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2 **Somatic and dendritic GABA<sub>B</sub> receptors regulate neuronal**  
3 **excitability via different mechanisms**

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15 **Running head:** GABA<sub>B</sub> receptors regulate excitability by different mechanisms

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23 **Abstract:**

24 GABA<sub>B</sub> receptors play a key role in regulating neuronal excitability in the brain.  
25 While the impact of somatic GABA<sub>B</sub> receptors on neuronal excitability has been  
26 studied in some detail, much less is known about the role of dendritic GABA<sub>B</sub>  
27 receptors. Here we investigate the impact of GABA<sub>B</sub> receptor activation on the  
28 somato-dendritic excitability of layer 5 pyramidal neurons in rat barrel cortex.  
29 Activation of GABA<sub>B</sub> receptors led to hyperpolarization and a decrease in membrane  
30 resistance, that was restricted largely to somatic or proximal dendritic locations.  
31 These effects were blocked by low concentrations of barium (100 μM), consistent  
32 with the idea that they are mediated by potassium channels. In contrast, activation of  
33 dendritic GABA<sub>B</sub> receptors decreased the width of backpropagating action potential  
34 (APs) and abolished dendritic calcium electrogenesis, indicating that dendritic  
35 GABA<sub>B</sub> receptors regulate excitability primarily via inhibition of voltage-dependent  
36 calcium channels. These distinct actions of somatic and dendritic GABA<sub>B</sub> receptors  
37 regulated neuronal output in different ways. Activation of somatic GABA<sub>B</sub> receptors  
38 led to a reduction in neuronal output primarily by increasing AP rheobase, whereas  
39 dendritic GABA<sub>B</sub> receptors blocking burst firing, decreasing the number of elicited  
40 APs in the absence of a significant change in somatic membrane properties. Taken  
41 together, our results show that GABA<sub>B</sub> receptors regulate somatic and dendritic  
42 excitability of cortical pyramidal neurons via different cellular mechanisms, with  
43 somatic GABA<sub>B</sub> receptors leading to a subtractive or shunting form of inhibition,  
44 whereas dendritic GABA<sub>B</sub> receptors reduce neuronal output via an inhibition of  
45 bursting firing.

46 **Key words:** GABA<sub>B</sub> receptor, excitability, GIRK channels, calcium channels, output  
47 gain.

48

## 49 **Introduction:**

50 GABA is the primary inhibitory neurotransmitter in the brain. The release of GABA  
51 leads to fast postsynaptic inhibition mediated by the activation of GABA<sub>A</sub> receptors  
52 (Allen et al. 1977), whereas activation of GABA<sub>B</sub> receptors coupled to the G-protein  
53 G<sub>i/o</sub> provides a mechanism for slow inhibition (Lüscher et al. 1997; Tamas et al.  
54 2003). This slow inhibition is though to be mediated via activation of G-protein  
55 coupled inwardly rectifying potassium channels (GIRK channels) belonging to the  
56 Kir3 potassium channel family (Chen and Johnston 2005; Gähwiler and Brown 1985;  
57 Lüscher et al. 1997; Newberry and Nicoll 1985). In addition, it has recently been  
58 shown that postsynaptic GABA<sub>B</sub> receptors can activate TREK-2 channels, a two pore-  
59 domain potassium channel (Deng et al. 2009). By increasing membrane permeability  
60 to potassium, GABA<sub>B</sub> receptors play a crucial role in regulating neuronal excitability  
61 via hyperpolarizing the resting membrane potential and reducing the input resistance  
62 (Gähwiler and Brown 1985; Lüscher et al. 1997). In addition, GABA<sub>B</sub> receptors can  
63 act presynaptically to inhibit voltage-dependent calcium channels and thereby  
64 modulate transmitter release. While this action of GABA<sub>B</sub> receptors was initially  
65 thought to only be important in presynaptic terminals (Campbell et al. 1993; Mintz  
66 and Bean 1993; Scholz and Miller 1991), there is increasing evidence that GABA<sub>B</sub>  
67 receptors can also act to modulate voltage-dependent calcium channels in dendrites  
68 and spines (Chalifoux and Carter 2011; Kavalali et al. 1997; Sabatini and Svoboda  
69 2000), where they can influence dendritic excitability (Perez-Garci et al. 2006) as  
70 well as modulate NMDA receptor activation (Chalifoux and Carter 2010).

71

72 The role these different forms of GABA<sub>B</sub>-mediated inhibition play in regulating  
73 neuronal output is less clear. Different classes of GABAergic neurons are known to

74 target different cellular compartments of cortical pyramidal neurons (Chu et al. 2003;  
75 Gonchar and Burkhalter 1999; 2003; Houser et al. 1983; Tamas et al. 2003; Zhu et al.  
76 2004). As such, it seems possible that somatic and dendritic GABA<sub>B</sub> receptors may  
77 regulate the excitability of cortical neurons in different ways. Further evidence for this  
78 idea comes from the observation that the function of the two varieties of the GABA<sub>B1</sub>  
79 subunit (GABA<sub>B1a</sub> and GABA<sub>B1b</sub>) are segregated, with the GABA<sub>B1a</sub> subunit  
80 mediating inhibition of voltage-dependent calcium channels, whereas the GABA<sub>B1b</sub>  
81 subunit being at least partly responsible for postsynaptic hyperpolarization (Perez-  
82 Garci et al. 2006).

83

84 Here we address the mechanisms by which somatic and dendritic GABA<sub>B</sub> receptors  
85 regulate the excitability of layer 5 pyramidal neurons in rat barrel cortex. We find that  
86 somatic excitability is regulated primarily via GABA<sub>B</sub> receptor activation of  
87 potassium channels, whereas dendritic excitability is regulated primarily via  
88 inhibition of voltage-dependent calcium channels, indicating that somatic and  
89 dendritic GABA<sub>B</sub> receptors regulate neuronal excitability via different mechanisms.

