1 2	Endoplasmic reticulum stress does not contribute to steatohepatitis in obese and insulin resistant high-fat diet fed <i>foz/foz</i> mice
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31	List of Abbreviations:
32	Acc-1: acetyl-CoA carboxylase 1
33	Alms1: Alström syndrome 1
34	ALT: alanine transaminase
35	Atf4: activating transcription factor-4
36	Atf6: activating transcription factor-6
37	Bim: Bcl2-interacting mediator of cell death
38	BW: body weight
39 40	Chop: C/EBP homologous protein Edam: EPAD enhancing mannesidase like protein
40 //1	elE2a: eukarvotic initiation factor a
41	ER' endonlasmic reticulum
43	FRAD: FR-associated protein degradation
44	Fash: fatty acid synthase
45	Gadd34: growth arrest DNA damage-34
46	Grp78: glucose regulated protein, 78 kDa
47	HFD: high-fat diet
48	Hsp90: heat shock protein 90
49	IR: insulin receptor
50	IRE1α: inositol-requiring-1α
51	JNK: c-jun N-terminal kinase
52	IVICU: methionine- and choline- deficient

- 53 NAFLD: non-alcoholic fatty liver disease
- 54 NASH: non-alcoholic steatohepatitis
- 55 ND: normal diet
- 56 Nqo1: NAD(P)H dehydrogenase, quinone 1
- 57 PBA: phenyl-butyric acid
- 58 Pemt: phosphatidylethanolamine N-methyltransferase
- 59 PERK: protein kinase double-stranded RNA-dependent-like ER kinase
- 60 RT-qPCR: real time quantitative polymerase chain reaction
- 61 Scd-1: stearoyl-Coenzyme A desaturase 1
- 62 Srebp1c: sterol regulatory element binding protein-1c
- 63 Trib3: tribbles homolog 3
- 64 TUDCA: tauro-ursodeoxycholic acid
- 65 UPR: unfolded protein response
- 66 Xbp1: X-box binding protein 1
- 67

68 Abstract

69

Non-alcoholic fatty liver (steatosis) and steatohepatitis (NASH) are hepatic complications of
 metabolic syndrome. Endoplasmic reticulum (ER) stress is proposed as a crucial disease mechanism
 in obese and insulin resistant animals (such as *ob/ob* mice) with simple steatosis but its role in NASH

- remains controversial. We therefore evaluated the role of ER stress as a disease mechanism in *foz/foz* mice, which develop both the metabolic and the histological features that mimic human
- *foz/foz* mice, which develop both the metabolic and the histological features that mimic human NASH.
- 76 We explored ER stress markers in the liver of *foz/foz* mice in response to high-fat diet (HFD) after
- several time points. We then evaluated the effect of treatment with ER stress inducer tunicamycin,
- or conversely with ER protectant tauro-ursodeoxycholic acid (TUDCA) on the metabolic and hepatic
 features.
- 80 *Foz/foz* mice are obese, glucose intolerant and develop NASH characterized by steatosis, 81 inflammation, ballooned hepatocytes and apoptosis from 6 weeks of HFD feeding. This was not 82 associated with activation of the upstream unfolded protein response (phospho-eIF2 α , IRE1 α
- activity, spliced Xbp1). Activation of JNK and up-regulation of Atf4 and Chop transcripts were
- 84 however compatible with a "pathologic" response to ER stress. We tested it by intervention
- 85 experiments. Induction of chronic ER stress failed to worsen obesity, glucose intolerance and NASH
- 86 pathology in HFD-fed *foz/foz* mice. In addition, ER protectant TUDCA, although reducing steatosis,
- failed to improve glucose intolerance, hepatic inflammation and apoptosis in HFD-fed *foz/foz* mice.
- 88 These results show that signals driving hepatic inflammation, apoptosis and insulin resistance are
- 89 independent of ER stress in obese, diabetic mice with steatohepatitis.
- 90

91 Introduction

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93 Easy access to food in our modern societies has led to decreased physical activity and over-eating 94 which dramatically increase the risks of obesity-related type 2 diabetes, cardiovascular and non-95 alcoholic fatty liver diseases (NAFLD). These conditions each have insulin resistance as a common denominator. In this metabolic context, several factors, among which hyperglycaemia, 96 97 hyperinsulinemia, adipose inflammation and deregulated adipokine production pattern, digestive 98 and gut-derived factors act to perturb liver lipid homeostasis leading to liver lipid accumulation 99 [16;33]. In some patients, in addition to steatosis, hepatocellular injury and chronic inflammation 100 develop, resulting in a progressive fibrotic disease termed non-alcoholic steatohepatitis (NASH).

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102 The high protein synthesis capacity of hepatocytes requires a well-developed endoplasmic reticulum 103 (ER). Various stress conditions, amongst which nutrient overload, oxidative stress, hypoxia or amino 104 acid and glucose deprivation affect ER homeostasis and protein folding capacity. ER stress triggers 105 the unfolded protein response (UPR) elements of which converge to increase folding capacity 106 through chaperone production and reduction of protein loading through enhancing ER-associated 107 protein degradation (ERAD) and attenuation of translation [27], thereby decreasing ER contrains. At a 108 homeostatic state, the chaperone glucose-regulated protein 78 (Grp78/BiP) binds and blocks the 109 activation of 3 ER trans-membrane proteins, i.e. inositol-requiring- 1α (IRE 1α), protein kinase doublestranded RNA-dependent-like ER kinase (PERK) and activating transcription factor-6 (Atf6) [9]. When 110 111 misfolded or unfolded proteins accumulate in the ER, Grp78 releases those 3 sensors, leading to their 112 activation. IRE1 α , an endoribonuclease, splices X-box binding protein 1 (Xbp1) which stimulates the 113 expression of Grp78 and ERAD enhancing mannosidase-like protein (Edem). PERK, via 114 phosphorylation of eukaryotic translation initiation factor- 2α subunit (eIF2 α), globally inhibits protein 115 translation. Active Atf6 translocates to the nucleus and enhances the transcription of genes encoding 116 protein chaperones Grp78 and heat shock protein 90 (Hsp90/Grp94).

