Open Access in the Humanities and Social Sciences: Australian Developments

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National Scholarly Communication Forum
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Recent Australian OA activities

• New ARC & NHMRC mandates
  – What is required
  – Compliance challenges – copyright & technology
  – Compliance verification
• UA position – A Smarter Australia (10.35am)
• Australian Open Access Support Group
• Not discussing data - Australian National Data Service or Open Government (11.30am)
ARC & NHMRC - OA policies

• ARC (introduced 1 January 2013)
  – All outputs (including books)
  – 2013 grants onward (we will not see OA output for several years)

• NHMRC (introduced 1 July 2012)
  – Journal articles only
  – Any publication after 1 July 2012 regardless of the grant
Requirements of ARC & NHMRC policies

What ARC & NHMRC policies mandate, prefer and permit

**STEP 1**

**Accepted:** article/chapter/book

- **Provide details:** publication metadata etc.

**STEP 2**

**Published:** article/chapter/book

- **Provide copy:**
  - author's version
  - preferred
- **Provide URL:**
  - link to OA article in journal
  - permitted
- **Provide URL:**
  - link to OA item in discipline repository
  - permitted

**Published materials**
Materials suitable for Open Access include articles, chapters, notes and boxes.

**Policy links**
- NHMRC research policy on the dissemination of research findings — www.nhmrc.gov.au/grants/policy/dissemination-research-findings

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Australian Open Access Support Group
Supporting open access to Australian research
Compliance challenges - Copyright

• Publisher limitations:
  – Wiley don’t allow the deposit of the Accepted Version at all
  – Elsevier don’t allow the deposit of the Accepted Version if author is mandated
  – Only exception is a ‘separate agreement’ with funding bodies - ARC won’t negotiate

• Monographs:
  – Different funding model to journal publication
  – Different contribution by the publisher
  – Open access discussion with traditional monograph publishers still at early stages
  – Many interesting developments in the OA space here
Compliance challenges - What CTAs allow

• In 2012, two committees of Council of Australian University Librarians (CAUL) – CAIRSS & COSIAC – analysed a list of NHMRC funded publications in one year.
  – The list was top heavy:
    • 50% of the publications were in only 104 journals
    • Remaining 50% are in over 9000 journals
  – HASS is likely to be more diverse.
• Looking at that top 50%:
  – Without Elsevier and Wiley we will be able to make only 55% of work available within 12 months
• Problems:
  – THAT is assuming we know these publications exist AND we have an Accepted Version of it to put in the repository.
Compliance challenge - Technology

- Challenges with different administrative arrangements
- Determining which items result from a grant
Compliance verification options

• Harvesting a report from repository
  – All Aust repositories are introducing a standard field for mandate-affected items:
    • “dc.relation”
    • with format “NHMRC/157125”

• Responding to the question:
  – What % of total output for given year is OA in your repository?
UA – A Smarter Australia

• Positive about OA and recognises digital repositories used for collection of all data will reduce administrative burden.

• But target and timeframe are very conservative:
  – ...universities will include metadata on research publications in their institutional repositories and will expand the proportion of full text publications available to 50 per cent by 2030. (p45)
Australian Open Access Support Group

• Governance:
  – Steering committee: representatives from six institutions with open access mandates - ANU, Charles Sturt University, Macquarie University, Newcastle University, QUT and Victoria University
  – Funding supports 0.6FTE Executive Officer – Dr Danny Kingsley
  – In set-up phase, operating on an initial 12 month funding model
  – Membership to be expanded in 2013

• Achievements (1st 4 months):
  – Developed website with many OA resources – aoasg.org.au
  – Discussion list for practitioners in the OA space
  – Several media appearances & articles
  – Individual assistance and advice at uni & govt level re OA
Questions & information

Australian Open Access Support Group

w: [http://aoasg.org.au](http://aoasg.org.au)

t: [@openaccess_oz](https://twitter.com/openaccess_oz)

Executive Officer – Dr Danny Kingsley

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Initiatives in OA in HASS sector

Publishing
• Ubiquity Press - http://www.ubiquitypress.com
  – OA journal publisher Ubiquity Press was founded by researchers at University College London (UCL)
• Sage Open
  – SAGE Open is a peer-reviewed, "Gold" open access journal from SAGE that publishes original research and review articles in an interactive, open access format. http://sgo.sagepub.com
• Public Library of Humanities and Social Sciences (PLOHSS)
  – Planned scholar designed OA mega-venue for HaSS. http://www.plohss.org

Dissemination
• IUPUI Arts and Humanities Institute
  – mission includes showcasing and promoting the major intellectual and scholarly contributions that IUPUI faculty members from across disciplines are making in the arts and humanities
• Humanities and Social Science Directories

Conferences
• Open Access Monographs in the Humanities and Social Sciences conference
Issues with question about OA content

• Internal analysis of all Australian repositories - June 2012
  – Question:
  – *What is the total number of OA items in your repository?*
    • Theses: 30,540
    • Research items: 124,015
    • Archive items (e.g. images): 51,381
  – **TOTAL: 205,936 items**

• Note:
  – Three unis did not respond, many reported challenges identifying the OA items in their repositories.
  – This does NOT answer the question: *What % of total output for given year is OA in your repository?*
ARC & NHMRC policy decision tree

article/chapter/book accepted for publication

- Funded research?
  - YES
    - Deposit metadata in institutional repository
  - NO
    - Repository holds record without funding OA

article/chapter/book published

- Published in OA journal?
  - YES
    - Repository makes work available, respecting embargo
  - NO
    - Publisher allows OA in repository?
      - YES
        - Repository makes work available, respecting embargo
      - NO
        - Repository holds record without funding OA

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Supporting open access to Australian research