Cascaded third-harmonic generation in hybrid graphene-semiconductor waveguides

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We study cascaded harmonic generation of hybrid surface plasmons in integrated planar waveguides composed of a graphene layer and a doped-semiconductor slab. We derive a comprehensive model of cascaded third-harmonic generation through phase-matched nonlinear interaction of fundamental, second-harmonic, and third-harmonic plasmonic modes supported by the structure. We show that hybrid graphene-semiconductor waveguides can simultaneously phase-match these three interacting harmonics, increasing the total third-harmonic output by a factor of 5 compared to the noncascaded regime.

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I. INTRODUCTION

Parametric wavelength conversion in general and harmonic generation in particular are among the most intensively studied phenomena in optics and photonics [1]. It was demonstrated that important advantages can be obtained by cascading several parametric processes [2,3]. For instance, since a wide range of materials provide stronger quadratic nonlinear response compared to cubic nonlinear response, the efficiency of the third-harmonic generation (THG) can be strongly enhanced by utilizing a cascaded process consisting of second-harmonic generation (SHG) and sum-frequency generation (SFG), which mixes fundamental wave (FW) and second harmonic (SH) to generate the third harmonic (TH). The conversion efficiency in this regime is the highest when the phase-matching (PM) conditions for both SHG and SFG are satisfied.

Doped graphene is an atomically thin tunable plasmonic material [4,5], which can be incorporated into various components in nanoscale and microscale optics. In particular, graphene demonstrates strong optical nonlinearity, especially when utilized near the plasmon resonance [6–8]. It is therefore of significant interest to establish a theoretical framework and explore the viability of cascaded nonlinear optical processes in graphene-enhanced wavelength conversion devices.

In this work we develop a theoretical model for cascaded third-harmonic generation in graphene-based plasmonic waveguides. Engineering modal dispersion allows us to simultaneously satisfy SHG and SFG phase matching for efficient cascaded THG, and offers a 5 times increase of TH output compared to direct noncascaded THG. We consider a planar waveguiding system consisting of a graphene sheet placed over a doped semiconductor sandwiched between two dielectric layers (Fig. 1). The dielectric permittivity of a doped semiconductor is described by the Drude model with the plasma frequency comparable to the Fermi energy in graphene. This geometry allows the coexistence of three plasmonic modes [9], which as we show below can be phase-matched, strongly increasing effective nonlinear optical interaction. In our model we assume that the nonlinear interaction of propagating plasmons is achieved due to the nonlinear response in graphene. In this work we focus only on nonlinear processes in the waveguide; the linear in-coupling and out-coupling of light to and from such hybrid-graphene waveguides were studied elsewhere [10].

This paper is structured as follows. In Sec. II, we derive the expressions for the nonlinear conductivities at FW, SH, and TH in graphene. In Sec. III, we obtain the SHG and THG coupled-mode equations and the corresponding nonlinear optical coefficients, including direct and cascaded contributions to the TH. In Sec. IV, we study the phase matching and present the results of a numerical simulation, showing that hybrid graphene-semiconductor waveguides can simultaneously phase-match all three interacting harmonics, increasing the total third-harmonic output by a factor of 5 compared to the noncascaded regime.

II. NONLINEAR CONDUCTIVITIES OF GRAPHENE

First, to characterize the nonlinear response of a graphene layer, we methodologically derive the expressions for nonlinear conductivities taking into account an in-plane plasmon momentum, which results in a nonzero quadratic conductivity and enables SHG. To describe nonlinear properties of doped graphene, we employ the quasiclassical description based on the solution of the Boltzmann equation [11–14]. It is applicable at low frequencies, $\hbar\omega \leq \mathcal{E}_F$, where $\mathcal{E}_F$ is the Fermi energy. In the collisionless limit, the electron distribution function $f(\mathbf{r}, \mathbf{p}, t)$ satisfies the kinetic equation written as

$$\frac{\partial f}{\partial t} + \mathbf{v}_p \frac{\partial f}{\partial \mathbf{r}} + e \left(\mathbf{E} + \frac{1}{c} [\mathbf{v}_p \times \mathbf{B}] \right) \frac{\partial f}{\partial \mathbf{p}} = 0, \quad (1)$$

where for Dirac's massless quasiparticles $\mathbf{v}_p = \partial \mathcal{E} / \partial \mathbf{p} = v_F \mathbf{p} / |\mathbf{p}|$, the energy $\mathcal{E} = v_F p$, $v_F \approx c/300$ is the Fermi velocity, and $e = -|e|$ is the charge. Following the perturbation approach [7,14], we solve this equation using iterations.