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118 In addition to its primarily cytoprotective response, UPR has collateral side effects by inducing 119 apoptosis, inflammation, insulin resistance and fat accumulation. Because of these deleterious 120 consequences, it has been suggested that ER stress may participate to the development and 121 propagation of NASH [3]. The ER stress pathologic response includes: enhanced expression and 122 nuclear translocation of pro-apoptotic C/EBP homologous protein (Chop), induced mainly by PERKdependent up-regulation of Atf4 transcription factor [29] but also by Atf6 and IRE1 α pathways; PERK-123 124 and IRE1 α -mediated induction of pro-inflammatory nuclear factor- κB ; IRE1 α -dependent activation of 125 the c-Jun N-terminal kinase (JNK) which in turn impairs insulin signalling and can lead to apoptosis; 126 PERK and Grp78-mediated activation of sterol regulatory element binding protein-1c (Srebp1c), the 127 master regulator of *de novo* lipogenic program. In this way, the pathways activated during the 128 response to ER stress could integrate lipid dysbiosynthesis, insulin resistance, inflammation and cell 129 death in relation to excess cellular nutrient. It is therefore logical that ER stress has been envisaged 130 as a pivotal pathogenic mechanism in NASH [3].

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132 On the other hand, the evidence that ER stress mediates as opposed to accompanies development of 133 fatty liver disease and transition to NASH is fragmentary. In the liver of leptin-deficient ob/ob mice, 134 ER stress is evident and has been related to lipogenesis and hepatic insulin resistance [12;23]. 135 However, ER stress does not necessarily accompany fatty liver disease in different genetic or diet-136 induced rodent models [38]. In humans, Puri et al. showed that, among the UPR markers, only 137 phospho-eIF2 α was increased in both NAFLD and NASH patients while the spliced form of XBP1 138 protein, found in controls and NAFLD, was low in NASH patients [25]. Gregor et al. showed that 139 gastric bypass reduced phospho-eIF2 α and Grp78 levels in liver and adipose tissue from obese 140 patients, but data in liver were derived from only 4 liver biopsies [8]. Finally, Kumashiro et al. showed 141 that in liver biopsies from 37 obese patients, ER stress markers correlated poorly with NAFLD-142 associated hepatic insulin resistance [13]. In summary, although several studies point to the UPR and initiation of some ER stress signalling pathways in human and experimental NAFLD, mechanisticevidence that ER stress activates key pathogenic pathways in NASH is lacking.

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146 We have used a metabolic syndrome model for NASH to clarify the operation and mechanistic 147 significance of ER stress. Postnatally, Alms1 mutant (foz/foz) mice lose hypothalamic neuronal cilia, 148 location of key appetite sensing receptors, causing hyperphagic obesity with hyperleptinemia, 149 hypoadiponectinemia and steatosis [11]. When fed a high fat diet, foz/foz mice rapidly develop 150 obesity-related metabolic syndrome [2] and liver injury that recapitulates all the features of NASH: 151 steatosis, liver inflammation, hepatocellular injury including ballooning and apoptotic cell death as 152 well as progressive fibrosis [1]. We first measured expression of UPR and ER stress response proteins 153 at various time points upon HFD feeding. In order to interrogate a causal relationship between ER stress response and liver pathology, we then performed interventions designed firstly to induce ER 154 155 stress by a known mechanism (tunicamycin), and secondly to abrogate ER stress with the ER 156 chaperone, tauro-ursodeoxycholic acid (TUDCA). Our data seriously challenge the notion that ER 157 stress promotes the development of either the metabolic phenotype or steatohepatitis pathology in 158 mice whose adipokine responses to obesity are the same as humans.

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161 Material and methods

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163 Animals and diets

Male foz/foz (Alms1 mutant) NOD.B10 mice were bred and maintained in a 12-h light/dark cycle in 164 165 the animal facility of Université catholique de Louvain (Brussels, Belgium). After weaning, mice were fed a standard rodent chow diet (ND) containing 2.83 kcal/g (16% fat, 54% carbohydrate, 30% 166 167 protein, 0.001% cholesterol [wt/wt]; A03 from SAFE-diets, France) or a high-fat diet (HFD) which 168 contains 5.24 kcal/g (60% fat, 20% carbohydrate, 20% protein, 0.03% cholesterol; D12492 from 169 Research Diets, USA). Female WT and *foz/foz* mice were bred in the animal facility of ANU Medical 170 School at The Canberra Hospital (Garran, ACT, Australia). All experiments were performed with 171 approval of the local University Animal Welfare Committee.

172

173 Treatments

174 Male *foz/foz* mice were fed the ND or HFD for 3 days (5 mice per group), 6 weeks (\geq 5 mice per group) or 16 weeks (3 mice per group). Female WT and *foz/foz* mice were fed chow or HFD for 12 or 24 weeks (n=6 per group).

177 Tunicamycin (T7765 from Sigma) was dissolved at 200 μg/mL in 4.0% DMSO/10 mM Tris pH 8.0 [28].

- 178 Ten week-old male *foz/foz* mice under ND received an intraperitoneal (IP) injection of 1.0 mg/kg BW
- 179 or an equivalent volume of diluent (6 mice per group) and were sacrificed 6 hours after treatment for
- 180 the acute tunicamycin treatment. For chronic ER stress activation, another group of *foz/foz* mice
- 181 were fed HFD for 5 weeks. After one week HFD feeding, an Alzet minipump (model 1004) loaded with
- tunicamycin or diluent was implanted in the inter scapulae subcutaneous tissue (5 per group) such as
- 183 to obtain a continuous release of the product (10 ng/kg BW/day [17]) during 4 weeks. Mice were 184 kept under HFD for the duration of treatment.
- To inhibit ER stress, chemical chaperones were administered in a therapeutic setting. After 4 weeks HFD feeding in male *foz/foz* mice, treatment was initiated and repeated twice daily for 14 days. HFD was maintained during treatment. *Ob/ob* mice (7 week-old; Janvier, France) were used as a positive control and treated in parallel (same dose and simultaneously) with *foz/foz* mice. As chemical
- 189 chaperones, we used 4-phenyl butyrate (PBA) in one hand and tauro-ursodeoxycholic acid (TUDCA)
- on the other. PBA was administered to mice (4 per group) by IP injection (water as vehicle) at the
- doses of 250 or 500 mg/kg twice a day (9 am and 6 pm, 0.5 or 1 g/kg BW/d).
- Tauro-ursodeoxycholic acid (TUDCA) was administered to mice by IP injection (water as vehicle) at a dose of 250 mg/kg twice a day (9 am and 6 pm, 500 mg /kg BW/d). TUDCA treatment decreased food intake both in *ob/ob* and HFD-fed *foz/foz* mice (-7.6 kcal/day for both strains, *p*<0.001, n=3-4 per