90

91 **Materials and methods:**

92

93 **Slice preparation:**

94 All procedures are performed in accordance to methods approved by the Animal  
95 Ethics Committee of the Australian National University. Wistar rats (4 to 9 week old  
96 of either sex) were deeply anaesthetized by isoflurane inhalation (3% in oxygen) and  
97 decapitated. The brain was quickly removed and coronal slices containing barrel  
98 cortex prepared (300  $\mu\text{m}$  thick). During this procedure, the brain was maintained in an  
99 ice-cold solution containing (in mM): 87 NaCl, 25 NaHCO<sub>3</sub>, 3 KCl, 1.25 NaH<sub>2</sub>PO<sub>4</sub>,  
100 0.5 CaCl<sub>2</sub>, 6 MgCl<sub>2</sub>, 25 Glucose, 75 Sucrose; pH=7.4; oxygenated with carbogen  
101 (95%O<sub>2</sub>/5%CO<sub>2</sub>). After cutting, slices were immersed in artificial cerebrospinal fluid  
102 (ACSF) containing (in mM): 125 NaCl, 25 NaHCO<sub>3</sub>, 3 KCl, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 2 CaCl<sub>2</sub>,  
103 1 MgCl<sub>2</sub>, 25 Glucose; pH= 7.4; oxygenated with carbogen (95%O<sub>2</sub>/5%CO<sub>2</sub>) and  
104 maintained at 35°C for 30 minutes, then stored at room temperature. The same ACSF  
105 solution was used for electrophysiological recording.

106

107 **Electrophysiological procedures:**

108 Barrel cortex slices were transferred to an immersed recording chamber continuously  
109 perfused with oxygenated ACSF (95%O<sub>2</sub>/5%CO<sub>2</sub>). Barrel cortex layer 5 was  
110 visualized under low magnification using an upright microscope (5x magnification;  
111 BX50WI, Olympus, Tokyo, Japan). Pyramidal neurons were observed at higher  
112 magnification (x60) using differential interference contrast (DIC) optics combined  
113 with infrared illumination (Stuart et al. 1993) allowing somatic and dendritic  
114 recordings under visual control. Somatic and dendritic patch pipettes were made from  
115 borosilicate glass (Harvard Apparatus, Edenbridge, Kent, UK) and pulled to obtain a

116 resistance of 5 and 10 M $\Omega$ , respectively. Whole-cell current-clamp recordings were  
117 obtained using glass pipettes filled with a potassium gluconate-based solution  
118 consisting of the following (in mM): 130 potassium gluconate, 10 KCl, 10 Hepes,  
119 4 MgATP, 0.3 Na<sub>2</sub>GTP, 10 Na<sub>2</sub>-phosphocreatine; pH= 7.3 with KOH and osmolarity  
120 set to 280 mosmol.l<sup>-1</sup> with sucrose. Recordings were obtained at a temperature set at  
121 34 ± 1°C.

122

123 Two identical BVC-700A current-clamp amplifiers (Dagan, Minneapolis, MN, USA)  
124 were used to record somatic and dendritic signals in the whole-cell configuration.  
125 These signals were digitized with an ITC-18 computer interface at 50 KHz  
126 (Instrutech, Port Washington, NY, USA), analogue filtered on-line at 10 KHz and  
127 acquired using the data acquisition software Axograph X (Axograph Scientific,  
128 Australia). The pipette bridge balance and capacitance were compensated on-line and  
129 checked throughout the experiment. Recordings where the somatic or dendritic access  
130 resistance exceeded 20 M $\Omega$  and 30 M $\Omega$ , respectively, have been discarded.  
131 Furthermore, only neurons with a stable resting membrane potential more negative  
132 than -65 mV were used. All membrane potentials have been corrected for the  
133 experimentally determined liquid junction potential of ~12 mV.

134

#### 135 **Data analysis:**

136 The distance of dendritic recordings from the soma was estimated *in situ* using the  
137 linear distance between the somatic and the dendritic pipettes. The amplitude and the  
138 width of somatic and dendritic action potentials (APs) were measured from threshold,  
139 defined as a dV/dt of 50 and 25 mV/s, respectively. AP duration was measured at  
140 50% of peak amplitude. The amplitude of the medium after-hyperpolarization (AHP)

141 was defined as the voltage difference between AP threshold and the membrane  
142 potential 40 ms after AP onset. The rheobase was defined as the amplitude of the  
143 minimum somatic current required to elicit APs. The propagation velocity of  
144 backpropagated APs was determined from the time difference between the peak of  
145 somatic and dendritic APs. Somatic and dendritic input resistances were calculated  
146 from the fit to the linear region of the current-voltage relationship measured at  
147 steady-state during subthreshold current injections at somatic and dendritic recording  
148 sites, respectively. The critical frequency for dendritic calcium electrogenesis was  
149 determined during trains of five APs evoked via somatic current injection at  
150 frequencies ranging from 20 to 200 Hz (Larkum et al. 1999a). The integral of the  
151 dendritic response was plotted against the somatic AP frequency, and the critical  
152 frequency defined as previously described by Breton and Stuart (2009). The output  
153 gain of recorded neurons was analysed by fitting a linear function to the input-output  
154 relationship between the first four data points above the rheobase. Numerical values  
155 given in the text and figures represent mean  $\pm$  S.E.M, and the level of statistical  
156 significance was set to  $P < 0.05$ . Statistical tests used in this study are indicated in the  
157 Results section.

158

159

160 **Results:**

161 Recordings were obtained from rats aged 4 to 9 weeks of either sex (n= 80 neurons).  
162 As no significant difference was observed between male and female rats the data have  
163 been combined.