Here we consider the case of p plasmons or TM-polarized incident waves with the tangential electric field component of the form $\mathbf{E}_\omega = E \mathbf{z}_0 e^{iqz}$ (and $qv_F/\omega \ll 1$). We assume that a graphene monolayer is placed in the plane yz , and the in-plane electric field is directed along the z axis. We note that there is no component of magnetic field perpendicular to the graphene surface. Because of the graphene nonlinearity, the field $\mathbf{E}_\omega$ excites higher harmonics. We look for the distribution function in the form

$$f = f_0(\mathcal{E}) + f_0^{(2)} + (f_\omega^{(1)} + f_\omega^{(3)}) e^{-i(\omega t - qz)} + \text{c.c.} \\ + f_{2\omega}^{(2)} e^{-2i(\omega t - qz)} + \text{c.c.} + f_{3\omega}^{(3)} e^{-3i(\omega t - qz)} + \text{c.c.} + \dots, \quad (2)$$

FIG. 1. (Color online) Geometry of a planar hybrid graphene-semiconductor waveguide. A waveguide consists of a monolayer graphene sheet placed at the height d_2 above the doped semiconductor with permittivity ε_p and thickness d_1 sandwiched between two dielectric layers with permittivities ε_1 and ε_2 . The third dielectric layer with permittivity ε_3 is placed on top of a graphene layer. The optical pump at the frequency ω (red arrow) is generating the second harmonic at the frequency 2ω (green arrow) and the third harmonic at the frequency 3ω (blue arrow).

where $f_0(\mathcal{E})$ is the Fermi-Dirac distribution (steplike at low temperatures, $k_B T \ll \mathcal{E}_F$), $f_\omega^{(1)}$, $f_{2\omega}^{(2)}$, $f_{3\omega}^{(3)}$ are the first, the second, and third order oscillating corrections (the superscripts are omitted below), respectively, whereas the tangential electric field is as follows:

$$\mathbf{E}_\tau = \mathbf{E}_\omega e^{-i(\omega t - qz)} + \text{c.c.} + \mathbf{E}_{2\omega} e^{-2i(\omega t - qz)} + \text{c.c.} + \mathbf{E}_{3\omega} e^{-3i(\omega t - qz)} + \text{c.c.} + \dots \quad (3)$$

where τ_{intra}^{-1} is the relaxation rate.

The second-order correction, expressed as

$$f_{2\omega} = \frac{eE \frac{\partial f_\omega}{\partial p_z}}{2i(\omega - v_{p_z} q)} \approx \frac{1}{2i\omega} \left(1 + \frac{v_{p_z} q}{\omega}\right) eE \frac{\partial}{\partial p_z} \left[\frac{1}{i\omega} \left(1 + \frac{v_{p_z} q}{\omega}\right) eE \frac{p_z}{p} \frac{\partial f_0}{\partial p} \right] \approx$$

$$- \frac{1}{2\omega^2} e^2 E^2 \frac{\partial}{\partial p_z} \left(\frac{p_z}{p} \frac{\partial f_0}{\partial p} \right) - \frac{1}{2\omega^2} e^2 E^2 \left[\frac{v_{p_z} q}{\omega} \frac{\partial}{\partial p_z} \left(\frac{p_z}{p} \frac{\partial f_0}{\partial p} \right) + \frac{\partial}{\partial p_z} \left(\frac{v_{p_z} q}{\omega} \frac{p_z}{p} \frac{\partial f_0}{\partial p} \right) \right], \quad (8)$$

gives rise to the electric current density at 2ω , $\mathbf{j}_{2\omega} = 4e \sum_{\mathbf{p}} \mathbf{v}_{\mathbf{p}} f_{2\omega}(\mathbf{p})$. Integrating the first term in Eq. (8) returns zero, while integrating the second term leads to the following formula:

$$j_{2\omega_z} = -4e \left(\frac{e^2 E^2}{2\omega^2} \right) \frac{q}{\omega} \int_0^\infty \frac{p dp}{(2\pi \hbar)^2} \int_0^{2\pi} d\Theta v_F \cos \Theta \left[v_F \cos \Theta \left(\cos \Theta \frac{\partial}{\partial p} - \frac{\sin \Theta}{p} \frac{\partial}{\partial \Theta} \right) \left(\cos \Theta \frac{\partial f_0}{\partial p} \right) \right.$$

$$\left. + \left(\cos \Theta \frac{\partial}{\partial p} - \frac{\sin \Theta}{p} \frac{\partial}{\partial \Theta} \right) \left(v_F \cos^2 \Theta \frac{\partial f_0}{\partial p} \right) \right], \quad (9)$$

which can be reduced to

$$j_{2\omega_z} = -\frac{3}{8} \frac{e^3 v_F^2}{\pi \hbar^2 \omega^3} q E^2. \quad (10)$$