- group). Therefore, to clearly dissociate the effects of TUDCA from those due to decreased energy
- 196 intake, mice were paired according to their body weight and glycaemia, and each control was pair-
- 197 fed the same amount of food as that eaten by the corresponding TUDCA-treated mouse the day198 before (6-7 per group).
- 199 Please see supplementary experimental procedures.
- 200

201 Data analyses

- Data are presented as means ± standard deviation (SD). Quantitative variables deviating from normal distribution were log-transformed. Differences between groups were analysed using the Student's ttest. Paired tests were performed between TUDCA-treated mice and their respective pair-fed controls. P values <0.05 were considered as statistically significant.
- 206 207 **Results**
- 208

High-fat diet-fed *foz/foz* mice develop metabolic and hepatic phenotypes similar to those encountered in human NASH

- 211 As previously reported, 6 weeks HFD induces obesity, adiposity and hepatomegaly in male foz/foz mice (Table 1). This was associated with fasting hyperglycaemia and pronounced glucose intolerance 212 213 (Table 1, Figure 1 A). At this stage, liver histology showed macrovesicular steatosis with fat droplets 214 present in more than 70% of hepatocytes (grade 3) (Figure 1 B). Biochemical analyses confirmed a 215 6-fold increase in hepatic lipids in HFD- versus ND-fed foz/foz mice (Table 1). Inflammatory foci were 216 scattered through the parenchyma and hepatic F4/80 and CD68 transcript levels were significantly 217 increased signifying macrophage activation (Figure 1 B & C). Ballooned hepatocytes were readily 218 observed, as was hepatocellular apoptosis, indicated by the presence of apoptotic bodies and of 219 M30-immunopositive hepatocytes (Figure 1 D). Elevated serum ALT level confirmed liver injury (Table 220 1). Thus, livers of HFD-fed foz/foz mice conform to all the criteria for a diagnosis of NASH. As 221 reported elsewhere, upon continuation of HFD for longer period, severe fibrosing NASH will develop 222 [1;15].
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224 High-fat diet does not induce obvious ER stress in *foz/foz* mice

- 225 Compared to their ND-fed controls, we found no molecular signature of UPR in 6 week HFD-fed 226 *foz/foz* livers: there was no increased phosphorylation of eIF2 α or IRE1 α upregulation, no splicing of 227 Xbp1 and no increased expression of chaperones Grp78 and Hsp90 or upregulation of Edem (Figure 2 228 A-C). Also the expression of genes involved in *de novo* lipogenesis and phospholipid synthesis (Pemt) 229 were not induced or rather reduced (Fasn, Scd-1) (Figure 2 D). This particularly contrasted with the 230 *ob/ob* model which presented activation of the UPR and enhanced lipogenic genes expression, as
- already reported by others [12;23] (Supplementary Figure 1).
- When activation of the UPR fails to restore homeostasis, the pro-apoptotic and pro-inflammatory pathways mentioned in the introduction prevail. As previously reported [14;35], JNK phosphorylation increased in HFD-fed *foz/foz* mouse livers compared to ND-fed counterparts (Figure 2 E). Further, Atf4 and Chop mRNA expression were significantly increased (Figure 2 F). However, nuclear Chop protein was not detectable (Figure 2 G) and only pro-apoptotic Bim was up-regulated but no other Chop targets such as Gadd34 or Trib3. This highly suggests that Chop does not mediate apoptosis in
- this model.
- UPR activation was also investigated at different time points of HFD feeding. A short term HFD feeding (3 days) did not induce UPR in male *foz/foz* mice (Supplementary Figure 2 A-C). Also, UPR was not evidenced in *foz/foz* mice fed HFD for 16 weeks, which present a more advanced stage of steatohepatitis (Supplementary Figure 2 A-B-D). Finally, in female *foz/foz* mice which also develop
- NASH [15], there was also no up-regulation of Grp78 or nuclear Chop expression after 12 or 24 weeks
- 244 of HFD feeding (Supplementary Figure 2 E-G).
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246 Treatment with ER stress inducer does not aggravate metabolic and hepatic phenotype of HFD-

247 fed *foz/foz* mice

248 Because of the theoretical concern that unidentified functions of Alms1 in the liver could alter a 249 biological response such as ER stress, we sought to confirm that a known inducer of ER stress 250 operated as anticipated in this line. Tunicamycin inhibits protein glycosylation leading to the accumulation of unfolded proteins in the ER lumen. As shown in Supplementary Figure 3, acute 251 252 tunicamycin co-ordinately induced PERK-dependant eIF2a phosphorylation and Atf4/Chop 253 expression and nuclear translocation, IRE1 α expression and splicing of Xbp1, expression of 254 chaperones and protein degradation machinery. However tunicamycin did not induce JNK 255 phosphorylation in livers of *foz/foz* mice.

- 256 We then assessed whether prolonged ER stress activation would enhance metabolic disturbances 257 and promote NASH in HFD-fed foz/foz mice. We therefore implanted tunicamycin-filled minipumps 258 into HFD-fed foz/foz mice. Compared to vehicule-treated HFD-fed foz/foz controls, 4 weeks of 259 tunicamycin infusion induced a UPR assessed by a significant increase in hepatic Grp78 and Hsp90 260 expression and in JNK phosphorylation (Figure 3 A-C). This was associated with decreased AKT 261 phosphorylation on the threonine residue (Supplementary Figure 4 B). Despite this negative effect on 262 insulin signalling pathway, chronic tunicamycin infusion did not further increase plasma glucose and c-peptide levels or glucose intolerance (Table 2 & Figure 3 E) in HFD-fed foz/foz mice. Similarly, it did 263 not aggravate liver pathology. Thus, serum ALT levels and hepatic lipid content were similar in 264 tunicamycin-treated and control HFD-fed foz/foz mice (Table 2). On histological examination (Figure 265 266 3 F and H), the degree of steatosis (52 \pm 22% versus 32 \pm 16% of fatty hepatocytes), the number of ballooned hepatocytes (1.8 \pm 1.5 versus 1.3 \pm 1.1 per high power field (20X), p=0.57) and the number 267 268 of M30 positive hepatocytes (4.49 versus 2.73 per high power field, p=0.07) were not significantly 269 different in tunicamycin- and PBS-treated HFD-fed *foz/foz* mice. Tunicamycin may have reduced the 270 extent of inflammation, as reflected by the lower number of inflammatory foci compared to PBS-271 treated HFD-fed foz/foz mice (0.9 \pm 0.6 versus 2 \pm 0.7 per field (10X), p=0.003) with no significant 272 change in F4/80 and CD68 mRNA expression (Figure 3 G).
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The ER stress chaperone, tauro-ursodeoxycholic acid does not improve glucose tolerance or liver injury in HFD-fed *foz/foz* mice.