164

165 **Impact of GABA<sub>B</sub> receptor activation at the soma**

166 We first investigated the impact of GABA<sub>B</sub> receptor activation on the somatic resting  
167 membrane properties of layer 5 pyramidal neurons during bath application of baclofen  
168 (20 μM; a GABA<sub>B</sub> receptor agonist). Bath application of baclofen decreased the  
169 steady-state voltage response to hyperpolarizing somatic current injections compare to  
170 control (Fig. 1A, bottom). This led to a change in slope of the current-voltage  
171 relationship (Fig. 1B), indicating a reduction in input resistance (R<sub>n</sub>) (Fig. 1D left;  
172 n= 42; *P* < 0.01, Tukey's *post hoc* test). In parallel, GABA<sub>B</sub> receptor activation  
173 induced a significant hyperpolarization of the resting membrane potential (V<sub>rest</sub>)  
174 (Fig. 1D, right; n= 42; *P* < 0.01, Tukey's *post hoc* test). These effects of baclofen on  
175 somatic membrane properties were blocked by co-application of barium (Fig. 1D;  
176 100 μM), a wide spectrum blocker of potassium channels including GIRK channels,  
177 and by CGP52432 (1 μM), a potent GABA<sub>B</sub> receptor antagonist (n= 8; Fig. 1C). As  
178 the impact of baclofen was sensitive to low concentrations of barium these data  
179 suggest that GABA<sub>B</sub> receptors reduce somatic excitability via activation of a  
180 potassium conductance.

181

182 **Figure 1 near here**

183



184 We also investigated the impact of GABA<sub>B</sub> receptor activation on active membrane  
185 properties at the soma. Supra-threshold current injections evoked regular and intrinsic  
186 bursting firing patterns similar to those observed in other studies (Breton and Stuart  
187 2009; Williams and Stuart 1999). Bath application of baclofen (20  $\mu$ M) reduced the  
188 ability of layer 5 pyramidal neurons to generate action potentials (APs), shifting the  
189 input-output relationship (f/I relationship) to the right (Fig. 1A, E; table 1). This led to  
190 a significant decrease in the number of APs generated by supra-threshold current  
191 injections (1 nA; control:  $31.0 \pm 0.9$  APs; baclofen:  $17.1 \pm 1.3$  APs,  $n=42$ ;  $P < 0.001$ ;  
192 Tukey's *post hoc* test). Furthermore, bath application of baclofen converted intrinsic  
193 bursting neurons to regular firing neurons ( $n=29$  bursting neurons; Fig. 1A, top).  
194 Bath application of baclofen had a small but significant impact on somatic AP  
195 properties, leading to a slight reduction in AP amplitude, rate of rise and half-width  
196 without changing AP threshold (see table 1). These effects of baclofen were reversible  
197 after washing for at least 30 minutes ( $n=23$ , data not shown) and were blocked by  
198 CGP52432 (1  $\mu$ M;  $n=8$ ; data not shown). Co-application of baclofen (20  $\mu$ M) with  
199 barium (100  $\mu$ M) antagonized these effects of baclofen on the input-output properties  
200 of neurons (Fig. 1A, E;  $n=42$ ), suggesting it is mediated by activation of a potassium  
201 conductance. Furthermore, co-application of barium profoundly changed the firing  
202 properties of neurons, converting regular AP firing observed in baclofen to strong AP  
203 bursts (Fig. 1A).

204

205 **Table 1 near here**

206

207 **Impact of dendritic GABA<sub>B</sub> receptor activation**

208 To investigate the impact of GABA<sub>B</sub> receptors at somatic and dendritic sites, baclofen  
209 (50  $\mu$ M) was focally applied (3 sec application) via a pipette placed in the vicinity of  
210 the somatic or dendritic recording pipette (within  $\sim$ 30  $\mu$ m) during simultaneous  
211 somatic and dendritic recording. As seen during bath application, somatic application  
212 of baclofen evoked a hyperpolarization of the somatic membrane potential, which  
213 attenuated as it propagated to the dendritic recording site. Similarly, dendritic  
214 application of baclofen evoked a hyperpolarization of the dendritic membrane  
215 potential, which attenuated as it propagated to the somatic recording site (Fig. 2A).  
216 On average, however, the somatic response to somatic baclofen application was  
217 significantly larger than the dendritic response to dendritic baclofen application  
218 (Fig. 2B; dendritic baclofen applications 435  $\pm$  23  $\mu$ m from the soma; n= 12;  
219  $P < 0.01$ , unpaired Student's  $t$  test).

220

221 **Figure 2 near here**

222

223 To further study the effect of dendritic GABA<sub>B</sub> receptor activation on dendritic  
224 membrane excitability we examined the impact of bath application of baclofen  
225 (20  $\mu$ M) on voltage responses to hyperpolarizing and depolarizing sub-threshold  
226 current injections at different apical dendritic locations. As seen at the soma, baclofen  
227 application lead to a decrease in the voltage response to hyperpolarizing dendritic  
228 current injections and a reduction in the slope of the I/V relationship, which was  
229 blocked by co-application of barium (Fig. 2C, D). This reduction in dendritic input  
230 resistance occurred in the absence of a significant change in dendritic membrane  
231 potential (control  $V_{rest} = -73.46 \pm 1.00$  mV; baclofen  $V_{rest} = -74.25 \pm 0.73$  mV; distance  
232 from soma: 395  $\pm$  16  $\mu$ m; n= 12;  $P > 0.05$ , paired Student's  $t$  test). Furthermore, the

233 impact of bath application of baclofen on dendritic input resistance was distance  
234 dependent and only observed at proximal dendritic locations (Fig. 2E, F; two-way  
235 ANOVA: effect of distance from soma:  $P= 0.0004$  and effect of treatment:  
236  $P < 0.0001$ ; 100-300  $\mu\text{m}$ :  $n= 9$ , 300-500  $\mu\text{m}$ :  $n= 12$ ; 500-800  $\mu\text{m}$ :  $n= 9$ ). Together,  
237 these results show that in layer 5 pyramidal neurons of the somatosensory cortex  
238 coupling of dendritic GABA<sub>B</sub> receptors to barium-sensitive potassium channels is  
239 restricted to proximal dendritic locations.

