Open Access in the Humanities and Social Sciences: Australian Developments
Dr. Danny Kingsley
National Scholarly Communication Forum
3 May 2013

Recent Australian OA activities
• New ARC & NHMRC mandates
  – What is required
  – Compliance challenges – copyright & technology
  – Compliance verification
• UA position – A Smarter Australia (10.35am)
• Australian Open Access Support Group
• Not discussing data - Australian National Data Service or Open Government (11.30am)

ARC & NHMRC - OA policies
• ARC (introduced 1 January 2013)
  – All outputs (including books)
  – 2013 grants onward (we will not see OA output for several years)
• NHMRC (introduced 1 July 2012)
  – Journal articles only
  – Any publication after 1 July 2012 regardless of the grant

Requirements of ARC & NHMRC policies

Compliance challenges - Copyright
• Publisher limitations:
  – Wiley don’t allow the deposit of the Accepted Version at all
  – Elsevier don’t allow the deposit of the Accepted Version if author is mandated
  – Only exception is a ‘separate agreement’ with funding bodies - ARC won’t negotiate
• Monographs:
  – Different funding model to journal publication
  – Different contribution by the publisher
  – Open access discussion with traditional monograph publishers still at early stages
  – Many interesting developments in the OA space here

Compliance challenges - What CTAs allow
• In 2012, two committees of Council of Australian University Librarians (CAUL) – CAIRSS & COSIAC – analysed a list of NHMRC-funded publications in one year.
  – The list was top heavy:
    • 50% of the publications were in only 104 journals
    • Remaining 50% are in over 9000 journals
  – HASS is likely to be more diverse.
• Looking at that top 50%:
  – Without Elsevier and Wiley, we will be able to make only 55% of work available within 12 months
• Problems:
  – THAT is assuming we know these publications exist AND we have an Accepted Version of it to put in the repository.
But Positive

Compliance Challenges

Conservative:

- Reduce repositories
- Publishing database
- Reporting database

Challenges with different administrative arrangements
Determining which items result from a grant

UA – A Smarter Australia

- Positive about OA and recognises digital repositories used for collection of all data will reduce administrative burden.
- But target and timeframe are very conservative:
  - ...universities will include metadata on research publications in their institutional repositories and will expand the proportion of full text publications available to 50 per cent by 2030. (p45)
  
  http://www.universitiesaustralia.edu.au/resources/792/1549

Australian Open Access Support Group

- Governance:
  - Steering committee: representatives from six institutions with open access mandates: ANU, Charles Sturt University, Macquarie University, Newcastle University, QUT and Victoria University
  - Funding supports 0.6FTE Executive Officer – Dr Danny Kingsley
  - In set-up phase, operating on an initial 12 month funding model
  - Membership to be expanded in 2013

- Achievements (1st 4 months):
  - Developed website with many OA resources – aoasg.org.au
  - Discussion list for practitioners in the OA space
  - Several media appearances & articles
  - Individual assistance and advice at uni & govt level re OA

Questions & information

Australian Open Access Support Group

w: http://aoasg.org.au
t: @openaccess_oz

Executive Officer – Dr Danny Kingsley

p: 02 6125 6839
e: eo@aoasg.org.au

Initiatives in OA in HASS sector

Publishing

  - OA journal publisher Ubiquity Press and hosting researchers at University of London (UCL)
- Sage Open
  - SAGE Open is a peer reviewed, "Gold" open access journal from SAGE that publishes original research and review articles in an interactive, open access format: http://sage-open.com
- Public Library of Humanities and Social Sciences (PLOHSS)
  - Framed Scholar designed OA repository for humanities: http://www.plhss.org

Dissemination

- IUPUI Arts and Humanities Institute
  - Mission includes showcasing and recognizing the impact intellectual and scholarly contributions that IUPUI faculty, members from across disciplines are making in the arts and humanities
- Humanities and Social Science Directors Forum

Conferences

- Open Access Monographs in the Humanities and Social Sciences conference
Issues with question about OA content

- Internal analysis of all Australian repositories - June 2012
  - Question:
    - What is the total number of OA items in your repository?
    - Theses: 30,540
    - Research items: 124,015
    - Archive items (e.g. images): 51,381
  - TOTAL: 205,936 items

- Note:
  - Three unis did not respond, many reported challenges identifying the OA items in their repositories.
  - This does NOT answer the question:
    What % of total output for given year is OA in your repository?

ARC & NHMRC policy decision tree