276 If ER stress always plays a role in the metabolic abnormalities of unhealthy obesity or in the pathogenesis of steatohepatitis, administration of agents that are known to abrogate an ER stress 277 278 response should, at least partly, mitigate against these effects. Others have shown that the chemical 279 chaperones 4-phenyl butyrate (PBA) and tauro-ursodeoxycholic acid (TUDCA) counteract ER stress 280 and improve glucose homeostasis and steatosis in ob/ob mice [24]. We therefore examined the effects of these ER protectants in HFD-fed *foz/foz* mice and we used *ob/ob* mice as a positive control 281 282 to validate the treatments. Foz/foz mice received PBA or TUDCA treatment during the last 2 weeks of 283 a 6-week HFD feeding protocol. The chemical chaperone PBA which protected ob/ob mice against ER 284 stress (data not shown) was highly toxic to foz/foz mice so that the mortality, which exceeded 70%, 285 precluded interpretation. We then used TUDCA and observed that it reduced food intake (-7.6 286 kcal/day for both strains, p<0.001). Thus, we used pair-fed mice as controls to strictly dissociate any 287 pharmacological effects of TUDCA from those attributable to decreased nutrient load. As expected, 288 TUDCA treatment to ob/ob mice significantly improved steatosis and glucose homeostasis, and decreased the expression of UPR markers (Supplementary Figure 5). In HFD-fed foz/foz mice, the 289 290 effect of TUDCA on ER homeostasis was confirmed by the decrease in eIF2a phosphorylation and 291 IRE1 α protein levels (Figure 4 A). This was associated with a further decrease in the expression of 292 lipogenic genes (Figure 4 C) as well as Nqo1, a marker of oxidative stress (2-fold decrease, p=0.04). 293 However, TUDCA treatment had no significant effect on JNK phosphorylation or on the expression of 294 Chop and the target genes that were enhanced in HFD foz/foz livers (Figure 4 A & B).

At the physiological level, TUDCA treatment failed to decrease hyperglycaemia, serum c-peptide levels or to improve glucose tolerance and hepatic insulin signalling in HFD-fed *foz/foz* mice (Table 3, Figure 4 D and Supplementary Figure 3). TUDCA did reduce the severity of hepatic steatosis histologically, and this effect was confirmed by a significant reduction in total hepatic lipid content (Table 3). However, TUDCA failed to reduce the number of inflammatory foci or the activation of Kupffer cells in the liver of HFD-fed *foz/foz* mice (Figure 4 E-F). Besides, ballooned hepatocytes were still readily observed in TUDCA-treated *foz/foz* livers and there was no improvement in M30 cytokeratin fragmentation immunostaining (Figure 4 G). We interpret these results as indicating that ER protectant, despite decreasing steatosis, does not improve glucose homeostasis, unlike in *ob/ob* mice, or the kind of liver injury that comprises transition to steatohepatitis in HFD-fed *foz/foz* mice.

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310 Discussion

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312 Perturbation in the normal function of the endoplasmic reticulum triggers a signalling network that 313 coordinates adaptive, inflammatory and apoptotic responses. There is accumulating evidence 314 implicating prolonged ER stress in the development and progression of many diseases, in particular in obesity-associated insulin resistance and NAFLD. A link between activation of the UPR and hepatic 315 316 insulin resistance and steatosis has been demonstrated in *ob/ob* mice [12;23;24]. Increased eIF2 α 317 expression has been reported in human NASH livers [25], but with no evidence of aggravation in 318 NASH livers compared to those with simple steatosis. Mice mutated for the ER secretory pathway 319 protein, Sec61alpha1, develop hepatic steatosis, progressive liver injury with fibrosis when 320 challenged with a HFD but intervention studies alleviating ER stress to test for causality were not 321 reported [18].

322

323 To interrogate the participation of ER stress and UPR to obesity-associated liver injury, we used HFD-324 fed foz/foz mice which exhibit, in addition to steatosis, hepatic inflammation and hepatocellular 325 injury that evolve with time into fully established NASH with typical perisinusoidal fibrosis [1;15]. If 326 indeed a pathologic ER stress response develops, we reasoned that chemical induction of ER stress 327 would worsen insulin resistance and apoptotic cell death. Conversely, ER protectants would have an 328 opposite protective effect. Strikingly, tunicamycin-induced ER stress failed to significantly aggravate 329 the metabolic and hepatic phenotype of HFD-fed *foz/foz* mice. Moreover, TUDCA, an ER protectant, 330 despite decreasing steatosis, did not improve glucose homeostasis or liver injury in HFD-fed foz/foz 331 mice. None of these findings provide support for the operation of ER stress in the pathophysiology 332 and cellular pathology of insulin resistance-related steatohepatitis.

333

334 Among ER stress response markers, we found no signature of an adaptive response converging to 335 reduce ER protein overload. Increased Atf4 and Chop transcripts and activation of JNK seen in HFD-336 fed foz/foz livers may be interpreted as part of an ER stress response. However, the causal 337 relationship between those signalling events and UPR is not established in the absence of upstream 338 activation of ER-transmembrane proteins. Further, disturbed ER homeostasis and UPR are not a 339 unique mechanism converging to activate these factors. LPS, NO or oxidative stress [21;22] as well as 340 DNA damage (chop is also known as Gadd153 -growth arrest and DNA damage inducible gene [6;30]) 341 are potent stimuli for Chop up-regulation. Further analyses are needed to unravel the signals that up-342 regulate Chop expression in foz/foz mice. Importantly, increased Chop transcript, as it is not 343 associated with increased nuclear translocation of the protein, is most likely irrelevant for inducing 344 apoptosis in HFD-fed *foz/foz* livers.