240

#### 241 **Impact of GABA<sub>B</sub> receptor activation on steady-state voltage attenuation**

242 We next determined the impact of GABA<sub>B</sub> receptor activation on steady-state voltage  
243 attenuation during hyperpolarizing current injections (-450 pA, duration: 900 ms) at  
244 the soma or apical dendrite (Fig. 3A). Voltage attenuation was calculated as the ratio  
245 of the steady-state voltage response at the “receiving” location divided by that  
246 recorded at the site of current injection. Voltage attenuation from the soma to the  
247 dendritic recording site, and vice versa, was greater the larger the distance between  
248 the current injecting and receiving pipette due to the filtering properties of the apical  
249 dendrite (Fig. 3B, C). Importantly, however, we failed to observe an impact of bath  
250 application of baclofen (20  $\mu\text{M}$ ) on voltage attenuation irrespective of the direction of  
251 voltage spread (Fig. 3D; one-way ANOVA;  $n= 54$ ;  $P= 0.4146$  and  $P= 0.3494$  for  
252 somatic and dendritic current injection, respectively). These data indicate that  
253 activation of dendritic GABA<sub>B</sub> receptors has minimal impact on steady-state voltage  
254 attenuation in layer 5 pyramidal neurons.

255

256 **Figure 3 near here**

257

**258 Impact of GABA<sub>B</sub> receptors activation on action potential backpropagation**

259 To understand the role of GABA<sub>B</sub> receptors in regulating active dendritic properties  
260 we first assessed their impact on backpropagating APs (bAPs) during dual somatic  
261 and dendritic whole-cell recordings (Fig. 4A). The amplitude of bAPs decreased as  
262 they invaded the apical dendrite and in some cases failed to propagate distally (Fig.  
263 4B, see Breton and Stuart 2009; Larkum et al. 2001; Stuart and Hausser 2001). Bath  
264 application of baclofen (20  $\mu$ M) did not significantly affect the amplitude of bAPs at  
265 distal dendritic locations (Fig. 4B; control=  $38.75 \pm 3.44$  mV, baclofen=  $37.78 \pm 3.56$   
266 mV; distance from soma  $520 \pm 18$   $\mu$ m; n= 31;  $P > 0.05$ , paired Student's *t* test).  
267 Furthermore, no significant impact of baclofen was observed on bAP velocity (Fig.  
268 4C; control=  $0.41 \pm 0.02$  mV, baclofen=  $0.43 \pm 0.03$  mV; n= 31;  $P > 0.05$ , paired  
269 Student's *t* test) or rate of rise (Fig. 4D; control=  $78.9 \pm 8.8$  mV.s<sup>-1</sup>, baclofen=  $79.8 \pm$   
270  $9.8$  mV.s<sup>-1</sup>; n= 31;  $P > 0.05$ , paired Student's *t* test). Bath application of baclofen (20  
271  $\mu$ M) did, however, induce a distance-dependent decrease in bAPs duration (Fig. 4E,  
272 F). At distal dendritic location ( $520 \pm 18$   $\mu$ m from the soma), baclofen (20  $\mu$ M)  
273 significantly reduced bAP duration from  $2.34 \pm 0.14$  ms (in control condition) to  $1.67$   
274  $\pm 0.08$  ms (n= 31;  $P < 0.001$ , paired Student's *t* test). This effect was absent when  
275 baclofen was co-applied with the GABA<sub>B</sub> antagonist CGP52432 (1  $\mu$ M, n= 8; Fig.  
276 4G) or low concentrations of nickel (100  $\mu$ M), to block T- and R-type voltage-gated  
277 calcium channels (Fig. 4F, right; n= 8;  $P < 0.05$ , Tukey's *post hoc* test). These data  
278 suggest that GABA<sub>B</sub> receptor activation causes a decrease in the duration of bAPs at  
279 distal locations by inhibiting dendritic T- and/or R-type voltage-gated calcium  
280 channels.

281

282 **Figure 4 near here**

283

284 **GABA<sub>B</sub> receptors inhibit dendritic calcium electrogenesis**

285 Given that baclofen reduced bAP duration by blocking dendritic calcium channels, we  
286 next investigated the impact of baclofen on dendritic calcium electrogenesis elicited  
287 by AP trains. Previous work indicates that trains of bAPs lead to generation of  
288 dendritic calcium electrogenesis in a frequency dependent manner as observed  
289 previously (Larkum et al. 1999a; Williams and Stuart 2000). The frequency of bAPs  
290 required to evoke dendritic calcium electrogenesis is called the “critical frequency”  
291 and can be used to determine the degree of dendritic excitability (Larkum et al.  
292 1999a). To examine the impact of GABA<sub>B</sub> receptor activation on dendritic  
293 excitability we therefore tested the effect of bath application of baclofen on the  
294 critical frequency. The dendritic response to trains of five somatic APs evoked at  
295 frequencies of 20 to 200 Hz (increment of 10 Hz) was recorded during dual dendritic  
296 and somatic whole-cell recording (Fig. 5A). In control, the observed critical  
297 frequency was  $96.7 \pm 3.1$  Hz (n= 46), consistent with previous studies (Breton and  
298 Stuart 2009; Larkum et al. 1999a). Bath application of baclofen (20  $\mu$ M) abolished  
299 dendritic calcium electrogenesis (Fig. 5B), leading to a significant decrease in the  
300 integral of dendritic responses measured at supra-critical frequencies (Fig. 5C, left;  
301 distance from soma:  $518 \pm 18$   $\mu$ m; n= 30,  $P < 0.001$ , paired Student’s *t* test).  
302 Furthermore, this effect of baclofen was blocked by CGP52432 (1  $\mu$ M; n= 8; data not  
303 shown) and by low concentrations (100  $\mu$ M) of nickel (Fig. 5C, right; n= 8).

