the Marist Brothers Training Centre at Tutu, Taveuni, where we worked with Brother Paul Maefiti (To'abaita), Brother Peter Sukuomea (Baegu), and Brother Timothy Beliga (Baegu), who were all also familiar with Lau. Also, in June 1983, I worked with three North Malaitan students at the Papua New Guinea University of Technology in Lae: Bentley D. Collin (Lau), Enaly Fifira (To'abaita), and Frank Loboi (Baegu).
- 16. Only since European contact have villages been established on the coast of the mainland. Before, for strategic reasons, they were either on small natural or man-made islands or inland.
- 17. Abbreviations used here are as in Table 2-1: BGU (Baegu), BLE (Baelelea), FTK (Fataleka), and TOB (To'abaita).
- 18. The notions of complexity/simplicity in languages are not well understood. I use the terms in a quantitative rather than a qualitative sense; that is, an aspect of one variety is less complex than that of another if it has fewer components and fewer rules, or if it is more consistent. It is not necessarily easier to process psychologically.

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