Thereby, we obtain the nonlinear source

$$\mathbf{j}_{2\omega} = -\mathbf{z}_0 \frac{3}{8} \frac{e^3 v_F^2}{\pi \hbar^2 \omega^3} q E^2 \exp(-i2\omega t + i2qz), \quad (11)$$

The electric current density at the frequency ω is given by the first-order correction to the Fermi-Dirac distribution function,

$$\mathbf{j}_\omega = 4e \sum_{\mathbf{p}} \mathbf{v}_{\mathbf{p}} f_\omega(\mathbf{p}) = 4e \iint \frac{dp_y dp_z}{(2\pi \hbar)^2} v_{p_z} f_\omega \mathbf{z}_0,$$

where

$$f_\omega = \frac{e \left(\frac{\partial f_0}{\partial \mathbf{p}} \mathbf{E}_\omega \right)}{i(\omega - v_{p_z} q)} \approx \frac{1}{i\omega} \left(1 + \frac{v_{p_z} q}{\omega} \right) eE \frac{p_z}{p} \frac{\partial f_0}{\partial p}. \quad (4)$$

Therefore, the first-order current amplitude can be written as follows:

$$j_{\omega_z} = \iint 4e v_{p_z} \left(\frac{eE}{i\omega} \frac{p_z}{p} \frac{\partial f_0}{\partial p} \right) \frac{dp_y dp_z}{(2\pi \hbar)^2}$$

$$= \int_0^\infty \frac{p dp}{(2\pi \hbar)^2} \int_0^{2\pi} d\Theta \left(\frac{4e^2 E}{i\omega} \frac{\partial f_0}{\partial p} \right) v_F \cos^2 \Theta = \frac{ie^2}{\pi \hbar^2} \frac{\mathcal{E}_F}{\omega} E, \quad (5)$$

where the double integral in Cartesian coordinates $\{p_z, p_y\}$ is converted to an iterated integral in polar coordinates $\{p, \Theta\}$. This is a known result [11]. In the local semiclassical limit, the linear conductivity of graphene can be reduced to the Drude model, which only takes into account intraband processes [11],

$$\sigma_{\text{intra}}(\omega) = \frac{ie^2}{\pi \hbar^2} \frac{\mathcal{E}_F}{\omega}. \quad (6)$$

It can also be corrected to include relaxation:

$$\sigma(\omega) = \frac{ie^2}{\pi \hbar^2} \frac{\mathcal{E}_F}{(\omega + i\tau_{\text{intra}}^{-1})}, \quad (7)$$

which is in agreement with the earlier results [7, 15]. Thus, considering frequency conversion with plasmons, the quadratic conductivity of graphene $\sigma_2(\omega)$ can be defined as follows:

$$\sigma_2(\omega) = -\frac{3}{8} \frac{e^3 v_F^2}{\pi \hbar^2 \omega^3} q, \quad (12)$$

where $q = k_{\text{sp}}(\omega)$ is the plasmon wave number supported by a waveguide at ω .

Next, the third-order correction is derived as

$$f_{3\omega} = \frac{1}{3i(\omega - v_{p_z}q)} \left(eE_\omega \frac{\partial f_{2\omega}}{\partial p_z} + eE_{2\omega} \frac{\partial f_\omega}{\partial p_z} \right) \equiv f_{3\omega}^{(I)} + f_{3\omega}^{(II)}. \quad (13)$$

To obtain the contribution to the third-harmonic current $\mathbf{j}_{3\omega} = 4e \sum_{\mathbf{p}} \mathbf{v}_{\mathbf{p}} f_{3\omega}(\mathbf{p})$ from the first term,

$$f_{3\omega}^{(I)} = \frac{1}{3i(\omega - v_{p_z}q)} eE_\omega \frac{\partial f_{2\omega}}{\partial p_z}, \quad (14)$$

we expand this term as follows:

$$f_{3\omega}^{(I)} = \frac{1}{3i\omega} \left[1 + \frac{v_{p_z}q}{\omega} + \left(\frac{v_{p_z}q}{\omega} \right)^2 \right] \times eE_\omega \frac{\partial}{\partial p_z} \left(\frac{1}{2i\omega} \left[1 + \frac{v_{p_z}q}{\omega} + \left(\frac{v_{p_z}q}{\omega} \right)^2 \right] eE_\omega \frac{\partial}{\partial p_z} \times \left\{ \frac{1}{2i\omega} \left[1 + \frac{v_{p_z}q}{\omega} + \left(\frac{v_{p_z}q}{\omega} \right)^2 \right] eE_\omega \frac{p_z}{p} \frac{\partial f_0}{\partial p} \right\} \right). \quad (15)$$