304

305 **Figure 5 near here**

306

307 Because bath application of baclofen activates both somatic and dendritic GABA<sub>B</sub>  
308 receptors, we tested the effect of local dendritic application of baclofen on the critical  
309 frequency. In these experiments a train of five somatic APs was generated at a supra-  
310 critical frequency of 200 Hz and baclofen (50  $\mu$ M) was applied locally in the vicinity  
311 of the dendritic recording pipette (with  $\sim$ 30  $\mu$ m). Local application of baclofen to the  
312 dendritic recording location lead to a transient decrease in dendritic calcium  
313 electrogenesis, consistent with the idea that it is due to activation of dendritic GABA<sub>B</sub>  
314 receptors (Fig. 5D; control integral=  $1.31 \pm 0.07$  mV.s; baclofen integral=  
315  $1.03 \pm 0.08$  mV.s;  $n=9$ ;  $P < 0.001$ , paired Student's  $t$  test). Together, these data show  
316 that activation of dendritic GABA<sub>B</sub> receptors decrease dendritic excitability by  
317 reducing dendritic calcium electrogenesis in layer 5 pyramidal neurons, presumably  
318 following down-regulation of dendritic T- and/or R-type voltage-gated calcium  
319 channels.

320

### 321 **Impact of somatic and dendritic GABA<sub>B</sub> receptors on neuronal output**

322 We next investigated how activation of GABA<sub>B</sub> receptors at different locations  
323 regulates neuronal output. In these experiments we recorded AP output in response to  
324 somatic current injections during local application of baclofen (50  $\mu$ M) to the distal  
325 apical dendrite or to the soma. Local application of baclofen to distal dendritic  
326 locations (distance from soma  $568 \pm 12$   $\mu$ m;  $n=7$ ) failed to influence somatic resting  
327 membrane potential or input resistance (Fig. 6A;  $n=7$ ,  $P > 0.05$ , paired Student's  $t$   
328 test). These observations are in good agreement with the absence of a significant  
329 effect of bath application of baclofen on distal dendritic membrane properties  
330 ( $> 500$   $\mu$ m from the soma, see Fig. 2). Conversely, local application of baclofen to the  
331 soma lead to hyperpolarization of the somatic resting membrane potential and a

332 decrease in input resistance, similar to that seen during bath application of baclofen  
333 (Fig 6A;  $n=7$ ,  $V_{rest}$ :  $P < 0.01$ ,  $R_{in}$ :  $P < 0.001$ , paired Student's  $t$  test).

334

335 **Figure 6 near here**

336

337 Despite having no detectable impact on somatic resting membrane properties,  
338 activation of dendritic GABA<sub>B</sub> receptors reduced AP output during somatic current  
339 injections (Fig. 6B, G; distance from soma  $568 \pm 12 \mu\text{m}$ ;  $n=7$ ). This was associated  
340 with a reduction in both AP burst firing (Fig. 6B, C;  $n=7$ ;  $P < 0.001$ , paired Student's  
341  $t$  test) and the number of APs elicited for a given current injection (Fig. 6G; current:  
342  $+1000 \text{ pA}$ ;  $n=7$ ;  $P < 0.05$ , paired Student's  $t$  test), but no change in the slope of the  
343 input-output ( $f/I$ ) relationship (Fig. 6D, F) and the rheobase (Fig. 6E) was observed.

344 In contrast, activation of somatic GABA<sub>B</sub> receptors lead to an increase in both  
345 rheobase (Fig. 6E;  $n=7$ ;  $P < 0.001$ , paired Student's  $t$  test) and the slope of the  $f/I$   
346 relationship (Fig. 6F;  $n=7$ ;  $P < 0.01$ , paired Student's  $t$  test), but no significant  
347 change on burst firing (Figure 6B,C). Somatic GABA<sub>B</sub> receptor activation was also  
348 associated with a significant decrease in the amplitude of the medium after-  
349 hyperpolarization (AHP) following AP generation (Fig. 6H;  $n=7$ ;  $P < 0.01$ , paired  
350 Student's  $t$  test). These results indicate that somatic GABA<sub>B</sub> receptor activation leads  
351 primarily to a reduction in AP output through an increase in the rheobase, whereas  
352 dendritic GABA<sub>B</sub> receptor activation reduces burst firing.

353

## 354 **Discussion:**

355

356 In this study we provide evidence that GABA<sub>B</sub> receptors regulate the somatic and  
357 dendritic excitability of layer 5 pyramidal neurons in the barrel cortex via different  
358 mechanisms. At the soma activation of GABA<sub>B</sub> receptors leads to a decrease in AP  
359 firing by hyperpolarizing the somatic resting membrane potential and decreasing the  
360 somatic membrane resistance via activation of putative GIRK channels. In contrast, at  
361 dendritic locations GABA<sub>B</sub> receptors reduce dendritic excitability primarily by down  
362 regulating dendritic calcium channels, leading to an increase in the threshold for  
363 generation of dendritic calcium electrogenesis and a decrease in burst firing. The  
364 consequence on neuronal output is a subtractive form of inhibition following  
365 activation of somatic and proximal dendritic GABA<sub>B</sub> receptors, whereas activation of  
366 distal dendritic GABA<sub>B</sub> receptors leads primarily to a divisive form of inhibition.

367

### 368 **Cellular mechanisms underlying GABA<sub>B</sub>-mediated slow inhibition**

369 Our results show that both bath and local somatic application of baclofen lead to  
370 hyperpolarization and a decrease in resistance at the soma of layer 5 pyramidal  
371 neurons. This effect is similar to that observed previously at the soma of neurons in  
372 other cortical areas and brain regions (Benardo 1994; Deng et al. 2009; Gähwiler and  
373 Brown 1985; Lüscher et al. 1997; Newberry and Nicoll 1985). Furthermore, we show  
374 that bath application of baclofen decreases neuronal excitability, as observed by a  
375 rightward shift of the input-output relationship (Fig. 1E). Similar results have been  
376 reported in neurons from the entorhinal cortex (Deng et al. 2009). These effects of  
377 GABA<sub>B</sub> receptors are likely to be due to activation of a potassium conductance.  
378 Previous studies indicate that GABA<sub>B</sub> receptors can activate G-protein coupled