345

The currently accepted model for JNK activation by free fatty acids involves ER stress through IRE1 α [34]. Contradicting this paradigm, Sharma *et al.* showed that fatty acid-induced JNK activation was not inhibited in the absence of IRE1 α and that fatty acid-induced cell death in hepatocytes was independent of IRE1 α [31]. Therefore, the fact that JNK activation was observed in HFD-fed *foz/foz* mice in the absence of IRE1α activation can readily be explained by the direct effects of lipotoxicity
 from accumulated free fatty acids [20] as well as free cholesterol (Gan and Van Rooyen 2013;
 unpublished data) rather than as part of a pathologic response to ER stress.

353

354 Despite the evident absence of ER stress in HFD-fed foz/foz mice, TUDCA treatment did reduce 355 steatosis severity. In another genetic model of obesity, Yang et al. suggested that TUDCA improved 356 hepatic steatosis by reducing oxidative stress [38]. Nqo1, a marker of oxidative stress, and several 357 key lipogenic genes were decreased in TUDCA-treated foz/foz mice, supporting a role of oxidative 358 stress in anti-steatotic effect of TUDCA. Furthermore, TUDCA, which is a hydrophilic bile acid, 359 stimulates the synthesis and biliary secretion of phosphatidyl choline [19]. An increased fraction of 360 hepatic free fatty acid may thus exit the liver as phospholipids and not participate to triglyceride 361 synthesis, contributing to reduced steatosis.

362

363 As our results in *foz/foz* mice differ from those previously reported by elegant experimentation in 364 other models, we consider that at least some of the earlier findings could be model-specific, many 365 being in animals lacking leptin (ob/ob mice) [24] or a functional leptin receptor (db/db mice) [26]. To 366 establish this we performed similar studies in ob/ob mice and were able to confirm the previously reported, adaptive response to ER stress in *ob/ob* livers and a beneficial effect of ER-protectants on 367 368 steatosis and glucose homeostasis. Xu et al also reported differential effects of an ER protectant on glucose homeostasis in different models of type 2 diabetes: while PBA normalized hyperglycaemia in 369 370 ob/ob mice, it had no glucose-lowering effect in Goto-Kakizaki rats and had no preventive or 371 therapeutic effects on insulin resistance and hyperglycaemia in mice treated with hydrocortisone 372 [37]. Therefore, the operation of ER stress could be related to the mechanisms causing metabolic 373 alterations. Fu et al. demonstrated in ob/ob mice that lipogenesis, by altering the composition of ER 374 membranes, causes the dysfunction of ER-anchored proteins and in particular that of the calcium 375 pump SERCA resulting in modification of calcium fluxes impacting on protein folding capacity [7]. De 376 novo lipogenesis is a prominent mechanism for steatosis in leptin-deficient mice [4;32]. This is not 377 the case in obese humans with NAFLD [5], in which less than 30% of total hepatic lipid arises from 378 hepatic lipogenesis, nor is it the case in *foz/foz* livers, in which steatosis results from increased 379 delivery of fatty acid to the liver and altered lipid partitioning [14;15]; the latter finding is very similar 380 to that observed by lipid turnover studies in humans [5].

381

In our study, TUDCA treatment did not have benefice on NASH progression. A similar observation was also reported by Henkel *et al.* applying TUDCA treatment in mice with MCD-induced steatohepatitis [10]. Noteworthy, the unconjugated form of this bile acid (UDCA) investigated for many years in the treatment for NASH is ineffective and cannot be recommended for NASH treatment [36]. Other non-UDCA bile acids, regulating metabolism by binding to the nuclear hormone receptor farnesoid X receptor and to a transmembrane bile acid receptor, TGR5, may deserve more attention.

389

390 In conclusion, this study shows that ER stress is not a feature associated with insulin resistance and 391 steatohepatitis in the foz/foz model and that altering or protecting ER functions has no effect on 392 insulin resistance and liver disease propagation. Data from earlier models may be model-specific. 393 Since the progressive liver disease of the HFD-fed *foz/foz* mice, including hepatocellular injury with 394 ballooning, apoptosis, inflammation and later accompanied with pericellular fibrosis, is more relevant 395 to human NAFLD and NASH than is leptin-deficiency in *ob/ob* mice, convincing evidence gained from 396 clinical studies would be required to provide support to the therapeutic effect of ER stress modifiers 397 in human NASH.

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- 401 Earlier studies have shown that counteracting ER stress using chemical chaperones such as TUDCA
- 402 had a therapeutic benefit in obese and insulin resistant mouse models that develop simple steatosis.
- 403 Using a unique model that develops the progressive liver disease in the metabolic context as seen in
- 404 human NASH, we show that ER stress does not contribute to the pathogenesis of NASH and that
- 405 TUDCA fails to alleviate glucose intolerance and hepatic inflammation and apoptosis, thus limiting 406 the therapeutic notential of chemical chaperones to treat NASH
- 406 the therapeutic potential of chemical chaperones to treat NASH.407
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536 Figure legends

537

538 Figure 1: High-fat diet-fed *foz/foz* mice present all the metabolic and histologic features of 539 human NASH

540 (A) Curves of glycaemia during intra-peritoneal glucose tolerance test and area under the glucose 541 curves (AUC) in foz/foz mice fed normal diet (ND-plain forms) or high-fat diet (HFD-open forms) 542 during 6 weeks. (B) Haematoxylin and eosin staining of liver sections showing steatosis in HFD-fed 543 foz/foz mice compared to ND (original magnification X10). Higher magnification figures (X20) 544 highlight ballooned hepatocytes (arrows, a), mitosis (b), nuclear glycogen inclusion (c), apoptotic 545 body (d) and inflammatory infiltrates (e) in HFD foz/foz livers. (C) F4/80 and CD68 mRNA levels 546 assessed by reverse transcription and real time PCR on whole liver RNA. (D) Immunohistochemistry 547 using antibody against cleaved cytokeratin 18 (M30) demonstrating apoptotic hepatocytes in HFD 548 foz/foz livers (original magnification X20). *p<0.05