Here integrating the expressions proportional to q^1 returns zero. After integrating the terms proportional to q^0 and q^2 , the current amplitude can be written as

$$j_{3\omega_z}^{(I)} = \sigma_3(\omega) E_\omega^3 = [\sigma_3(\omega, q=0) + \Delta\sigma_3(\omega, q)] E_\omega^3, \quad (16)$$

where the third-order conductivity, in the homogeneous case [11–13,16] given by

$$\sigma_3(\omega, q=0) = i \frac{1}{8} \frac{e^2}{\pi \hbar^2} \left(\frac{ev_F}{\mathcal{E}_F \omega} \right)^2 \frac{\mathcal{E}_F}{\omega} = i \frac{1}{8} \frac{e^4}{\pi \hbar^2} \frac{v_F^2}{\mathcal{E}_F \omega^3}, \quad (17)$$

is corrected by an additional term,

$$\Delta\sigma_3(\omega, q) = i \frac{5}{16} \frac{e^4}{\pi \hbar^2} \frac{v_F^2}{\mathcal{E}_F \omega^3} \left(\frac{qv_F}{\omega} \right)^2 = \frac{5}{2} \sigma_3(\omega, q=0) \left(\frac{qv_F}{\omega} \right)^2. \quad (18)$$

Further, by analogy with the second-harmonic contribution $f_{2\omega}$, we derive the third-harmonic current corresponding to the second term in Eq. (13),

$$f_{3\omega}^{(II)} = \frac{1}{3i(\omega - v_{p_z}q)} eE_{2\omega} \frac{\partial f_\omega}{\partial p_z} = \frac{1}{3i\omega} \left(1 + \frac{v_{p_z}q}{\omega} \right) \times eE_{2\omega} \frac{\partial}{\partial p_z} \left[\frac{1}{i\omega} \left(1 + \frac{v_{p_z}q}{\omega} \right) eE_\omega \frac{p_z}{p} \frac{\partial f_0}{\partial p} \right], \quad (19)$$

and get the z projection of the nonlinear current in the form

$$j_{3\omega_z}^{(II)} = \frac{2}{3} \sigma_2(\omega) E_{2\omega} E_\omega, \quad (20)$$

which entirely corresponds to the cascaded process in THG.

III. COUPLED-MODE EQUATIONS

Neglecting losses, the dispersion relation and p -polarized eigenmodes of a hybrid graphene-semiconductor waveguide were analyzed in Ref. [9]. Similar to the approach outlined

in Ref. [10], to describe the plasmon conversion due to the graphene nonlinearity, we derive equations for the modal envelopes. The frequency conversion can be modeled by coupled equations for the amplitudes of FW, SH, and TH plasmonic modes, $\mathcal{A}_1$, $\mathcal{A}_2$, and $\mathcal{A}_3$, respectively. In this geometry surface currents induced in graphene are slow waves with the wave numbers exceeding those in free space, and thus do not couple to the radiative modes. Assuming that the conversion efficiency is small, we utilize the following magnetic field ansatz:

$$H_y(x, z, t) = e^{-i\omega t} \mathcal{A}_1(\mu z) h_1(\omega, x) e^{ik_{sp}^1(\omega)z} + e^{-i2\omega t} \mathcal{A}_2(\mu z) h_2(2\omega, x) e^{ik_{sp}^2(2\omega)z} + e^{-i3\omega t} \mathcal{A}_3(\mu z) h_3(3\omega, x) e^{ik_{sp}^3(3\omega)z}, \quad (21)$$

where $h_{1,2,3}(x)$ are the transverse profiles of the modes. Disregarding the influence of the generated modes on the pump, the coupled-mode equations can be written in the form