379 inwardly rectifying potassium or GIRK (Kir3) channels (Chen and Johnston 2005;  
380 Lüscher et al. 1997; Takigawa and Alzheimer 1999). Consistent with this idea,  
381 GABA<sub>B</sub>-mediated slow inhibition is absent from hippocampal pyramidal neurons in  
382 the GIRK2 knockout mouse (Lüscher et al. 1997), although Deng et al. (2009) have  
383 recently described that GABA<sub>B</sub> receptors in entorhinal cortex can regulate neuronal  
384 excitability through activation of a TREK-2 (a two-pore domain) potassium channel.  
385 To investigate the contribution of GIRK channels to the effects observed in our study  
386 we co-applied baclofen with low concentrations of barium (100  $\mu$ M), a non-selective  
387 blocker of GIRK channels (Chen and Johnston 2005; Coetzee et al. 1999; Takigawa  
388 and Alzheimer 1999). Application of baclofen with barium antagonized the effects of  
389 baclofen at the soma (Fig. 1), consistent with the idea that these effects are mediated  
390 by GIRK channels. That said, barium is quite non-specific even at low concentrations,  
391 hence we cannot rule out a role of TREK-2 or other potassium channels in mediating  
392 these effects of baclofen.

393

394 Dendritic GABA<sub>B</sub>-mediated effects on input resistance at proximal dendritic locations  
395 were also barium-sensitive (Fig. 2), consistent with the idea that they are also  
396 mediated by GIRK channels. Activation of dendritic GIRK channels by GABA<sub>B</sub>  
397 receptors has previously been described in both cortical layer 5 and hippocampal  
398 pyramidal neurons (Chen and Johnston 2005; Takigawa and Alzheimer 1999). In our  
399 experiments the impact of baclofen on dendritic input resistance was restricted to  
400 proximal dendritic locations. This observation suggests that the baclofen-sensitive  
401 GIRK channel responses in the earlier work by Takigawa and Alzheimer (1999) are  
402 likely to be from proximal dendritic segments of cortical pyramidal neurons. In  
403 contrast, observations in hippocampal pyramidal neurons suggest that GABA<sub>B</sub>

404 receptors can activate GIRK channels also at distal dendritic locations (Chen and  
405 Johnston, 2005), where they may play a role in synaptic plasticity (Chen and Johnston  
406 2005; Chung et al. 2009). Consistent with this observation, an interplay between  
407 GABA<sub>B</sub>-activated GIRK channels and HCN channels has been observed in  
408 hippocampal pyramidal neurons (Takigawa and Alzheimer 2003), which are known to  
409 express HCN channels at high density in the distal apical dendrite (Magee 1999).

410

411 Despite the capacity of baclofen to activate GIRK channels at proximal dendritic  
412 locations and at the soma, steady-state voltage attenuation was unaffected by bath  
413 application of baclofen irrespective of the direction of voltage spread (Fig. 3). While  
414 one might expect that steady-state voltage attenuation is relatively insensitive to  
415 changes in membrane resistance when voltage spreads away from the site of current  
416 injection, this should not be the case when voltage spreads towards the location of a  
417 decrease in membrane resistance. Yet no change in steady-state voltage attenuation  
418 was observed in our experiments. We predict this was the case as the change in  
419 voltage attenuation during baclofen applications was too small to be detected given  
420 the sensitivity of our experiments (unpublished simulations). Consistent with this  
421 idea, the density of GIRK channels at the soma and at proximal dendrite locations of  
422 CA1 hippocampal pyramidal neurons is low (Chen and Johnston 2005).

423

#### 424 **GABA<sub>B</sub>-mediated modulation of dendritic excitability and calcium channels**

425 Action potentials attenuate and broaden as they propagate along the apical dendrite of  
426 cortical layer 5 pyramidal neurons (Stuart et al. 1997). Broadening of bAPs is in part  
427 due to activation of voltage-gated calcium channels, which also play a key role in  
428 generation of dendritic calcium electrogenesis that can feedback to the soma

429 triggering AP burst firing (Breton and Stuart 2009; Larkum et al. 1999a; Williams and  
430 Stuart 1999). Our data show that bath application of baclofen reduces the half-width  
431 of bAPs and abolishes dendritic calcium electrogenesis evoked by high frequency AP  
432 bursts (Fig. 4 & 5). This effect on dendritic excitability was mediated by GABA<sub>B</sub>  
433 receptors located at distal dendritic sites as it was observed during local dendritic  
434 applications of baclofen (Fig. 5D). These data suggest that dendritic GABA<sub>B</sub>  
435 receptors reduced dendritic excitability primarily through down regulation of  
436 dendritic voltage-gated calcium channels. Consistent with this idea, the impact of  
437 baclofen on bAP half-width and calcium electrogenesis during high-frequency AP  
438 firing was blocked in the presence of low concentrations of nickel (100  $\mu$ M), which  
439 blocks T- and R-type voltage-gated calcium channels (Fig. 4F, 5C).

440

441 Previous work indicates that activation of GABAergic input to somatosensory cortex  
442 can selectively block the initiation of dendritic calcium spikes (Larkum et al. 1999b).  
443 This effect involves, at least in part, the activation of dendritic GABA<sub>B</sub> receptors,  
444 through down regulation of dendritic calcium channels in layer 5 pyramidal neurons  
445 (Perez-Garci et al. 2006). Consistent with this earlier study, we also find that the  
446 impact of GABA<sub>B</sub> receptors on dendritic excitability in cortical pyramidal neurons is  
447 primarily via this mechanism. In addition, we find that the functional impact of  
448 GABA<sub>B</sub>-mediated inhibition of dendritic calcium channels and associated dendritic  
449 calcium electrogenesis is a reduction in burst firing at the soma (Fig. 1A, 6C). Given  
450 that AP burst firing is required for the induction of spike-timing-dependent plasticity  
451 (STDP) in layer 5 pyramidal neurons (Kampa et al. 2006; Letzkus et al. 2006),  
452 GABA<sub>B</sub>-receptor activation is likely to have a significant impact on STDP and other  
453 forms of NMDA receptor-dependent synaptic plasticity where the magnitude of the

454 dendritic depolarization associated with bAPs is key to removal of the voltage-  
455 dependent magnesium block of NMDA receptors (Nowak et al. 1984). This action of  
456 GABA<sub>B</sub> receptors on synaptic plasticity will be further enhanced by the impact of  
457 GABA<sub>B</sub>-receptor activation on bAP duration (Fig. 4E, F).