549

550 Figure 2: Adaptive and pathologic ER stress responses in *foz/foz* mice

RNA and total proteins were extracted from livers of normal diet (ND or plain bars) or high-fat diet-551 552 fed foz/foz mice (HFD or open bars) to evaluate (A) eIF2 α phosphorylation (parts of the same 553 immunoblot) and IRE1 α protein levels by western blot (left panel) and quantification (right panel); (B) 554 Xbp1 splicing by reverse transcription PCR (products loaded on agarose gel; spliced=147 bp, 555 unspliced=173 bp; T, positive control with tunicamycin); (C) expression of target genes of adaptive 556 UPR by RT-qPCR; (D) expression of lipogenic genes measured by RT-qPCR; (E) phosphorylation of JNK by western blot (parts of the same immunoblot) and quantification; (F) expression of target genes of 557 558 pathologic ER stress response by RT-qPCR; (G) nuclear Chop protein level assessed by western blot. 559 *p<0.05

560

561 Figure 3: Chronic activation of ER stress does not worsen *foz/foz* phenotype

(A) Quantification of eIF2 α and JNK phosphorylation and IRE1 α protein levels assessed by western blot, (B) expression of adaptive and pathologic ER stress response target genes assessed by RT-qPCR, (C) Xbp1 splicing, (D) expression of lipogenic genes measured by RT-qPCR, (E) IP glucose tolerance test (area under the curves p=0.15), (F) Haematoxylin and eosin staining of liver sections (10X magnification), (G) expression of F4/80 and CD68 mRNA assessed by RT-qPCR and (H) quantification of apoptotic cells stained using M30 antibody for cleaved cytokeratin 18 in 5 weeks HFD-fed *foz/foz* mice treated for 4 weeks with tunicamycin (TUN or hatched bars) or not (CTL or open bars). **p*<0.05

569

570 Figure 4: TUDCA decreases UPR and steatosis but fails to reduce JNK and Chop and to improve 571 glucose intolerance, hepatic insulin signalling, inflammation and apoptosis in HFD-fed *foz/foz* mice

572 RNA and total proteins were extracted from whole livers of 6 week HFD-fed foz/foz mice that 573 received TUDCA IP injections for the last 2 weeks (TUDCA or dotted bars) and pair-fed controls (pair-574 fed or open bars). (A) Quantification of $eIF2\alpha$ and JNK phosphorylation and IRE1 α protein levels 575 assessed by western blot. (B) Expression of adaptive and pathologic ER stress response target genes 576 assessed by RT-qPCR. (C) Expression of lipogenic genes measured by RT-qPCR. (D) IP glucose 577 tolerance test (area under the curves p=0.92). (E) Haematoxylin and eosin staining (left panels) and 578 F4/80 immunohistochemistry (right panels) of the liver sections (10X magnification). (F) Expression of 579 F4/80 and CD68 assessed by RT-qPCR. (G) Quantification of apoptotic cells stained using M30 580 antibody for cleaved cytokeratin 18. *p<0.05

583 Tables

584

Table 1: Metabolic parameters in *foz/foz* mice fed normal diet (ND) or high-fat diet (HFD) for 6 weeks
after weaning

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	ND	HFD	p value
n	5	9	
Body weight (g)	22.5 ± 1.9	48.7 ± 2.6	2.10 ⁻¹⁰
Liver weight (g)	1.31 ± 0.19	2.64 ± 0.42	3.10 ⁻⁶
Liver/body (%)	5.81 ± 0.51	5.40 ± 0.65	0.22
Hepatic lipid content (mg/100mg tissue)	7.36 ± 3.68	43.08 ± 13.82	9.10 ⁻⁵
Epididymal adipose tissue weight (g)	0.32 ± 0.13	2.71 ± 0.31	2.10 ⁻¹⁰
Epididymal adipose tissue/body (%)	1.41 ± 0.55	5.60 ± 0.81	2.10 ⁻⁷
Fasting glycaemia (mg/dL)	148 ± 21	229 ± 9	0.03
Insulin C-peptide (pM)	1652 ± 1079	2552 ± 1529	0.15
Alanine aminotransferase (IU/L)	50.3 ± 6.9	108.0 ± 55.2	0.02
Total caloric intake (kcal/day)	8.33 ± 0.58	17.85 ± 2.98	0.0002
Caloric intake from fat (kcal/day)	1.33 ± 0.09	10.71 ± 1.79	0.00002

Table 2: Metabolic parameters in high-fat diet-fed *foz/foz* mice treated or not with tunicamycin in achronic setting

	Control	Tunicamycin	<i>p</i> value
n	5	5	
Body weight (g)	38.0 ± 3.9	36.0 ± 5.22	0.30
Body weight gain (g)	+ 17.7 ± 3.0	+ 19.2 ± 2.4	0.41
Liver weight (g)	2.24 ± 0.52	2.33 ± 0.50	0.79
Hepatic lipid content (mg/100mg tissue)	38.52 ± 10.73	28.88 ± 10.01	0.18
Epididymal adipose tissue weight (g)	2.01 ± 0.43	2.40 ± 0.59	0.28
Fasting glycaemia (mg/dL)	224 ± 49	239 ± 73	0.71
Insulin C-peptide (pM)	1345 ± 178	1464 ± 554	0.67
Alanine aminotransferase (IU/L)	106.0 ± 28.3	120.3 ± 40.4	0.67

596	
597	Table 3: Metabolic parameters in high-fat diet-fed <i>foz/foz</i> mice treated or not with TUDCA
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	pairfed control	TUDCA	<i>p</i> value
n	7	6	
Body weight (g)	36.9 ± 4.6	36.0 ± 5.22	0.77
Liver weight (g)	1.68 ± 0.26	1.43 ± 0.09	0.046
Liver/body (%)	4.54 ± 0.27	4.02 ± 0.42	0.031
Hepatic lipid content (mg/100mg tissue)	20.6 ± 4.5	13.2 ± 3.3	0.006
Epididymal adipose tissue weight (g)	2.13 ± 0.50	1.82 ± 0.53	0.30
Fasting glycaemia (mg/dL)	201 ± 41	171 ± 35	0.21
Insulin C-peptide (pM)	634 ± 278	558 ± 101	0.55

609 Summary statement

- 610 Unlike in mice developing simple steatosis, endoplasmic reticulum stress does not contribute to the
- 611 pathogenesis of insulin resistance and steatohepatitis in high-fat diet-fed *foz/foz* mice, which develop
- the progressive liver disease in the metabolic context seen in human non-alcoholic steatohepatitis.
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615 Author contribution

616 V Legry: conception and design of the study, generation, analysis and interpretation of data, and 617 writing the manuscript; DM Van Rooyen: design of the study, generation and analysis of data; B 618 Lambert: generation and collection of data; C Sempoux: histological analyses and data interpretation; L Poekes: generation and collection of data; R Español-Suñer: generation and collection of data and 619 620 revision of the manuscript; O Molendi-Coste: generation and collection of data; Y Horsmans: 621 interpretation of data, and revision the manuscript; GC Farrell: conception and design of the study, 622 and revision of the manuscript; IA Leclercq: conception, design and supervision of the study, and 623 writing the manuscript.