$$\frac{d\mathcal{A}_1}{dz} + \gamma_1 \mathcal{A}_1 = 0, \quad (22a)$$

$$\frac{d\mathcal{A}_2}{dz} + \gamma_2 \mathcal{A}_2 = g_2 \mathcal{A}_1^2 e^{-i[k_{sp}^2(2\omega) - 2k_{sp}^1(\omega)]z}, \quad (22b)$$

$$\frac{d\mathcal{A}_3}{dz} + \gamma_3 \mathcal{A}_3 = \bar{g}_2 \mathcal{A}_1 \mathcal{A}_2 e^{-i[k_{sp}^3(3\omega) - k_{sp}^2(2\omega) - k_{sp}^1(\omega)]z} + g_3 \mathcal{A}_1^3 e^{-i[k_{sp}^3(3\omega) - 3k_{sp}^1(\omega)]z}, \quad (22c)$$

where the term proportional to $\bar{g}_2$ is responsible for the so-called *cascaded* contribution to the THG [2,3]. Assuming that the FW plasmon is launched at $z=0$, we study the plasmon frequency conversion modeled by Eqs. (22). The conversion from the TH to the SH can be neglected, because, as will be shown below, the TH efficiency in this kind of graphene-semiconductor waveguide remains much smaller than the SH efficiency, $\mathcal{A}_3(z) \ll \mathcal{A}_2(z)$.

For moderate losses, the loss coefficients $\gamma_{1,2,3}$ in Eqs. (22) can be obtained perturbatively from the dispersion equation, introducing dissipative corrections, namely the linear frequency-dependent surface conductivity of graphene

$$\sigma(\omega) \equiv \sigma^{(R)}(\omega) + i\sigma^{(I)}(\omega), \quad (23)$$

and the dielectric permittivity of the semiconductor

$$\varepsilon_p(\omega) = 1 - \frac{\omega_p^2}{\omega(\omega + i\nu)} = 1 - \frac{\omega_p^2}{\omega^2} + \frac{i\nu\omega_p^2}{\omega^3}, \quad (24)$$

where ν is a damping coefficient. The coefficients g_2 , $\bar{g}_2$, g_3 in nonlinear sources can be derived from the equations for the energy flows in the corresponding modes as follows:

$$\frac{dP_z^2}{dz} = -\frac{1}{2} \text{Re} \int_{-\infty}^{+\infty} \mathbf{j}_{2\omega}^* \mathbf{E}_{2\omega} dx, \quad (25a)$$

$$\frac{dP_z^3}{dz} = -\frac{1}{2} \text{Re} \int_{-\infty}^{+\infty} \mathbf{j}_{3\omega}^* \mathbf{E}_{3\omega} dx, \quad (25b)$$

where $P_z^{2,3} = G_{2,3}|\mathcal{A}_{2,3}|^2$; $G_{2,3}$ are defined as

$$\begin{aligned} G_{2,3} &= \text{Re} \frac{c}{8\pi} \int_{-\infty}^{+\infty} [\mathbf{e}_{2,3} \times \mathbf{h}_{2,3}^*]_z dx \\ &= \text{Re} \frac{c}{8\pi} \int_{-\infty}^{+\infty} e_x^{2,3}(x) h_y^{2,3*}(x) dx. \end{aligned} \quad (26)$$

Here $e_x^{2,3}(x)$ and $h_y^{2,3}(x)$ are the transverse profiles of the SH and TH modes normalized in such a way that at the graphene layer $e_z(d_2) = 1$. The field components of the p -polarized surface wave are connected as

$$e_z(x) = \frac{1}{-ik_0\epsilon(x)} \frac{dh_y}{dx} = \frac{1}{-ik_{sp}} \frac{de_x}{dx}. \quad (27)$$

Finally, we can write the nonlinear coefficients as follows:

$$g_2 = -\frac{\sigma_2}{4G_2}, \quad \bar{g}_2 = -\frac{\frac{2}{3}\sigma_2}{4G_3}, \quad g_3 = -\frac{\sigma_3}{4G_3}. \quad (28)$$

These coefficients determine the strength of the nonlinear interactions between FW, SH, and TH plasmons. Phase matching, another important parameter that defines the conversion between these harmonics, is discussed in the next section.

IV. PHASE MATCHING AND WAVELENGTH CONVERSION

We now show that three guided modes corresponding to FW, SH, and TH can be phase-matched, so that at some ω , dependent on the geometrical parameters (separations between the layers, dielectric permittivity distribution, and doping levels), they have almost equal effective indexes $\beta^1(\omega) \approx \beta^2(2\omega) \approx \beta^3(3\omega)$ [or, equivalently, $k_{sp}^1(\omega) = k_{sp}^2(2\omega)/2 = k_{sp}^3(3\omega)/3$]. To calculate the dispersion and the mode profiles, we use the transfer matrix method [17].