458

#### 459 **Impact of dendritic and somatic GABA<sub>B</sub> receptors on neuronal output**

460 With respect to the impact of GABA<sub>B</sub> receptors on neuronal output we show that  
461 distal dendritic baclofen applications decrease bursting firing, decreasing the number  
462 of APs elicited for suprathreshold current injections, in the absence of an impact on  
463 somatic membrane properties. This observation is consistent with the recent  
464 observations of Palmer *et al.* (2012), who also showed that GABA<sub>B</sub> receptor-mediated  
465 down-regulation of dendritic calcium channels leads to a reduction in AP firing in the  
466 absence of a significant change in somatic properties.

467

468 In contrast, somatic baclofen applications increase AP rheobase, shifting the f/I  
469 relationship to the right without influencing AP bursting firing. This leads to a  
470 subtractive or shunting form of inhibition (Silver 2010). Interesting, activation of  
471 somatic GABA<sub>B</sub> receptors also leads to an increase the slope of the f/I relationship.  
472 This effect may be due to the impact of GABA<sub>B</sub> receptor activation on the medium  
473 AHP (Fig 6H). Consistent with this idea, previous work indicates a role of the AHP in  
474 regulation of output gain (Higgs et al. 2006).

475

476 In summary, we show that GABA<sub>B</sub> receptors in cortical layer 5 pyramidal neurons act  
477 to decrease somatic and dendritic excitability via different mechanisms. Somatic  
478 GABA<sub>B</sub> receptors are coupled to barium-sensitive, putative GIRK potassium

479 channels, whereas dendritic GABA<sub>B</sub> receptors act primarily by down regulating  
480 dendritic calcium electrogenesis. As a result, activation of somatic GABA<sub>B</sub> receptors  
481 leads to a shift to the right of the f/I relationship and an increase in neuronal output  
482 gain, whereas activation of dendritic GABA<sub>B</sub> receptors causes a switch from burst to  
483 tonic firing, and a reduction in neuronal output. This location-dependent specificity of  
484 GABA<sub>B</sub> receptor activation on neuronal excitability would be expected to further  
485 enhance the diversity with which different GABAergic interneuronal cell types  
486 orchestrate network activity in the cortex.  
487

488

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491

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494

495 **Author contributions:**

496 JDB and GJS conceived and designed the project. JDB performed experiments and

497 analysed the data. Both authors discussed the results and wrote the manuscript. Both

498 authors approved the final version of the manuscript.

499

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624 **Figure captions**

625

626 **Figure 1: GABA<sub>B</sub>-mediated inhibition decreases somatic excitability.**

627 **A**, Responses of an intrinsically bursting layer 5 pyramidal neuron to subthreshold  
628 (bottom) and suprathreshold (top, lower traces shown responses on an expanded time  
629 scale) somatic current injection (top, middle traces; bottom, lower traces) in control  
630 (left), during bath application of baclofen (20  $\mu$ M, middle), and following application  
631 of baclofen (20  $\mu$ M) plus barium (100  $\mu$ M, right). **B**, Impact of baclofen on the  
632 subthreshold I/V relationship (n= 42). Baclofen reduces the slope of the I/V curve  
633 compare to control, which is antagonized by barium. **C**, The GABA<sub>B</sub>-mediated  
634 change in the slope of the I/V curve is occluded by a prior application of the GABA<sub>B</sub>  
635 antagonist CGP52432 (1 $\mu$ M). **D**, Effect of baclofen or baclofen plus barium on  
636 somatic input resistance (left) and resting membrane potential (right). **E**, Impact of  
637 baclofen on the suprathreshold input-output relationship (f/I curve). Baclofen causes  
638 a rightward shift in the f/I curve (n= 42), which is antagonized by barium. \*\*:   
639  $P < 0.01$ ; \*\*\*:  $P < 0.001$ .

640

641 **Figure 2: The impact of GABA<sub>B</sub> receptors on resting membrane properties is**  
642 **restricted to somatic and proximal dendritic locations.**

643 **A**, Impact of local somatic (left) or dendritic (right) application of baclofen (50  $\mu$ M,  
644 grey bar indicates application duration) on the somatic and dendritic membrane  
645 potential during dual somatic and dendritic recording (dendritic recording 410  $\mu$ m  
646 from the soma). **B**, Average membrane potential hyperpolarization at the site of  
647 baclofen application. Dendritic recordings  $435 \pm 23$   $\mu$ m from the soma (n= 12). **C**,  
648 Dendritic voltage responses to dendritic current injection (bottom) in control and

649 following bath application of baclofen (20  $\mu$ M). Bath application of baclofen (20  $\mu$ M)  
650 decreased the amplitude of responses (**C**) and the slope of the I/V curve (**D**). These  
651 effects were antagonized by barium (100  $\mu$ M). **E**, Distance-dependence of the  
652 decrease in dendritic input resistance during bath application of baclofen. Black and  
653 grey lines represent the linear fits to the data. **F**, Impact of bath application of  
654 baclofen (20  $\mu$ M) on the dendritic input resistance at different dendritic locations.  
655 Baclofen significantly reduces the input resistance only at distances < 500  $\mu$ m from  
656 the soma. \*\*:  $P < 0.01$ ; \*\*\*:  $P < 0.001$ ; n.s.: non significant.