Figure 1



Figure 2



Figure 3



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Figure 4



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Supplementary material

Material and methods

Animals and treatment

Six week-old male *ob/ob* mice and their lean (*ob/+*) littermates were purchased from Janvier (France), fed chow and maintained in the animal facility of Université catholique de Louvain (Brussels, Belgium) for 3 weeks. Treatment was initiated after 1 week acclimation.

At the time of sacrifice, anesthetized (ketamine/xylazine) mice received 5 U insulin (Actrapid, Novo Nordisk, Bagsvaerd, Denmark) or an equal volume of PBS into the portal vein to analyse the intrahepatic response to insulin. The liver was removed 1 min after the injection, and epididymal adipose tissue were dissected and weighed. Portions of tissue were immersed in formalin 4% for histology; the remaining tissue was snap frozen in liquid nitrogen and kept at -80°C until analyses.

Histology and immunohistochemistry

Formalin-fixed, paraffin-embedded sections were used for histological evaluation with haematoxylin and eosin (H&E) staining. Steatosis was estimated by counting the number of fatty hepatocytes in relation to the total number of hepatocytes and expressed as percentage of steatosis. Quantification has been performed on minimum 8 fields (objective 10X, magnification 100X).

Tissue sections underwent antigen retrieval (sodium citrate) and antibody labelling. F4/80 was detected using a primary rat anti-mouse F4/80 monoclonal antibody (1/200, Serotec, Oxford, UK), a rabbit anti-rat immunoglobulin (1/200, Dako, Glostrup, Denmark) and then a goat anti-rabbit streptavidin horseradish peroxidase conjugated antibody (En Vision, Dako). Cleaved cytokeratine 18 (M30 epitope) was detected using a primary mouse antibody (1/100, Roche) and the MOM kit according to the manufacturer recommendations (Dako). The peroxidase activity was revealed with diaminobenzidine and slides were counterstained with haematoxylin. Quantification was performed in a minimum of 5 random 20X-power fields per section.

Glucose homeostasis assessment

Blood was retrieved from the tail vein on 4-hours fasted mice and used to measure glucose (Accuchek Aviva; Roche) and C-peptide plasma concentration using an enzyme immunoassay (ALPCO, USA).

To evaluate glucose tolerance, glucose (1 g/kg body weight) was injected IP after a fasting period of 4 hours, and glucose levels were monitored in tail blood at 0, 15, 30, 60, 90, 120 and 180 min after the injection. Glucose tolerance test was performed 2 days prior to sacrifice, or after 12 days of TUDCA, PBA or vehicle treatment.

Lipid and protein analyses

Total liver lipids were extracted with methanol and chloroform and quantified using the vanillin phosphoric acid reaction [1]. To analyse the ER stress response, proteins from liver homogenates $(100 \mu g)$ were assayed by western blotting using the antibodies and conditions listed in Supplementary Table 1. Immunoreactivity was detected with a horseradish peroxidase-conjugated secondary antibody and enhanced chemiluminescence reagents (Western Lightning Chemiluminescence Reagent Plus; Perkin Elmer). One membrane was sequentially probed with the antibodies against the total protein, the phospho-protein, and GAPDH or beta-actin to control for protein loading. The quantification of immunoreactive proteins was obtained by densitometry using the Gel DocTM XR System 170–8,170 device and software (Bio-Rad). The levels of immunoreactivity relative to the invariant control are reported as arbitrary densitometry units. Phosphorylation was estimated by calculating the ratio of phospho-protein divided by the total form of the protein.

RNA extraction, reverse transcription and real time quantitative PCR (RT-qPCR)

Total RNA was extracted from frozen liver using TRIpure Isolation Reagent (Roche Diagnostics Belgium, Vilvoorde, Belgium). cDNA was synthesized from 1 μ g RNA using random primers and M-MLV RT (Invitrogen, Merelbeke, Belgium). Real-time PCR analysis was performed as described previously [1]. Primer pairs for transcripts of interest were designed using the Primer Express design software (Applied Biosystems, Belgium) and are listed in Supplementary Table 2. RPL19 mRNA was chosen as an invariant standard. All experimental tissues and standard curve samples were run in duplicate in a 96-well reaction plate (MicroAmp Optical; Applied Biosystems). Results are expressed as fold expression relative to expression in the control group using the $\Delta\Delta$ Ct method [1].

Supplementary Figure legends

Supplementary Figure 1: Comparison of ER stress response between *foz/foz* and *ob/ob* models

RNA and total proteins were extracted from whole livers of 9 week-old *ob/ob* mice (pale grey bars), their lean littermates (white bars) and *foz/foz* mice fed normal diet (foz+ND or dark grey bars) or high-fat diet (foz+HFD or hatched bars) for 6 weeks. (A) Quantification of eIF2 α phosphorylation and IRE1 α protein expression assessed by western blot. (B) Expression of UPR target genes assessed by RT-qPCR. (C) Expression of lipogenic genes measured by RT-qPCR. * *p*<0.05 *ob/ob* vs. lean. † *p*<0.05 *foz*+HFD vs. *ob/ob*. ¥ *p*<0.05 *foz*+HFD vs. *foz*+ND

Supplementary Figure 2: ER-stress response in male (A-D) and female (E-G) *foz/foz* mice at different time points of HFD feeding

RNA and total proteins were extracted from livers of male *foz/foz* mice after 3 days and 16 weeks of HFD to evaluate (A) eIF2 α phosphorylation by western blot, (B) Xbp1 splicing, (C-D) expression of UPR target genes by RT-qPCR. (E) Grp78 protein, (F) Chop mRNA and (G) nuclear protein expression at 12 and 24 weeks in female WT and *foz/foz* mice fed chow or HFD. * *p* <0.05, vs diet-matched control. #*p*<0.05, vs genotype-matched control.