To demonstrate the predicted behavior, we employ the following set of realistic waveguide parameters. The permittivities of the dielectric layers shown in Fig. 1 are chosen as $\epsilon_1 = 8$, $\epsilon_2 = 2$, and $\epsilon_3 = 1$. For simplicity, we do not take into account the dispersion in the dielectric layers. Adding the dielectric dispersion will require adjusting the thicknesses of the dielectric layers to compensate for it. We choose the thickness of a semiconductor layer as $d_1 = 25$ nm, and the thickness of the dielectric layer between the semiconductor and graphene as $d_2 = 20$ nm. Then, for the Fermi energy $\mathcal{E}_F = 0.5$ eV, plasma frequency $\hbar\omega_p = 0.95\mathcal{E}_F$, relaxation parameter $\tau_{\text{intra}} = 0.3$ ps, and damping coefficient $\nu = 0.001\omega_p$, we obtain simultaneous phase matching for FW, SH, and TH, as depicted in Fig. 2(a), at the respective fundamental wavelength in free space of $11.7 \mu\text{m}$. In Fig. 2(b) we show the corresponding overlap between the FW, SH, and TH modes. Strong graphene nonlinearity allows efficient nonlinear interaction even between the modes with moderate field confinement, which can be further improved by optimizing the waveguide parameters. Remarkably, for different dielectric and semiconductor parameters, the TH mode can be phase-matched in the backward direction, although in this paper we focus on the case when all three modes are phase-matched in the forward direction.

In Fig. 3 we demonstrate the evolution of the interacting FW, SH, and TH plasmons. We take a realistic pump intensity

FIG. 2. (Color online) (a) Dispersion of three supported plasmon modes in a hybrid graphene-semiconductor waveguide (solid blue lines), corresponding asymptotes (dashed black lines), and points of phase matching for FW, SH, and TH (blue circles); (b) FW, SH, and TH mode profiles shown for the continuous in-plane electric field component. Bold black line corresponds to the graphene layer; thin black lines mark the interfaces between the semiconductor and dielectric layers.

of 1 MW/cm^2 on a graphene layer [18–21]. The resulting SHG and THG conversion efficiencies and optimal conversion lengths are comparable to the previous works [8,10,22]. Particularly, choosing y dimension of 1 cm and the pump

FIG. 3. (Color online) Spatial evolution along the propagation direction of the normalized SH intensity $|\mathcal{A}_2(z)|^2/|\mathcal{A}_1(0)|^2$ (solid green line), total TH intensity including direct THG and cascaded THG $|\mathcal{A}_3(z)|^2/|\mathcal{A}_1(0)|^2$ (solid blue line), and the direct TH intensity in the absence of the cascading effect assuming $\bar{g}_2 = 0$ (dashed blue line).

Poynting flux per unit length 1 W/cm, the outcome energy flows $P_z^{2,3}$ reach 2×10^{-3} and 3×10^{-5} W/cm, respectively, at the distance of about $2 \mu\text{m}$. We note that the direct contribution to THG governed by the term proportional to g_3 in Eq. (22c) is an order of magnitude smaller than the cascaded contribution. To show this explicitly, as a dashed line in Fig. 3, we plot the TH intensity evolution calculated by formally setting $\bar{g}_2 = 0$. The difference is remarkable because the plasmon wavevector can notably exceed that in free space, leading to the efficient SHG process stimulating a cascaded conversion to the third-harmonic plasmon.

The efficient cascaded THG regime in graphene-based waveguides requires a number of conditions outlined in this paper, such as moderate plasmon wave numbers and moderate losses. Then high field confinement and phase matching of the nonlinearly interacting modes can be engineered by choosing the appropriate thicknesses and materials of the waveguide layers. Although the example presented above discusses only one set of parameters, by varying graphene doping and adjusting the other characteristics, similar results

for the predicted cascaded effect in harmonics generation can be achieved throughout the mid-IR wavelength range.

V. CONCLUSIONS

To conclude, in this work we have established the theoretical framework for the direct and the cascaded THG in graphene-semiconductor waveguides, including a detailed derivation of all coefficients in the coupled-mode equations based on the waveguide parameters. We have shown that such waveguides can support simultaneous phase matching of FW, SH, and TH plasmon modes, and that the cascaded THG can be several times stronger than the direct THG.

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