657

658 **Figure 3: GABA<sub>B</sub> receptor activation fails to influence steady-state attenuation.**

659 **A**, Somatic and dendritic (570  $\mu$ m from the soma) responses recorded simultaneously  
660 during somatic current injection (-450 pA, 900 ms) in control (black) and bath  
661 application of baclofen (20  $\mu$ M, grey). **B**, **C**, Steady-state attenuation measured at  
662 different locations along the apical dendrite during somatic (**B**) and dendritic (**C**)  
663 current injection. Steady-state attenuation calculated as the ratio of the steady-state  
664 voltage response recorded at the "receiving" pipette divided by the response recorded  
665 at the site of current injection. **D**, Average steady-state attenuation in baclofen divided  
666 by that in control at different dendritic locations. No statistically significant impact of  
667 baclofen on steady-state voltage attenuation was observed irrespective of the site of  
668 dendritic recording or direction of steady-state voltage propagation.

669

670 **Figure 4: Impact of GABA<sub>B</sub> receptors on backpropagating APs.**

671 **A**, Example of somatic and backpropagating dendritic APs (bAPs; recorded 620  $\mu$ m  
672 from the soma) in control (black) and following bath application of baclofen (grey;  
673 20  $\mu$ M). Note the slight reduction in bAP width in baclofen. **B-E**, Graphs of the

674 distance dependence of bAP amplitude (**B**), velocity (**C**), rate-of-rise (**D**) and half-  
675 width (**E**) in control (black) and during bath application of baclofen (grey, 20  $\mu$ M). **F**,  
676 Left: The duration of bAPs is significantly decreased during bath application of  
677 baclofen (20  $\mu$ M) at distal dendritic recording sites (dendritic recordings  $520 \pm 18 \mu$ m  
678 from the soma, n= 31). Right: In the presence of nickel (100  $\mu$ M) baclofen no longer  
679 has an impact on bAP duration (dendritic recording  $522 \pm 30 \mu$ m from the soma,  
680 n= 8). **G**, The impact of baclofen (20  $\mu$ M) on bAP width is blocked by the GABA<sub>B</sub>  
681 antagonist CGP52432 (1  $\mu$ M). \*:  $P < 0.05$ ; \*\*\*:  $P < 0.001$ ; n.s.: non significant.

682

683 **Figure 5: GABA<sub>B</sub> receptors decrease dendritic calcium electrogenesis.**

684 **A**, Dendritic responses (620  $\mu$ m from the soma) to trains of five APs evoked by  
685 somatic current injection (bottom) at the indicated frequencies in control (top) and  
686 following bath application of baclofen (20  $\mu$ M, middle). **B**, Graph of dendritic voltage  
687 integral versus AP frequency for the data illustrated in **A**. Note the non-linear increase  
688 in dendritic integral in control (black), indicative of dendritic calcium electrogenesis,  
689 is abolished by baclofen (grey). **C**, The average dendritic voltage integral obtained at  
690 supra-critical frequencies (200 Hz) is significantly decreased in baclofen (left). This  
691 effect is occluded by a prior bath application of nickel (right; 100  $\mu$ M). **D**, Dendritic  
692 responses (420  $\mu$ m from the soma) during trains of somatic APs (5 spikes at 200Hz)  
693 at the times indicated in the graph (bottom) during local application of baclofen to the  
694 dendritic recording site (grey bar). \*\*:  $P < 0.01$ ; \*\*\*:  $P < 0.001$ ; n.s.: non significant.

695

696 **Figure 6: Impact of somatic and dendritic GABA<sub>B</sub> receptors on somatic**  
697 **membrane properties and neuronal output.**

698 **A**, Impact of somatic and dendritic baclofen application (50  $\mu\text{M}$ ) on somatic input  
699 resistance (left) and resting membrane potential (right). Note that dendritic application  
700 of baclofen does not affect somatic resting membrane properties (distance from soma  
701  $568 \pm 12 \mu\text{m}$ ;  $n= 7$ ). **B**, Examples of APs evoked by somatic current injection in a  
702 bursting (left) and a regular firing neuron (right). Dendritic application of baclofen  
703 (top traces) abolished AP burst firing in the bursting neuron (distance from soma  
704  $560 \mu\text{m}$ ) and reduced AP output in the regular firing neuron (distance from soma  
705  $600 \mu\text{m}$ ). Somatic application of baclofen (bottom traces) reduced AP output in both  
706 bursting and regular firing neurons, but did not block burst firing. The asterisks above  
707 the traces indicate APs bursts. **C**, Left, Examples of the impact of dendritic (top;  
708 distance from soma  $540$ ) and somatic (bottom) baclofen application on bursting firing  
709 neuron. Right, Average number of APs per burst in different experimental conditions  
710 (dendritic baclofen application:  $568 \pm 12 \mu\text{m}$  from the soma;  $n= 7$ ). **D**, Input-output  
711 ( $f/I$ ) curves from a typical neuron during somatic (left) and dendritic (right; distance  
712 from soma  $630 \mu\text{m}$ ) application of baclofen. **E-G**, Graphs of the average rheobase,  
713 slope of the  $f/I$  relationship and number of APs generated during a 1 nA current  
714 injection in different experimental conditions (dendritic baclofen application:  
715  $568 \pm 12 \mu\text{m}$  from the soma;  $n= 7$ ). **H**, Impact of somatic application of baclofen on  
716 the medium AHP following an AP burst. \*:  $P < 0.05$ ; \*\*:  $P < 0.01$ ; \*\*\*:  $P < 0.001$ ;  
717 n.s.: non significant.  
718

719 Table:

720

721 **Table 1: Effects of GABA<sub>B</sub> receptor activation on somatic AP properties.**

	Threshold (mV)	Amplitude (mV)	dV/dt (V.s <sup>-1</sup> )	Half-width (ms)	Rheobase (pA)	n
Control	-59.4 ± 0.4	96.3 ± 0.5	634 ± 9	0.56 ± 0.01	275 ± 13	73
Baclofen	-59.5 ± 0.4	95.5 ± 0.5	619 ± 8	0.53 ± 0.01	522 ± 20	73
Probability	n.s.	**	*	**	***	

722 Data are shown as mean ± S.E.M. n.s.: non significant, \*:  $P < 0.05$ ; \*\*:  $P < 0.01$ ; \*\*\*:723  $P < 0.001$ ; paired Student's  $t$  test.

724

725