Supplementary Figure 3: ER-stress response induced by tunicamycin in foz/foz mice

ND-fed *foz/foz* mice were treated with tunicamycin 1.0 mg/kg BW, IP (Tun, hatched bars) or vehicle (ND, plain bars) and the liver analysed 6 hours later. (A) eIF2 α phosphorylation and IRE1 α protein levels assessed by western blot (left panel) and quantification (right panel); (B) XBP1 splicing; (C) expression of target genes of adaptive UPR by RT-qPCR; (D) phosphorylation of JNK and nuclear Chop protein level assessed by western blot; (E) expression of pro-apoptotic UPR target genes measured by RT-qPCR. *p<0.05

Supplementary Figure 4: Hepatic insulin signaling in *foz/foz* mice

Insulin sensitivity was evaluated by phosphorylation of signalling intermediates (p-IR on Tyr1162/1163, p-AKT on Ser473 and on Thr308) upon insulin stimulus as determined by western blot in (A) *foz/foz* mice fed ND or HFD for 6 weeks, (B) 5 weeks HFD-fed *foz/foz* mice treated for 4 weeks with tunicamycin (TUN) or not (CTL) and (C) 6 week HFD-fed *foz/foz* mice that received TUDCA IP injections for the last 2 weeks (+TUDCA) and pair-fed HFD controls (-TUDCA).

Supplementary Figure 5: Side by side comparison of TUDCA effect in ob/ob and foz/foz models

4 week HFD-fed *foz/foz* mice and chow-fed *ob/ob* mice received simultaneously TUDCA IP injections during 2 weeks (TUDCA or dotted bars). Pair-fed controls (pair-fed or open bars) received PBS IP injections. RNA and total proteins were extracted from whole livers. (A) Quantification of eIF2 α and JNK phosphorylation and IRE1 α protein expression assessed by western blot. (B) Expression of UPR target genes assessed by RT-qPCR. (C) Expression of lipogenic genes measured by RT-qPCR. (D) IP glucose tolerance test (area under the curves *p*=0.92 for *foz/foz* mice TUDCA vs. pair-fed; *p*=0.05 for

ob/ob mice TUDCA vs. pair-fed). (E) Haematoxylin and eosin staining of the liver sections (10X magnification). *p<0.05 TUDCA vs. respective pair-fed controls

Protein	Epitope	# Antibody	Isotype	Source
elF2α		9722	rabbit	Cell Signalling
p-elF2α	Ser 51	3398	rabbit	Cell Signalling
IRE1a	His963	3294	rabbit	Cell Signalling
JNK/SAPK		sc-571	rabbit	Santa Cruz
p-JNK/SAPK	Thr183/Tyr185	4668	rabbit	Cell Signalling
GAPDH		2118	rabbit	Cell Signalling
β-actin		A5441	mouse	Sigma
Akt		610861	mouse	BD Transduction Laboratories
p-Akt	Ser473	4060	rabbit	Cell Signalling
p-Akt	Thr308	2965	rabbit	Cell Signalling
IR		sc-711	rabbit	Santa Cruz
p-IR	Tyr1162/1163	sc-25103	rabbit	Santa Cruz

Supplementary Table 1: List of the antibodies used in western blotting

Gene	RefSeq	Forward primer	Reverse primer
Acc-1	NM_133360	TGTCCGCACTGACTGTAACCA	TGCTCCGCACAGATTCTTCA
Atf4	NM_009716.2	CTCAGACAGTGAACCCAATTGG	GGCAACCTGGTCGACTTTTATT
Bim	NM_207680	AGGAGGGTGTTTGCAAATGATT	ACCAGACGGAAGATAAAGCGTAA
CD68	NM_009853	TGCGGCTCCCTGTGTGT	TCTTCCTCTGTTCCTTGGGCTAT
Chop	NM_007837.3	AGGAGCCAGGGCCAACA	TCTGGAGAGCGAGGGCTTT
Edem	NM_138677	GGATCCCCTATCCTCGGGT	GTTGCTCCGCAAGTTCCAG
Fasn	NM_007988	GATCCTGGAACGAGAACACGAT	AGAGACGTGTCACTCCTGGACTT
F4/80	NM_010130	GATGAATTCCCGTGTTGTTGGT	ACATCAGTGTTCCAGGAGACACA
Gadd34	NM_008654.2	TGGTCCAGCTGAGAATGAAGAG	GGAAGCAGCAGAAGCTTGGT
Grp78	NM_022310.2	AGCCATCCCGTGGCATAA	GGACAGCGGCACCATAGG
Hsp90	NM_011631	TGTTGTGGATTCCGATGATCTC	GCAATTTATGTTGCTGAAGAGTCTCA
Nqo1	NM_008706	CAATCAGCGTTCGGTATTACGA	GCCAGTACAATCAGGGCTCTTC
Pemt	NM_008819	GCGGCTGTGATCACCATTG	TCCCATCTCGCTACCACATTC
Rpl19	NM_009078	GAAGGTCAAAGGGAATGTGTTCA	CCTTGTCTGCCTTCAGCTTGT
Scd-1	NM_009127	CCAGAATGACGTGTACGAATGG	GCGTGTGTTTCTGAGAACTTGTG
Srebp1	NM_011480	CTGGCACTAAGTGCCCTCAAC	GCCACATAGATCTCTGCCAGTGT
Trib3	NM_175093	TGTCTTGCGCGACCTCAAG	CCAGCTTCGTCCTCTCACAGT
Xbp1*	NM_013842.2	ACACGCTTGGGAATGGACAC	CCATGGGAAGATGTTCTGGG

Supplementary Table 2: List of the primers used in PCR

*PCR product loaded on a 3% agarose gel (spliced=147bp; unspliced=173bp)

Reference List

1. Lanthier,N., Molendi-Coste,O., Cani,P.D., van,R.N., Horsmans,Y. and Leclercq,I.A. (2011) Kupffer cell depletion prevents but has no therapeutic effect on metabolic and inflammatory changes induced by a high-fat diet. FASEB J. **25**, 4301-4311.







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С TUDCA + + + -+ insulin + + + + p-AKT-Thr p-AKT-Ser ΑΚΤ β-actin

ob/ob

foz/foz